

# Wave Packet Treatment of Neutrino Oscillations

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- ↪ Standard Theory of Neutrino Oscillations
- ↪ Critical Discussion of Standard Assumptions
- ↪ The Necessity of a Wave Packet Approach
- ↪ Neutrino Wave Packets in Quantum Field Theory
- ↪ Derivation of Neutrino Oscillations in QFT

New Dimensions in Astroparticle Physics

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**Neutrino Unbound**

<http://www.to.infn.it/~giunti/NU>

# Standard Theory of Neutrino Oscillations in Vacuum

[Bilenky & Pontecorvo, Phys. Rep. 41 (1978) 225]

Neutrino Production:  $j_\rho^{\text{CC}\dagger} = 2 \sum_{\alpha=e,\mu,\tau} \overline{\ell_{\alpha L}} \gamma_\rho \nu_{\alpha L}$

Mixing of Fields:  $\nu_{\alpha L} = \sum_k U_{\alpha k} \nu_{k L} \quad (\alpha = e, \mu, \tau)$

Mixing of States:  $|\nu_\alpha\rangle = \sum_k U_{\alpha k}^* |\nu_k\rangle \quad (\alpha = e, \mu, \tau)$

$$\langle 0 | \nu_{\alpha L} | \nu_\beta \rangle = \sum_{k,j} U_{\alpha k} U_{\beta j}^* \underbrace{\langle 0 | \nu_{k L} | \nu_j \rangle}_{\propto \delta_{kj}} \propto \sum_k U_{\alpha k} U_{\beta k}^* = \delta_{\alpha\beta}$$

$$\mathcal{H} |\nu_k\rangle = E_k |\nu_k\rangle \Rightarrow |\nu_k(t)\rangle = e^{-iE_k t} |\nu_k\rangle$$

$$|\nu_\alpha(t)\rangle = \sum_k U_{\alpha k}^* e^{-iE_k t} |\nu_k\rangle$$
$$|\nu_k\rangle = \sum_{\beta=e,\mu,\tau} U_{\beta k} |\nu_\beta\rangle$$

$$|\nu_\alpha(t)\rangle = \sum_{\beta=e,\mu,\tau} \underbrace{\left( \sum_k U_{\alpha k}^* e^{-iE_k t} U_{\beta k} \right)}_{\mathcal{A}_{\nu_\alpha \rightarrow \nu_\beta}(t)} |\nu_\beta\rangle$$

Transition Probability:

$$P_{\nu_\alpha \rightarrow \nu_\beta}(t) = |\langle \nu_\beta | \nu_\alpha(t) \rangle|^2 = |\mathcal{A}_{\nu_\alpha \rightarrow \nu_\beta}(t)|^2$$

$$\begin{aligned}
P_{\nu_\alpha \rightarrow \nu_\beta}(t) &= \left| \sum_k U_{\alpha k}^* e^{-iE_k t} U_{\beta k} \right|^2 \\
&= \left| e^{-iE_1 t} \sum_k U_{\alpha k}^* e^{-i(E_k - E_1)t} U_{\beta k} \right|^2
\end{aligned}$$

The **transition probability** depends only on the energy differences  $E_k - E_1$

### RELATIVISTIC APPROXIMATION

$$E_k = \sqrt{p^2 + m_k^2} \simeq p + \frac{m_k^2}{2p} = E + \frac{m_k^2}{2E}$$

**ASSUMPTION**

$$p_k = p = E$$

$$E_k - E_j \simeq \frac{\Delta m_{kj}^2}{2E}$$

$$\Delta m_{kj}^2 \equiv m_k^2 - m_j^2$$

$$(E_k - E_j)t \simeq \frac{\Delta m_{kj}^2 L}{2E}$$

**APPROXIMATION**

$$t \simeq L$$

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L) = \left| \sum_k U_{\alpha k}^* U_{\beta k} \exp\left(-i \frac{\Delta m_{k1}^2 L}{2E}\right) \right|^2$$

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L) = \left| \sum_k U_{\alpha k}^* U_{\beta k} \exp\left(-i \frac{\Delta m_{k1}^2 L}{2E}\right) \right|^2$$

## Two Generations: $k = 1, 2$

$$U = \begin{pmatrix} \cos \vartheta & \sin \vartheta \\ -\sin \vartheta & \cos \vartheta \end{pmatrix} \quad \Delta m^2 \equiv \Delta m_{21}^2 \equiv m_2^2 - m_1^2$$

Transition ( $\alpha \neq \beta$ ):

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L) = \sin^2 2\vartheta \sin^2\left(\frac{\Delta m^2 L}{4E}\right)$$

Survival ( $\alpha = \beta$ ):

$$P_{\nu_\alpha \rightarrow \nu_\alpha}(L) = 1 - P_{\nu_\alpha \rightarrow \nu_\beta}(L)$$

## General formula for any number of generations

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L) = \sum_k |U_{\alpha k}|^2 |U_{\beta k}|^2 + 2\text{Re} \sum_{k>j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* \exp\left(-i \frac{\Delta m_{kj}^2 L}{2E}\right)$$

## MAIN ASSUMPTIONS OF STANDARD THEORY

(A1) Neutrinos are extremely relativistic particles

Neutrinos produced in CC weak interaction processes together with charged leptons  $\alpha^+$  are described by the flavor state

$$(A2) \quad |\nu_\alpha\rangle = \sum_k U_{\alpha k}^* |\nu_k\rangle \quad (\alpha = e, \mu, \tau)$$

$U$  is the mixing matrix of neutrino fields:

$$\nu_{\alpha L} = \sum_k U_{\alpha k} \nu_{k L} \quad (\alpha = e, \mu, \tau)$$

(A3) The massive neutrino states  $|\nu_k\rangle$  have the same momentum  $p_k = p$  (“Equal Momentum Assumption”) but different energies:

$$E_k = \sqrt{p^2 + m_k^2} \simeq p + \frac{m_k^2}{2p} = E + \frac{m_k^2}{2E}$$

(A4) Massive neutrinos time evolution given by Schrödinger equation

(A5) Propagation Time  $T \simeq L$  Source-Detector Distance

! detectable neutrinos are extremely relativistic !

Only  $\nu$ 's with  $E \gtrsim 0.2 \text{ MeV}$  are detectable!

↪ CC and NC Weak Processes: Threshold

$$\begin{aligned} \nu + A \rightarrow \sum_X X &\Rightarrow s = 2Em_A + m_A^2 \geq \left(\sum_X m_X\right)^2 \\ &\Rightarrow E_{\text{th}} = \frac{(\sum_X m_X)^2}{2m_A} - \frac{m_A}{2} \end{aligned}$$

$$\odot \nu_e + {}^{37}\text{Cl} \rightarrow {}^{37}\text{Ar} + e^- \quad E_{\text{th}} = 0.81 \text{ MeV}$$

$$\odot \nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^- \quad E_{\text{th}} = 0.233 \text{ MeV}$$

$$\odot \nu + d \rightarrow p + n + \nu \quad E_{\text{th}} = 2.2 \text{ MeV}$$

$$\ominus \bar{\nu}_e + p \rightarrow n + e^+ \quad E_{\text{th}} = 1.8 \text{ MeV}$$

$$\ominus \nu_\mu + n \rightarrow p + \mu^- \quad E_{\text{th}} = 110 \text{ MeV}$$

$$\ominus \nu_\mu + e^- \rightarrow \nu_e + \mu^- \quad E_{\text{th}} \simeq \frac{m_\mu^2}{2m_e} = 10.9 \text{ GeV}$$

