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## Light sterile neutrinos with pseudoscalar interactions in cosmology

*Based on [JCAP 08 (2016) 067]*

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# Neutrino Oscillations

Analogous to CKM mixing for quarks: [Pontecorvo, 1958]  
[Maki, Nakagawa, Sakata, 1962]

$$\nu_\alpha = \sum_{k=1}^3 U_{\alpha k} \nu_k \quad (\alpha = e, \mu, \tau)$$

$\nu_\alpha$  flavour eigenstates,  $U_{\alpha k}$  PMNS mixing matrix,  $\nu_k$  mass eigenstates.

Current knowledge of the 3 active  $\nu$  mixing: [PDG - Olive et al. (2015)]

$\Delta m_{ij}^2 = m_j^2 - m_i^2$ ,  $\theta_{ij}$  mixing angles  
NO: Normal Ordering,  $m_1 < m_2 < m_3$   
IO: Inverted Ordering,  $m_3 < m_1 < m_2$

$$\begin{aligned} \Delta m_{SOL}^2 &= (7.53 \pm 0.18) \cdot 10^{-5} \text{ eV}^2 &= \Delta m_{21}^2 \\ \Delta m_{ATM}^2 &= (2.44 \pm 0.06) \cdot 10^{-3} \text{ eV}^2 \text{ (NO)} &= |\Delta m_{32}^2| \simeq |\Delta m_{31}^2| \\ &= (2.49 \pm 0.06) \cdot 10^{-3} \text{ eV}^2 \text{ (IO)} \end{aligned}$$

$$\begin{aligned} \sin^2(2\theta_{12}) &= 0.846 \pm 0.021 \\ \sin^2(2\theta_{23}) &= 0.999_{-0.018}^{+0.001} \text{ (NO)} - 1.000_{-0.017}^{+0.000} \text{ (IO)} \\ \sin^2(2\theta_{13}) &= 0.085 \pm 0.005 \end{aligned}$$

See various talks  
in next days

CP violating phase  $\delta_{CP}$  still unknown. Hint:  $\delta_{CP} = -\pi/2?$  [T2K Collaboration, 2015]

## Short Baseline (SBL) anomaly

Problem: **anomalies** in SBL experiments  $\Rightarrow$   $\left\{ \begin{array}{l} \text{errors in flux calculations?} \\ \text{deviations from } 3\nu \text{ description?} \end{array} \right.$

A short review:

**LSND** search for  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ , with  $L/E = 0.4 \div 1.5$  m/MeV. Observed a  $3.8\sigma$  excess of  $\bar{\nu}_e$  events [Aguilar et al., 2001]

**Reactor** re-evaluation of the expected anti-neutrino flux  $\Rightarrow$  disappearance of  $\bar{\nu}_e$  events compared to predictions ( $\sim 3\sigma$ ) with  $L < 100$  m [Azabajan et al, 2012]

**Gallium** calibration of GALLEX and SAGE Gallium solar neutrino experiments give a  $2.7\sigma$  anomaly (disappearance of  $\nu_e$ ) [Giunti, Laveder, 2011]

**MiniBooNE** (**inconclusive**) search for  $\nu_\mu \rightarrow \nu_e$  and  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ , with  $L/E = 0.2 \div 2.6$  m/MeV. No  $\nu_e$  excess detected, but  $\bar{\nu}_e$  excess observed at  $2.8\sigma$  [MiniBooNE Collaboration, 2013]

Possible explanation:

Additional squared mass difference

$$\Delta m_{\text{SBL}}^2 \simeq 1 \text{ eV}^2$$

See various talks  
in next days

## 3+1 Neutrino Model

$$\text{SBL anomalies} \Rightarrow \Delta m_{\text{SBL}}^2 \simeq 1 \text{ eV}^2$$



Existence of an additional neutrino degree of freedom,  
mass around 1 eV, no weak interaction  $\Rightarrow$  *light, sterile neutrino* ( $LS\nu$ )



3 active ( $m_i \ll 1 \text{ eV}$ ) + 1 sterile ( $m_s \simeq 1 \text{ eV}$ )  $\nu$  scenario

We must update our mixing paradigm:

$$\nu_\alpha = \sum_{k=1}^{3+1} U_{\alpha k} \nu_k \quad (\alpha = e, \mu, \tau, s)$$

$\nu_s$  is mainly  $\nu_4$ :

$$m_s \simeq m_4 \simeq \sqrt{\Delta m_{41}^2} \simeq \sqrt{\Delta m_{\text{SBL}}^2}$$

