



Horizon 2020  
European Union funding  
for Research & Innovation

# Stefano Gariazzo

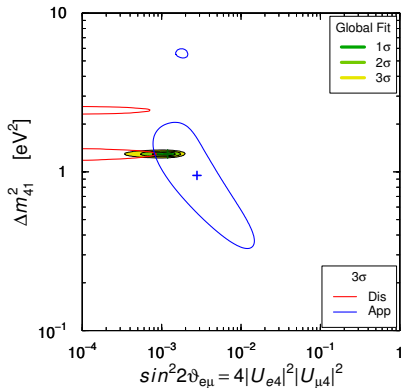
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`http://ific.uv.es/~gariazzo/`

## Light sterile neutrino: the 2018 status

The Magnificent CE $\nu$ NS, Chicago, 03/11/2018

- 1 *Neutrino Oscillations - Some theory*
- 2 *Electron (anti)neutrino disappearance*
- 3 *Muon (anti)neutrino disappearance*
- 4 *Electron (anti)neutrino appearance*
- 5 *Global fit*
- 6 *Sterile neutrinos and  $CE\nu NS$*
- 7 *Conclusions*



1 *Neutrino Oscillations - Some theory*

2 *Electron (anti)neutrino disappearance*

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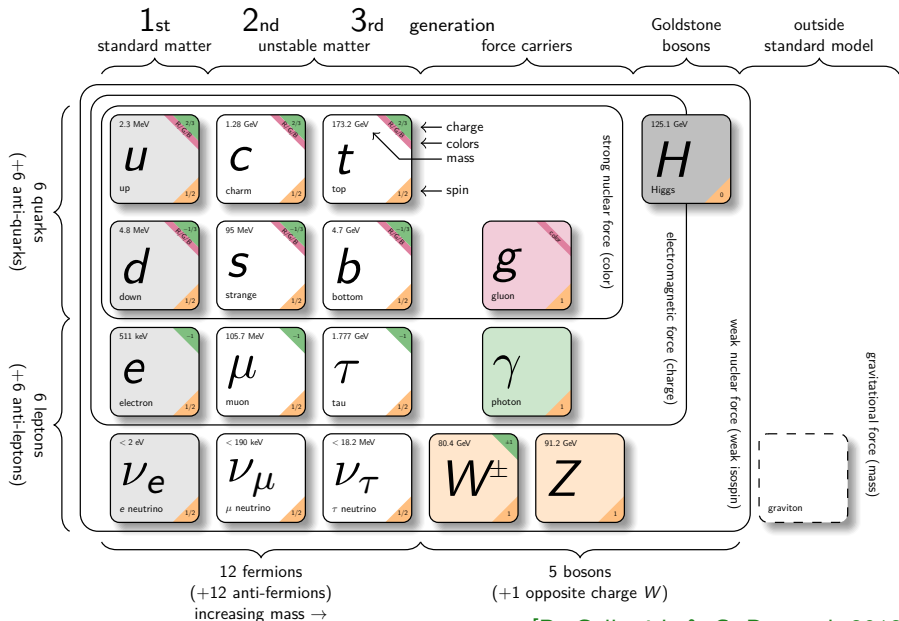
4 *Electron (anti)neutrino appearance*

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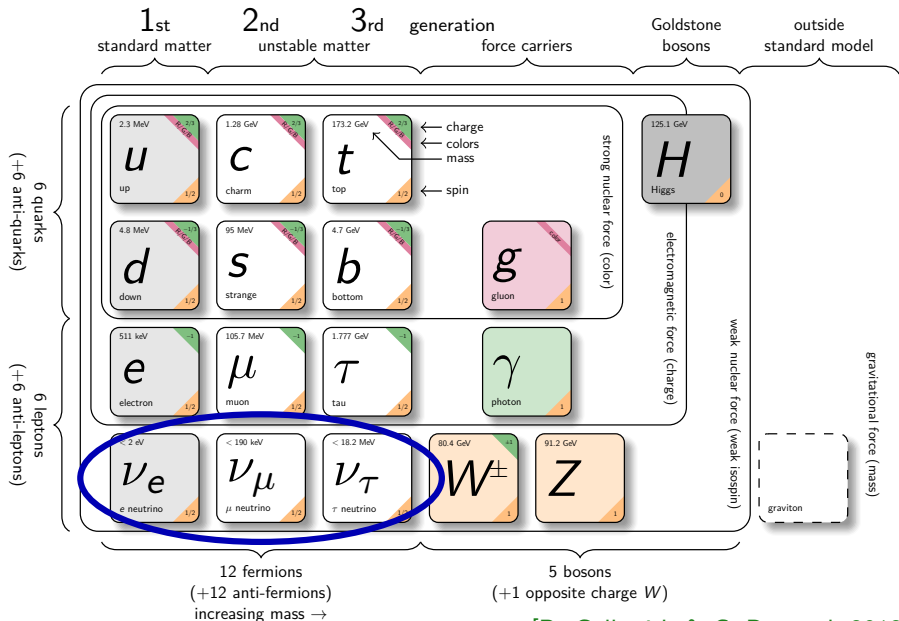
7 *Conclusions*

# The Standard Model of Particle Physics



[D. Galbraith & C. Burgard, 2012]

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# Three Neutrino Oscillations

$$\nu_\alpha = \sum_{k=1}^3 U_{\alpha k} \nu_k \quad (\alpha = e, \mu, \tau)$$

$U_{\alpha k}$  described by 3 mixing angles  $\theta_{12}, \theta_{13}, \theta_{23}$  and one CP phase  $\delta_{\text{CP}}$

Current knowledge of the 3 active  $\nu$  mixing: [de Salas et al. (2018)]

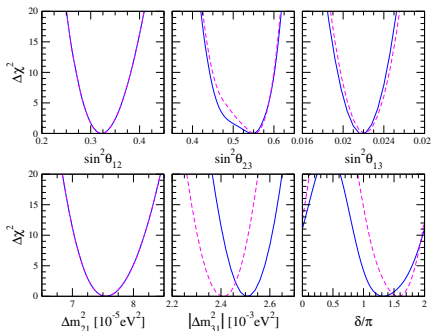
**NO:** Normal Ordering,  $m_1 < m_2 < m_3$

$$\begin{aligned}\Delta m_{21}^2 &= (7.55^{+0.20}_{-0.16}) \cdot 10^{-5} \text{ eV}^2 \\ |\Delta m_{31}^2| &= (2.50 \pm 0.03) \cdot 10^{-3} \text{ eV}^2 \text{ (NO)} \\ &= (2.42^{+0.03}_{-0.04}) \cdot 10^{-3} \text{ eV}^2 \text{ (IO)}\end{aligned}$$

$$\begin{aligned}\sin^2(\theta_{12}) &= 0.320^{+0.020}_{-0.016} \\ \sin^2(\theta_{13}) &= 0.0216^{+0.008}_{-0.007} \text{ (NO)} \\ &= 0.0222^{+0.007}_{-0.008} \text{ (IO)} \\ \sin^2(\theta_{23}) &= 0.547^{+0.020}_{-0.030} \text{ (NO)} \\ &= 0.551^{+0.018}_{-0.030} \text{ (IO)}\end{aligned}$$