↪ Elastic Scattering Processes: Cross Section  $\propto$  Energy

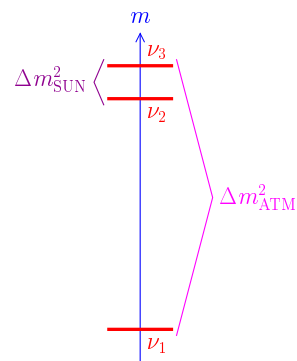
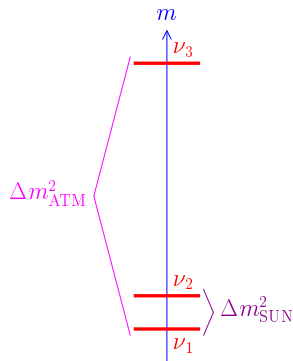
$$\odot \nu + e^- \rightarrow \nu + e^- \quad \text{Background} \Rightarrow E_{\text{th}}^{\text{SK}} \simeq 5 \text{ MeV}$$

$$\sigma(E) \sim \sigma_0 E/m_e \quad \sigma_0 \sim 10^{-44} \text{ cm}^2$$

# ABSOLUTE SCALE OF NEUTRINO MASSES

$$\Delta m_{\text{SUN}}^2 \sim 5 \times 10^{-5} \text{ eV}^2$$

$$\Delta m_{\text{ATM}}^2 \sim 2.5 \times 10^{-3} \text{ eV}^2$$

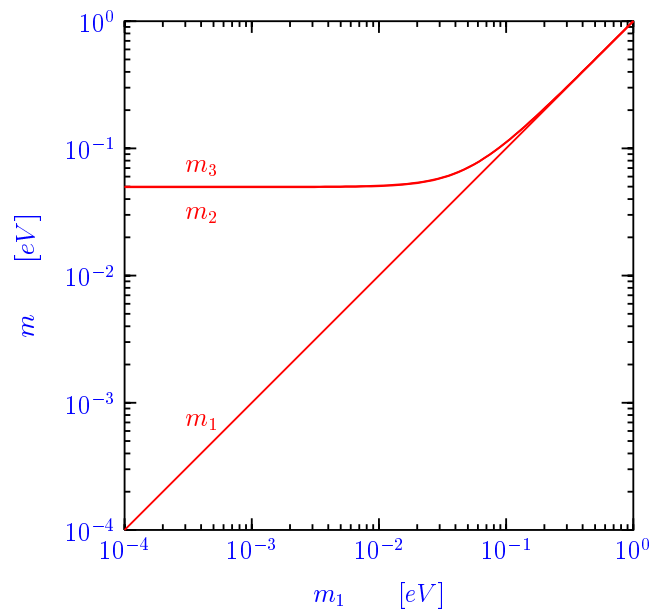
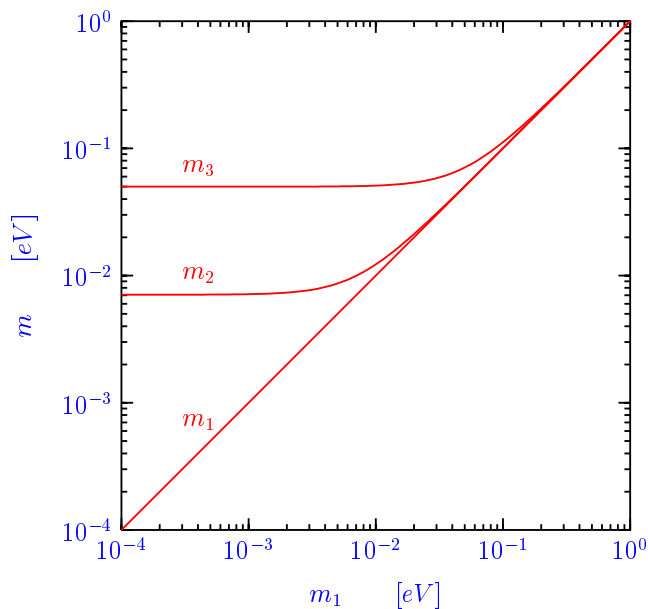


**Tritium  $\beta$ -decay**  $m_{\nu_e} \lesssim 2 - 3 \text{ eV}$  (95% CL) [Mainz, Troitsk]

**Cosmology**  $\sum_{\text{light } \nu} m_{\nu} \lesssim 2 - 3 \text{ eV}$  (95% CL)

[2dFGRS team, astro-ph/0204152]

[Hannestad, astro-ph/0205223]



POSSIBLE: very small mixing of  $\nu_e, \nu_\mu, \nu_\tau$  with heavy  $\nu_k$ 's

IN THIS CASE:

- heavy neutrino masses must be taken into account in calculation of production and detection rates
- oscillations due to large squared-mass differences are not observable  $\implies$  constant flavor-changing transition probability due to mixing  $\implies$  no coherence problem
- almost degenerate very heavy massive neutrinos (such that the corresponding squared-mass differences generate observable oscillations) seem very unlikely

IN THE FOLLOWING: we study oscillations due to light extremely relativistic massive neutrinos

### RELATIVISTIC APPROXIMATION

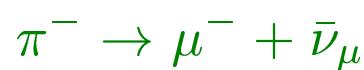
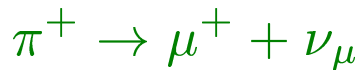
$$E_k \simeq E + \xi \frac{m_k^2}{2E}$$

$$p_k \simeq E - (1 - \xi) \frac{m_k^2}{2E}$$

$\xi$  depends on production process



# Easy Example of Neutrino Production



Two-Body Decay

$$E_k^2 = p_k^2 + m_k^2$$

Rest Frame of  $\pi$

$$p_k^2 = \frac{m_\pi^2}{4} \left(1 - \frac{m_\mu^2}{m_\pi^2}\right)^2 - \frac{m_k^2}{2} \left(1 + \frac{m_\mu^2}{m_\pi^2}\right) + \frac{m_k^4}{4 m_\pi^2}$$
$$E_k^2 = \frac{m_\pi^2}{4} \left(1 - \frac{m_\mu^2}{m_\pi^2}\right)^2 + \frac{m_k^2}{2} \left(1 - \frac{m_\mu^2}{m_\pi^2}\right) + \frac{m_k^4}{4 m_\pi^2}$$

0<sup>th</sup> order of Relativistic Approximation

$$m_k = 0 \Rightarrow p_k = E_k = E = \frac{m_\pi}{2} \left(1 - \frac{m_\mu^2}{m_\pi^2}\right) \simeq 30 \text{ MeV}$$

1<sup>st</sup> order of Relativistic Approximation

$$E_k \simeq E + \xi \frac{m_k^2}{2E}$$

$$p_k \simeq E - (1 - \xi) \frac{m_k^2}{2E}$$

$$\xi = \frac{1}{2} \left(1 - \frac{m_\mu^2}{m_\pi^2}\right) \simeq 0.2$$

## FLAVOR STATES

Mixing of Fields:  $\nu_{\alpha L} = \sum_k U_{\alpha k} \nu_{kL} \quad (\alpha = e, \mu, \tau)$

Mixing of States:  $|\nu_{\alpha}\rangle = \sum_k U_{\alpha k}^* |\nu_k\rangle \quad (\alpha = e, \mu, \tau)$

$$\langle 0 | \nu_{\alpha L} | \nu_{\beta} \rangle = \sum_{k,j} U_{\alpha k} U_{\beta j}^* \underbrace{\langle 0 | \nu_{kL} | \nu_j \rangle}_{\propto \delta_{kj}} \propto \sum_k U_{\alpha k} U_{\beta k}^* = \delta_{\beta\alpha}$$

NEUTRINO MASSES have been neglected!

$$\nu_k(x) = \int d^3p \sum_h \left[ a_k(\vec{p}, h) u_k(\vec{p}, h) e^{-ip \cdot x} + b_k^\dagger(\vec{p}, h) v_k(\vec{p}, h) e^{ip \cdot x} \right]$$

$$|\nu_j(\vec{p}, h)\rangle = a_j^\dagger(\vec{p}, h) |0\rangle \quad \{a_k(\vec{p}, h), a_j^\dagger(\vec{p}', h')\} = \delta^3(\vec{p} - \vec{p}') \delta_{hh'} \delta_{kj}$$

$$\langle 0 | \nu_{kL}(0) | \nu_j(\vec{p}, h)\rangle = u_{kL}(\vec{p}, h) \delta_{kj}$$

$$\langle 0 | \nu_{\alpha L}(0) | \nu_{\beta}(\vec{p}, h)\rangle = \sum_k U_{\alpha k} U_{\beta k}^* u_{kL}(\vec{p}, h) \not\propto \delta_{\beta\alpha}$$

MIXING  $\Rightarrow$  Flavor States are only approximations !

