



 "la Caixa" Foundation  
Junior Leader  
Fellowship  
LCF/BQ/PI23/11970034

# Stefano Gariazzo

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# Neutrino decoupling in standard and non-standard scenarios

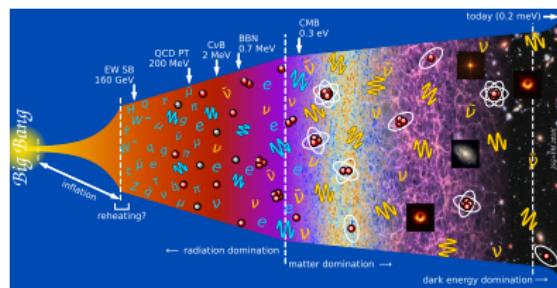
*Based on JCAP 04 (2021) 073, JCAP 07 (2019)  
014, JCAP 03 (2023) 046*

## 1 Cosmic Neutrino Background

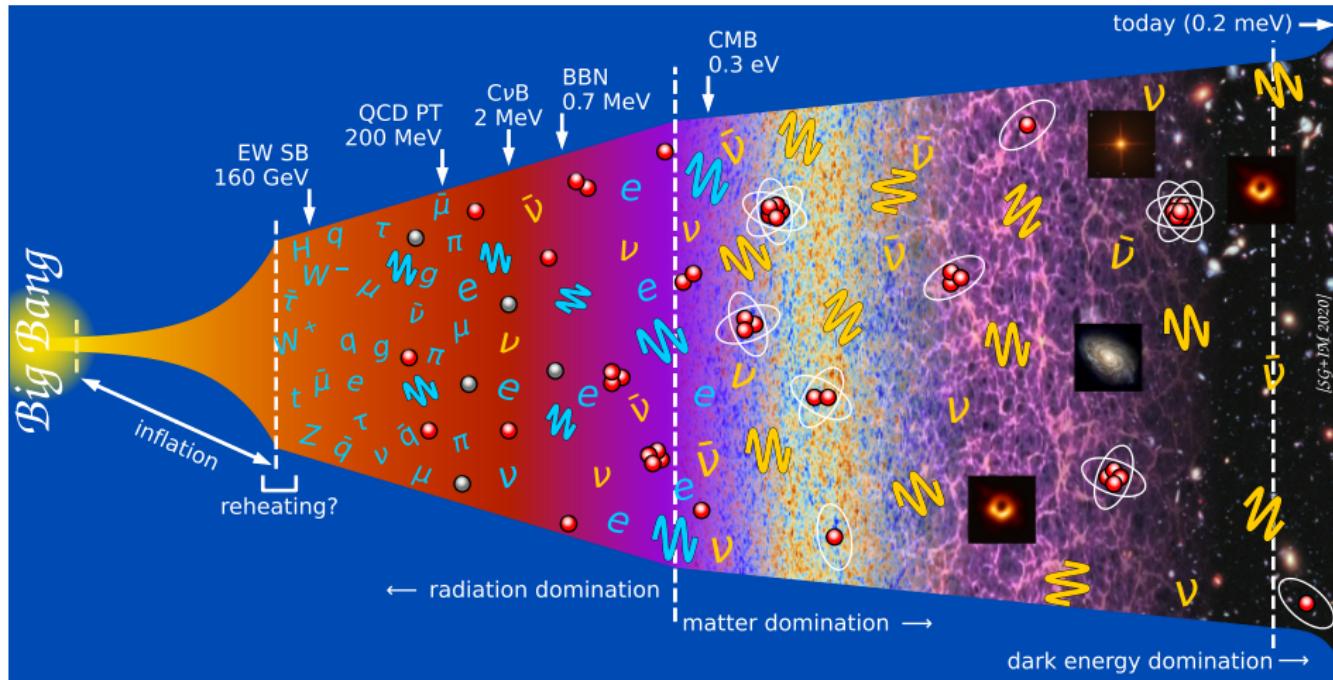
## 2 Standard three neutrino scenario

## 3 Non-standard: light sterile neutrino

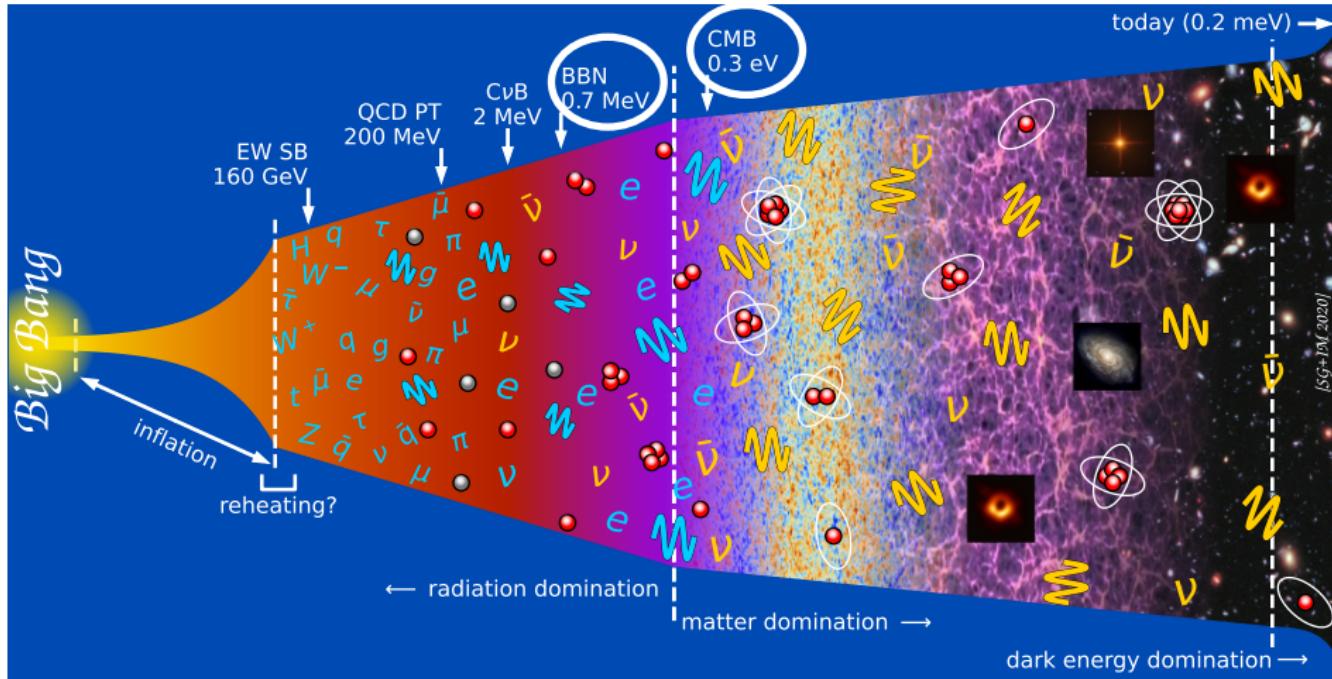
## 4 Conclusions



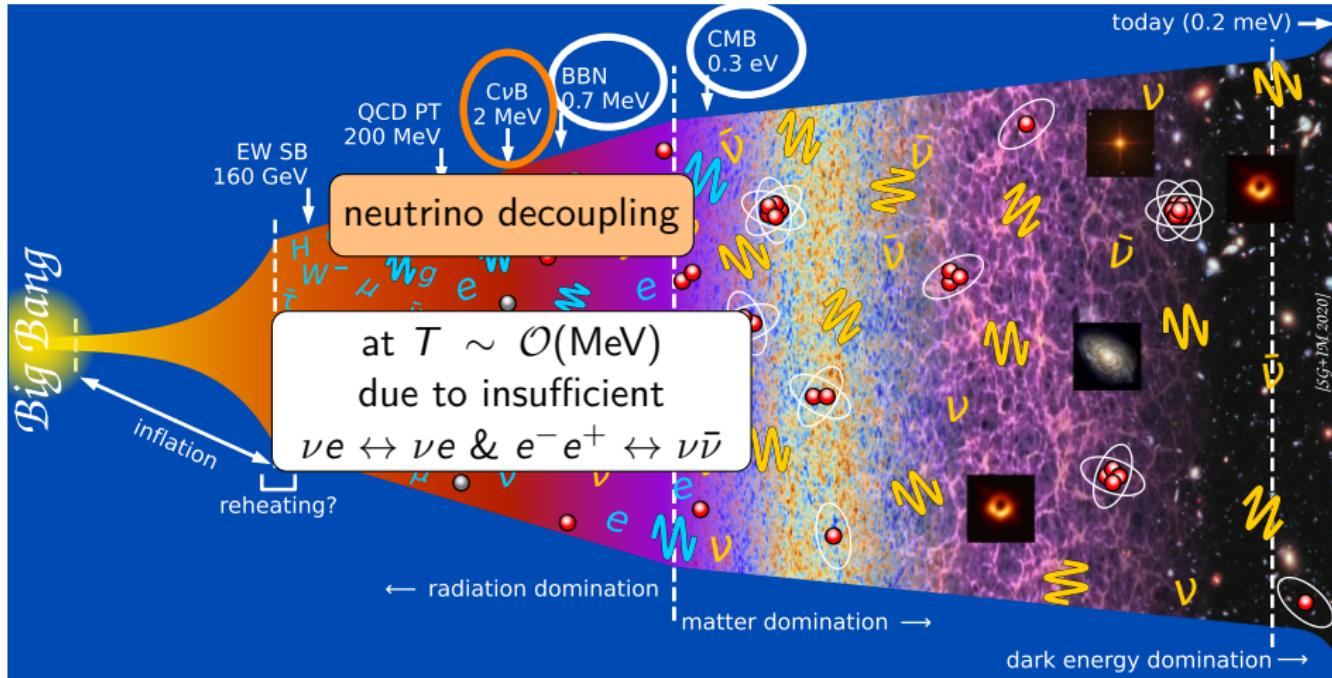
# History of the universe



# History of the universe



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# Relic neutrinos in cosmology: $N_{\text{eff}}$

radiation density:

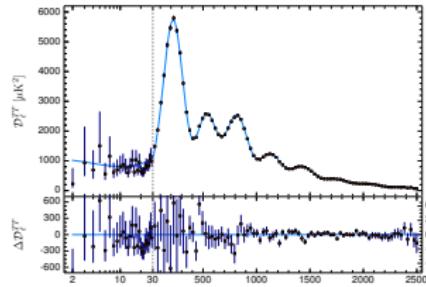
$$\rho_r = \left[ 1 + \frac{7}{8} \left( \frac{4}{11} \right)^{4/3} N_{\text{eff}} \right] \rho_\gamma$$

$\rho_\gamma$  photon energy density,  $7/8$  for fermions,  $(4/11)^{4/3}$  due to photon reheating after neutrino decoupling

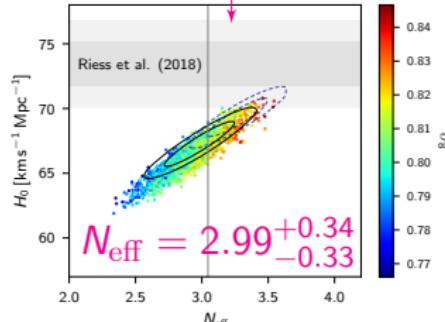
prediction:

measurement:

instantaneous decoupling:  
 $N_{\text{eff}} = 1$  for each  $\nu$  family



> 3 because of entropy transfer  
to photons when electrons  
become non-relativistic



recommended value ( $3\nu$ ):

$$N_{\text{eff}} = 3.04$$

[Bennett+, 2020] [Akita+, 2020]  
[Froustey+, 2020] [Cielo+, 2023]

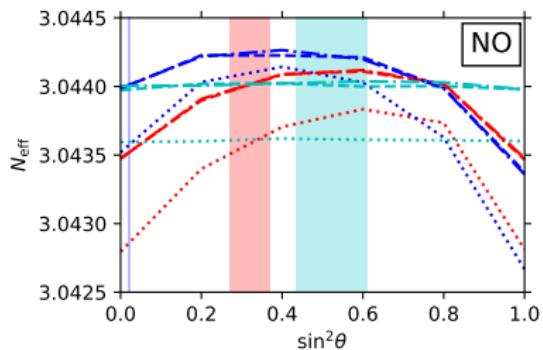
(95%, TT, TE, EE+lowE+lensing+BAO)  
[Planck 2018]