First hints for  $\delta_{\text{CP}} \simeq 3/2\pi$

**IO:** Inverted Ordering,  $m_3 < m_1 < m_2$



see also: <http://globalfit.astroparticles.es>

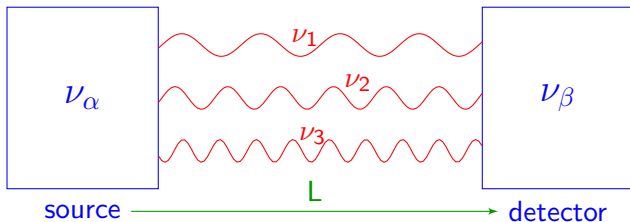
## Two types of neutrinos

flavor neutrinos  $\nu_\alpha$

$$|\nu_\alpha\rangle = U_{\alpha k} |\nu_k\rangle$$

massive neutrinos  $\nu_k$

$$|\nu(t=0)\rangle = |\nu_\alpha\rangle = U_{\alpha 1} |\nu_1\rangle + U_{\alpha 2} |\nu_2\rangle + U_{\alpha 3} |\nu_3\rangle$$



$$|\nu(t > 0)\rangle = |\nu_\beta\rangle = U_{\alpha 1} e^{-iE_1 t} |\nu_1\rangle + U_{\alpha 2} e^{-iE_2 t} |\nu_2\rangle + U_{\alpha 3} e^{-iE_3 t} |\nu_3\rangle \neq |\nu_\alpha\rangle$$

$$E_k^2 = p^2 + m_k^2 \quad \longleftarrow \text{define} \quad \longrightarrow \quad t = L$$

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L) = |\langle \nu_\beta | \nu(L) \rangle|^2 = \sum_{k,j} U_{\beta k} U_{\alpha k}^* U_{\beta j}^* U_{\alpha j} \exp\left(-i \frac{\Delta m_{kj}^2 L}{2E}\right)$$

$$\Delta m_{ij}^2 = m_i^2 - m_j^2$$

## A large family

In principle, previous discussion is valid for  $N$  neutrinos

only constraint: there are exactly three flavor neutrinos in the SM

[LEP, Phys. Rept. 427 (2006) 257,  
arXiv:hep-ex/0509008]

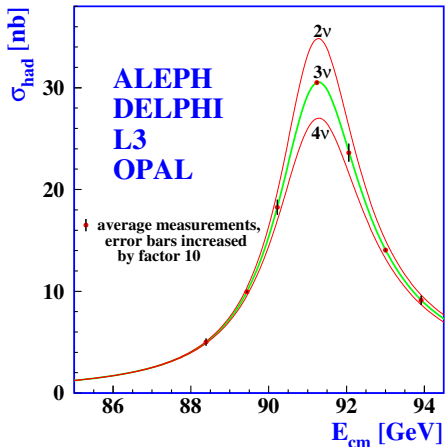
$$N_{\nu}^{(Z)} = 2.9840 \pm 0.0082$$

through the measurement  
of the  $Z$  resonance

$$e^+e^- \rightarrow Z \rightarrow \sum_{a=e,\mu,\tau} \nu_a \bar{\nu}_a$$

neutrinos  $\alpha > 3$  must be sterile

sterile neutrino = SM singlet: no couplings with other SM particles





## A large family

In principle, previous discussion is valid for  $N$  neutrinos

$N \times N$  mixing matrix,  $N$  flavor neutrinos,  $N$  massive neutrinos

$$\begin{pmatrix} |\nu_e\rangle \\ |\nu_\mu\rangle \\ |\nu_\tau\rangle \\ |\nu_{s1}\rangle \\ \dots \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} & \vdots \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} & \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} & \\ U_{s11} & U_{s12} & U_{s13} & U_{s14} & \\ \dots & & & & \ddots \end{pmatrix} \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \\ |\nu_3\rangle \\ |\nu_4\rangle \\ \dots \end{pmatrix}$$

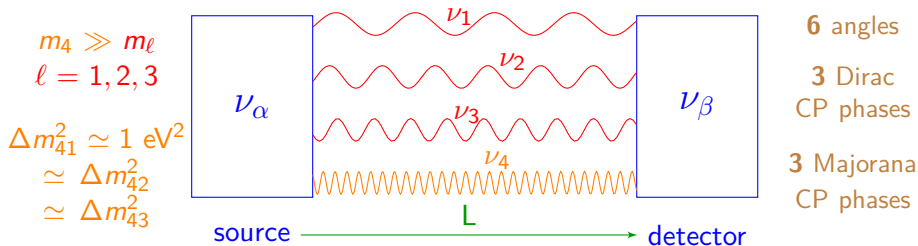
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Our case will be 3 (active)+1 (sterile), a perturbation of 3 neutrinos case



## Short BaseLine (SBL)

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L) = |\langle \nu_\alpha | \nu(L) \rangle|^2 = \sum_{k,j} U_{\beta k} U_{\alpha k}^* U_{\beta j}^* U_{\alpha j} \exp\left(-i \frac{\Delta m_{kj}^2 L}{2E}\right)$$

If  $m_4 \gg m_\ell$ , faster oscillations

$\nu_4$  oscillations are averaged in most neutrino oscillation experiments

Effect of 4th neutrino only visible as global normalization

Short BaseLine (SBL) oscillations:  $\frac{\Delta m_{41}^2 L}{E} \simeq 1$

At SBL, oscillations due to  $\Delta m_{21}^2$  and  $|\Delta m_{31}^2|$  do not develop

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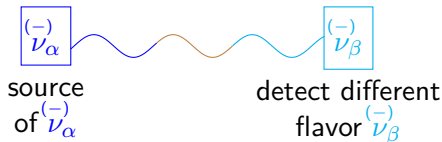
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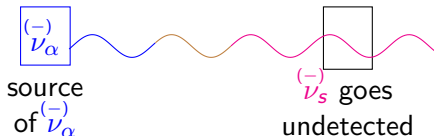
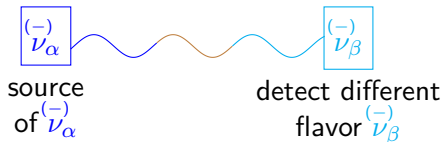
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DISappearance



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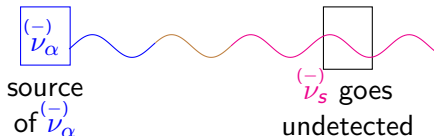
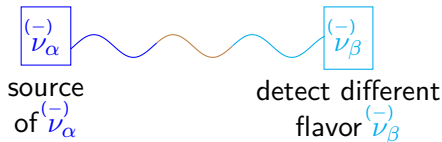
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APPEARance ( $\alpha \neq \beta$ )

DISappearance



CP violation cannot be observed in SBL experiments!

## New mixings in the 3+1 scenario

4 × 4 mixing matrix:

$$\begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s11} & U_{s12} & U_{s13} & U_{s14} \end{pmatrix}$$

## New mixings in the 3+1 scenario

$$4 \times 4 \text{ mixing matrix: } \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s11} & U_{s12} & U_{s13} & U_{s14} \end{pmatrix} \begin{array}{l} \left. \vphantom{\begin{pmatrix} U_{e1} \\ U_{\mu1} \\ U_{\tau1} \\ U_{s11} \end{pmatrix}} \right] \nu_{14} \\ \left. \vphantom{\begin{pmatrix} U_{e1} \\ U_{\mu1} \\ U_{\tau1} \\ U_{s11} \end{pmatrix}} \right] \nu_{24} \\ \left. \vphantom{\begin{pmatrix} U_{e1} \\ U_{\mu1} \\ U_{\tau1} \\ U_{s11} \end{pmatrix}} \right] \nu_{34} \end{array}$$