Crucial: EXTREMELY RELATIVISTIC NEUTRINOS!

States  $|\nu_{\alpha}\rangle$  are not quanta of Field  $\nu_{\alpha}$  !

[Giunti & Kim & Lee, Phys. Rev. D 45 (1992) 2414]

# EQUAL MOMENTUM?

(standard assumption)

$$p_k \simeq E - (1 - \xi) \frac{m_k^2}{2E}$$

SPECIAL CASE:  $\xi = 1 \Rightarrow p_k = p_j = E$

in general it does not correspond to reality!

**BUT**

for extremely relativistic neutrinos

phase of  $P_{\nu_\alpha \rightarrow \nu_\beta}$  is independent from  $\xi$



Equal Momentum Assumption gives  
correct oscillation phase

DIFFERENT MOMENTUM CONTRIBUTIONS



LORENTZ INVARIANT  
OSCILLATION PROBABILITY

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L, T) = \left| \sum_k U_{\alpha k}^* e^{ip_k L - iE_k t} U_{\beta k} \right|^2$$

[Dolgov & Morozov & Okun & Schepkin, Nucl. Phys. B 502 (1997) 3, hep-ph/9703241]

[Dolgov, hep-ph/0004032]

[Giunti & Kim, Found. Phys. Lett. 14 (2001) 213, hep-ph/0011074]

[Bilenky & Giunti, Int. J. Mod. Phys. A 16 (2001) 3931, hep-ph/0102320]

DIFFERENT OBSERVERS MEASURE  
THE SAME TRANSITION PROBABILITY !  
FLAVOR IS LORENTZ INVARIANT !

# EQUAL ENERGY?

[Grossman & Lipkin, Phys. Rev. D 55 (1997) 2760, hep-ph/9607201]

[Lipkin, Phys. Lett. B 477 (2000) 195, hep-ph/9907551]

$$E_k \simeq E + \xi \frac{m_k^2}{2E}$$

SPECIAL CASE:  $\xi = 0 \Rightarrow E_k = E_j = E$

in general it does not correspond to reality!

**BUT**

for extremely relativistic neutrinos

phase of  $P_{\nu_\alpha \rightarrow \nu_\beta}$  is independent from  $\xi$



also Equal Energy Assumption gives  
correct oscillation phase

With the Equal Energy Assumption the approximation  
 $T \simeq L$  is not needed:

$$\phi_{kj} = (p_k - p_j) L - (E_k - E_j) T = (p_k - p_j) L \simeq -\frac{\Delta m_{kj}^2 L}{2E}$$

Equal Energy Assumption AND Equal Momentum Assumption  
**ARE INCOMPATIBLE WITH LORENTZ INVARIANCE !**

[Giunti, Mod. Phys. Lett. A 16 (2001) 2363, hep-ph/0104148]

Assume for illustration that in a Lorentz frame  $S$

$$E_k = E \quad \Rightarrow \quad p_k = \sqrt{E^2 - m_k^2} \simeq E - \frac{m_k^2}{2E}$$

↑  
Energy of massless  $\nu$

In another frame  $S'$  with velocity  $v$  along the neutrino path

$$E'_k = \gamma (E_k + v p_k) = \underbrace{\gamma (1 + v) E}_{E'} - \boxed{\gamma v \frac{m_k^2}{2E}}$$

**ENERGIES ARE DIFFERENT!**

$$\boxed{\Delta E'_{kj} = -\frac{v}{1-v} \frac{m_k^2}{2E'}}$$

$$\boxed{\Delta p'_{kj} = -\frac{1}{1-v} \frac{m_k^2}{2E'}}$$

$$\Delta E'_{kj} \sim \Delta p'_{kj} \quad \text{for relativistic velocities!}$$

**COMMON IN PRACTICE!**

EXAMPLE :  $\pi^+ \rightarrow \mu^+ + \nu_\mu$

Assume for sake of illustration  $S$  with  $E_k = E$  is  
rest frame of  $\pi$

Many experiments measure oscillations of  $\nu$ 's produced in  
 $\pi$  decay in flight

(short and long baseline, atmospheric  $\nu$  experiments)

$$E_\pi \sim 100 \text{ MeV} - 100 \text{ GeV}$$

Example :  $E_\pi \simeq 200 \text{ MeV} \Rightarrow v \simeq 0.71$

$$\frac{v}{1-v} \simeq 2.4 \qquad \frac{1}{1-v} \simeq 3.4$$

$$\Delta E'_{kj} = -\frac{v}{1-v} \frac{m_k^2}{2E'} \sim \Delta p'_{kj} = -\frac{1}{1-v} \frac{m_k^2}{2E'}$$

SAME ORDER OF MAGNITUDE!

For higher energies  $\Delta E'_{kj} \sim \Delta p'_{kj}$  !

# LORENTZ INVARIANCE



EQUAL ENERGY OR MOMENTUM ASSUMPTION  
CANNOT BE VALID IN ALL  
NEUTRINO OSCILLATION EXPERIMENTS

ACTUALLY, IT CANNOT BE VALID EVEN IN ONE  
EXPERIMENT IF  $\pi$  HAVE A SPECTRUM OF ENERGY (IN  
PRACTICE ALWAYS)

CONCLUSION:

FORGET

EQUAL ENERGY OR MOMENTUM ASSUMPTION



Lorentz invariant probability of  $\nu_\alpha \rightarrow \nu_\beta$  transitions:

$$\begin{aligned}
 P_{\nu_\alpha \rightarrow \nu_\beta}(L, T) &= \left| \sum_k U_{\alpha k}^* e^{ip_k L - iE_k T} U_{\beta k} \right|^2 \\
 &= \sum_k |U_{\alpha k}|^2 |U_{\beta k}|^2 \\
 &\quad + 2\text{Re} \sum_{k>j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* e^{i(p_k - p_j)L - i(E_k - E_j)T}
 \end{aligned}$$

## RELATIVISTIC APPROXIMATION

$$p_k - p_j \simeq - (1 - \xi) \frac{\Delta m_{kj}^2}{2E} \qquad E_k - E_j \simeq \xi \frac{\Delta m_{kj}^2}{2E}$$

↓

$$\begin{aligned}
 P_{\nu_\alpha \rightarrow \nu_\beta}(L, T) &= \sum_k |U_{\alpha k}|^2 |U_{\beta k}|^2 \\
 &\quad + 2\text{Re} \sum_{k>j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* e^{-i(1-\xi) \frac{\Delta m_{kj}^2}{2E} L - i\xi \frac{\Delta m_{kj}^2}{2E} T}
 \end{aligned}$$

## OSCILLATIONS IN SPACE AND TIME!

Real Experiments:  $\left\{ \begin{array}{l} T \text{ not measured} \\ L (\pm \text{ approximately}) \text{ known} \end{array} \right.$



OSCILLATIONS IN SPACE!