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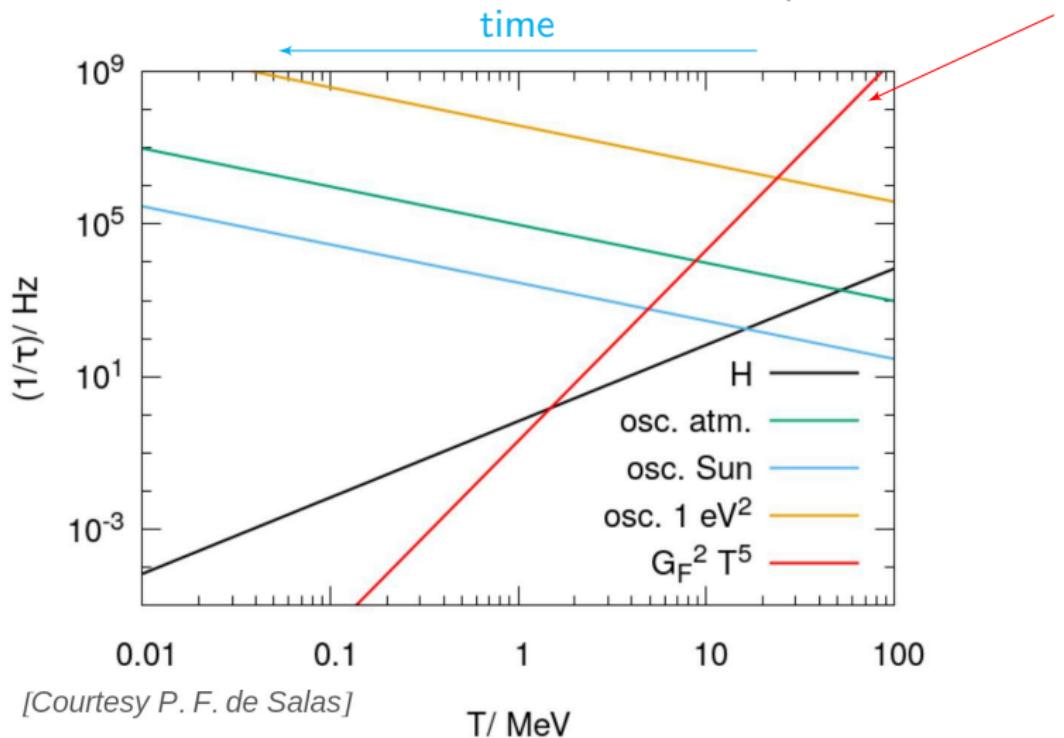
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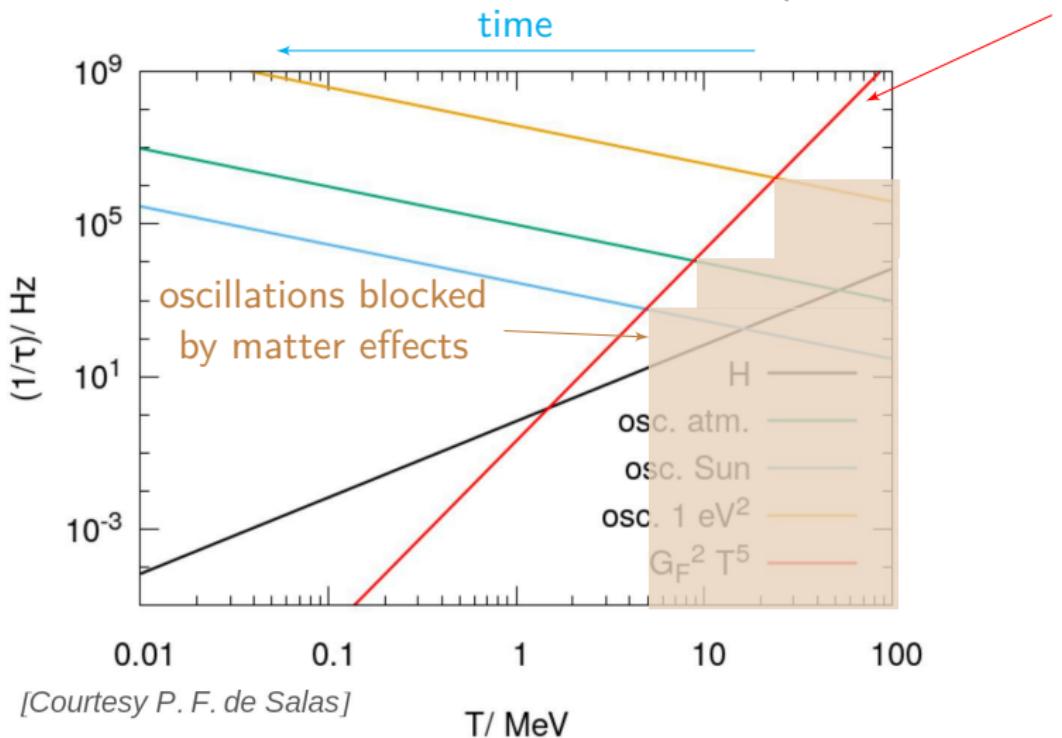
## Neutrinos in the early Universe

before BBN: neutrinos coupled to plasma ( $\nu_\alpha \bar{\nu}_\alpha \leftrightarrow e^+ e^-$ ,  $\nu e \leftrightarrow \nu e$ )



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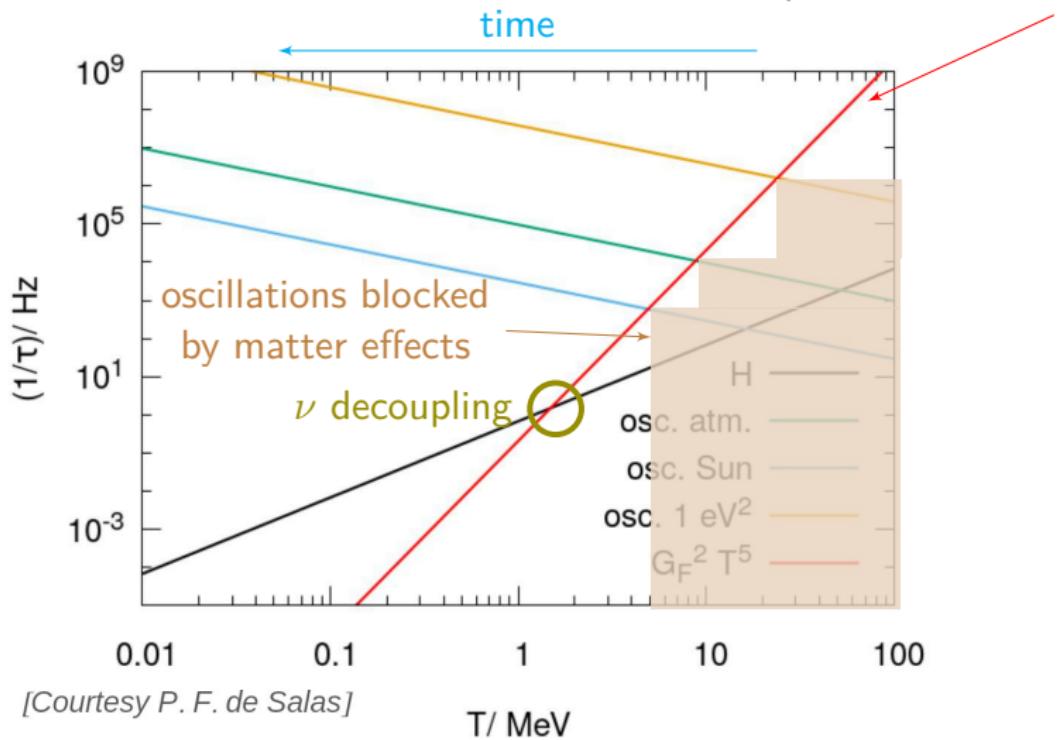


[Courtesy P. F. de Salas]

$T/\text{MeV}$

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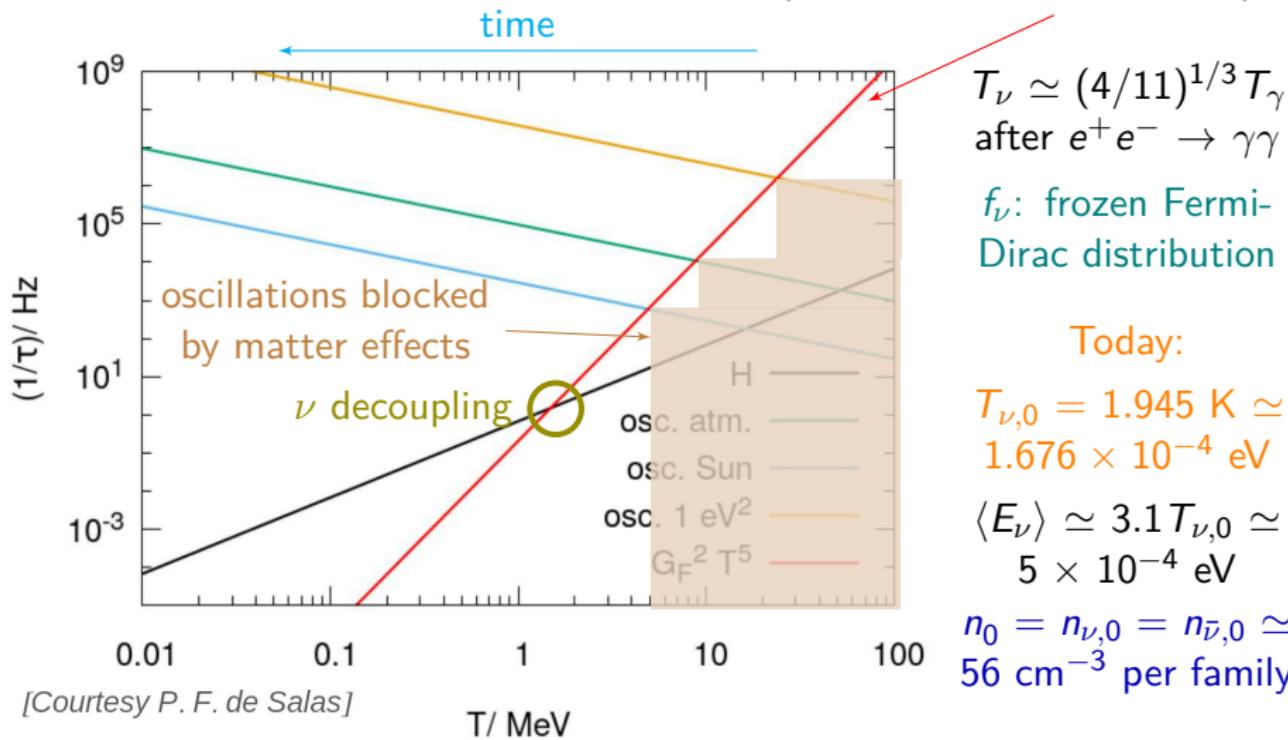
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$$T_\nu \simeq (4/11)^{1/3} T_\gamma$$

after  $e^+ e^- \rightarrow \gamma\gamma$

$f_\nu$ : frozen Fermi-Dirac distribution

Today:

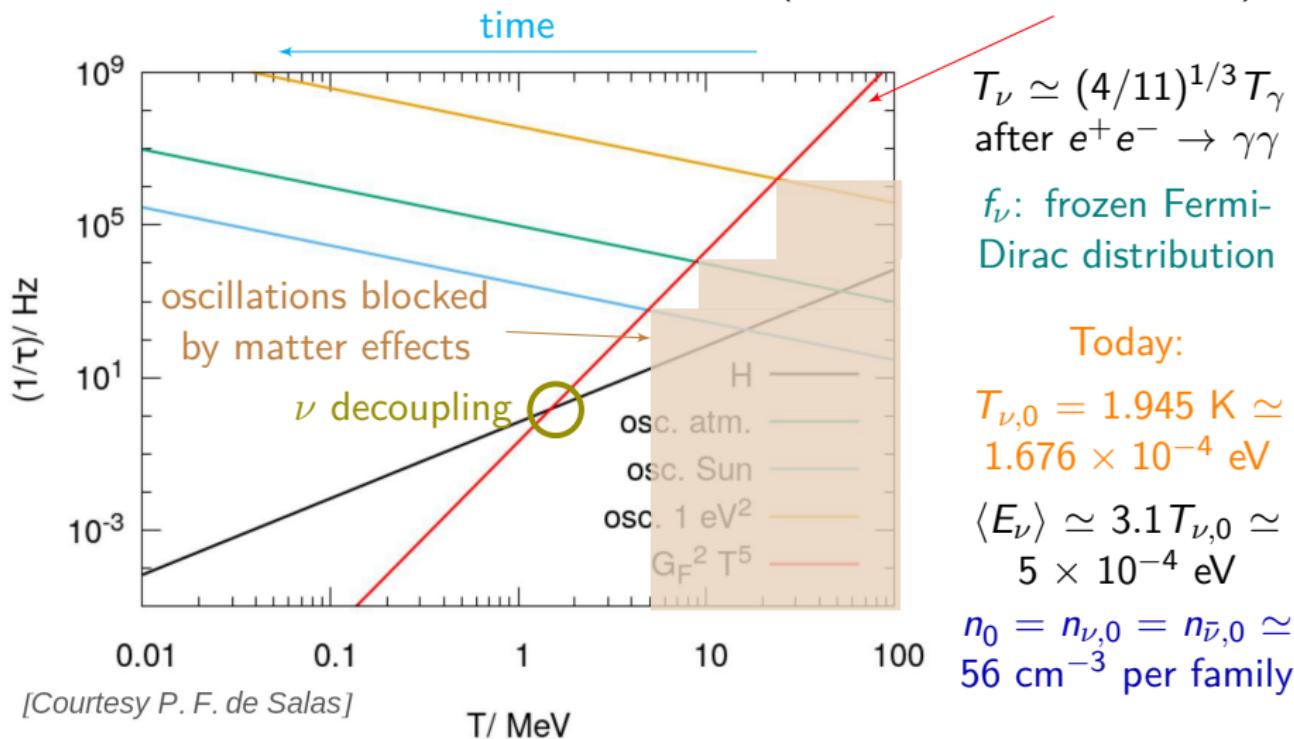
$$T_{\nu,0} = 1.945 \text{ K} \simeq 1.676 \times 10^{-4} \text{ eV}$$

$$\langle E_\nu \rangle \simeq 3.1 T_{\nu,0} \simeq 5 \times 10^{-4} \text{ eV}$$

$$n_0 = n_{\nu,0} = n_{\bar{\nu},0} \simeq 56 \text{ cm}^{-3} \text{ per family}$$

# Neutrinos in the early Universe

before BBN: neutrinos coupled to plasma ( $\nu_\alpha \bar{\nu}_\alpha \leftrightarrow e^+ e^-$ ,  $\nu e \leftrightarrow \nu e$ )



$\nu$  decouple mostly before  $e^+ e^- \rightarrow \gamma\gamma$  annihilation!  
actually, the decoupling  $T$  is momentum dependent!

distortions to equilibrium  $f_\nu$ !

