Neutrino Masses in Particle Physics and Cosmology

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Meeting in Honor of Samoil Bilenky's 80 Years





Barcelona, Park Guell by Antoni Gaudi, November 2002









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Outline

- Brief Introduction to Neutrino Masses and Mixing
- Three-Neutrino Mixing
- Absolute Scale of Neutrino Masses
- Conclusions

Brief Introduction to Neutrino Masses and Mixing

- Brief Introduction to Neutrino Masses and Mixing
 - Standard Model: Massless Neutrinos
 - Extension of the SM: Massive Neutrinos
 - Lepton Numbers
 - Two-Neutrino Mixing and Oscillations
- Three-Neutrino Mixing
- Absolute Scale of Neutrino Masses
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Standard Model: Massless Neutrinos



Standard Model: $\nu_L, \nu_L^c = (\nu^c)_R \implies$ no Dirac mass term $\mathcal{L}^{\mathsf{D}} \sim m^{\mathsf{D}} \overline{\nu_L} \nu_R \qquad (\text{no } \nu_R, (\nu^c)_L)$

Majorana Neutrino: $\nu \equiv \nu^c$

 $(\nu^c)_R \equiv \nu_R \implies Majorana mass term$ $\mathcal{L}^{\mathsf{M}} \sim m^{\mathsf{M}} \overline{\nu_L} \nu_L^c = m^{\mathsf{M}} \overline{\nu_L} (\nu^c)_R$

Standard Model: Majorana mass term not allowed by $SU(2)_L \times U(1)_Y$ (no Higgs triplet)

Extension of the SM: Massive Neutrinos

Standard Model can be extended with ν_R (e_L , e_R ; u_L , u_R ; d_L , d_R ; ...) $\nu_L + \nu_R \Rightarrow$ Dirac neutrino mass term $\mathcal{L}^{\mathsf{D}} \sim m^{\mathsf{D}} \overline{\nu_L} \nu_R \Rightarrow m^{\mathsf{D}} \lesssim 100 \, \text{GeV}$ surprise: Majorana neutrino mass for ν_R is allowed! $\mathcal{L}_R^{\mathsf{M}} \sim m_R^{\mathsf{M}} (\nu^c)_L \nu_R$ total neutrino mass term $\mathcal{L}^{D+M} \sim (\overline{\nu_L} \ \overline{(\nu^c)_L}) \begin{pmatrix} 0 & m^D \\ m^D & m^M_P \end{pmatrix} \begin{pmatrix} (\nu^c)_R \\ \nu_R \end{pmatrix}$ $m_R^{\rm M}$ can be arbitrarily large (not protected by SM symmetries) $m_R^{\rm M} \sim$ scale of new physics beyond Standard Model $\Rightarrow m_R^{\rm M} \gg m^{\rm D}$ diagonalization of $\begin{pmatrix} 0 & m^{\rm D} \\ m^{\rm D} & m^{\rm M}_{\rm D} \end{pmatrix} \Rightarrow m_1 \simeq \frac{(m^{\rm D})^2}{m^{\rm M}}, \quad m_2 \simeq m^{\rm M}_R$ natural explanation of smallness of light neutrino masses massive neutrinos are Majorana! $3\text{-}\text{GEN} \Rightarrow \text{effective low-energy } 3\text{-}\nu \text{ mixing}$ see-saw mechanism [Minkowski, PLB 67 (1977) 42] [Yanagida (1979); Gell-Mann, Ramond, Slansky (1979); Mohapatra, Senjanovic, PRL 44 (1980) 912]