$T$  must be expressed in terms of  $L$



WAVE PACKETS

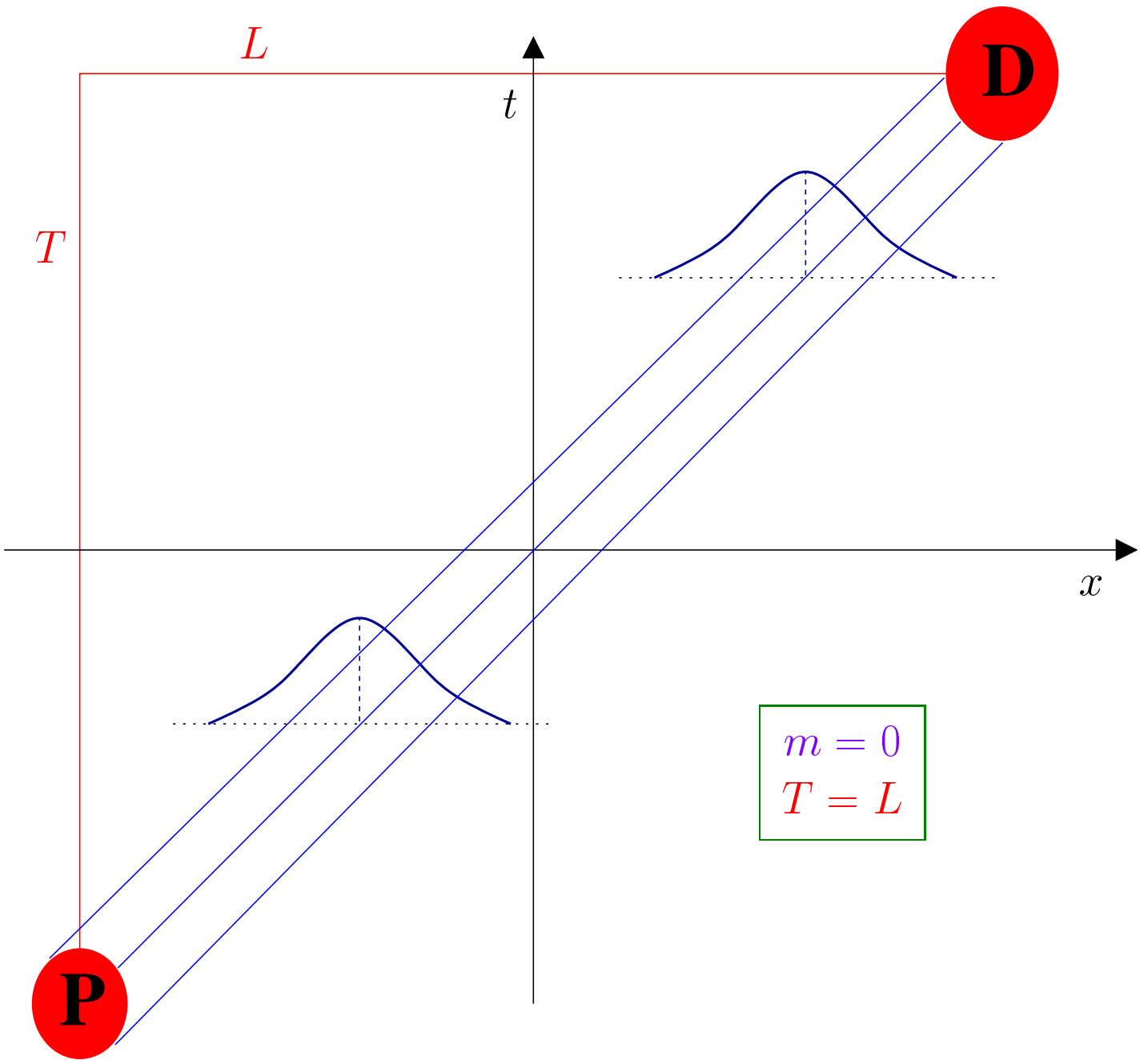
[Kayser, Phys. Rev. D 24 (1981) 110]

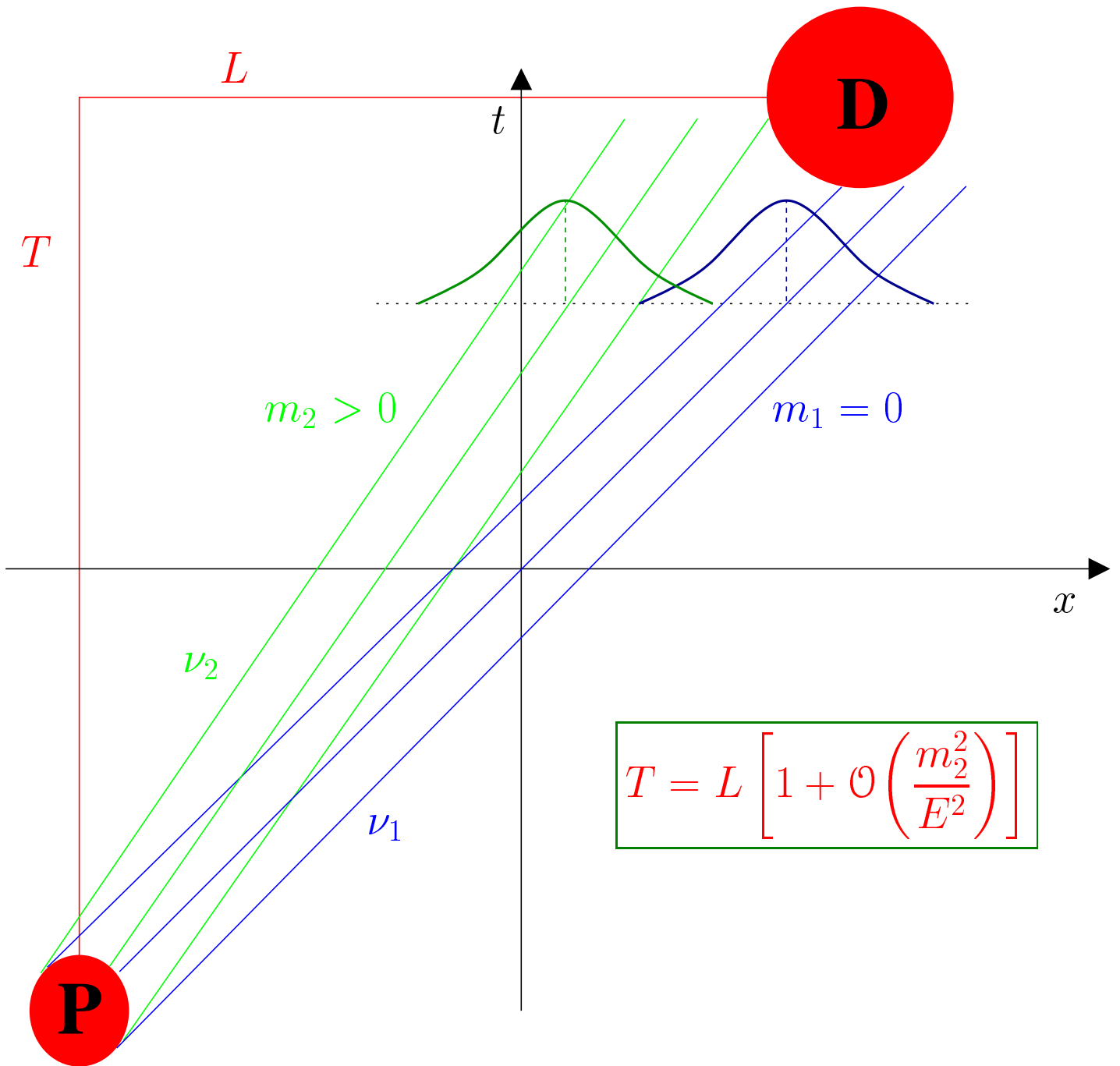
standard least order approximation:  $T = L$



$$P_{\nu_\alpha \rightarrow \nu_\beta}(L, T) = \sum_k |U_{\alpha k}|^2 |U_{\beta k}|^2 + 2\text{Re} \sum_{k>j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* e^{-i \frac{\Delta m_{kj}^2}{2E} L}$$

$\xi$  has magically disappeared!