# $\nu$ oscillations in the early universe

[Bennett, SG+, JCAP 2021]  
[Sigl, Raffelt, 1993]

comoving coordinates:  $a = 1/T$     $x \equiv m_e a$     $y \equiv p a$     $z \equiv T_\gamma a$     $w \equiv T_\nu a$

density matrix:  $\varrho(x, y) = \begin{pmatrix} \varrho_{ee} \equiv f_{\nu_e} & \varrho_{e\mu} & \varrho_{e\tau} \\ \varrho_{\mu e} & \varrho_{\mu\mu} \equiv f_{\nu_\mu} & \varrho_{\mu\tau} \\ \varrho_{\tau e} & \varrho_{\tau\mu} & \varrho_{\tau\tau} \equiv f_{\nu_\tau} \end{pmatrix}$

$\propto \langle a_j^\dagger(p, t) a_i(p, t) \rangle$

off-diagonals to take into account coherency in the neutrino system

$$\varrho \text{ evolution from } xH \frac{d\varrho(y, x)}{dx} = -ia[\mathcal{H}_{\text{eff}}, \varrho] + b\mathcal{I}$$

$H$  Hubble factor  $\rightarrow$  expansion (depends on universe content)

effective Hamiltonian  $\mathcal{H}_{\text{eff}} = \frac{\mathbb{M}_F}{2y} - \frac{2\sqrt{2}G_F y m_e^6}{x^6} \left( \frac{\mathbb{E}_\ell + \mathbb{P}_\ell}{m_W^2} + \frac{4}{3} \frac{\mathbb{E}_\nu}{m_Z^2} \right)$

vacuum oscillations

matter effects

$\mathcal{I}$  collision integrals

take into account  $\nu$ -e scattering and pair annihilation,  $\nu$ - $\nu$  interactions

2D integrals over momentum, take most of the computation time

solve together with  $z$  evolution, from  $x \frac{d\rho(x)}{dx} = \rho - 3P$

$\rho, P$  total energy density and pressure, also take into account FTQED corrections

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## FORTran-Evolved Primordial Neutrino Oscillations (FortEPiano)

[https://bitbucket.org/ahep\\_cosmo/fortepiano\\_public](https://bitbucket.org/ahep_cosmo/fortepiano_public)

vacuum oscillations

matter effects

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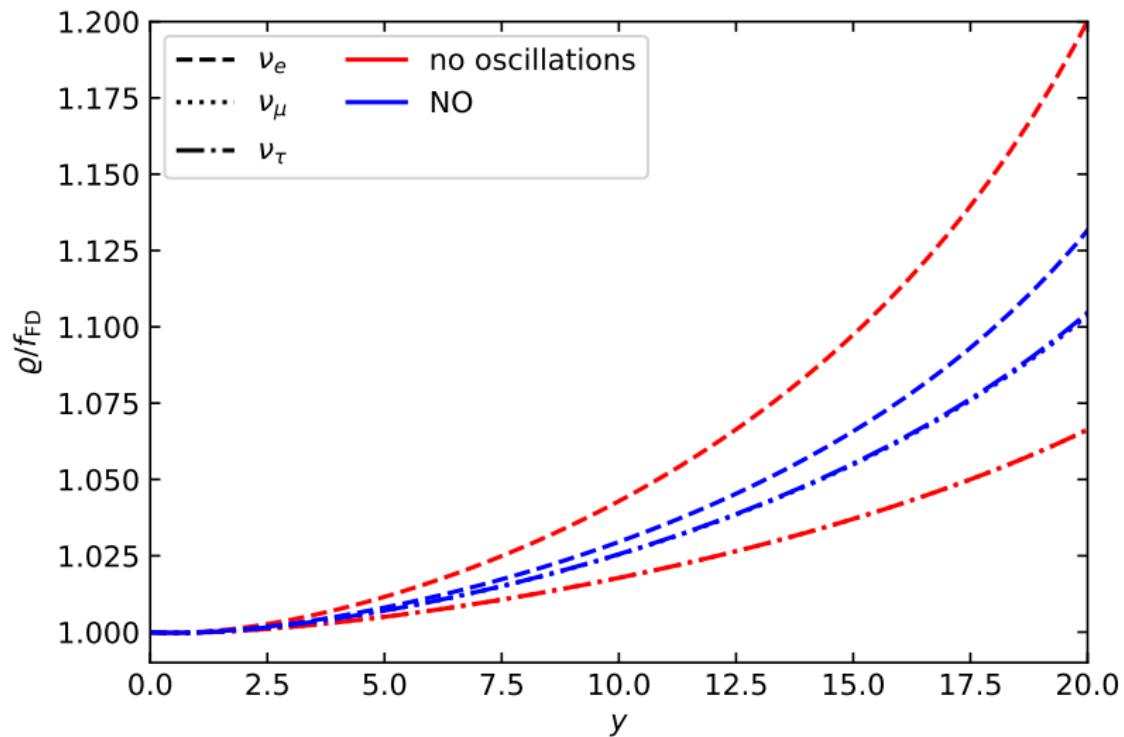
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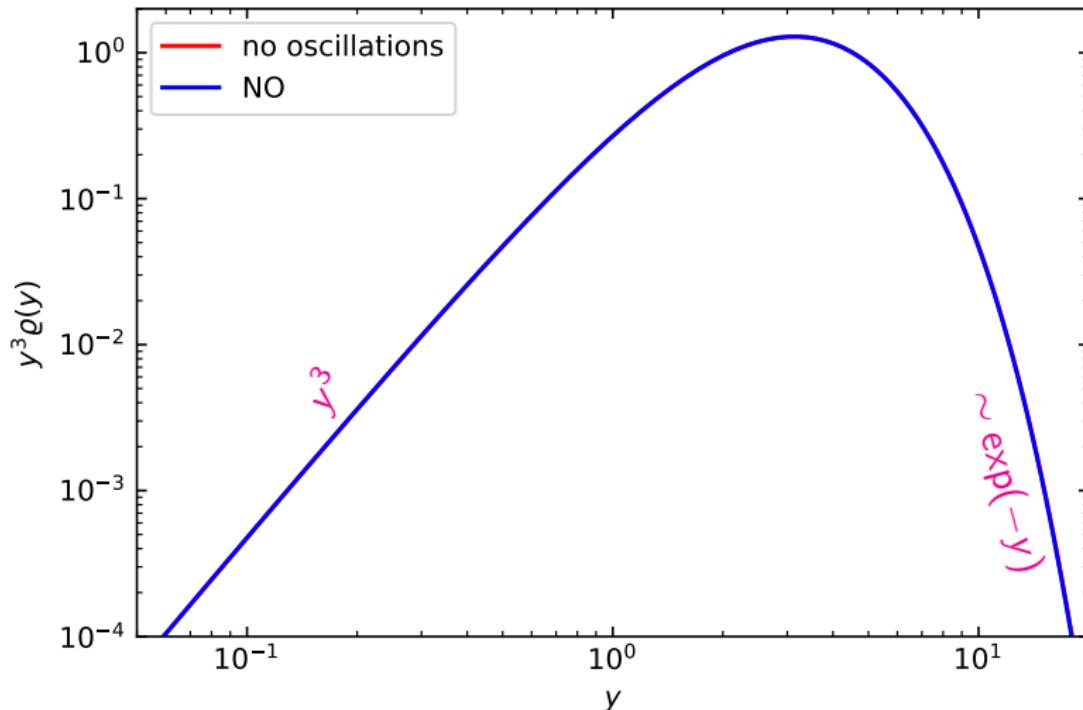
Distortion of the momentum distribution ( $f_{\text{FD}}$ : Fermi-Dirac at equilibrium)



## Neutrino momentum distribution and $N_{\text{eff}}$

[Bennett, SG+, JCAP 2021]

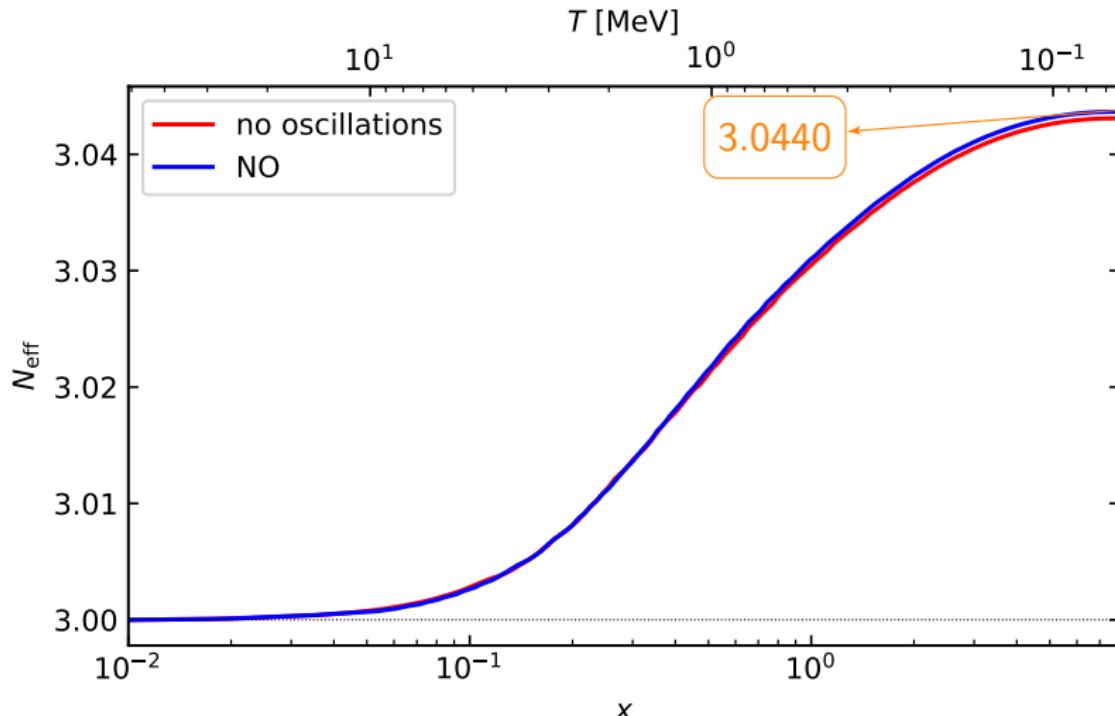
$$N_{\text{eff}}^{\text{final}} = \frac{8}{7} \left( \frac{11}{4} \right)^{4/3} \frac{\rho_\nu}{\rho_\gamma} = \frac{8}{7} \left( \frac{11}{4} \right)^{4/3} \frac{1}{\rho_\gamma} \sum_i g_i \int \frac{d^3 p}{(2\pi)^3} E(p) f_{\nu,i}(p)$$
$$(11/4)^{1/3} = (T_\gamma / T_\nu)^{\text{fin}}$$
$$\hookrightarrow \propto y^3 \varrho_{ii}(y)$$