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DISappearance

$$P_{\nu_{\alpha}^{(-)} \rightarrow \nu_{\alpha}^{(-)}}^{\text{SBL}} \simeq 1 - \sin^2 2\vartheta_{\alpha\alpha} \sin^2 \left( \frac{\Delta m_{41}^2 L}{4E} \right)$$

$$\sin^2 2\vartheta_{\alpha\alpha} = 4|U_{\alpha 4}|^2(1 - |U_{\alpha 4}|^2)$$

$\nu_e^{(-)} \rightarrow \nu_e^{(-)}$

reactor  
gallium

$$|U_{e4}|^2 = \sin^2 \vartheta_{14}$$

$\nu_{\mu}^{(-)} \rightarrow \nu_{\mu}^{(-)}$

accelerator  
atmospheric

$$|U_{\mu 4}|^2 = \cos^2 \vartheta_{14} \sin^2 \vartheta_{24}$$

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accelerator  
atmospheric

$$|U_{\mu 4}|^2 = \cos^2 \vartheta_{14} \sin^2 \vartheta_{24}$$

APPEARance

$$P_{\nu_{\alpha} \rightarrow \nu_{\beta}}^{SBL(-)} \simeq \sin^2 2\vartheta_{\alpha\beta} \sin^2 \left( \frac{\Delta m_{41}^2 L}{4E} \right)$$

$$\sin^2 2\vartheta_{\alpha\beta} = 4|U_{\alpha 4}|^2 |U_{\beta 4}|^2$$

$$\nu_{\mu}^{(-)} \rightarrow \nu_e^{(-)}$$

LSND  
MiniBooNE  
KARMEN  
OPERA  
...

$$\sin^2 2\vartheta_{e\mu} = 4|U_{e4}|^2 |U_{\mu 4}|^2$$

quadratically suppressed!

for small  $|U_{e4}|^2$ ,  $|U_{\mu 4}|^2$

1 *Neutrino Oscillations - Some theory*

2 **Electron (anti)neutrino disappearance**

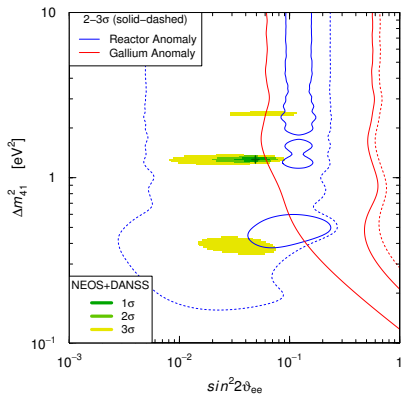
3 *Muon (anti)neutrino disappearance*

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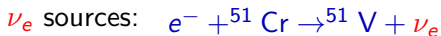


# Gallium anomaly

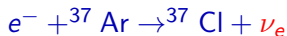
[SAGE, 2006][Laveder, 2007][Giunti&Laveder, 2011]

$L \simeq 1.9 \text{ m}$      $L \simeq 0.6 \text{ m}$

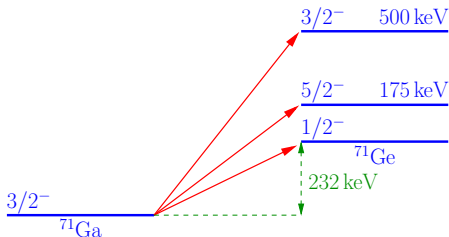
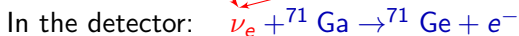
Gallium radioactive source experiments: **GALLEX** and **SAGE**



$E \simeq 0.75 \text{ MeV}$



$E \simeq 0.81 \text{ MeV}$



cross sections of  
the transitions from

[Krofcheck et al., PRL 55 (1985) 1051]

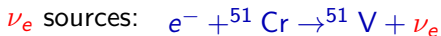
[Frekers et al., PLB 706 (2011) 134]

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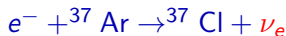
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Gallium radioactive source experiments: **GALLEX** and **SAGE**



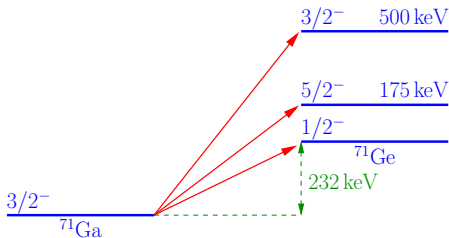
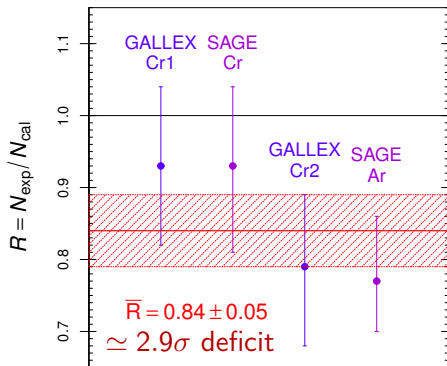
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Test detection of solar  $\nu_e$



cross sections of  
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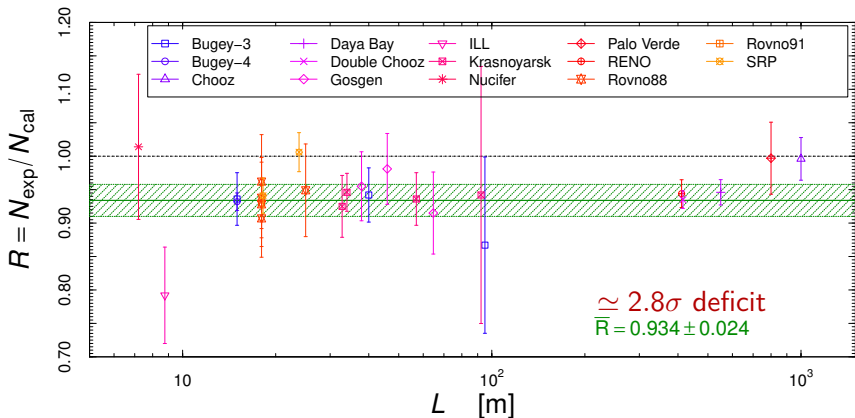
[Krofcheck et al., PRL 55 (1985) 1051]

[Frekers et al., PLB 706 (2011) 134]

2011: new reactor  $\bar{\nu}_e$  fluxes by Huber and Mueller+ (HM)

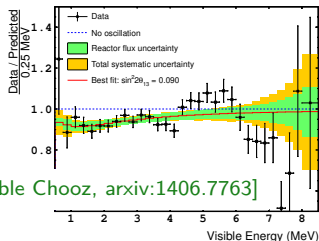
[Huber, PRC 84 (2011) 024617] [Mueller et al., PRC 83 (2011) 054615]

Previous reactor rates evaluated with new fluxes  $\Rightarrow$  deficit

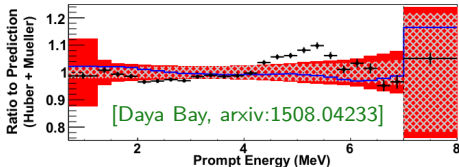


Suppression at detector due to active-sterile oscillations?

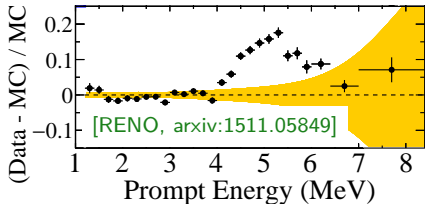
# Can we trust the HM fluxes?



[Double Chooz, arxiv:1406.7763]



[Daya Bay, arxiv:1508.04233]



[RENO, arxiv:1511.05849]

2014:

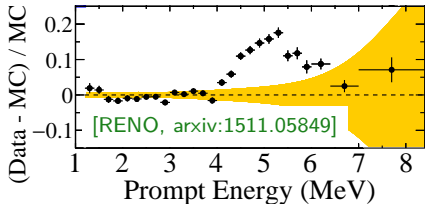
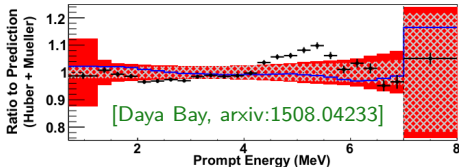
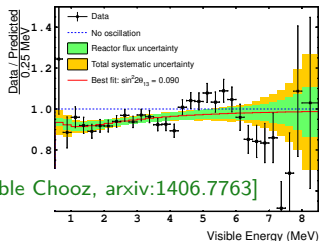
bump in the spectrum  
around 5 MeV!

cannot be explained  
by SBL oscillations

(averaged at the ob-  
served distances)

many attempts of  
possible explanations,  
how to clarify the issue?