## MASSIVE NEUTRINO WAVE PACKETS

Size of neutrino wave packets is determined by coherence size of the Production Process:

$$\sigma_x \sim \delta t_P$$

( $\delta t_P \gtrsim \delta x_P$ , coherence region must be causally connected)

Velocity of neutrino wave packets:  $v_k = \frac{p_k}{E_k} \simeq 1 - \frac{m_k^2}{2E^2}$

Wave packets arrive at Detection Process at different times:

$$t_k = \frac{L}{v_k} \simeq L \left( 1 + \frac{m_k^2}{2E^2} \right) \quad m_k > m_j \Rightarrow v_k < v_j \Rightarrow t_k > t_j$$

$$\delta t_{kj} \equiv t_k - t_j \simeq \frac{\Delta m_{kj}^2}{2E^2} L \quad \text{time separation}$$

$$\frac{\Sigma t_{kj}}{2} \equiv \frac{t_k + t_j}{2} \simeq L + \frac{1}{2} \frac{\Sigma m_{kj}^2}{2E^2} L \quad \text{average time}$$

$$\Delta m_{kj}^2 \equiv m_k^2 - m_j^2 \quad \Sigma m_{kj}^2 \equiv m_k^2 + m_j^2$$

$$\begin{aligned} \text{Range of } \Upsilon \simeq [t_j, t_k] &= \frac{1}{2} (\Sigma t_{kj} \pm \delta t_{kj}) \\ &\simeq L + \frac{\Sigma m_{kj}^2 \pm \Delta m_{kj}^2}{4E^2} L \end{aligned}$$

Phase of oscillations:

$$\begin{aligned} \Phi_{kj} &= - (1 - \xi) \frac{\Delta m_{kj}^2}{2E} L - \xi \frac{\Delta m_{kj}^2}{2E} T \\ &\simeq - (1 - \xi) \frac{\Delta m_{kj}^2 L}{2E} - \xi \frac{\Delta m_{kj}^2 L}{2E} + \dots \\ &= - \underbrace{\frac{\Delta m_{kj}^2 L}{2E}}_{\text{leading}} - \underbrace{\frac{\Delta m_{kj}^2 L}{2E} \xi \frac{\Sigma m_{kj}^2 \pm \Delta m_{kj}^2}{4E^2}}_{\text{correction}} \end{aligned}$$

flux energy spectrum  
+  
detector energy resolution  
+  
distance uncertainty

}  $\Rightarrow$  oscillations observable  
only if  
 $\phi_{kj} \sim 1$

$$\frac{\Delta m_{kj}^2 L}{2E} \sim 1 \Rightarrow \frac{\Delta m_{kj}^2 L}{2E} \xi \frac{\Sigma m_{kj}^2 \pm \Delta m_{kj}^2}{4E^2} \ll 1$$

negligible

Phase practically constant in time interval  $[t_j, t_k]$ :

$$\Phi_{kj} \simeq - \frac{\Delta m_{kj}^2}{2E} L$$

## NEUTRINO WAVE PACKETS



$T = L$  is correct approximation



Phase :

$$\Phi_{kj} \simeq -\frac{\Delta m_{kj}^2}{2E} L$$

**VERY IMPORTANT:**  $\xi$  has magically disappeared  $\Rightarrow \nu$  oscillations are independent from the specific details of the production process (crucial: relativistic neutrinos)

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L) = \sum_k |U_{\alpha k}|^2 |U_{\beta k}|^2 + 2\text{Re} \sum_{k>j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* e^{-i \frac{\Delta m_{kj}^2 L}{2E}}$$

$$\phi_{kj} = 2\pi \Rightarrow L_{kj}^{\text{osc}} = \frac{4\pi E}{\Delta m_{kj}^2} \quad \text{Oscillation Length}$$

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L) = \sum_k |U_{\alpha k}|^2 |U_{\beta k}|^2 + 2\text{Re} \sum_{k>j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* e^{-2\pi i \frac{L}{L_{kj}^{\text{osc}}}}$$

# COHERENCE LENGTH

[Nussinov, Phys. Lett. B 63 (1976) 201]

[Kiers & Nussinov & Weiss, Phys. Rev. D 53 (1996) 537, hep-ph/9506271]

Wave Packets have different velocity and **separate**

Different massive neutrinos can interfere

if

wave packets arrive with  $\delta t_{kj} < \delta t_D$

This happens for  $L \lesssim L_{kj}^{\text{coh}}$

Separation :  $|\delta t_{kj}| \simeq |\delta x_{kj}| = |v_k - v_j| T \simeq \frac{|\Delta m_{kj}^2|}{2E^2} L$

Size of wave packets :  $\sigma_x \sim \delta t_P$

Maximal **separation** for interference:

$$|\delta x|_{\text{max}}^2 \simeq |\delta t|_{\text{max}}^2 \sim \sigma_x^2 + \delta t_D^2 \sim \delta t_P^2 + \delta t_D^2$$

$$|\delta x_{kj}| \sim |\delta x|_{\text{max}} \Rightarrow L_{kj}^{\text{coh}} \sim \frac{2E^2}{|\Delta m_{kj}^2|} |\delta x|_{\text{max}}$$



Transition from  $L \ll L_{kj}^{\text{coh}}$  to  $L \gg L_{kj}^{\text{coh}}$  ?



WAVE PACKET TREATMENT

Effects of Production and Detection Processes ?



QUANTUM FIELD THEORY

Quantum Field Theory of Neutrino Oscillations with external particles in Production and Detection processes described by wave packets and intermediate virtual neutrino

[Giunti & Kim & Lee & Lee, Phys. Rev. D 48 (1993) 4310, hep-ph/9305276]

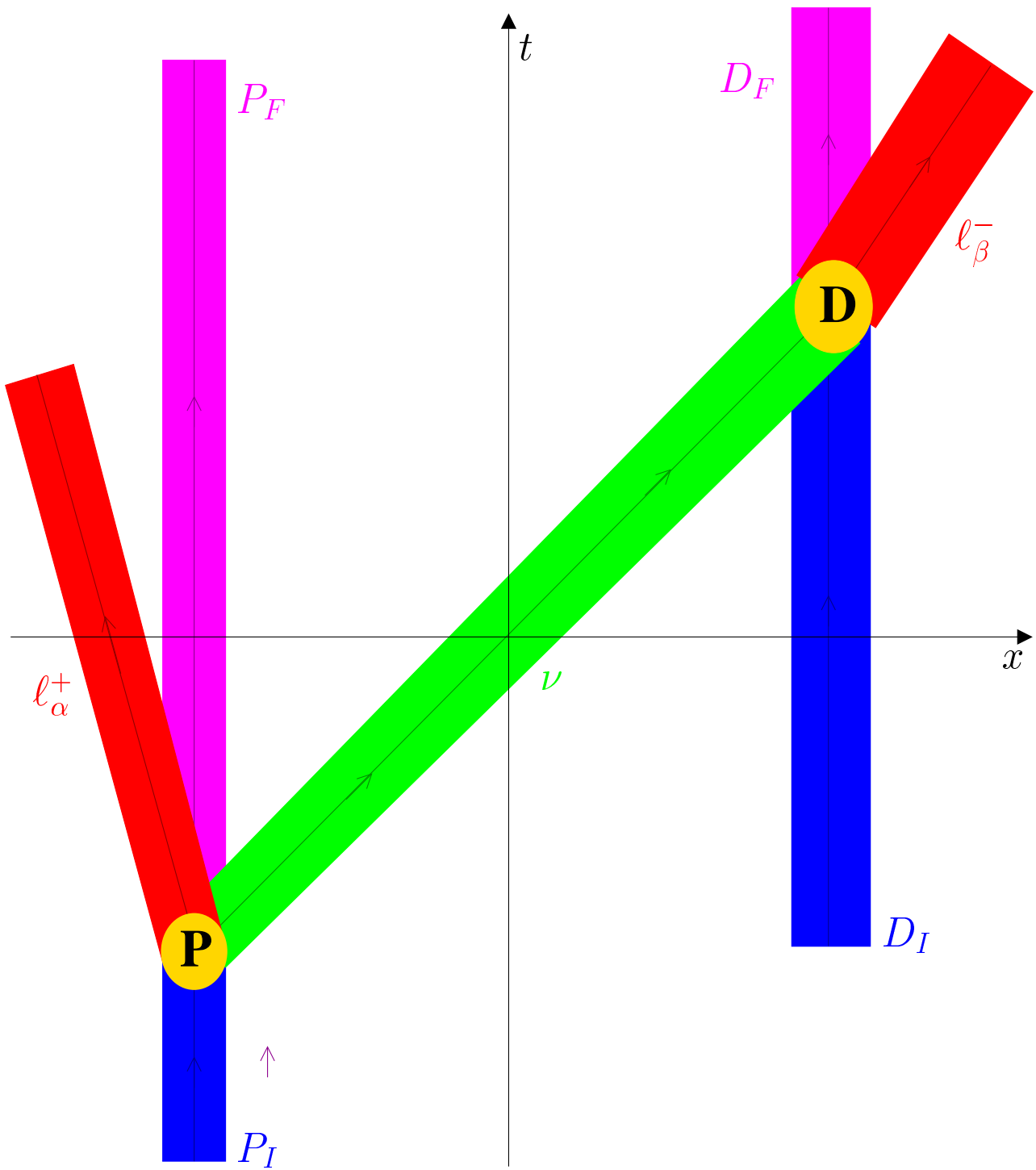
[Giunti & Kim & Lee, Phys. Lett. B 421 (1998) 237, hep-ph/9709494]

confirm standard oscillation length and existence of coherence length

**problem:** neutrino has no properties !

in oscillation experiments neutrinos propagate as free particles over macroscopically large distance, sometimes astronomical distances

it must be possible to describe neutrinos in oscillation experiments with appropriate state



$$\begin{aligned}
 P_I &\rightarrow P_F + l_\alpha^+ + \nu_\alpha \\
 &\quad \downarrow \\
 \nu_\beta + D_I &\rightarrow D_F + l_\beta^-
 \end{aligned}$$