Active  $\nu$ :

$$\sum m_{\nu, \text{active}} \simeq 0$$

Sterile  $\nu$ :

$$0.82 \leq m_s^2 / \text{eV}^2 \leq 2.19 \quad (3\sigma)$$

[SG et al., 2016]

## (Relativistic) $LS\nu$ in cosmology: $\Delta N_{\text{eff}}$

Radiation energy density  $\rho_r$  in the early Universe:

$$\rho_r = \left[ 1 + \frac{7}{8} \left( \frac{4}{11} \right)^{4/3} N_{\text{eff}} \right] \rho_\gamma = [1 + 0.2271 N_{\text{eff}}] \rho_\gamma$$

$\rho_\gamma$  photon energy density,  $7/8$  is for fermions,  $(4/11)^{4/3}$  due to photon reheating after neutrino decoupling

- $N_{\text{eff}} \rightarrow$  all the radiation contribution not given by photons
- $N_{\text{eff}} \simeq 1$  correspond to a single family of active neutrino, in equilibrium in the early Universe
- Active neutrinos:  $N_{\text{eff}} = 3.046$  [Mangano et al., 2005]  
due to not instantaneous decoupling for the neutrinos See Pastor talk
- + Non Standard Interactions:  $3.040 < N_{\text{eff}} < 3.059$  [de Salas et al., 2016]
- additional  $LS\nu$  contributes with  $\Delta N_{\text{eff}} = N_{\text{eff}} - 3.046$ :

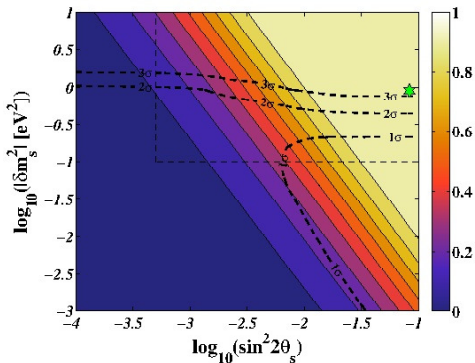
$$\Delta N_{\text{eff}} = \frac{\rho_s^{\text{rel}}}{\rho_\nu} = \left[ \frac{7}{8} \frac{\pi^2}{15} T_\nu^4 \right]^{-1} \frac{1}{\pi^2} \int dp p^3 f_s(p) \quad [\text{Acero et al., 2009}]$$

$\rho_\nu$  energy density for one active neutrino species,  $\rho_s^{\text{rel}}$  energy density of  $LS\nu$  when relativistic,  
 $p$  neutrino momentum,  $f_s(p)$  momentum distribution,  $T_\nu = (4/11)^{1/3} T_\gamma$

# LS $\nu$ thermalization

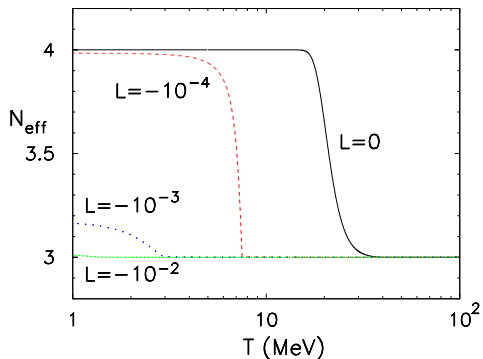
Using SBL best-fit parameters for the LS $\nu$  ( $\Delta m_{41}^2, \theta_s$ ):

[Hannestad et al., JCAP 1207 (2012) 025]



(colors coding  $\Delta N_{\text{eff}}$ )

[Mirizzi et al., PRD 86 (2012) 053009]



( $L$ : lepton asymmetry)

Unless  $L \gtrsim \mathcal{O}(10^{-3})$ ,  $\Delta N_{\text{eff}} \simeq 1$

See also: [Saviano et al., PRD 87 (2013) 073006], [Hannestad et al., JCAP 08 (2015) 019]

## (Non-relativistic) $LS\nu$ in cosmology: $m_s^{\text{eff}}$ and $m_s$

$m_s \simeq 1 \text{ eV} \rightarrow \nu_s$  is non-relativistic today ( $T_\nu \propto 10^{-4} \text{ eV}$ )

$LS\nu$  density parameter today:

$$\omega_s = \Omega_s h^2 = \frac{\rho_s}{\rho_c} h^2 = \frac{h^2 m_s}{\rho_c \pi^2} \int dp p^2 f_s(p) \quad [\text{Acero et al., 2009}]$$