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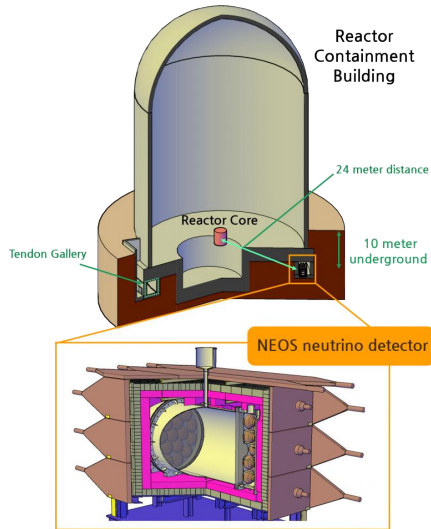
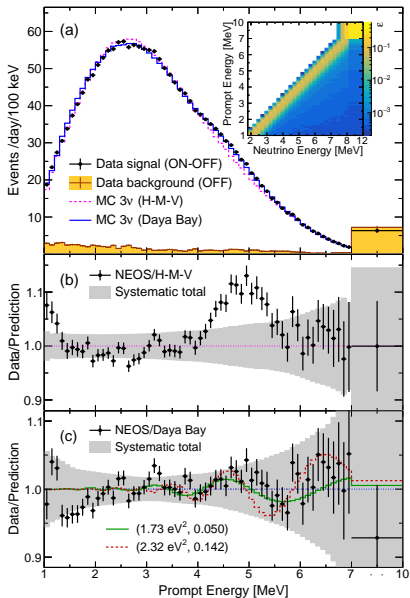
many attempts of  
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how to clarify the issue?

Model independent information!

(i.e. take ratio of spectra  
at different distances)

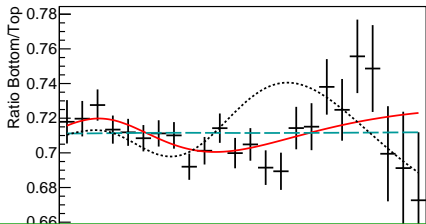


## Single detector experiment

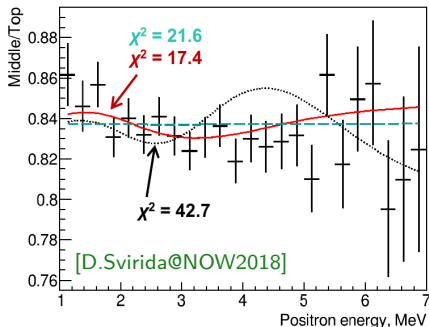


Ratio to DayaBay measurement to be model independent

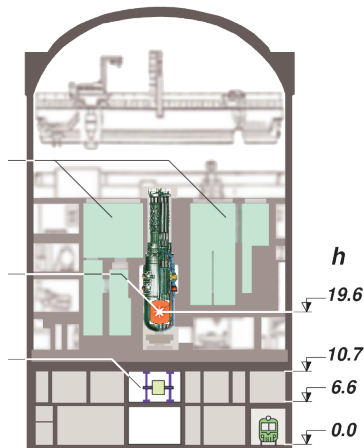
Single movable detector



~ 3 $\sigma$  preference for 3+1 oscillations

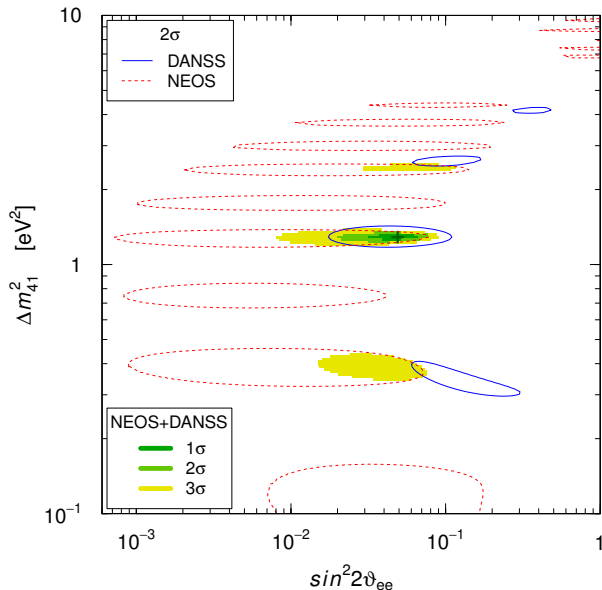


[D.Svirida@NOW2018]



Detector can be at ~ 10.5, ~ 11.5  
or ~ 12.5 m from reactor core

NEOS + DANSS



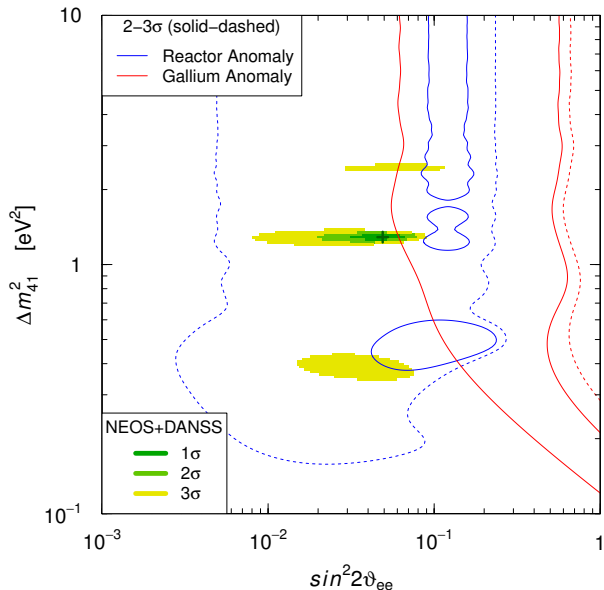
The **NEOS** and **DANSS** region perfectly overlap at

$$\Delta m_{41}^2 \simeq 1.3 \text{ eV}^2$$

$$\sin^2 2\vartheta_{ee} \simeq 0.05$$

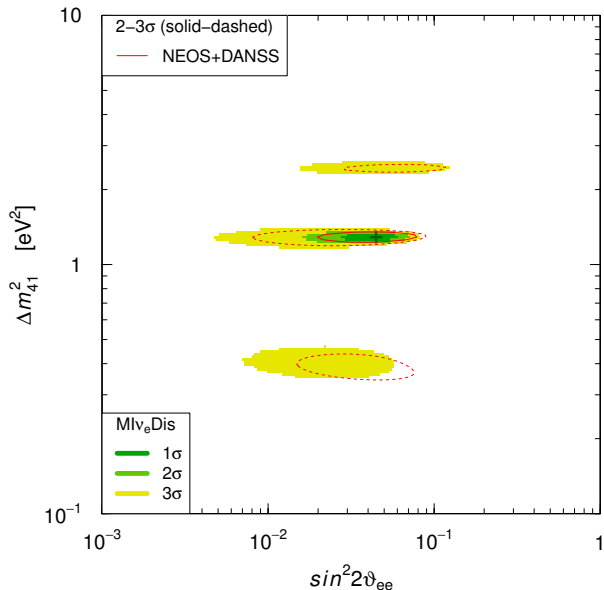
$$\sin^2 \vartheta_{14} \simeq 0.01$$

DANSS + NEOS + RAA + Gallium



DANSS + NEOS  
do not agree with  
Gallium and RAA

All data:

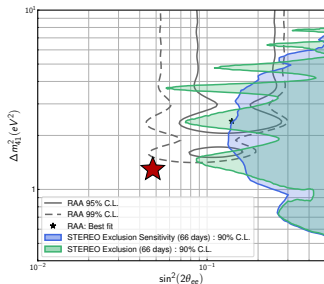


Fit dominated by  
 DANSS + NEOS

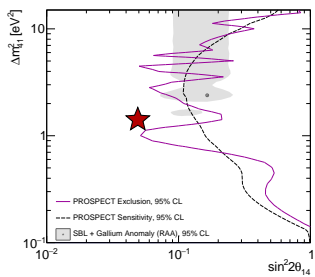
# More to come...