# Neutrino Wave Packets in Quantum Field Theory

[Giunti, hep-ph/0205014]

In Quantum Field Theory

$$|f\rangle \propto (\mathcal{S} - \mathbf{1})|i\rangle \simeq -i \int d^4x \mathcal{H}_I(x) |i\rangle$$

Production Process:  $P_I \rightarrow P_F + \ell_\alpha^+ + \nu_\alpha$

Entangled Final State:

$$|\tilde{P}_F, \tilde{\ell}_\alpha^+, \tilde{\nu}_\alpha\rangle \propto -i \int d^4x \mathcal{H}_I^P(x) |P_I\rangle$$

Disentangled by Interaction with Surrounding Medium  
(Measurement):

$$\begin{aligned} |\nu_\alpha\rangle &\propto \langle P_F, \ell_\alpha^+ | \tilde{P}_F, \tilde{\ell}_\alpha^+, \tilde{\nu}_\alpha \rangle \\ &\propto \langle P_F, \ell_\alpha^+ | -i \int d^4x \mathcal{H}_I^P(x) |P_I\rangle \end{aligned}$$

Effective Interaction Hamiltonian:

$$\begin{aligned} \mathcal{H}_I^P(x) &= \frac{G_F}{\sqrt{2}} \bar{\nu}_\alpha(x) \gamma^\rho (1 - \gamma_5) \ell_\alpha(x) J_\rho^P(x) \\ &= \frac{G_F}{\sqrt{2}} \sum_k U_{\alpha k}^* \bar{\nu}_k(x) \gamma^\rho (1 - \gamma_5) \ell_\alpha(x) J_\rho^P(x) \end{aligned}$$

## Production Process Localization:

$$|\chi\rangle = \int d^3p \psi_\chi(\vec{p}; \vec{p}_\chi, \sigma_{p\chi}) |\chi(\vec{p})\rangle \quad (\chi = P_I, P_F, \ell_\alpha^+)$$

## Gaussian momentum distribution:

$$\psi_\chi(\vec{p}; \vec{p}_\chi, \sigma_{p\chi}) = (2\pi\sigma_{p\chi}^2)^{-3/4} \exp\left[-\frac{(\vec{p} - \vec{p}_\chi)^2}{4\sigma_{p\chi}^2}\right]$$

## Wave function:

$$\psi_\chi(\vec{x}, t; \vec{p}_\chi, \sigma_{p\chi}) = \int \frac{d^3p}{(2\pi)^{3/2}} \psi_\chi(\vec{p}; \vec{p}_\chi, \sigma_{p\chi}) e^{-iE_\chi(\vec{p})t + i\vec{p}\vec{x}}$$

## Energy:

$$E_\chi(\vec{p}) = \sqrt{\vec{p}^2 + m_\chi^2} \simeq E_\chi + \vec{v}_\chi (\vec{p} - \vec{p}_\chi)$$

## Average Energy:

$$E_\chi \equiv E_\chi(\vec{p}_\chi) = \sqrt{\vec{p}_\chi^2 + m_\chi^2}$$

## Group Velocity:

$$\vec{v}_\chi \equiv \left. \frac{\partial E_\chi}{\partial \vec{p}} \right|_{\vec{p}=\vec{p}_\chi} = \frac{\vec{p}_\chi}{E_\chi}$$

From Gaussian integration over  $d^3p$ :

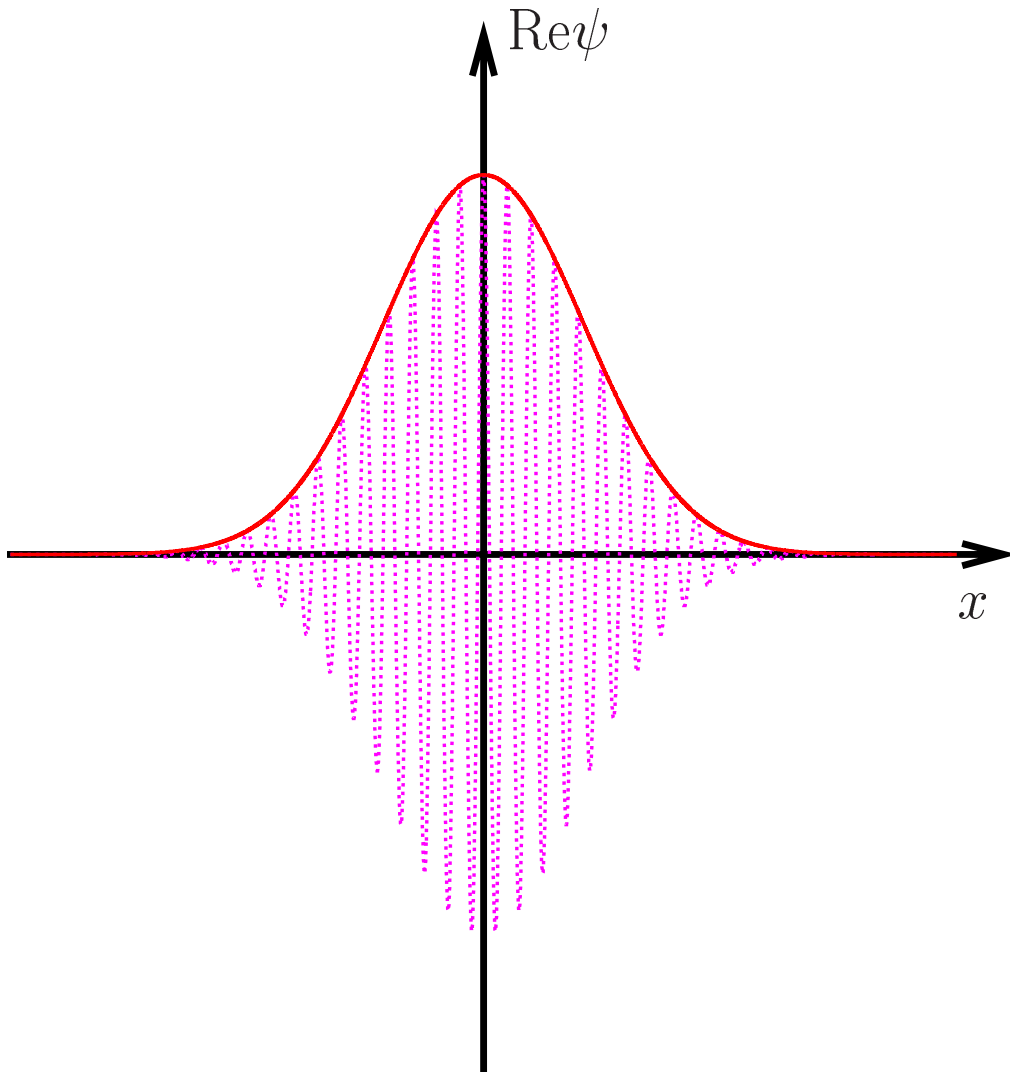
$$\psi_\chi(\vec{x}, t; \vec{p}_\chi, \sigma_{p\chi}) \simeq (2\pi\sigma_{x\chi}^2)^{-3/4} \exp \left[ -iE_\chi t + i\vec{p}_\chi \cdot \vec{x} - \frac{(\vec{x} - \vec{v}_\chi t)^2}{4\sigma_{x\chi}^2} \right]$$