Lepton Numbers

Standard Model: Lepton numbers are conserved L_{τ} $L = L_e + L_\mu + L_\tau$ Dirac mass term $m^{D}\overline{\nu_{L}}\nu_{R} \rightarrow (\overline{\nu_{eL}} \ \overline{\nu_{\mu L}} \ \overline{\nu_{\tau L}}) \begin{pmatrix} m_{ee}^{D} & m_{e\mu}^{D} & m_{e\tau}^{D} \\ m_{\mu e}^{D} & m_{\mu \mu}^{D} & m_{\mu \tau}^{D} \\ m^{D} & m^{D} & m^{D} \end{pmatrix} \begin{pmatrix} \nu_{eR} \\ \nu_{\mu R} \\ \nu_{\tau R} \end{pmatrix}$ L_e , L_{μ} , L_{τ} are not conserved, but L is conserved $L(\nu_{\alpha R}) = L(\nu_{\beta L}) \Rightarrow |\Delta L| = 0$ Majorana mass term $m^{\mathsf{M}}\overline{\nu_{L}}(\nu^{c})_{R} \rightarrow (\overline{\nu_{eL}} \quad \overline{\nu_{\mu L}} \quad \overline{\nu_{\tau L}}) \begin{pmatrix} m^{\mathsf{M}}_{e\mu} & m^{\mathsf{M}}_{e\mu} & m^{\mathsf{M}}_{e\tau} \\ m^{\mathsf{M}}_{\mu e} & m^{\mathsf{M}}_{\mu \mu} & m^{\mathsf{M}}_{\mu \tau} \\ m^{\mathsf{M}}_{\mu e} & m^{\mathsf{M}}_{\mu \mu} & m^{\mathsf{M}}_{\mu \tau} \end{pmatrix} \begin{pmatrix} (\nu^{c}_{e})_{R} \\ (\nu^{c}_{\mu})_{R} \\ (\nu^{c}_{e})_{R} \end{pmatrix}$

L, L_e , L_μ , L_τ are not conserved $L(\nu_{\alpha}^{\,c}) = -L(\nu_{\beta}) \Rightarrow |\Delta L| = 2$

Two-Neutrino Mixing and Oscillations

$$|\nu_{\alpha}\rangle = \sum_{k=1}^{2} U_{\alpha k} |\nu_{k}\rangle \qquad (\alpha = e, \mu)$$

$$U = \begin{pmatrix} \cos\vartheta & \sin\vartheta \\ -\sin\vartheta & \cos\vartheta \end{pmatrix}$$

$$|\nu_{e}\rangle = \cos\vartheta |\nu_{1}\rangle + \sin\vartheta |\nu_{2}\rangle \\ |\nu_{\mu}\rangle = -\sin\vartheta |\nu_{1}\rangle + \cos\vartheta |\nu_{2}\rangle$$

$$\Delta m^2 \equiv \Delta m_{21}^2 \equiv m_2^2 - m_1^2$$

Transition Probability:

$$P_{\nu_e \to \nu_{\mu}} = P_{\nu_{\mu} \to \nu_{e}} = \sin^2 2\vartheta \sin^2 \left(\frac{\Delta m^2 L}{4E}\right)$$

 ν_2

Survival Probabilities: $P_{\nu_e \to \nu_e} = P_{\nu_\mu \to \nu_\mu} = 1 - P_{\nu_e \to \nu_\mu}$

Three-Neutrino Mixing

Brief Introduction to Neutrino Masses and Mixing

Three-Neutrino Mixing

- Experimental Evidences of Neutrino Oscillations
- Three-Neutrino Mixing
- Allowed Three-Neutrino Schemes
- Mixing Matrix

• Absolute Scale of Neutrino Masses

Conclusions

Experimental Evidences of Neutrino Oscillations



Three-Neutrino Mixing

$$u_{lpha L} = \sum_{k=1}^{3} U_{lpha k} \,
u_{kL} \qquad (lpha = e, \mu, \tau)$$

three flavor fields: $u_e, \, \nu_\mu, \, \nu_\tau$

three massive fields: ν_1 , ν_2 , ν_3

 $\Delta m^2_{
m SOL} = \Delta m^2_{
m 21} \simeq (7.6 \pm 0.2) \times 10^{-5} \, {
m eV}^2$

 $\Delta m^2_{\rm ATM} \simeq |\Delta m^2_{31}| \simeq |\Delta m^2_{32}| \simeq (2.4 \pm 0.1) \times 10^{-3} \, {\rm eV}^2$