$\rho_s$  energy density of non-relativistic  $LS\nu$ ,  $\rho_c$  critical density and  $h$  reduced Hubble parameter

Alternatively:

$$m_s^{\text{eff}} = 94.1 \text{ eV } \omega_s \quad [\text{Planck 2013 Results, XVI}]$$

The factor (94.1 eV) is the same for the active neutrinos:

$$\omega_{\nu, \text{active}} = \sum_{\text{active}} m_\nu / (94.1 \text{ eV})$$

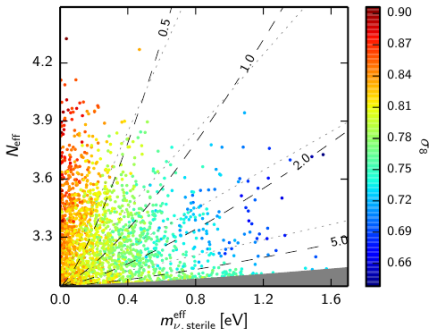
$$\text{If } f_s(p) = f_{\text{active}}(p), \quad m_s^{\text{eff}} \equiv m_s$$

$$\text{Thermal production} \implies f_s(p) = \frac{1}{e^{p/T_s} + 1} \implies m_s^{\text{eff}} = \Delta N_{\text{eff}}^{3/4} m_s$$

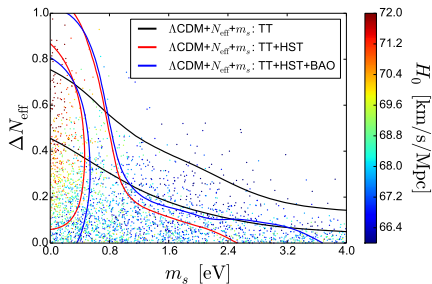
# LS $\nu$ constraints from cosmology

[Archidiacono et al., JCAP 08 (2016) 067]

CMB+local: [Planck Collaboration, 2015]



$$\begin{cases} N_{\text{eff}} < 3.7 & (\text{TT+lensing+BAO}) \\ m_s^{\text{eff}} < 0.52 \text{ eV} & [m_s < 5 \text{ eV}] \end{cases}$$



dataset	free $\Delta N_{\text{eff}}$ [ $m_s < 10 \text{ eV}$ ]	$\Delta N_{\text{eff}} = 1$
(TT)	$N_{\text{eff}} < 3.5$	$m_s < 0.66 \text{ eV}$
(+H <sub>0</sub> )	$N_{\text{eff}} < 3.9$	$m_s < 0.55 \text{ eV}$
(+BAO)	$N_{\text{eff}} < 3.8$	$m_s < 0.53 \text{ eV}$

BBN constraints:  $N_{\text{eff}} = 2.90 \pm 0.22$  (BBN+ $Y_p$ ) [Peimbert et al., 2016]

**Summary:**  $\Delta N_{\text{eff}} = 1$  from LS $\nu$  incompatible with  $m_s \simeq 1 \text{ eV}$ !



# Tensions on the Hubble parameter

Hubble parameter today:  
 $v = H_0 d$ , with  $H_0 = H(z = 0)$

Local measurements:  $H(z = 0)$ ,  
local and independent on  
evolution (model independent,  
but systematics?)

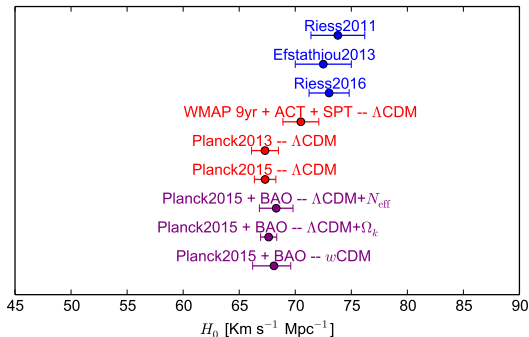
## CMB measurements

(probe  $z \simeq 1100$ ):

$H_0$  from the cosmological  
evolution

(model dependent, well  
controlled systematics)

68% CL error bars



Using HST Cepheids:

[Efstathiou 2013]  $H_0 = 72.5 \pm 2.5 \text{ Km s}^{-1} \text{ Mpc}^{-1}$

[Riess et al., 2016]  $H_0 = 73.02 \pm 1.79 \text{ Km s}^{-1} \text{ Mpc}^{-1}$

(most recent)