## Neutrino momentum distribution and $N_{\text{eff}}$

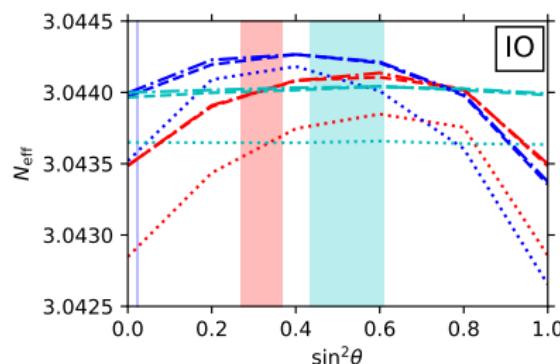
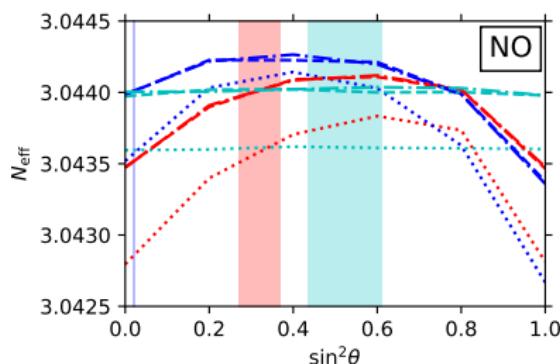
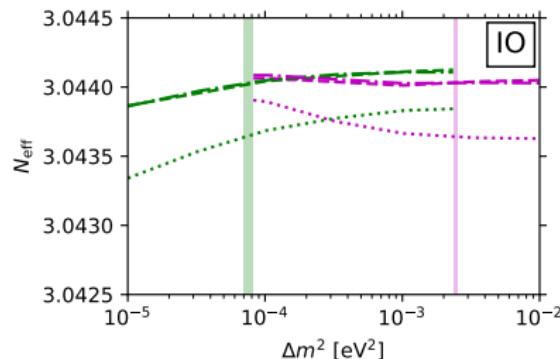
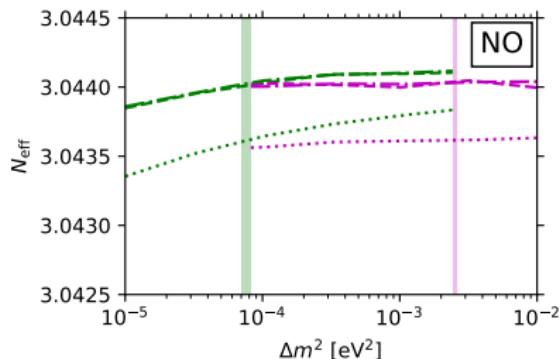
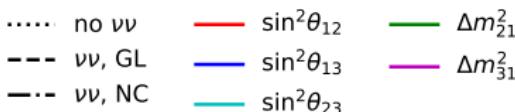
[Bennett, SG+, JCAP 2021]

$$N_{\text{eff}}^{\text{any time}} = \frac{8}{7} \left( \frac{T_\gamma}{T_\nu} \right)^4 \frac{\rho_\nu}{\rho_\gamma} = \frac{8}{7} \left( \frac{T_\gamma}{T_\nu} \right)^4 \frac{1}{\rho_\gamma} \sum_i g_i \int \frac{d^3 p}{(2\pi)^3} E(p) f_{\nu,i}(p)$$



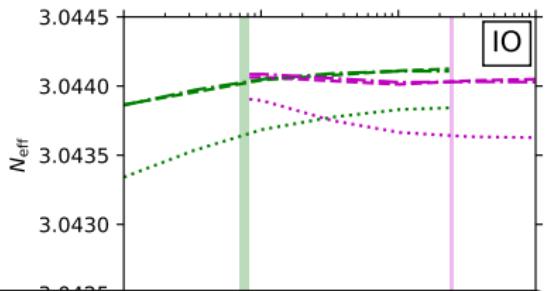
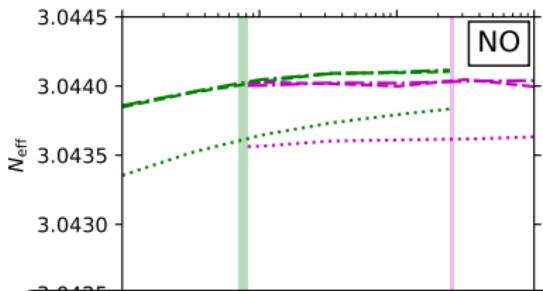
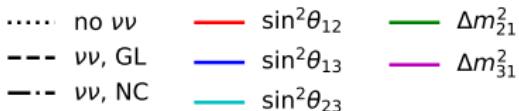
# Effect of neutrino oscillations

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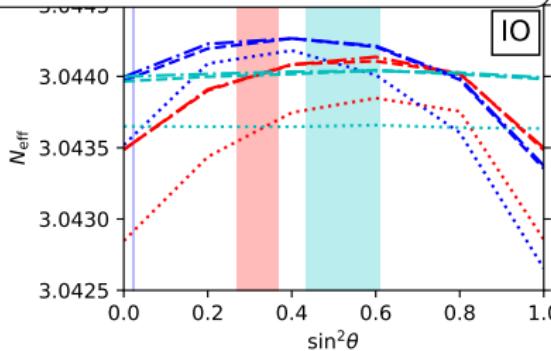
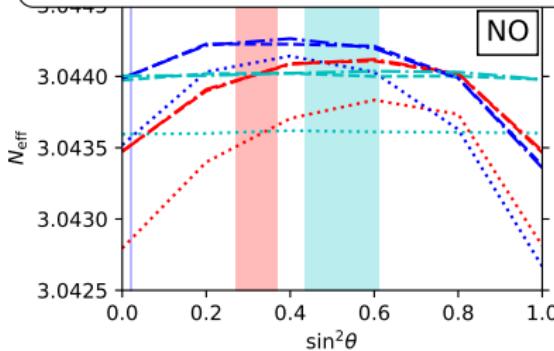


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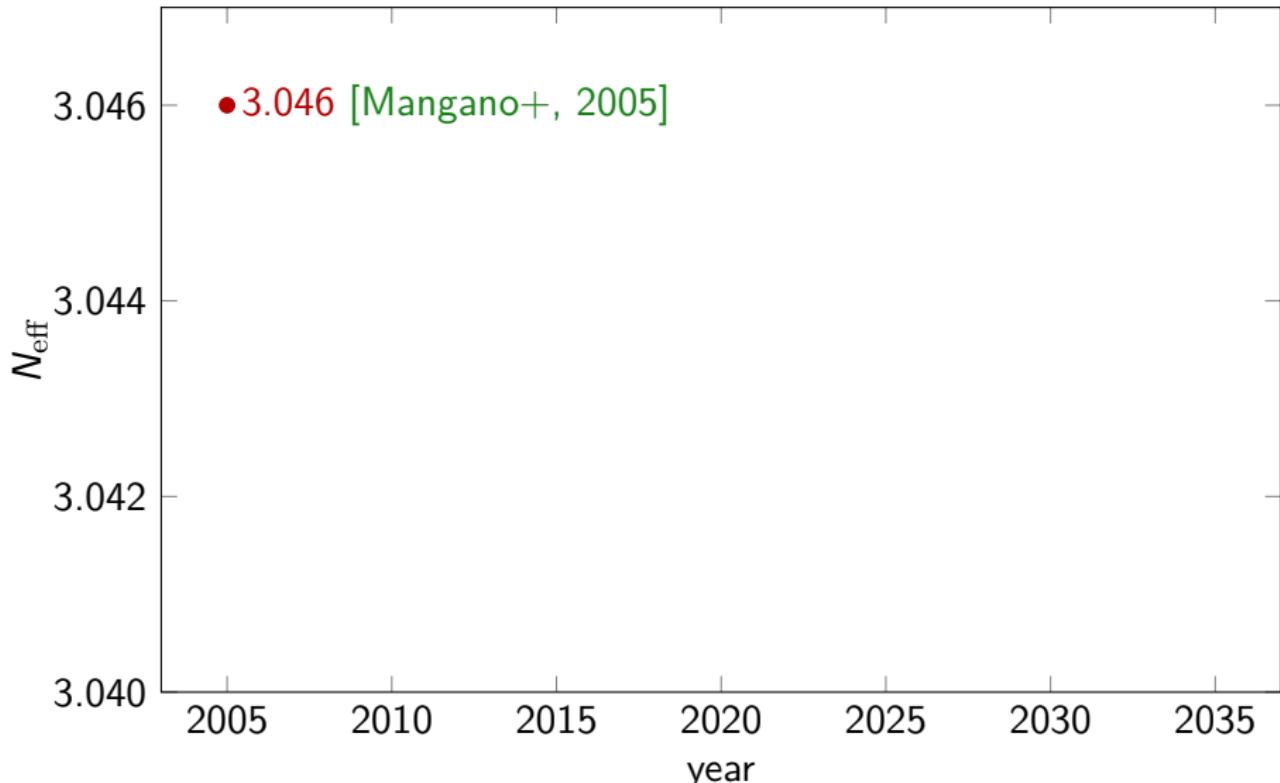


within  $3\sigma$  ranges allowed by global fits [deSalas, SG+, JHEP 2021]  
only  $\theta_{12}$  affects  $N_{\text{eff}}$ , at most by  $\delta N_{\text{eff}} \approx 10^{-4}$



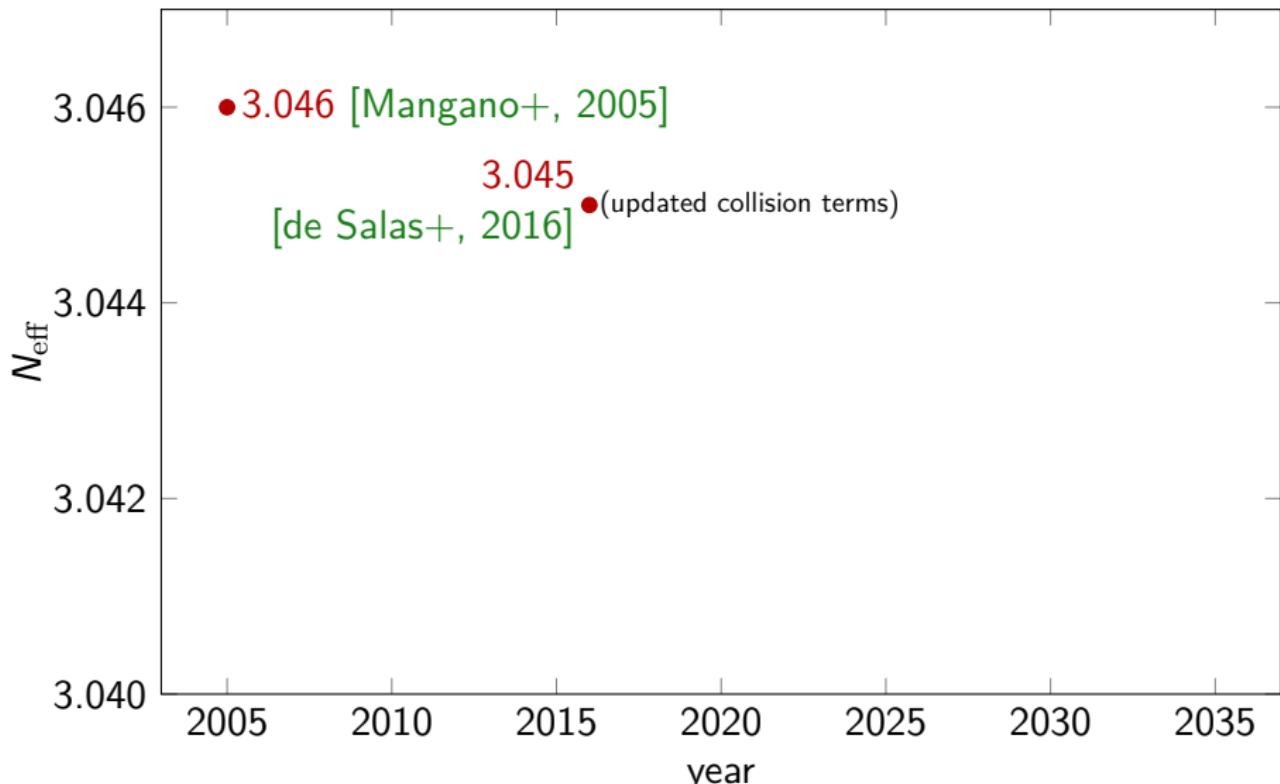
## How precise is $N_{\text{eff}} = 3.04\dots$ ?