Allowed Three-Neutrino Schemes



different signs of $\Delta m_{31}^2 \simeq \Delta m_{32}^2$

absolute scale is not determined by neutrino oscillation data

Mixing Matrix



$$\begin{split} & \text{TWO-NEUTRINO SOLAR and ATMOSPHERIC } \nu \text{ OSCILLATIONS ARE OK!} \\ & \sin^2 \vartheta_{\text{SOL}} = \frac{|U_{e2}|^2}{1 - |U_{e3}|^2} \simeq |U_{e2}|^2 \quad \sin^2 \vartheta_{\text{ATM}} = |U_{\mu3}|^2 \quad \begin{bmatrix} \text{Bilenky, Giunti, PLB 444 (1998) 379} \\ & \text{[Guo, Xing, PRD 67 (2003) 053002]} \end{bmatrix} \end{split}$$

Bilarge Mixing

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{13}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{13}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_2} & 0 \\ 0 & 0 & e^{i\lambda_3} \end{pmatrix}$$

$$\frac{\vartheta_{23} \simeq \vartheta_{\text{ATM}}}{\vartheta_{13} \simeq \vartheta_{\text{CHOOZ}}} \qquad \frac{\vartheta_{12} \simeq \vartheta_{\text{SOL}}}{\vartheta_{12} \simeq \vartheta_{\text{SOL}}} \qquad \beta\beta_{0\nu}$$

 $=\begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{13}} \\ -s_{12}c_{23}-c_{12}s_{23}s_{13}e^{i\delta_{13}} & c_{12}c_{23}-s_{12}s_{23}s_{13}e^{i\delta_{13}} & s_{23}c_{13} \\ s_{12}s_{23}-c_{12}c_{23}s_{13}e^{i\delta_{13}} & -c_{12}s_{23}-s_{12}c_{23}s_{13}e^{i\delta_{13}} & c_{23}c_{13} \end{pmatrix}\begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_2} & 0 \\ 0 & 0 & e^{i\lambda_3} \end{pmatrix}$

Global Analysis \implies sin² $\vartheta_{13} < 0.035$ (90% C.L.) [Schwetz et al, hep-ph/0808.2016]

 $\sin^2 artheta_{13} = 0.016 \pm 0.010$ [Fogli, Lisi, Marrone, Palazzo, Rotunno, PRL 101 (2008) 141801, hep-ph/0808.2016]

 $\sin^2 artheta_{13} = 0.014 \pm 0.008$ (Bayesian) [Ge, Giunti, Liu, hep-ph/0810.5443]

Absolute Scale of Neutrino Masses

- Brief Introduction to Neutrino Masses and Mixing
- Three-Neutrino Mixing
- Absolute Scale of Neutrino Masses
 - Mass Hierarchy or Degeneracy?
 - Tritium Beta-Decay
 - Cosmological Bound on Neutrino Masses
 - Neutrinoless Double-Beta Decay
- Conclusions

Mass Hierarchy or Degeneracy?



Quasi-Degenerate for $m_1\simeq m_2\simeq m_3\simeq m_
u\gg \sqrt{\Delta m_{\rm ATM}^2}\simeq 5 imes 10^{-2}\,{\rm eV}$

Tritium Beta-Decay



Neutrino Mixing
$$\implies \mathcal{K}(T) = \left[(Q - T) \sum_{k} |U_{ek}|^{2} \sqrt{(Q - T)^{2} - m_{k}^{2}} \right]^{1/2}$$

analysis of data is
different from the
no-mixing case:
 $2N - 1$ parameters
 $\left(\sum_{k} |U_{ek}|^{2} = 1 \right)$
if experiment is not sensitive to masses $(m_{k} \ll Q - T)$
effective mass:
 $m_{\beta}^{2} = \sum_{k} |U_{ek}|^{2} m_{k}^{2}$
 $\mathcal{K}^{2} = (Q - T)^{2} \sum_{k} |U_{ek}|^{2} \sqrt{1 - \frac{m_{k}^{2}}{(Q - T)^{2}}} \simeq (Q - T)^{2} \sum_{k} |U_{ek}|^{2} \left[1 - \frac{1}{2} \frac{m_{k}^{2}}{(Q - T)^{2}} \right]$