★ = current DANSS+NEOS best fit  
 [SG et al., PLB 782 (2018) 13]

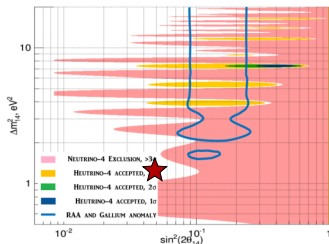
[STEREO, arxiv:1806.02096]



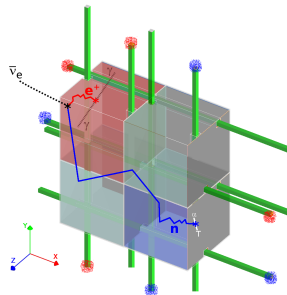
[PROSPECT, arxiv:1806.02784]



[Neutrino-4, arxiv:1809.10561]



[SoLiD, arxiv:1806.02461]



1 *Neutrino Oscillations - Some theory*

2 *Electron (anti)neutrino disappearance*

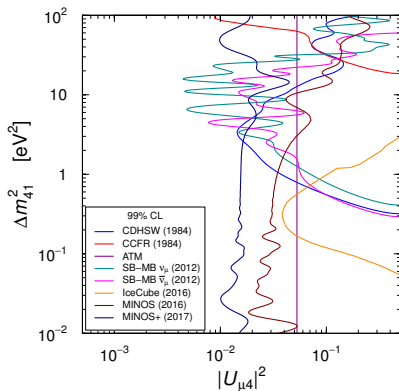
3 *Muon (anti)neutrino disappearance*

4 *Electron (anti)neutrino appearance*

5 *Global fit*

6 *Sterile neutrinos and  $CE\nu NS$*

7 *Conclusions*



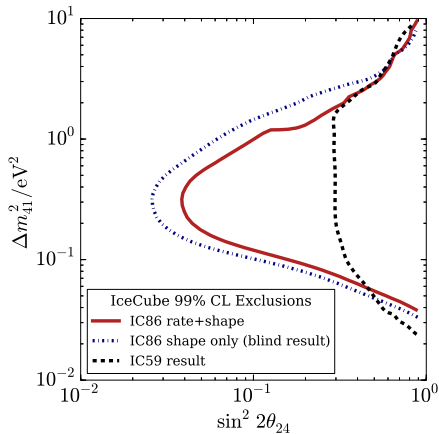
# IceCube and DeepCore

IceCube

$\mathcal{O}(10 \text{ km}) \lesssim L \lesssim \mathcal{O}(10^4 \text{ km})$

$\sim 2 \times 10^4$  High energy  $\mu$  events

$320 \text{ GeV} < E < 20 \text{ TeV}$



[PRL 117 (2016) 071801]



# IceCube and DeepCore

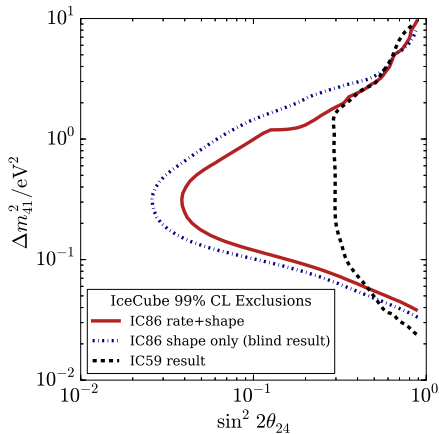
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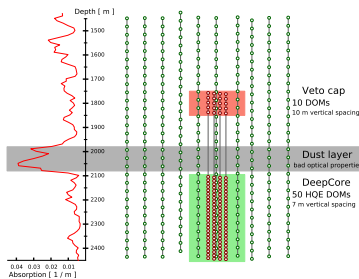
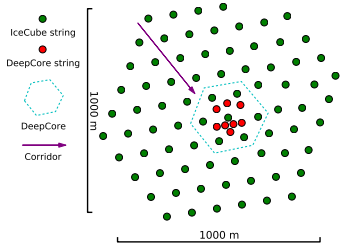
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[PRL 117 (2016) 071801]



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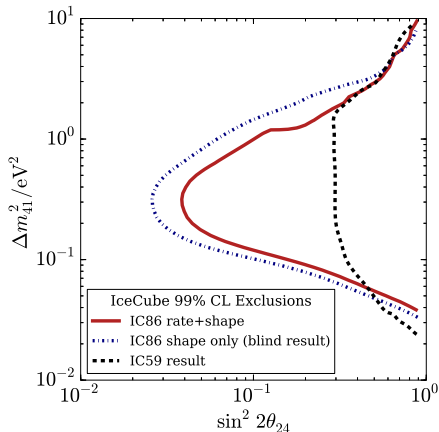
**DeepCore**

$\sim 2 \times 10^4$  High energy  $\mu$  events

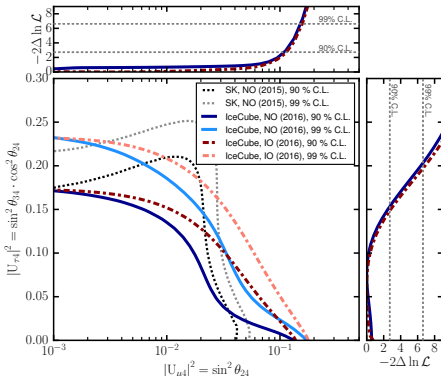
$320 \text{ GeV} < E < 20 \text{ TeV}$

$\sim 5 \times 10^3$  tracklike events

$6 \text{ GeV} \lesssim E \lesssim 60 \text{ GeV}$



[PRL 117 (2016) 071801]



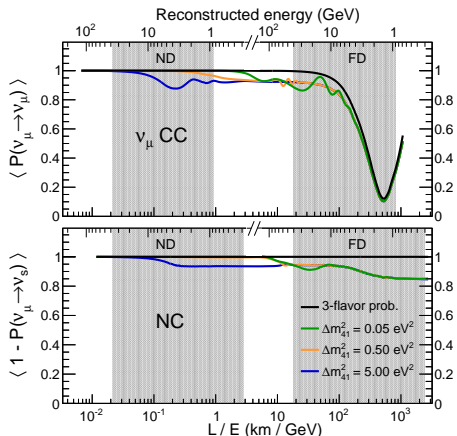
[PRD 95 (2017) 112002]

Both also constrain  $|U_{\tau 4}|^2$

# MINOS & MINOS+

Near (ND,  $\simeq 500$  m) and  
far (FD,  $\simeq 800$  km) detector

$1 \text{ GeV} \lesssim E \lesssim 40 \text{ GeV}$ ,  
peak at 3 GeV



[PRL 117 (2016) 151803]:

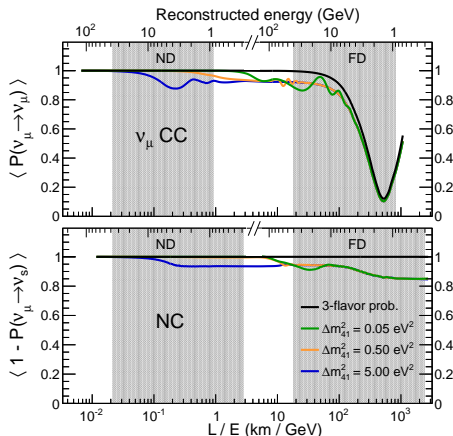
far-to-near ratio

[arxiv:1710.06488]: full two-detectors fit

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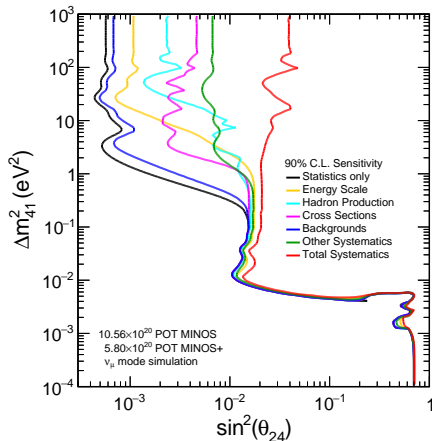


[PRL 117 (2016) 151803]:

far-to-near ratio

[arxiv:1710.06488]: full two-detectors fit

Systematics:

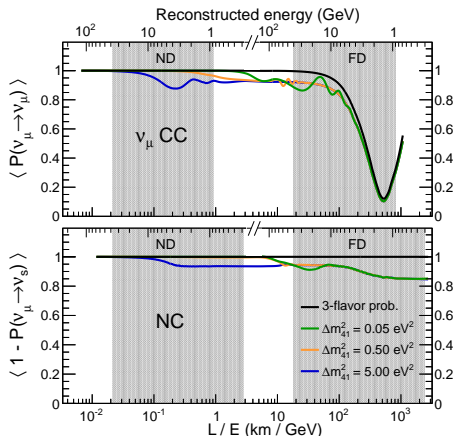


[arxiv:1710.06488]

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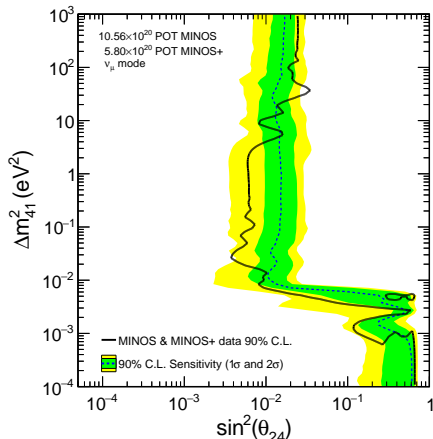


[PRL 117 (2016) 151803]:

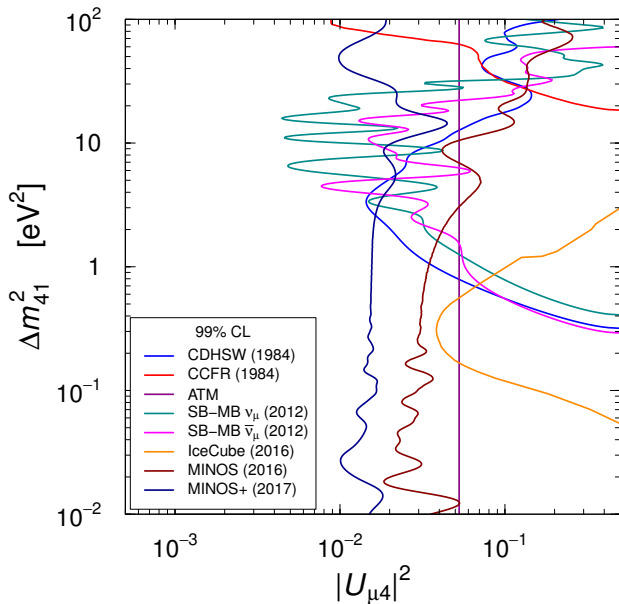
far-to-near ratio

[arxiv:1710.06488]: full two-detectors fit

Sensitivity and exclusion limit:



[arxiv:1710.06488]



MINOS(+)  
dominates  
at small  $\Delta m_{41}^2$

1 *Neutrino Oscillations - Some theory*

2 *Electron (anti)neutrino disappearance*

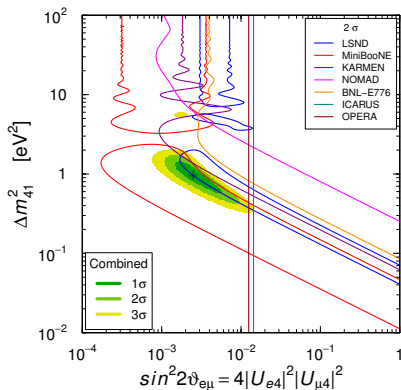
3 *Muon (anti)neutrino disappearance*

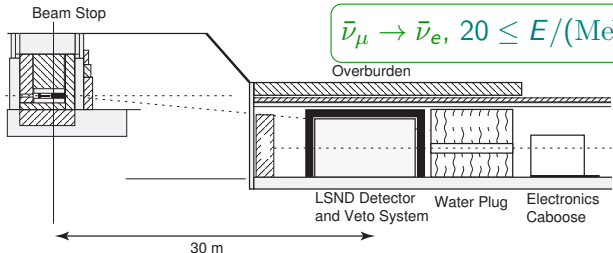
4 *Electron (anti)neutrino appearance*

5 *Global fit*

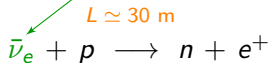
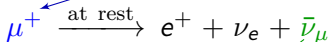
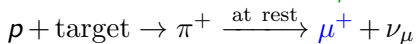
6 *Sterile neutrinos and  $CE\nu NS$*

7 *Conclusions*



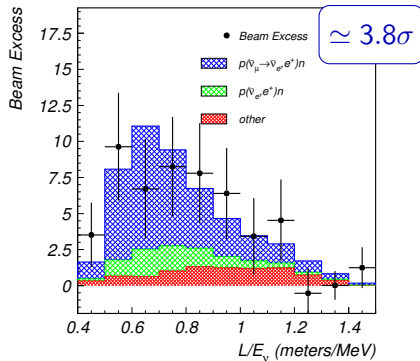


well known source of  $\bar{\nu}_\mu$ :



No signal seen in KARMEN ( $L \simeq 18 \text{ m}$ )

[PRD 65 (2002) 112001]