Full  $3\nu$  mixing results:



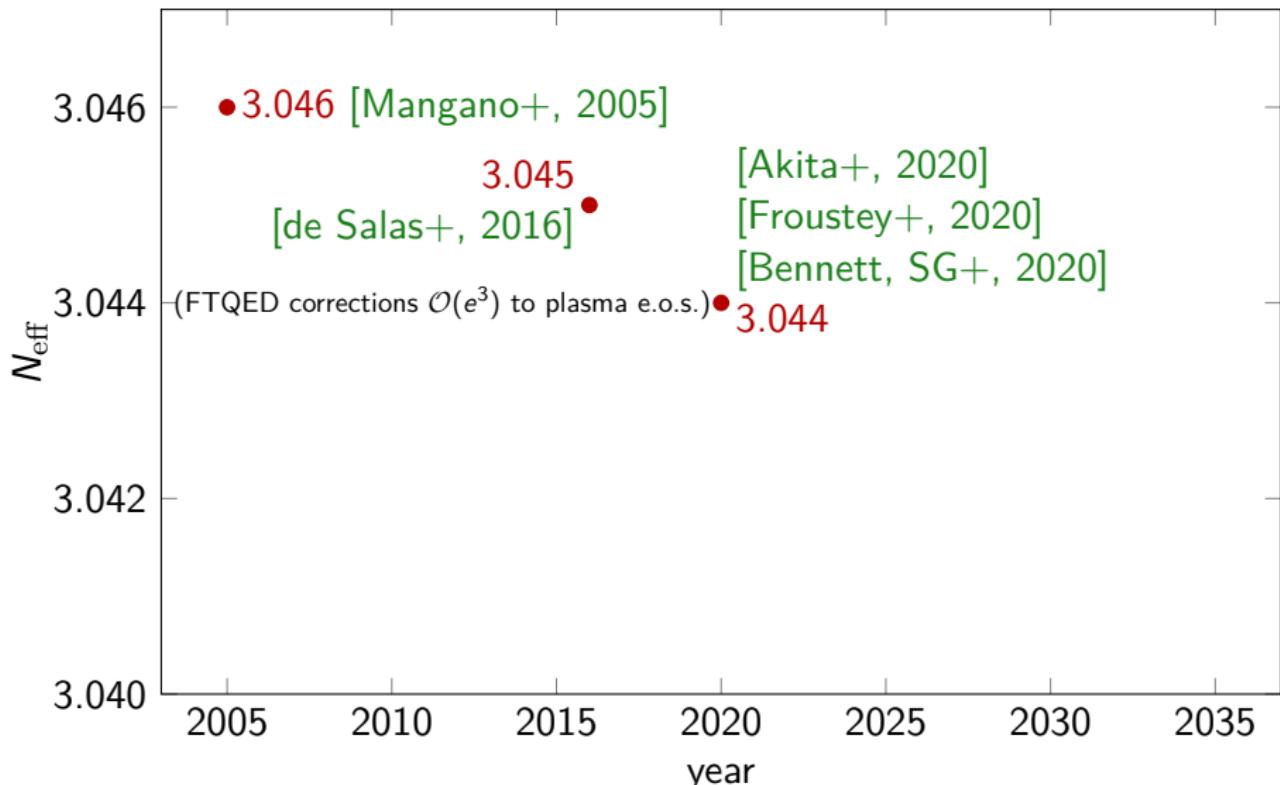
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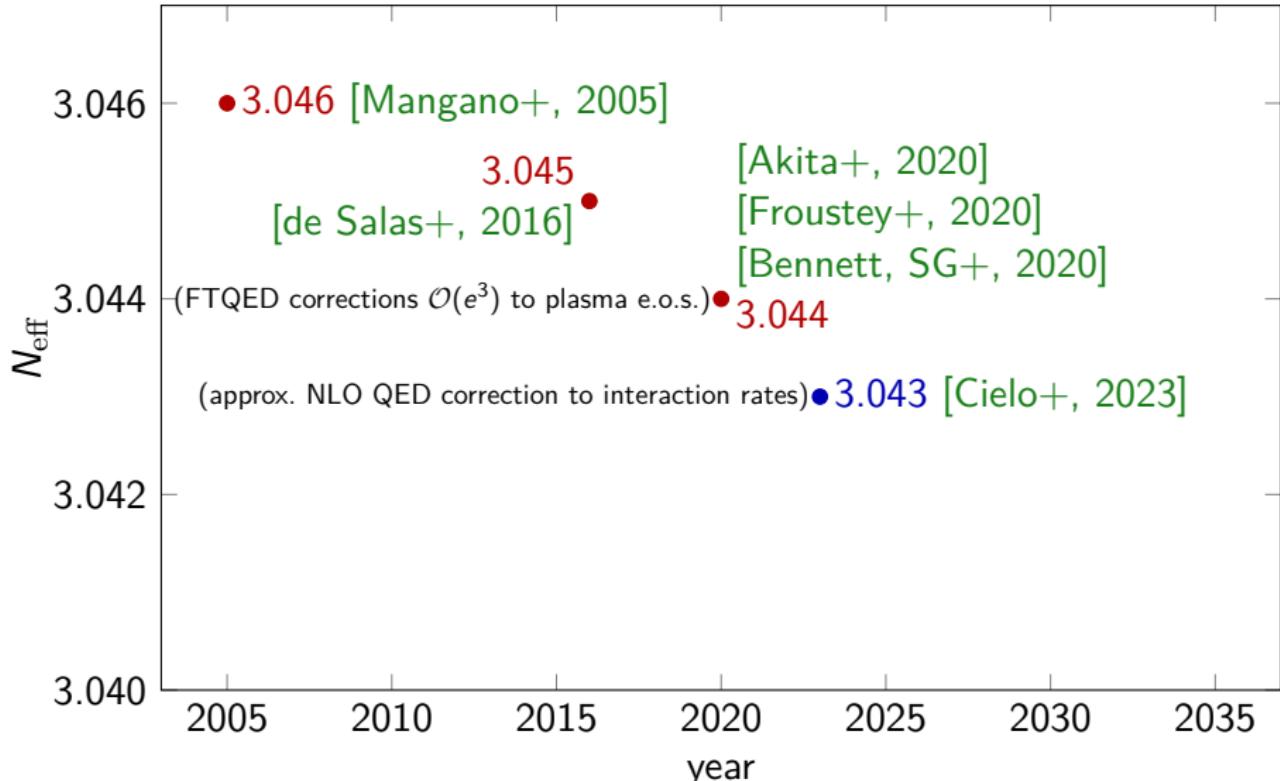
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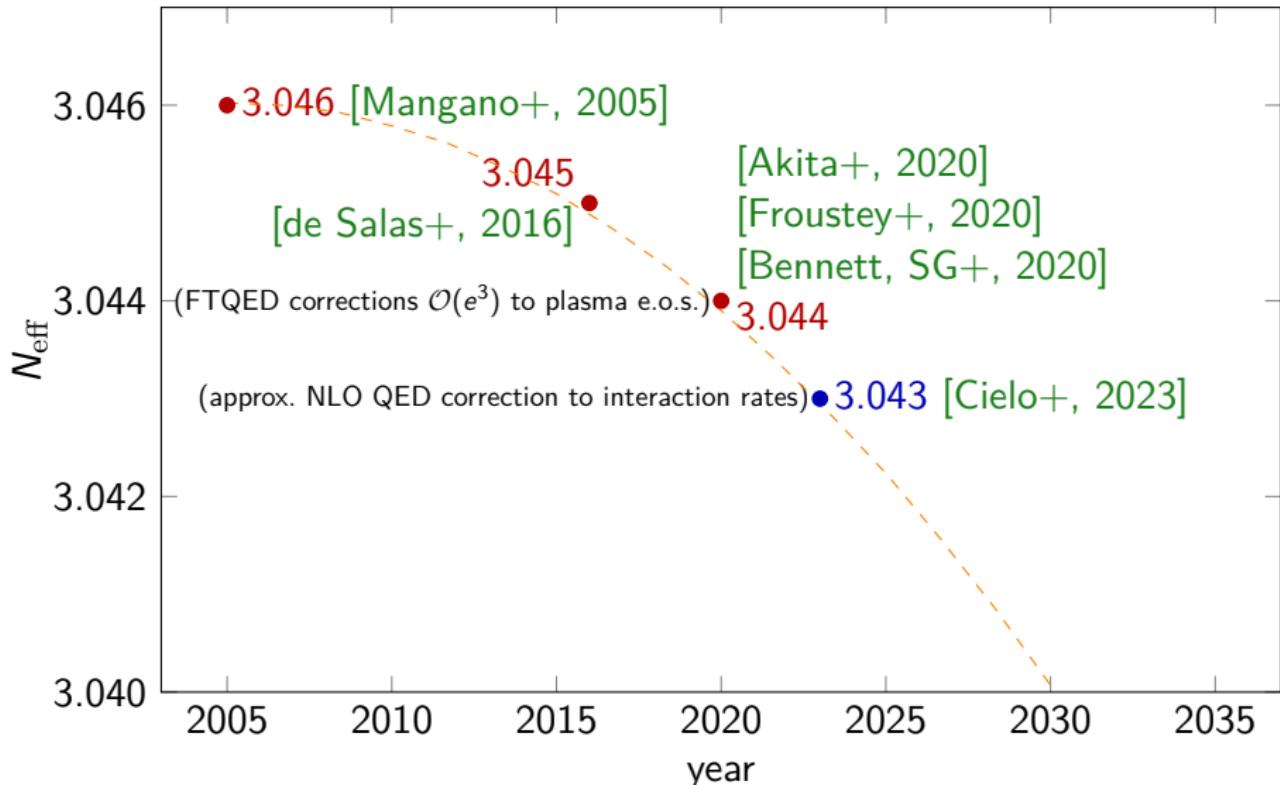
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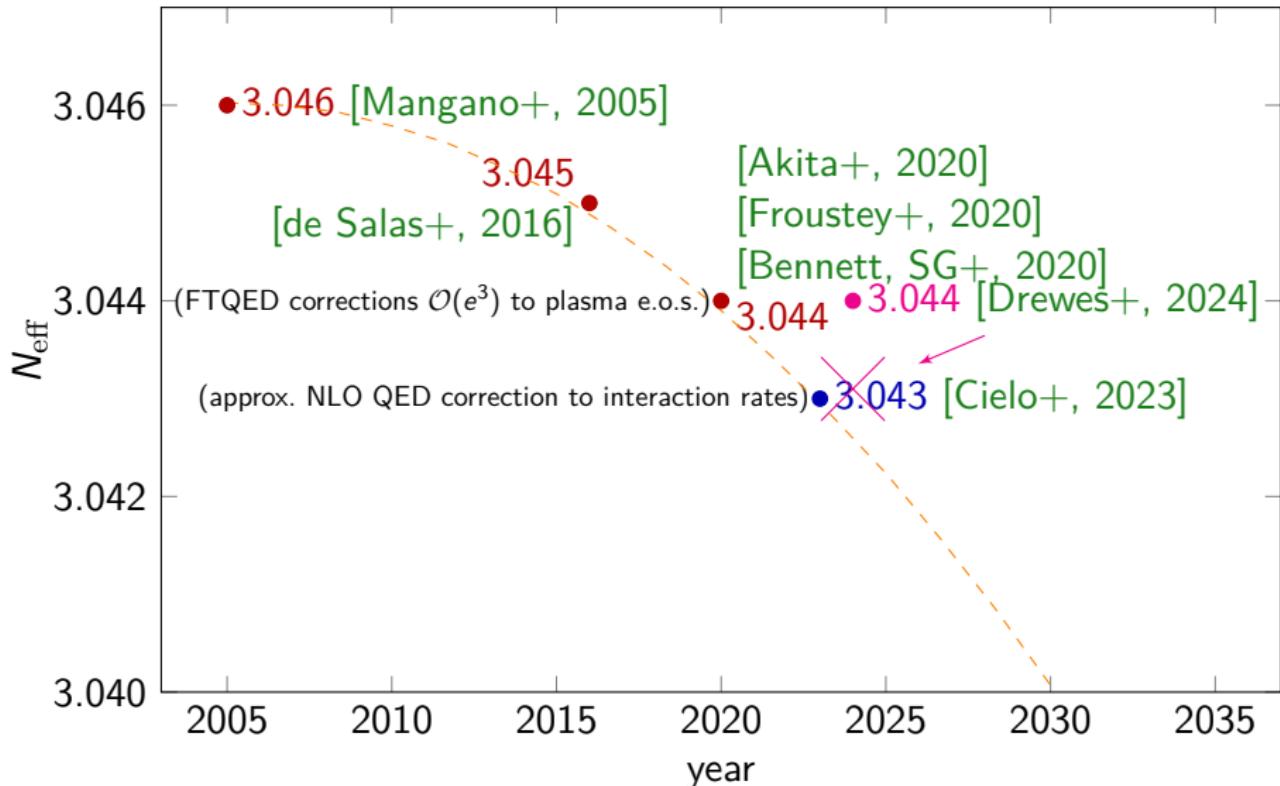
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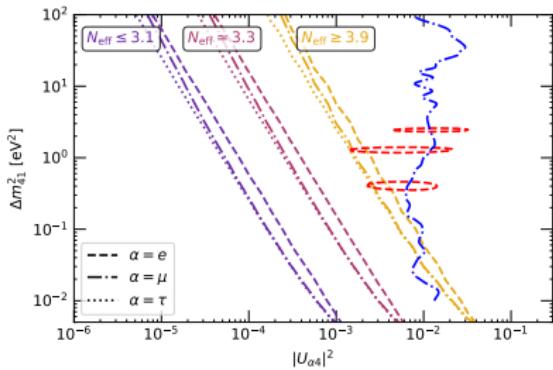


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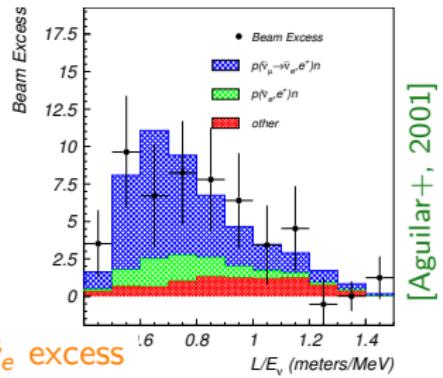
Do three-neutrino oscillations explain all experimental results?