( $\Lambda$ CDM model - CMB data only)

[Planck 2013]:  $H_0 = 67.3 \pm 1.2 \text{ Km s}^{-1} \text{ Mpc}^{-1}$

[Planck 2015]:  $H_0 = 67.27 \pm 0.66 \text{ Km s}^{-1} \text{ Mpc}^{-1}$

# Tensions on the matter perturbations at small scales

Assuming  $\Lambda$ CDM model:

$\sigma_8$ : rms fluctuation in total matter (baryons + CDM + neutrinos) in  $8h^{-1}$  Mpc spheres, today;

$\Omega_m$ : total matter density today divided by the critical density

CFHTLenS weak lensing data alone  
[Heymans et al., 2013] (68% CL):

$$\sigma_8(\Omega_m/0.27)^{0.46 \pm 0.02} = 0.774 \pm 0.04$$

CMB results

[Planck 2013] (68% CL):

$$2\sigma \text{ discrepancy!} = 0.89 \pm 0.03$$

Planck SZ Cluster Counts  
[Planck 2013 Results XX] (68% CL):

$$\sigma_8(\Omega_m/0.27)^{0.3} = 0.764 \pm 0.025$$

Planck + WMAP pol + ACT/SPT  
[Planck 2013] (68% CL):

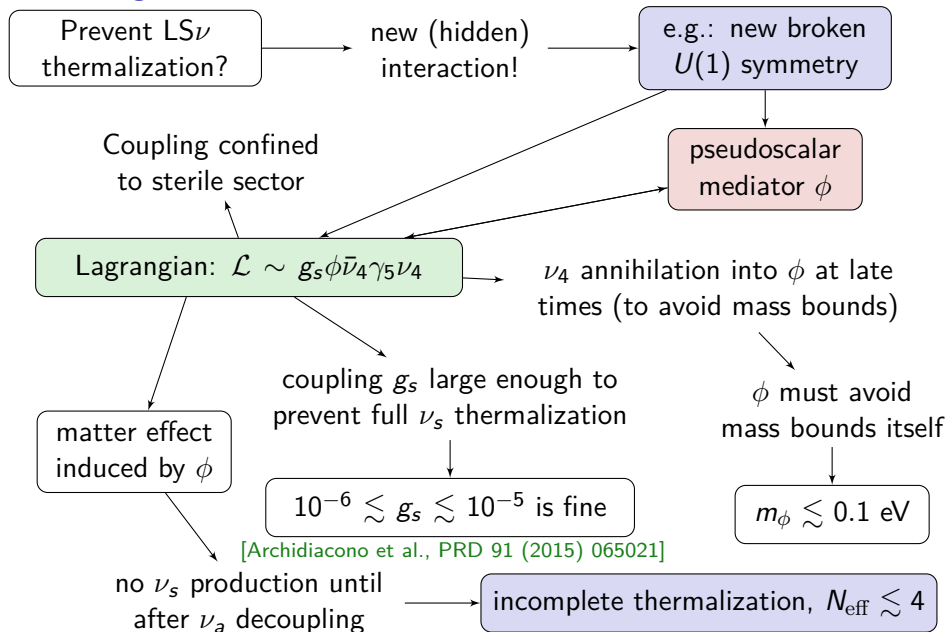
$$3\sigma \text{ discrepancy!} = 0.87 \pm 0.02$$

Qualitatively similar results from *SPT* clusters, *Chandra Cluster Cosmology Project*.

## Alert!

- is the nonlinear evolution well known?  
see e.g. [Planck 2015 Results, papers XIII and XIV]
- are we taking into account all the astrophysical systematics?  
[Joudaki et al., 2016] [Kitching et al., 2016]

# Adding a new interaction



# Constraints on the pseudoscalar interaction?

Particle physics constraints  
on the pseudoscalar?

IceCube constraints on  
secret interactions?