Space and Momentum uncertainties:

$$\sigma_{x\chi} \sigma_{p\chi} = \frac{1}{2}$$

Squared Energy uncertainty:

$$\langle (\delta E)^2 \rangle_\chi = \langle \chi | (\hat{E} - E_\chi)^2 | \chi \rangle = \vec{v}_\chi^2 \sigma_{p\chi}^2 \quad [\text{Beuthe}]$$



## Neutrino state:

$$\begin{aligned}
 |\nu_\alpha\rangle &\propto \sum_k U_{\alpha k}^* \int d^3p \\
 &\times \int d^3p'_{PF} \psi_{PF}^* (\vec{p}'_{PF}; \vec{p}_{PF}, \sigma_{pPF}) \\
 &\times \int d^3p'_{\ell_\alpha^+} \psi_{\ell_\alpha^+}^* (\vec{p}'_{\ell_\alpha^+}; \vec{p}_{\ell_\alpha^+}, \sigma_{\ell_\alpha^+}) \\
 &\times \int d^3p'_{PI} \psi_{PI} (\vec{p}'_{PI}; \vec{p}_{PI}, \sigma_{pPI}) \\
 &\times \sum_h \bar{u}_{\nu_k} (\vec{p}, h) \gamma^\rho (1 - \gamma_5) v_{\ell_\alpha^+} (\vec{p}'_{\ell_\alpha^+}, h_{\ell_\alpha^+}) J_\rho^P (\vec{p}'_{PF}, \vec{p}'_{PI}) \\
 &\times \int d^4x e^{i(p+p'_{\ell_\alpha^+}+p'_{PF}-p'_{PI})x} |\nu_k(\vec{p}, h)\rangle
 \end{aligned}$$

From integrations over  $d^3p'_{PF}$ ,  $d^3p'_{\ell_\alpha^+}$ ,  $d^3p'_{PI}$ ,  $d^4x$ :

$$|\nu_\alpha\rangle = N_\alpha \sum_k U_{\alpha k}^* \int d^3p e^{-S_k^P(\vec{p})} \sum_h \mathcal{A}_k^P(\vec{p}, h) |\nu_k(\vec{p}, h)\rangle$$

## Amplitudes:

$$\mathcal{A}_k^P(\vec{p}, h) = \bar{u}_{\nu_k}(\vec{p}, h) \gamma^\rho (1 - \gamma_5) v_{\ell_\alpha^+}(\vec{p}_{\ell_\alpha^+}, h_{\ell_\alpha^+}) J_\rho^P(\vec{p}_{PF}, \vec{p}_{PI})$$

$e^{-S_k^P(\vec{p})}$  replaces energy-momentum  $\delta$ -function

$$S_k^P(\vec{p}) \equiv \frac{(\vec{p}_P - \vec{p})^2}{4\sigma_{pP}^2} + \frac{[E_P - E_{\nu_k}(\vec{p}) - (\vec{p}_P - \vec{p}) \cdot \vec{v}_P]^2}{4\sigma_{pP}^2\lambda_P}$$

$$\sigma_{pP} = 0 \implies \vec{p} = \vec{p}_P, \quad E_{\nu_k}(\vec{p}) = E_P$$

$$\text{Energy:} \quad E_P \equiv E_{P_I} - E_{P_F} - E_{\ell_\alpha^+}$$

$$\text{Momentum:} \quad \vec{p}_P \equiv \vec{p}_{P_I} - \vec{p}_{P_F} - \vec{p}_{\ell_\alpha^+}$$

$$\text{Space Uncertainty:} \quad \frac{1}{\sigma_{xP}^2} \equiv \frac{1}{\sigma_{xP_I}^2} + \frac{1}{\sigma_{xP_F}^2} + \frac{1}{\sigma_{xl_\alpha^+}^2}$$

$$\text{Momentum Uncertainty:} \quad \sigma_{pP}^2 = \sigma_{pP_I}^2 + \sigma_{pP_F}^2 + \sigma_{p\ell_\alpha^+}^2$$

$$\vec{v}_P \equiv \sigma_{xP}^2 \left( \frac{\vec{v}_{P_I}}{\sigma_{xP_I}^2} + \frac{\vec{v}_{P_F}}{\sigma_{xP_F}^2} + \frac{\vec{v}_{\ell_\alpha^+}}{\sigma_{xl_\alpha^+}^2} \right) \quad (0 \leq |\vec{v}_P| \leq 1)$$

$$\Sigma_P \equiv \sigma_{xP}^2 \left( \frac{\vec{v}_{P_I}^2}{\sigma_{xP_I}^2} + \frac{\vec{v}_{P_F}^2}{\sigma_{xP_F}^2} + \frac{\vec{v}_{\ell_\alpha^+}^2}{\sigma_{xl_\alpha^+}^2} \right) \quad (0 \leq \Sigma_P \leq 1)$$

$$\lambda_P \equiv \Sigma_P - \vec{v}_P^2 \quad (0 \leq \lambda_P \leq 1)$$

$|\nu_\alpha\rangle$  is a superposition of massive neutrino wave packets

$$|\nu_k\rangle = N_k \int d^3p e^{-S_k^P(\vec{p})} \sum_h \mathcal{A}_k^P(\vec{p}, h) |\nu_k(\vec{p}, h)\rangle$$

Average Momentum  $\vec{p}_k$ :  $\left. \frac{\partial S_k^P(\vec{p})}{\partial \vec{p}} \right|_{\vec{p}=\vec{p}_k} = 0, \quad \vec{p}_k = p_k \vec{\ell}$

Average Energy:  $E_k \equiv E_{\nu_k}(\vec{p}_k) = \sqrt{\vec{p}_k^2 + m_k^2}$

Group Velocity:  $\vec{v}_k \equiv \left. \frac{\partial E_{\nu_k}(\vec{p})}{\partial \vec{p}} \right|_{\vec{p}=\vec{p}_k} = \frac{\vec{p}_k}{E_k}$

Extremely Relativistic Neutrinos:

$$E_k \simeq E + \xi \frac{m_k^2}{2E} \quad p_k \simeq E - (1 - \xi) \frac{m_k^2}{2E}$$

$$\vec{p}_P = E_P \vec{\ell} \quad E = E_P \quad \xi = \frac{\lambda_P - \vec{\ell} \cdot \vec{v}_P (1 - \vec{\ell} \cdot \vec{v}_P)}{\lambda_P + (1 - \vec{\ell} \cdot \vec{v}_P)^2}$$

Squared Energy-Momentum Uncertainties:

$$\langle (\delta p)^2 \rangle_k \sim \langle (\delta E)^2 \rangle_k \sim \sigma_{pP}^2$$



Detection Process at  $(\vec{L}, T)$ :  $|\nu_\alpha(\vec{L}, T)\rangle = e^{-i\hat{E}T + i\hat{\vec{P}}\cdot\vec{L}} |\nu_\alpha\rangle$

$$|\nu_\alpha(\vec{L}, T)\rangle = N_\alpha \sum_k U_{\alpha k}^* \int d^3p e^{-iE_{\nu_k}(\vec{p})T + i\vec{p}\cdot\vec{L}} \\ \times e^{-S_k^P(\vec{p})} \sum_h \mathcal{A}_k^P(\vec{p}, h) |\nu_k(\vec{p}, h)\rangle$$

Detection Process:  $\nu_\beta + D_I \rightarrow D_F + \ell_\beta^-$

Detection Amplitude:

$$\mathcal{A}_{\alpha\beta}(\vec{L}, T) = \langle D_F, \ell_\beta^- | -i \int d^4x \mathcal{H}_I^D(x) | D_I, \nu_\alpha(\vec{L}, T) \rangle$$

Effective Interaction Hamiltonian:

$$\mathcal{H}_I^D(x) = \frac{G_F}{\sqrt{2}} \bar{\ell}_\beta(x) \gamma^\rho (1 - \gamma_5) \nu_\beta(x) J_\rho^D(x) \\ = \frac{G_F}{\sqrt{2}} \sum_j U_{\beta j} \bar{\ell}_\beta(x) \gamma^\rho (1 - \gamma_5) \nu_j(x) J_\rho^D(x)$$