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LSND

3.8 $\sigma$

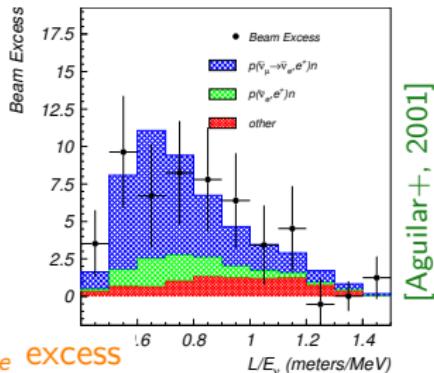
$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  excess



[Aguilar+, 2001]

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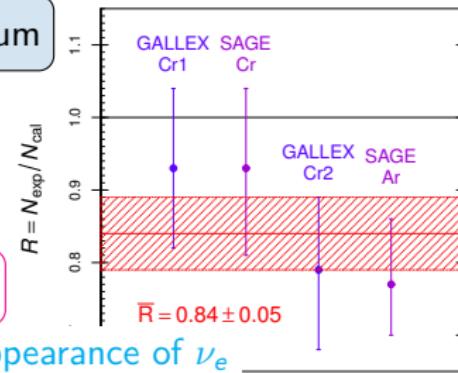
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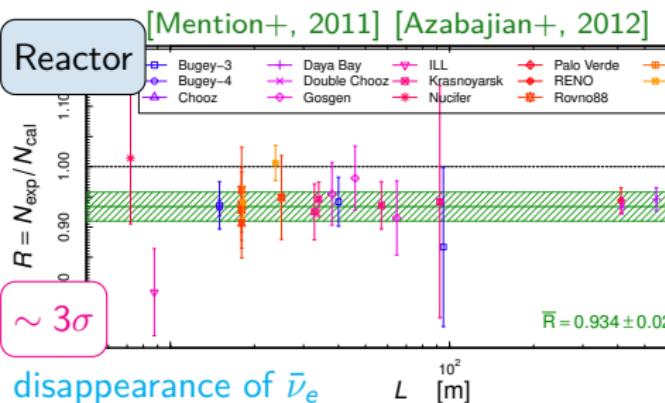
Gallium



[Giunti, Laveder, 2011]

disappearance of  $\bar{\nu}_e$

Reactor

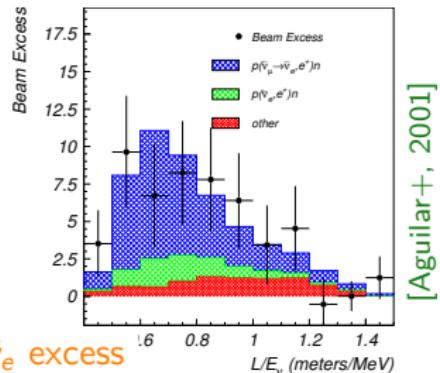


# Short Baseline (SBL) anomalies

[SG+, JPG 43 (2016) 033001]

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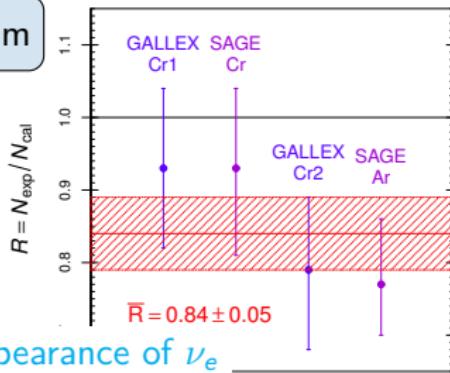
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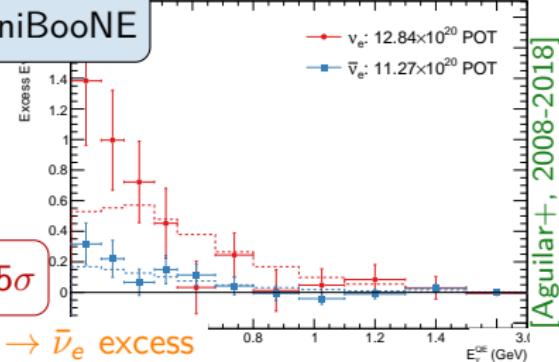


$2.7\sigma$

disappearance of  $\bar{\nu}_e$

[Giunti, Laveder, 2011]

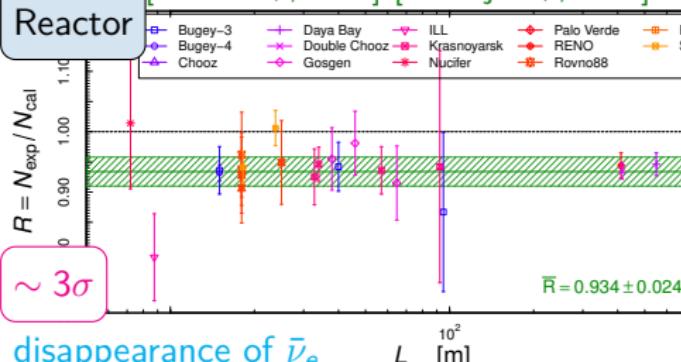
MiniBooNE



$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  excess

[Mention+, 2011] [Azabajian+, 2012]

Reactor



disappearance of  $\bar{\nu}_e$

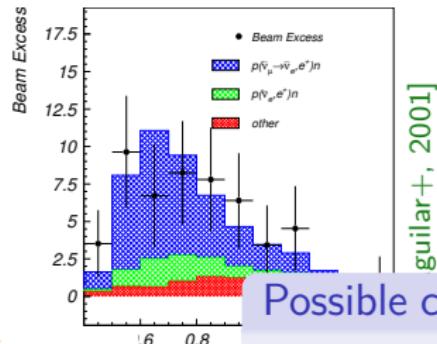
$\bar{R} = 0.934 \pm 0.024$

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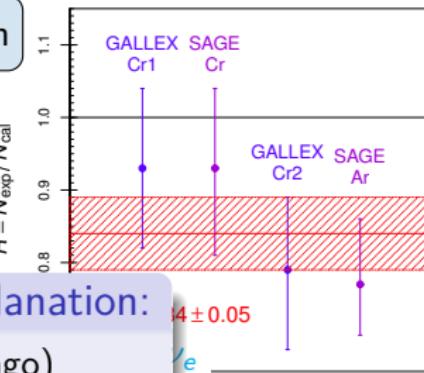
LSND



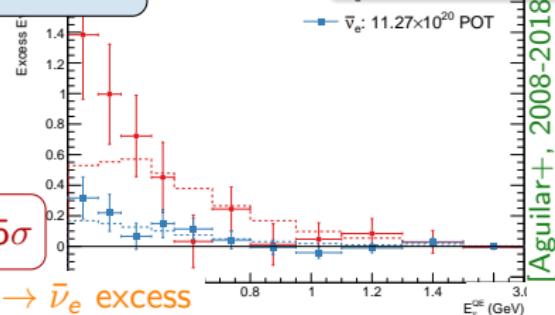
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MiniBooNE



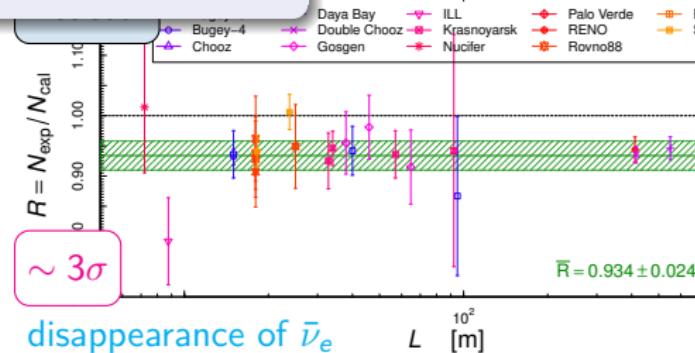
$\sim 5\sigma$

$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  excess

Possible common explanation:  
(until a few years ago)  
Additional squared mass difference

$$\Delta m_{\text{SBL}}^2 \simeq 1 \text{ eV}^2$$

2011] [Azabajian+, 2012]



## Sterile neutrino in the early universe

[SG+, JCAP 07 (2019) 014]

Four neutrinos → new oscillations in the early Universe

sterile ⇒ no weak/em interactions in the thermal plasma

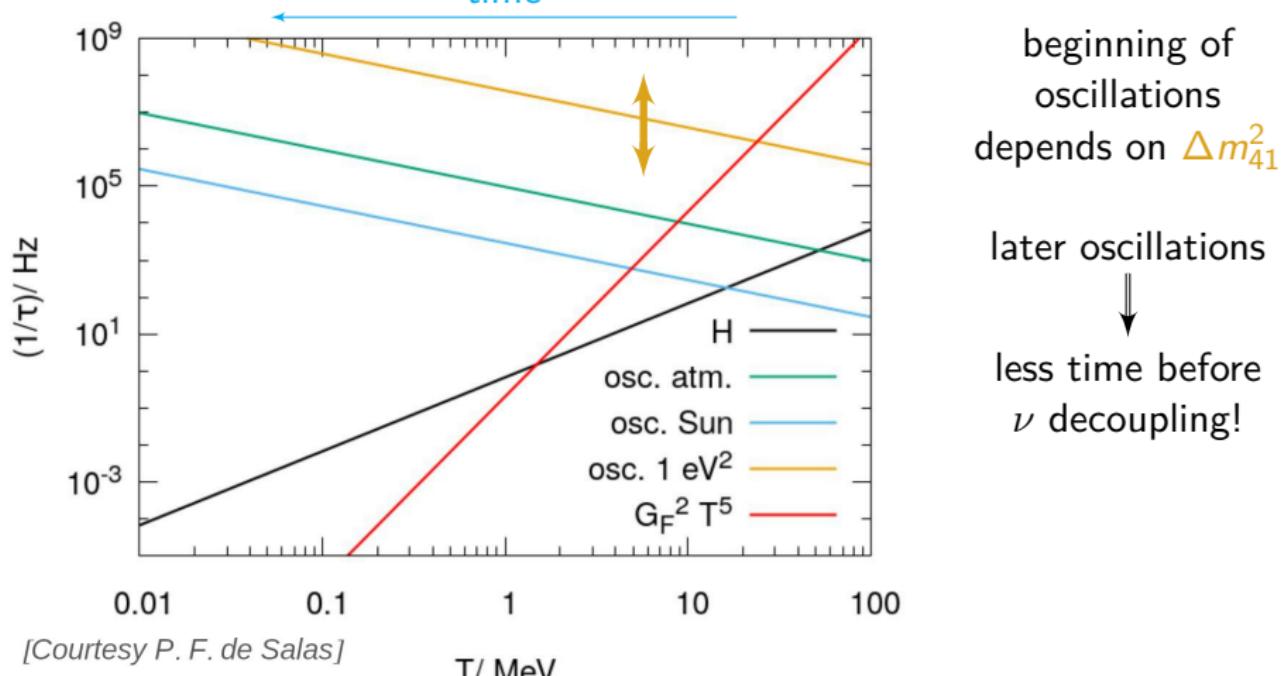
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time



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when are they enough to allow full equilibrium of active-sterile states?

$$0 \xleftarrow{\Delta N_{\text{eff}}} \Delta N_{\text{eff}} = N_{\text{eff}}^{4\nu} - N_{\text{eff}}^{3\nu} \xrightarrow{\text{active\&sterile in equilibrium}} \simeq 1$$

no sterile production

active&sterile in equilibrium

$$\frac{\Delta m_{as}^2}{\text{eV}^2} \sin^4(2\vartheta_{as}) \simeq 10^{-5} \ln^2(1 - \Delta N_{\text{eff}}) \quad (\text{1+1 approx.})$$

[Dolgov&Villante, 2004]

$$\text{e.g.: } \Delta m_{as}^2 = 1 \text{ eV}^2, \sin^2(2\vartheta_{as}) \simeq 10^{-3} \Rightarrow \Delta N_{\text{eff}} \simeq 1$$

$$N_{\text{eff}}^{3\nu} = 3.044 \text{ [JCAP 2021]}$$

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Full calculation: use numerical code!