$m_{\beta}^2 = |U_{e1}|^2 m_1^2 + |U_{e2}|^2 m_2^2 + |U_{e3}|^2 m_3^2$



Quasi-Degenerate: $m_1 \simeq m_2 \simeq m_3 \simeq m_\nu \implies m_\beta^2 \simeq m_\nu^2 \sum_k |U_{ek}|^2 = m_\nu^2$ FUTURE: IF $m_\beta \lesssim 4 \times 10^{-2} \,\text{eV} \implies$ NORMAL HIERARCHY

Cosmological Bound on Neutrino Masses

neutrinos are in equilibrium in primeval plasma through weak interaction reactions $\nu \bar{\nu} \stackrel{\leftarrow}{\hookrightarrow} e^+ e^- \stackrel{(-)}{\nu} e \stackrel{(-)}{\hookrightarrow} e^- \stackrel{(-)}{\nu} N \stackrel{\leftarrow}{\hookrightarrow} \stackrel{(-)}{\nu} N \quad \nu_e n \stackrel{\leftarrow}{\hookrightarrow} p e^- \quad \bar{\nu}_e p \stackrel{\leftarrow}{\hookrightarrow} n e^+ \quad n \stackrel{\leftarrow}{\hookrightarrow} p e^- \bar{\nu}_e$

weak interactions freeze out $\Gamma_{\text{weak}} = N\sigma v \sim G_{\text{F}}^{2} T^{5} \sim T^{2} / M_{P} \sim \sqrt{G_{N} T^{4}} \sim \sqrt{G_{N} \rho} \sim H \implies \frac{T_{\text{dec}} \sim 1 \text{ MeV}}{T_{\text{neutrino decoupling}}}$ Relic Neutrinos: $T_{\nu} = \left(\frac{4}{11}\right)^{\frac{1}{3}} T_{\gamma} \simeq 1.945 \text{ K} \implies k T_{\nu} \simeq 1.676 \times 10^{-4} \text{ eV}$

number density: $n_f = \frac{3}{4} \frac{\zeta(3)}{\pi^2} g_f T_f^3 \implies n_{\nu_k, \bar{\nu}_k} \simeq 0.1827 \ T_{\nu}^3 \simeq 112 \, \mathrm{cm}^{-3}$

 $\begin{array}{ll} \text{density contribution:} & \Omega_k = \frac{n_{\nu_k, \bar{\nu}_k} m_k}{\rho_c} \simeq \frac{1}{h^2} \frac{m_k}{94.14 \text{ eV}} \Longrightarrow & \Omega_\nu \ h^2 = \frac{\sum_k m_k}{94.14 \text{ eV}} \\ & \text{[Gershtein, Zeldovich, JETP Lett. 4 (1966) 120] [Cowsik, McClelland, PRL 29 (1972) 669]} \\ & h \sim 0.7, \quad \Omega_\nu \lesssim 0.3 \qquad \Longrightarrow \qquad \sum_k m_k \lesssim 14 \text{ eV} \end{array}$

Power Spectrum of Density Fluctuations



hot dark matter prevents early galaxy formation $\delta(\vec{x}) \equiv \frac{\rho(\vec{x}) - \overline{\rho}}{\overline{z}}$ $\langle \delta(\vec{x}_1)\delta(\vec{x}_2) \rangle = \int \frac{\mathrm{d}^3 k}{(2\pi)^3} e^{i\vec{k}\cdot\vec{x}} P(\vec{k})$ small scale suppression $\frac{\Delta P(k)}{P(k)} \approx -8 \frac{\Omega_{\nu}}{\Omega_{m}}$ $\approx -0.8 \left(\frac{\sum_{k} m_{k}}{1 \text{ eV}}\right) \left(\frac{0.1}{\Omega_{m} h^{2}}\right)$ for

 $k\gtrsim k_{
m nr}pprox 0.026\,\sqrt{rac{m_
u}{1\,{
m eV}}}\sqrt{\Omega_m}\,h\,{
m Mpc}^{-1}$

[Hu, Eisenstein, Tegmark, PRL 80 (1998) 5255]