[Ioka et al., 2014] [Cherry et al., 2014]  
[Ng et al., 2014] [Cherry et al., 2016]

$\phi$  coupled to  $\nu_4$  + IceCube flux made of  
active flavor neutrinos

very small mixing with  $\nu_4$   
and interaction rate with  $\phi$   
[cross section  $\propto g_s^2/s$ ]

don't apply

fifth force constraints?

pseudoscalar is spin coupling,  
but unpolarized medium

don't apply

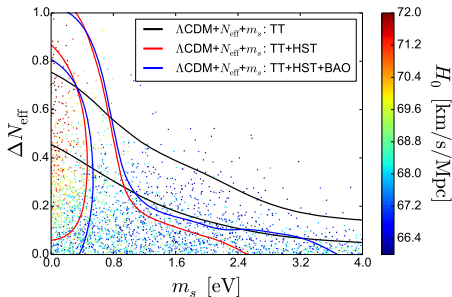
SN energy loss  
[Farzan, 2003]

$g_s \lesssim 10^{-4}$

Standard  $LS\nu$  model:

$$\Lambda\text{CDM} + N_{\text{eff}} + m_s$$

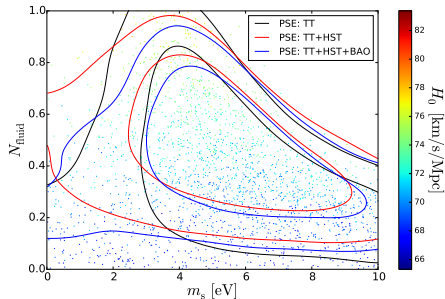
( $\Lambda\text{CDM}$  params + free  $N_{\text{eff}}$  and  $m_s$ )



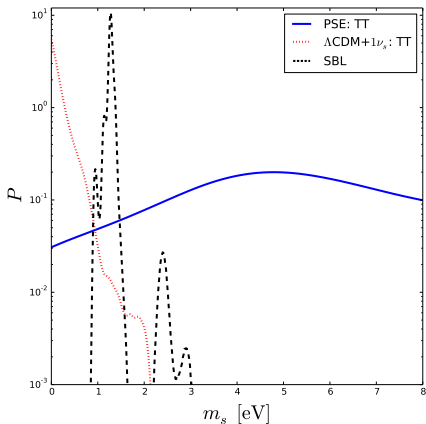
Pseudoscalar model (PSE):

$$N_{\text{eff}} = 3.046 + N_{\text{fluid}}$$

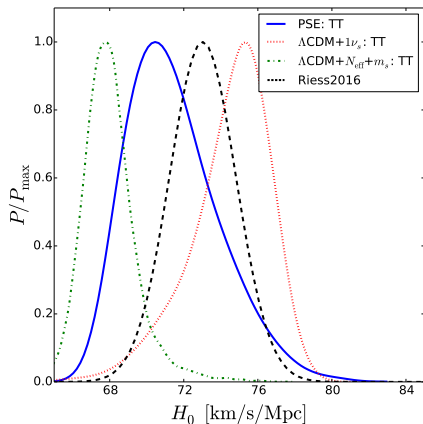
$N_{\text{fluid}}$ :  $\nu_s + \phi$  contributions



- Problems with  $\Delta N_{\text{eff}} = 1$ ? **solved** (incomplete thermalization due to suppression of active-sterile oscillations in primordial plasma);
- mass bounds avoided  
 $\Rightarrow$  large  $m_s$  allowed and **preference** for  $m_s \simeq 4$  eV;
- **high values of  $H_0$**  predicted by cosmology  
 $\Rightarrow$  more compatible with local measurements.



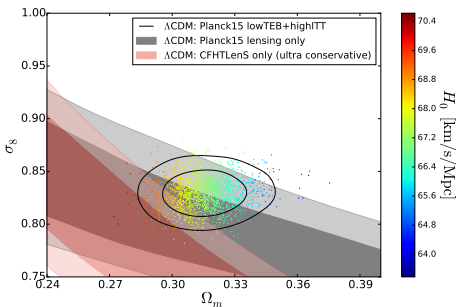
- PSE: posterior on  $m_s$  wider
- preference for high **SBL** peaks? (agreement with recent results by [IceCube, 2016] and [MINOS, 2016])



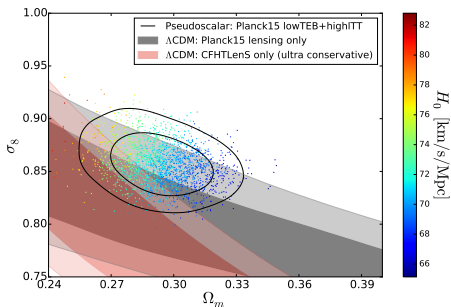
- PSE: very close to **Riess2016** results (better than  $\Lambda$ CDM+ $N_{\text{eff}}+m_s$ )
- $\Lambda$ CDM+ $1\nu_s$ : even higher  $H_0$ , but from  $\Delta N_{\text{eff}} = 1$  and  $m_s \simeq 0$ .