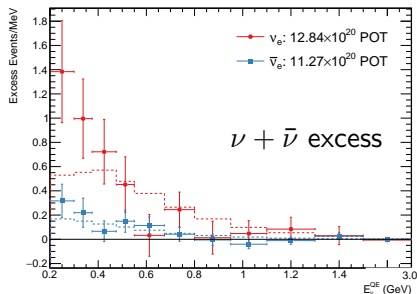
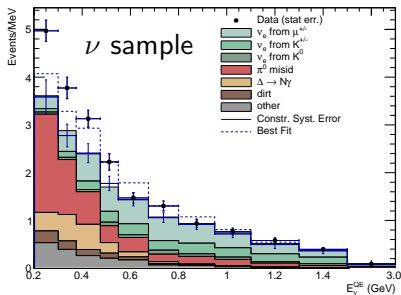
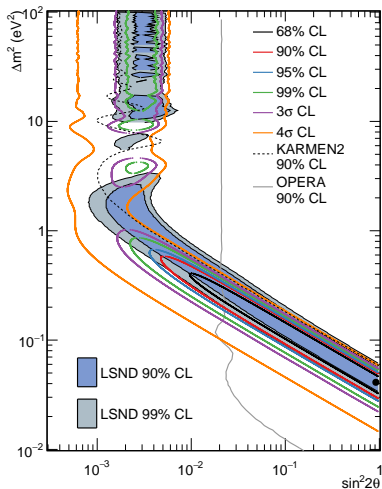




purpose: check LSND signal

$L \simeq 541$  m,  $200 \text{ MeV} \leq E \lesssim 3 \text{ GeV}$

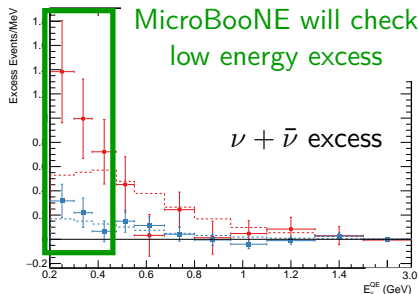
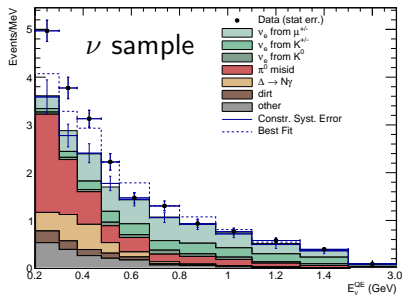
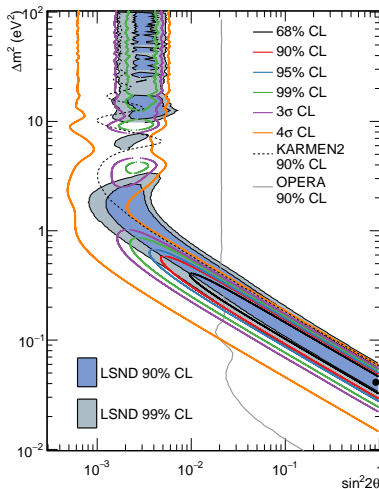
no money, no near detector

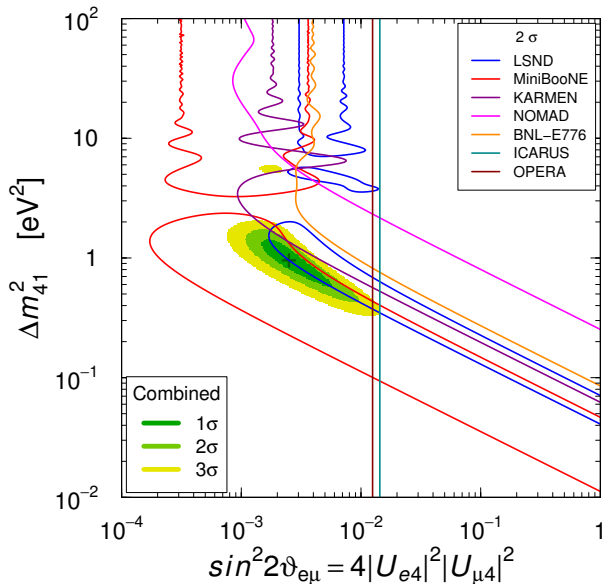


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ICARUS and OPERA  
exclude  
MiniBooNE best fit

LSND and MiniBooNE  
only partially  
in agreement

KARMEN cuts part  
of LSND region

1 *Neutrino Oscillations - Some theory*

2 *Electron (anti)neutrino disappearance*

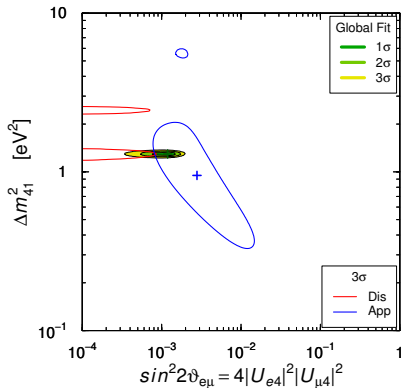
3 *Muon (anti)neutrino disappearance*

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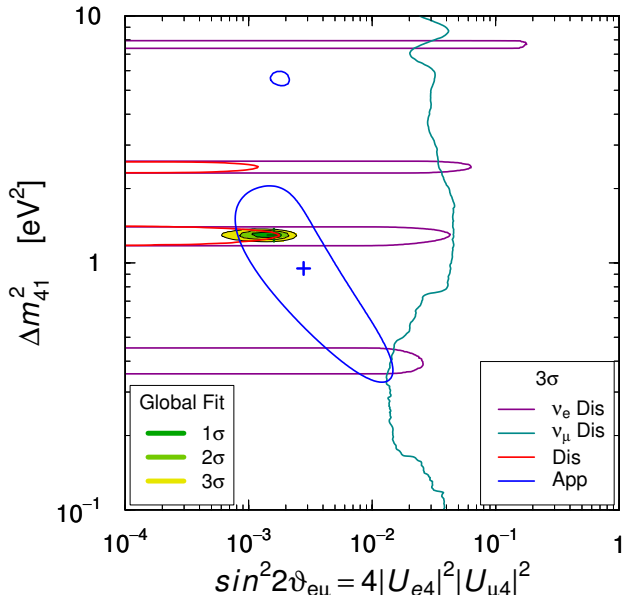
5 **Global fit**

6 *Sterile neutrinos and  $CE\nu NS$*

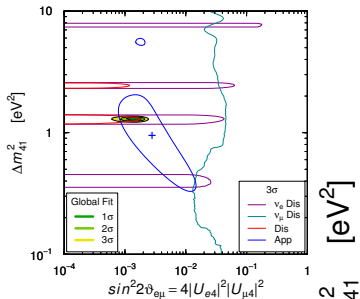
7 *Conclusions*



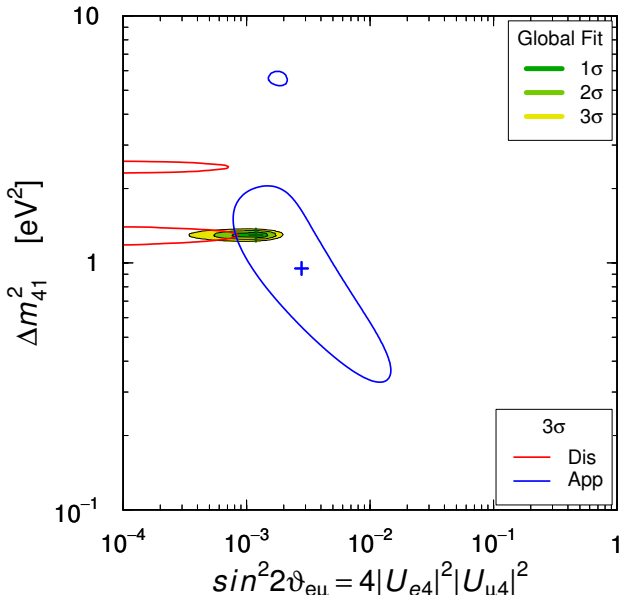
Without 2018 data:



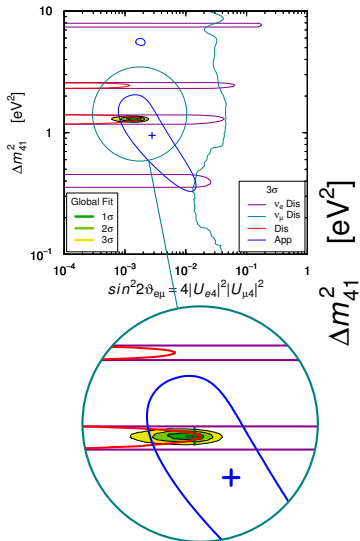
Without 2018 data:



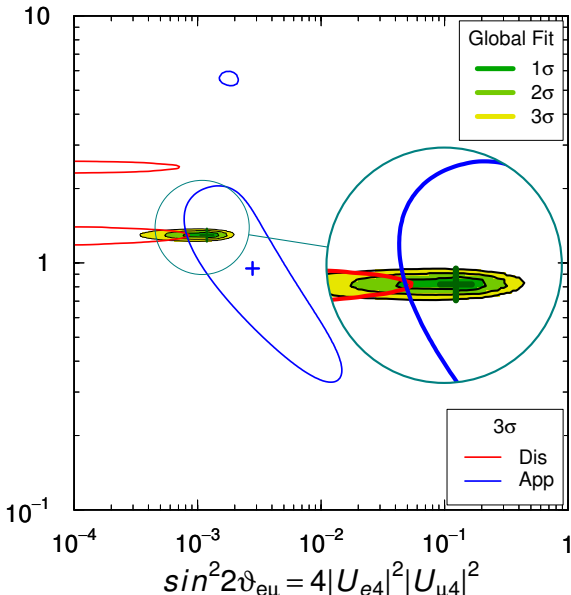
Status after Neutrino 2018:



Without 2018 data:



Status after Neutrino 2018:



# May something be wrong?

[Dentler+, JHEP 08 (2018) 010]  
(2013 data from MiniBooNE)

Analysis	$\chi_{\min, \text{global}}^2$	$\chi_{\min, \text{app}}^2$	$\Delta\chi_{\text{app}}^2$	$\chi_{\min, \text{disapp}}^2$	$\Delta\chi_{\text{disapp}}^2$	$\chi_{\text{PG}}^2/\text{dof}$	PG
Global	1120.9	79.1	11.9	1012.2	17.7	29.6/2	$3.71 \times 10^{-7}$
<b>Removing anomalous data sets</b>							
w/o LSND	1099.2	86.8	12.8	1012.2	0.1	12.9/2	$1.6 \times 10^{-3}$
w/o MiniBooNE	1012.2	40.7	8.3	947.2	16.1	24.4/2	$5.2 \times 10^{-6}$
w/o reactors	925.1	79.1	12.2	833.8	8.1	20.3/2	$3.8 \times 10^{-5}$
w/o gallium	1116.0	79.1	13.8	1003.1	20.1	33.9/2	$4.4 \times 10^{-8}$
<b>Removing constraints</b>							
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w/o MB disapp	1054.9	79.1	14.7	947.2	13.9	28.7/2	$6.0 \times 10^{-7}$
w/o CDHS	1104.8	79.1	11.9	997.5	16.3	28.2/2	$7.5 \times 10^{-7}$
<b>Removing classes of data</b>							
$\bar{\nu}_e$ dis vs app	628.6	79.1	0.8	542.9	5.8	6.6/2	$3.6 \times 10^{-2}$
$\bar{\nu}_\mu$ dis vs app	564.7	79.1	12.0	468.9	4.7	16.7/2	$2.3 \times 10^{-4}$
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No improvements if MiniBooNE is not considered

# May something be wrong?

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$\bar{\nu}_\mu$  DIS also constrain  $|U_{e4}|^2$ , while  $\bar{\nu}_e$  DIS do not constrain  $|U_{\mu4}|^2$

# May something be wrong?

[Dentler+, JHEP 08 (2018) 010]  
(2013 data from MiniBooNE)

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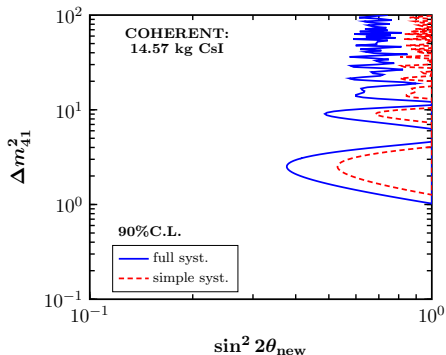
Only removing LSND or all  $\bar{\nu}_\mu^{(-)}$  constraints the fit is almost acceptable

No reason to do so!

- 1 *Neutrino Oscillations - Some theory*
- 2 *Electron (anti)neutrino disappearance*
- 3 *Muon (anti)neutrino disappearance*
- 4 *Electron (anti)neutrino appearance*
- 5 *Global fit*
- 6 ***Sterile neutrinos and CE $\nu$ NS***
- 7 *Conclusions*

# Present and future...

[Papoulias+, PRD 97 (2018) 033003]



Assumption: all DIS

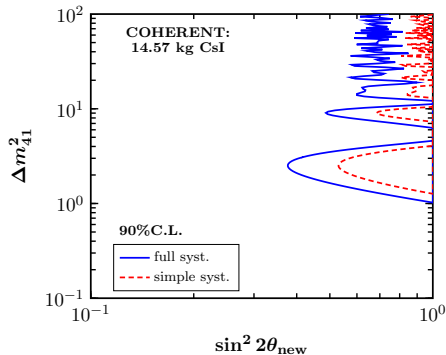
mixing angles are equal

$$\sin^2 2\vartheta_{ee} = \sin^2 2\vartheta_{\mu\mu} = \sin^2 2\theta_{\text{new}}$$

not competitive (yet)

# Present and future...

[Papoulias+, PRD 97 (2018) 033003]

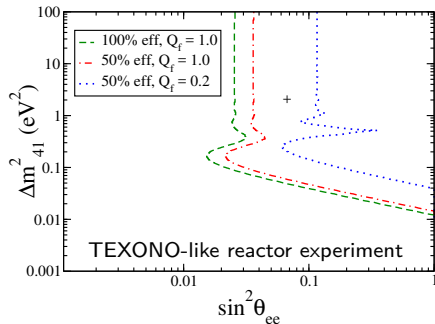
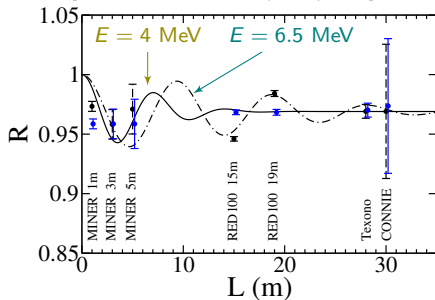


Assumption: all DIS  
mixing angles are equal

$$\sin^2 2\vartheta_{ee} = \sin^2 2\vartheta_{\mu\mu} = \sin^2 2\theta_{\text{new}}$$

not competitive (yet)

[Cañas+, PLB 776 (2018) 451]



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- 7 ***Conclusions***

# Conclusions

1 first model-independent hints from reactors  $\bar{\nu}_e$  DIS, some discrepancy with Gallium anomaly and RAA

2 nothing seen in  $\bar{\nu}_\mu$  DIS  
strong upper bounds on  $|U_{\mu 4}|^2$ ,  
but also first constraints on  $|U_{\tau 4}|^2$

3 strong APP-DIS tension  
What are LSND and MiniBooNE observing?  
Systematics or  $LS\nu$  or new physics?

4 incoming  $CE\nu NS$  experiments will enter the game!



# Conclusions

1 first model-independent hints from reactors  $\bar{\nu}_e$  DIS, some discrepancy with Gallium anomaly and RAA

2 nothing seen in  $\bar{\nu}_\mu$  DIS  
strong upper bounds on  $|U_{\mu 4}|^2$ ,  
but also first constraints on  $|U_{\tau 4}|^2$

3 strong APP-DIS tension  
What are LSND and MiniBooNE observing?  
Systematics or  $LS\nu$  or new physics?

4 incoming  $CE\nu NS$  experiments will enter the game!

Thank you for the attention!