Result:

$$\mathcal{A}_{\alpha\beta}(\vec{L}, T) \propto \sum_k U_{\alpha k}^* U_{\beta k} \sum_h \int d^3p \mathcal{A}_k^P(\vec{p}, h) \mathcal{A}_k^D(\vec{p}, h) e^{-S_k(\vec{p})} \\ \times \exp \left[ -iE_{\nu_k}(\vec{p})T + i\vec{p}\cdot\vec{L} \right]$$

$$S_k(\vec{p}) = S_k^P(\vec{p}) + S_k^D(\vec{p})$$

$$\begin{aligned}
S_k(\vec{p}) &= S_k^P(\vec{p}) + S_k^D(\vec{p}) \\
&= \frac{(\vec{p}_P - \vec{p})^2}{4\sigma_{pP}^2} + \frac{[(E_P - E_{\nu_k}(\vec{p})) - (\vec{p}_P - \vec{p}) \cdot \vec{v}_P]^2}{4\sigma_{pP}^2\lambda_P} \\
&\quad + \frac{(\vec{p}_D - \vec{p})^2}{4\sigma_{pD}^2} + \frac{[(E_D - E_{\nu_k}(\vec{p})) - (\vec{p}_D - \vec{p}) \cdot \vec{v}_D]^2}{4\sigma_{pD}^2\lambda_D}
\end{aligned}$$

$e^{-S_k(\vec{p})}$  replaces energy-momentum  $\delta$ -function

$$\sigma_{pP} = 0 \implies \vec{p} = \vec{p}_P, \quad E_{\nu_k}(\vec{p}) = E_P$$

$$\sigma_{pD} = 0 \implies \vec{p} = \vec{p}_D, \quad E_{\nu_k}(\vec{p}) = E_D$$



only one massive neutrino contribution



**! no oscillations !**

Integration over  $d^3p$  with saddle-point approximation around minimum of  $S_k(\vec{p})$ :

$$\left. \frac{\partial S_k}{\partial \vec{p}} \right|_{\vec{p}=\vec{q}_k} = 0 \quad \text{Energy: } \varepsilon_k \equiv E_{\nu_k}(\vec{q}_k) = \sqrt{\vec{q}_k^2 + m_k^2}$$

! In general  $\vec{q}_k, \varepsilon_k \neq \vec{p}_k, E_k$  !

$$\begin{aligned} \mathcal{A}_{\alpha\beta}(\vec{L}, T) \propto & \sum_k U_{\alpha k}^* U_{\beta k} \sum_h \frac{\mathcal{A}_k^P(\vec{q}_k, h) \mathcal{A}_k^D(\vec{q}_k, h)}{\sqrt{\text{Det}\Omega_k}} e^{-S_k(\vec{q}_k)} \\ & \times \exp \left[ -i\varepsilon_k T + iq_k L - \frac{(L - u_k T)^2}{4\eta_k^2} \right] \end{aligned}$$

Extremely relativistic neutrinos:

$$\varepsilon_k \simeq E + \rho \frac{m_k^2}{2E} \quad q_k \simeq E - (1 - \rho) \frac{m_k^2}{2E} \quad u_k \simeq 1 - \frac{m_k^2}{2E^2}$$

$$\vec{p}_P = E_P \vec{\ell} \quad \vec{p}_D = E_D \vec{\ell} \quad \vec{q}_k = q_k \vec{\ell} \quad E_P = E_D = E$$

$$\sigma_x^2 = \sigma_{xP}^2 + \sigma_{xD}^2 \quad \frac{1}{\sigma_p^2} = \frac{1}{\sigma_{pP}^2} + \frac{1}{\sigma_{pD}^2} \quad \eta_k \sim \sigma_x$$

$$\rho = \frac{\frac{1}{\sigma_p^2} - \frac{\vec{\ell} \cdot \vec{v}_P (1 - \vec{\ell} \cdot \vec{v}_P)}{\sigma_{pP}^2 \lambda_P} - \frac{\vec{\ell} \cdot \vec{v}_D (1 - \vec{\ell} \cdot \vec{v}_D)}{\sigma_{pD}^2 \lambda_D}}{\frac{1}{\sigma_p^2} + \frac{(1 - \vec{\ell} \cdot \vec{v}_P)^2}{\sigma_{pP}^2 \lambda_P} + \frac{(\vec{\ell} \cdot \vec{v}_D - 1)^2}{\sigma_{pD}^2 \lambda_D}}$$

$$\mathcal{A}_{\alpha\beta}(\vec{L}, T) \propto \sum_k U_{\alpha k}^* U_{\beta k} \exp \left[ -i\varepsilon_k T + iq_k L - \frac{(L - u_k T)^2}{4\eta^2} \right]$$

Formally  $\mathcal{A}_{\alpha\beta}(\vec{L}, T)$  can be obtained in the standard way:

$$\mathcal{A}_{\alpha\beta}(\vec{L}, T) \propto \langle \nu_\beta | \nu_\alpha(\vec{L}, T) \rangle \quad \text{with}$$

$$|\nu_\beta\rangle = N_\beta \sum_k U_{\beta k}^* \int d^3p e^{-S_k^D(\vec{p})} \sum_h \mathcal{A}_k^D(\vec{p}, h) |\nu_k(\vec{p}, h)\rangle$$

But calculation of coefficients of massive neutrino components of  $|\nu_\alpha\rangle$  and  $|\nu_\beta\rangle$  needs **Quantum Field Theory!**

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Space-Time Transition Probability:

$$P_{\alpha\beta}(\vec{L}, T) \propto |\mathcal{A}_{\alpha\beta}(\vec{L}, T)|^2$$

Transition Probability in Space:

$$P_{\alpha\beta}(\vec{L}) \propto \int dT |\mathcal{A}_{\alpha\beta}(\vec{L}, T)|^2$$

## Transition Probability in Space:

$$P_{\alpha\beta}(\vec{L}) = \sum_k |U_{\alpha k}|^2 |U_{\beta k}|^2 + 2\text{Re} \sum_{k>j} U_{\alpha k}^* U_{\beta k} U_{\alpha j} U_{\beta j}^* \times \exp \left[ -2\pi i \frac{L}{L_{ab}^{\text{osc}}} - \left( \frac{L}{L_{ab}^{\text{coh}}} \right)^2 - 2\pi^2 \rho^2 \omega \left( \frac{\sigma_x}{L_{ab}^{\text{osc}}} \right)^2 \right]$$

Oscillation Lengths:  $L_{ab}^{\text{osc}} = \frac{4\pi E}{|\Delta m_{ab}^2|}$

Coherence Lengths:  $L_{ab}^{\text{coh}} = \frac{4\sqrt{2\omega} E^2}{|\Delta m_{ab}^2|} \sigma_x$

Normally  $\rho \neq 0$  and  $\omega \sim 1$

Necessary Localization:  $\sigma_x \ll L_{ab}^{\text{osc}}$

Special unrealistic possibility:

$$\rho = 0, \quad \omega \rightarrow \infty, \quad \rho^2 \omega = 0 \quad \implies \quad L_{ab}^{\text{coh}} \rightarrow \infty$$

Example:  $\vec{v}_{D_I} = 0, \quad \sigma_{pD_F} = \sigma_{pl_{\beta}^-} = 0 \implies \rho = 0, \quad \omega = \infty$

if  $\sigma_{xD_F} = \sigma_{xl_{\beta}^-} = \infty$  how  $\nu$  is detected?

# CONCLUSIONS

~> Standard expression for oscillation length is robust.

~> Relativistic approximation is crucial.

In general Equal Momentum or Energy Assumptions do not correspond to reality (incompatible with Lorentz invariance).

~> Oscillation probability must be Lorentz invariant because different observers measure the same flavor transition probability  $\Rightarrow$  oscillations in space and time.

~> Wave Packet treatment is necessary for approximation  $T \simeq L \Rightarrow$  oscillations in space.

Quantum Field Theory allows to calculate the effects of Production and Detection processes.

Free propagating neutrino can be described by appropriate state.

~> Effect of Detection process: energies and momenta of massive neutrino components relevant for oscillations are different from those of propagating neutrino state.