What about the  $\sigma_8$  tension (matter perturbations at small scales)?

$\Lambda$ CDM model:



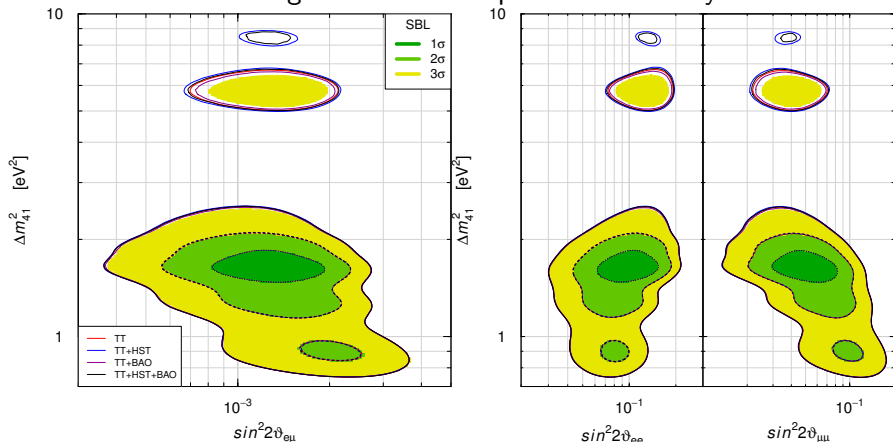
Pseudoscalar model:



- smaller  $\Omega_m$  today. Good?
- Also higher  $\sigma_8 \Rightarrow$  **no improvement!** The tension remains.
- due to higher  $H_0$ , not to reduced matter fluctuations.

# Joint Results

Cosmological results as a prior in SBL analysis:



Cosmological constraints are too much permissive!

- Regions at  $\Delta m_{41}^2 \simeq 6$  eV<sup>2</sup> (slightly) enlarged
- (small) **new region** at  $\Delta m_{41}^2 \simeq 8.5$  eV<sup>2</sup> appears (3σ CL only)
- Towards [IceCube, 2016] and [MINOS, 2016] hints for  $\Delta m_{41}^2 \gtrsim 1$  eV?



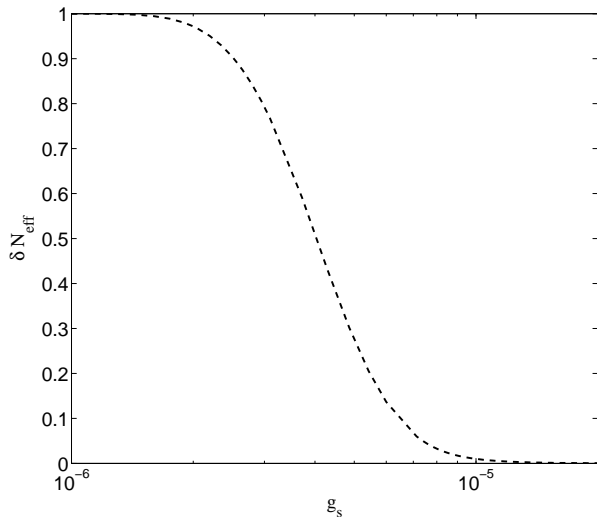
## Conclusions

- light  $\nu_s$  ( $m_s \simeq 1$  eV) from SBL analysis ?
- full thermalization incompatible with cosmological measurements ✗  
(given mass and mixing angles from SBL oscillations)
- $H_0$  and  $\sigma_8$  problems ?
- New interaction mediated by a pseudoscalar  $\phi$ :
  - hidden in the sterile sector, no fifth force constraints ✓
  - light pseudoscalar to avoid mass bounds after  $\nu_s$  annihilation ✓
  - avoid full  $\nu_s$  thermalization in the early Universe ( $10^{-6} \lesssim g_s \lesssim 10^{-5}$ ) ✓
  - matter effect induced by  $\phi$  allows  $N_{\text{eff}} \lesssim 4$  ✓
- Results:
  - preference for large  $m_s$  ✓
    - Towards IceCube and MINOS recent results ?
  - preference for  $H_0$  compatible with local measurements ✓
  - no solution to matter fluctuations at small scales ✗

Thank you for the attention

# $\Delta N_{\text{eff}}$ and pseudoscalar interaction

[Archidiacono et al., PRD 91 (2015) 065021]



obtained with  $\sin^2(2\theta_s) = 0.05$ ,  $m_s = 1$  eV