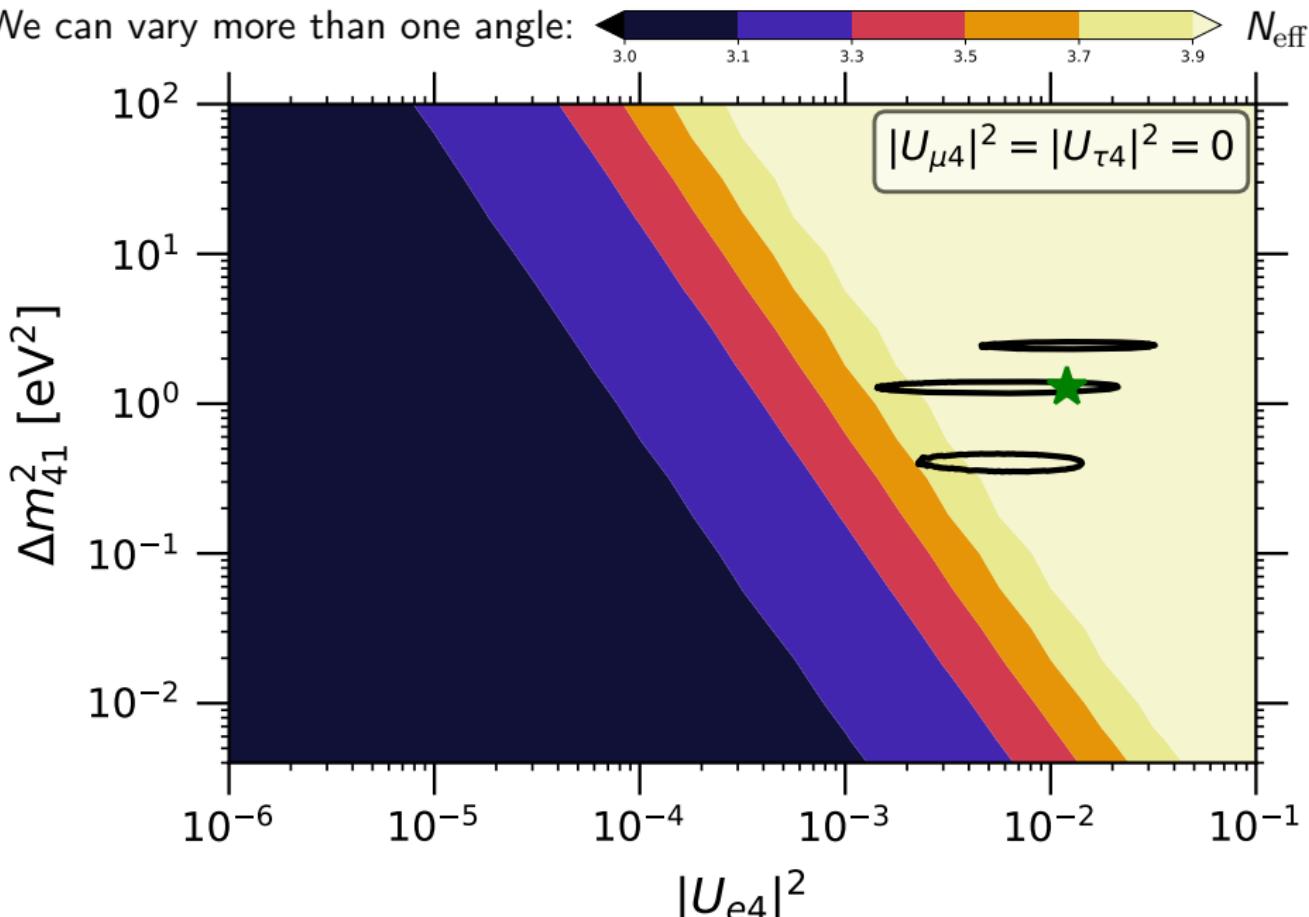
FORTran-Evolved Primordial Neutrino Oscillations  
(FortEPiano)

[https://bitbucket.org/ahep\\_cosmo/fortepiano\\_public](https://bitbucket.org/ahep_cosmo/fortepiano_public)

## $N_{\text{eff}}$ and the new mixing parameters

[SG+, JCAP 07 (2019) 014]

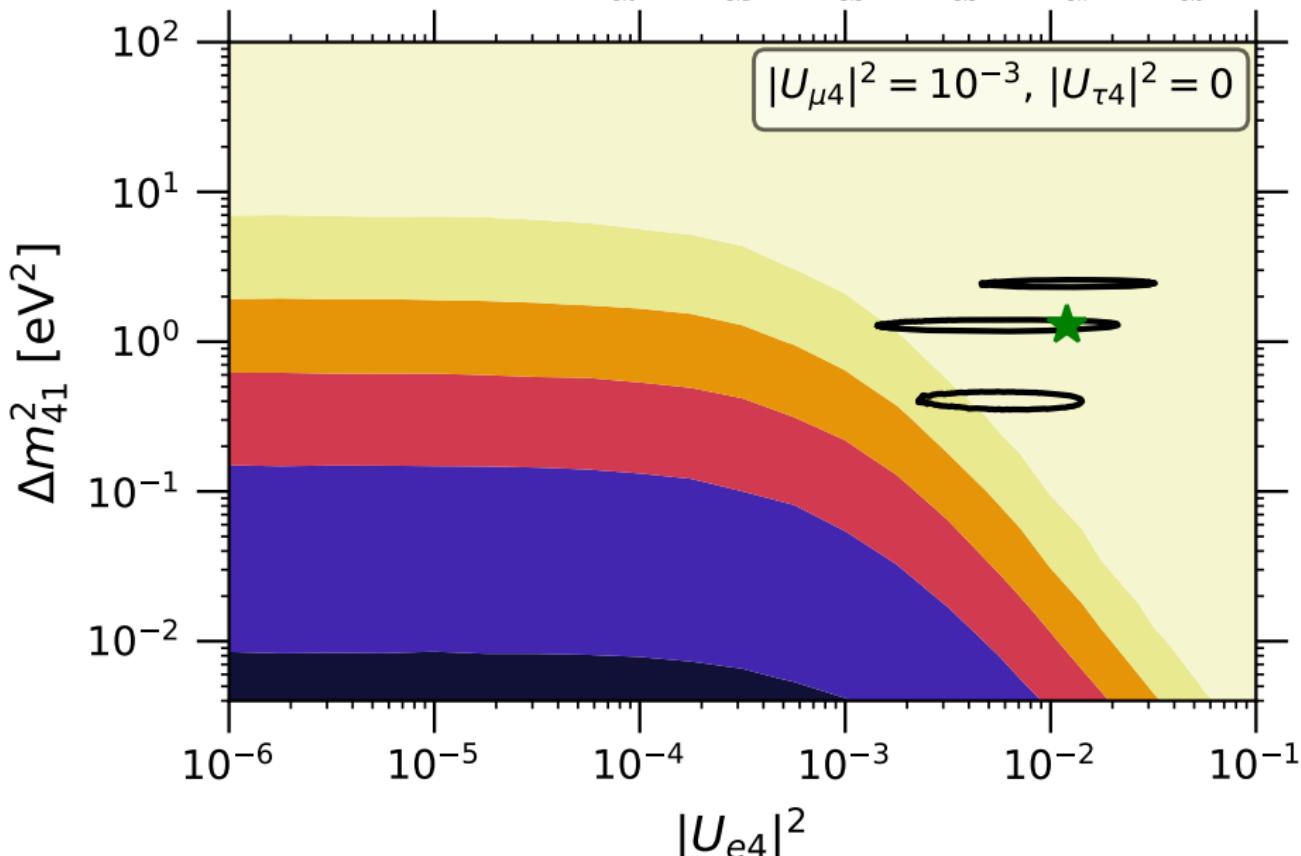
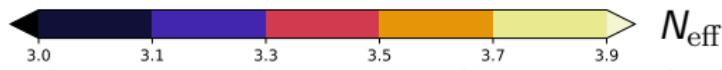
We can vary more than one angle:



## $N_{\text{eff}}$ and the new mixing parameters

[SG+, JCAP 07 (2019) 014]

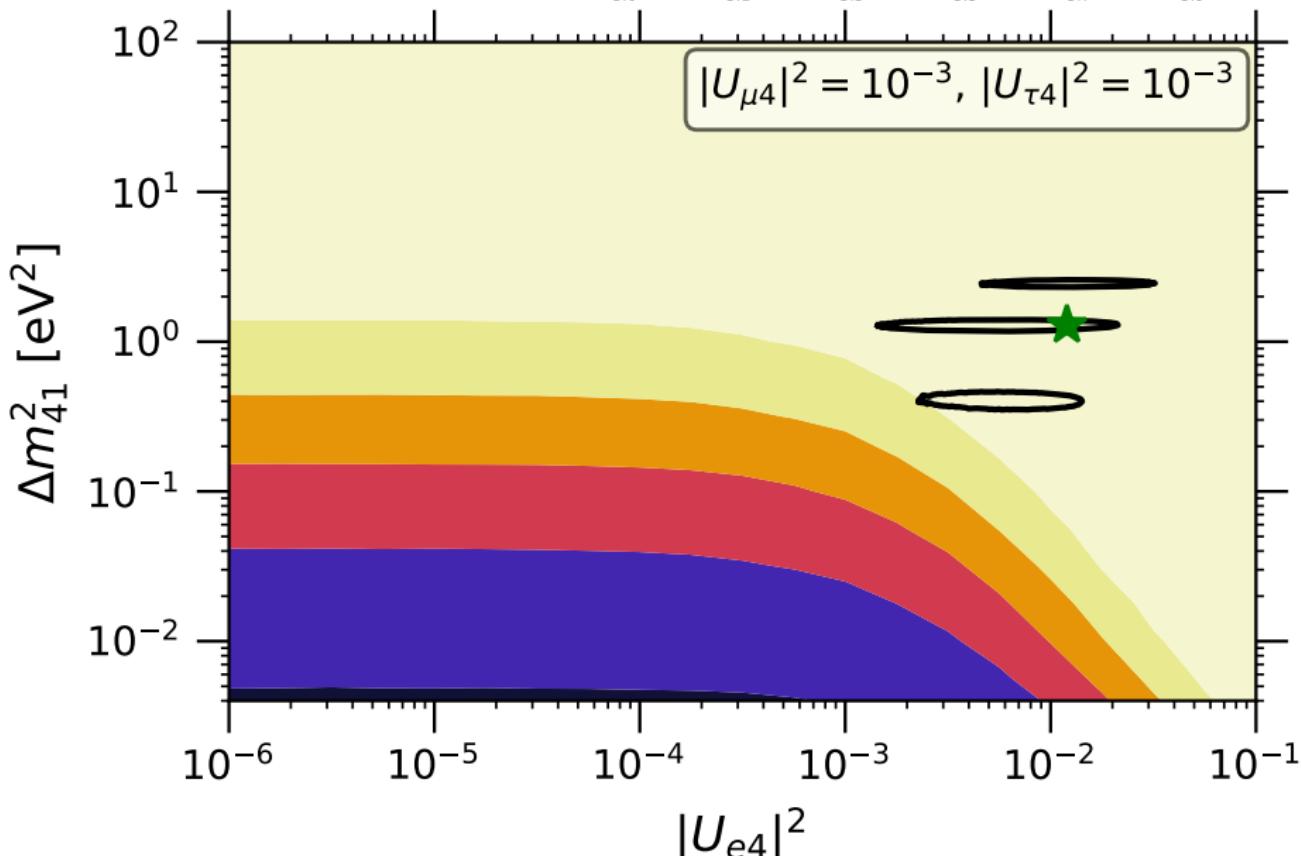
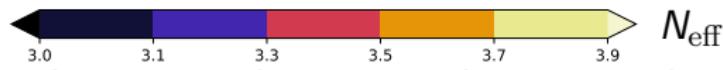
We can vary more than one angle:



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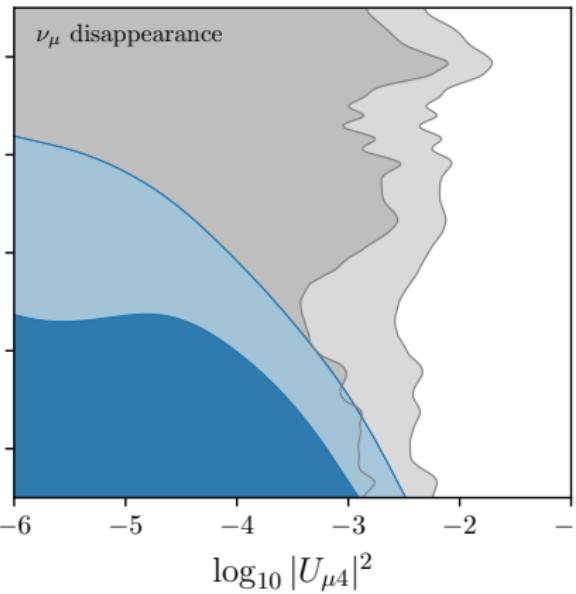
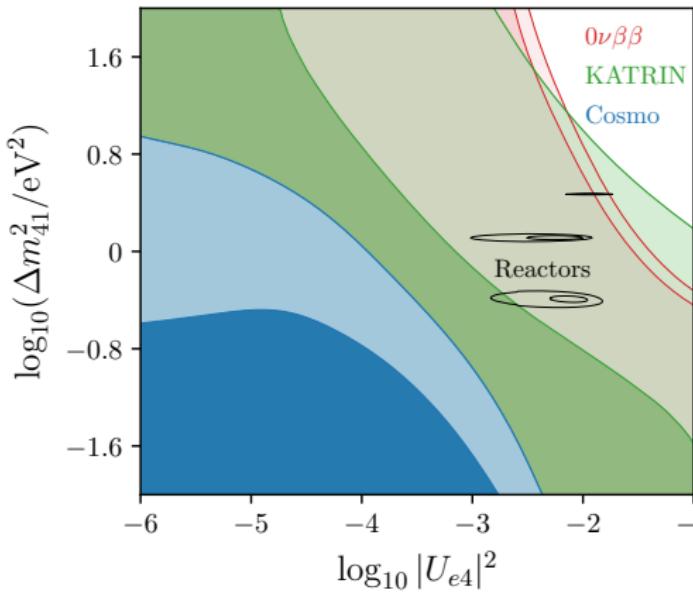
We can vary more than one angle:



# Comparing constraints

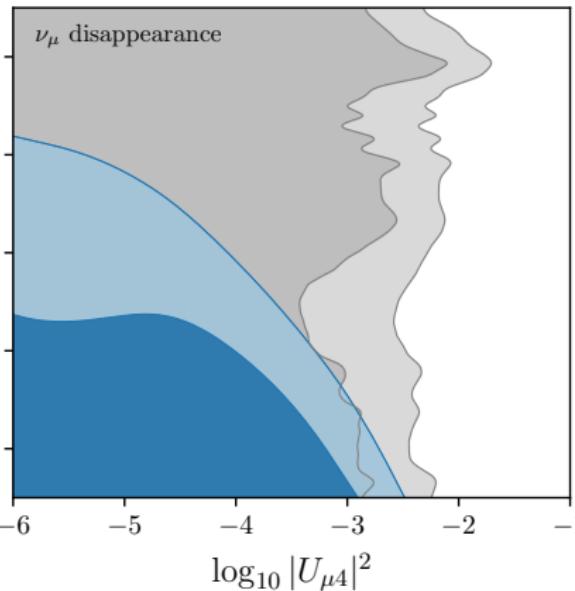
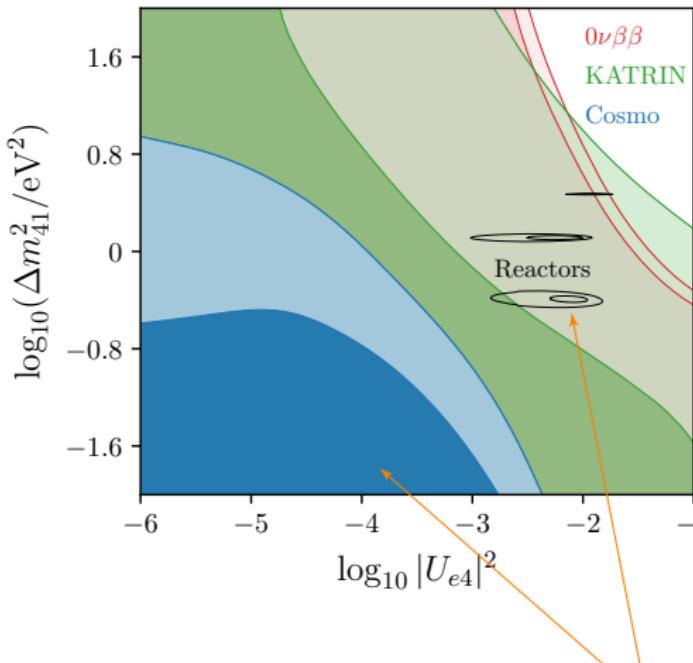
Cosmological constraints are stronger than most other probes

But much more model dependent (as all the cosmological constraints)!



Cosmological constraints are stronger than most other probes

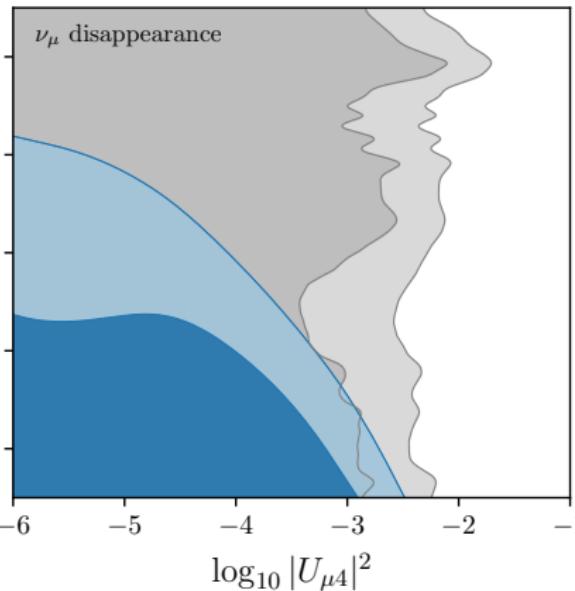
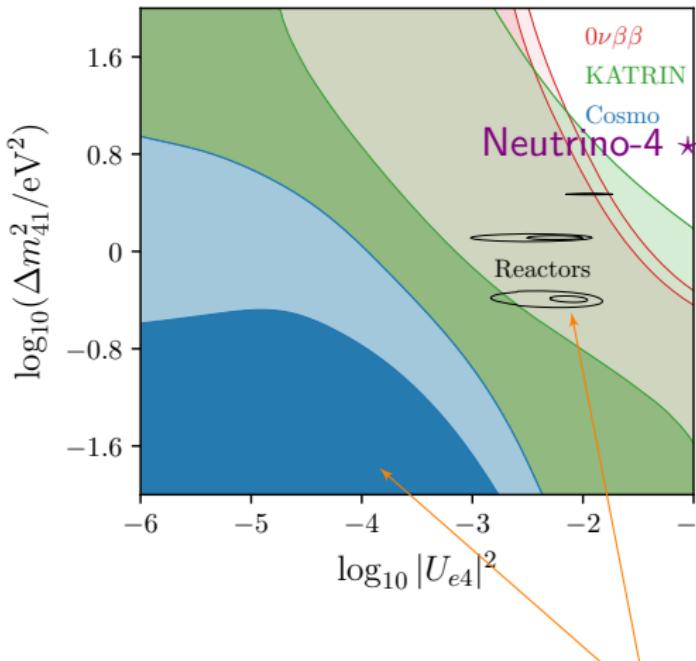
But much more model dependent (as all the cosmological constraints)!



Warning: tension between reactor experiments and CMB bounds!

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But much more model dependent (as all the cosmological constraints)!



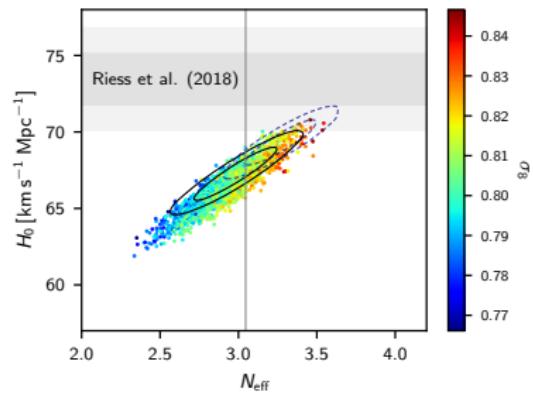
Warning: tension between reactor experiments and CMB bounds!

## 1 Cosmic Neutrino Background

## 2 Standard three neutrino scenario

## 3 Non-standard: light sterile neutrino

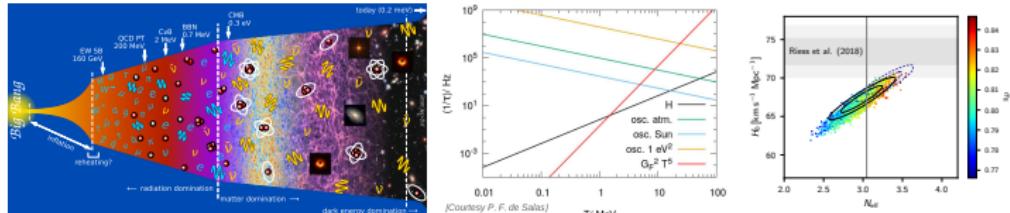
## 4 Conclusions



# Conclusions

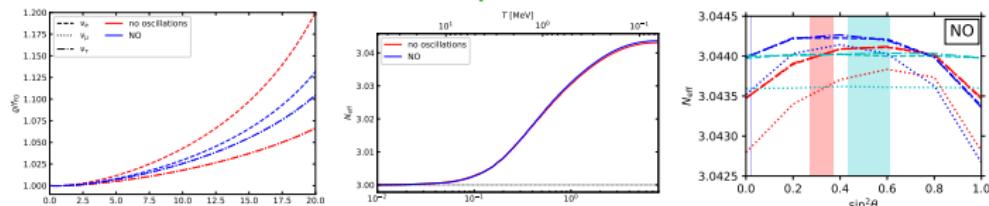
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## Neutrinos in the early universe – probe lowest energies



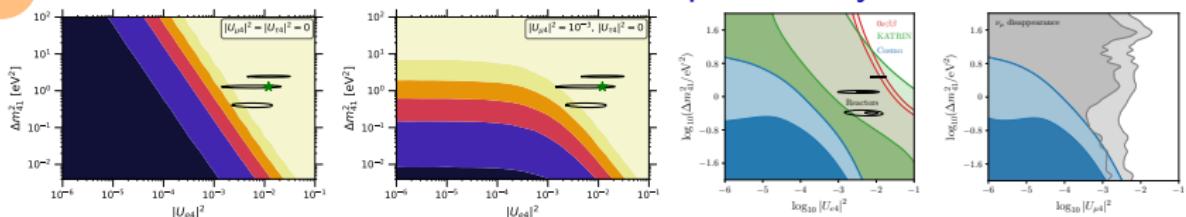
2

## Active neutrinos: precision calculations



3

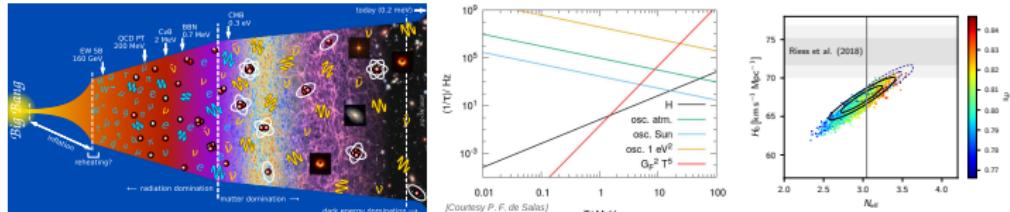
## Non-standard scenarios: complementary bounds



# Conclusions

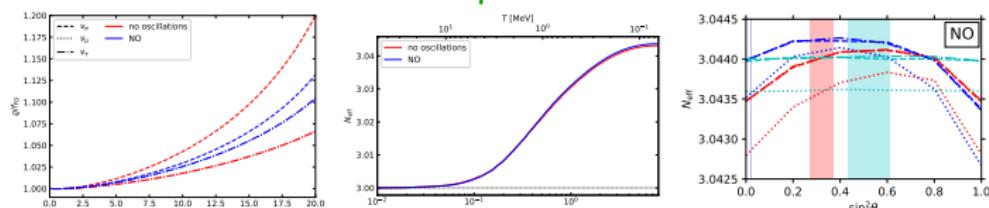
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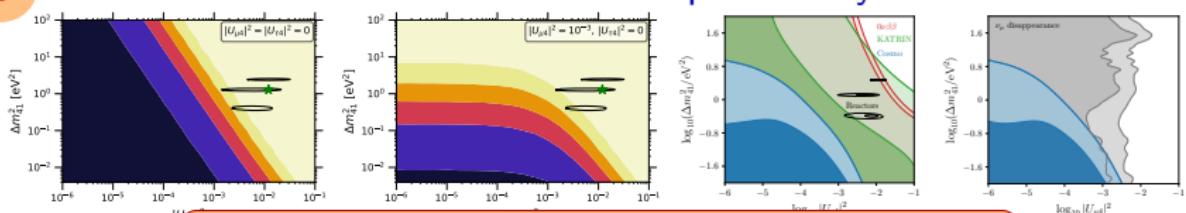
2

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3

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Thanks for your attention!