WMAP (First Year), AJ SS 148 (2003) 175, astro-ph/0302209 CMB (WMAP, ...) + LSS (2dFGRS) + HST + SN-Ia \implies Flat \land CDM $T_0 = 13.7 \pm 0.2 \,\text{Gyr}$ $h = 0.71^{+0.04}_{-0.03}$ $\Omega_0 = 1.02 \pm 0.02$ $\Omega_b = 0.044 \pm 0.004$ $\Omega_m = 0.27 \pm 0.04$ $\Omega_{\nu} h^2 < 0.0076 \quad (95\% \text{ conf.}) \implies \sum_{k=1}^{3} m_k < 0.71 \, \mathrm{eV}$ k=1WMAP (Five Years), astro-ph/0803.0547 CMB + HST + SN-Ia + BAO $T_0 = 13.72 \pm 0.12 \,\text{Gyr}$ $h = 0.705 \pm 0.013$ $-0.0179 < \Omega_0 - 1 < 0.0081$ (95% C.L.) $\Omega_b = 0.0456 \pm 0.0015$ $\Omega_m = 0.274 \pm 0.013$ $\sum m_k < 0.67 \, {
m eV} \quad (95\% \, {
m C.L.}) \qquad \qquad N_{
m eff} = 4.4 \pm 1.5$ C. Giunti – Neutrino Masses in Particle Physics and Cosmology – 10 November 2008, Dubna – 23

Fogli, Lisi, Marrone, Melchiorri, Palazzo, Rotunno, Serra, Silk, Slosar

[PRD 78 (2008) 033010, hep-ph/0805.2517]

Flat ACDM

Case	Cosmological data set	Σ (at 2σ)
1	СМВ	$< 1.19 \mathrm{eV}$
2	CMB + LSS	< 0.71 eV
3	CMB + HST + SN-Ia	$< 0.75 { m eV}$
4	CMB + HST + SN-Ia + BAO	< 0.60 eV
5	$CMB + HST + SN-Ia + BAO + Ly\alpha$	$< 0.19 \mathrm{eV}$

 2σ (95% C.L.) constraints on the sum of ν masses Σ .



Neutrinoless Double-Beta Decay



Two-Neutrino Double- β Decay: $\Delta L = 0$

$$\mathcal{N}(A,Z)
ightarrow \mathcal{N}(A,Z+2) + e^- + e^- + ar{
u}_e + ar{
u}_e$$

 $(T_{1/2}^{2\nu})^{-1} = G_{2\nu} |\mathcal{M}_{2\nu}|^2$

second order weak interaction process in the Standard Model

Neutrinoless Double- β Decay: $\Delta L = 2$ $\mathcal{N}(A, Z) \rightarrow \mathcal{N}(A, Z + 2) + e^- + e^ (T_{1/2}^{0\nu})^{-1} = G_{0\nu} |\mathcal{M}_{0\nu}|^2 |m_{\beta\beta}|^2$ effective Majorana $m_{\beta\beta} = \sum_k U_{ek}^2 m_k$ mass





Effective Majorana Neutrino Mass





FUTURE EXPERIMENTSCOBRA, XMASS, CAMEO, CANDLES $|m_{\beta\beta}| \sim \text{few } 10^{-1} \text{ eV}$ EXO, MOON, Super-NEMO, CUORE, Majorana, GEM, GERDA $|m_{\beta\beta}| \sim \text{few } 10^{-2} \text{ eV}$

Nuclear Matrix Element

Faessler, Fogli, Lisi, Rodin, Rotunno, Simkovic





Bounds from Neutrino Oscillations

$$m_{etaeta} = |U_{e1}|^2 \, m_1 + |U_{e2}|^2 \, e^{i lpha_{21}} \, m_2 + |U_{e3}|^2 \, e^{i lpha_{31}} \, m_3$$



FUTURE: IF $|m_{\beta\beta}| \lesssim 10^{-2} \text{ eV} \implies$ NORMAL HIERARCHY

$\beta\beta_{0\nu}$ Decay \Leftrightarrow Majorana Neutrino Mass



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Experimental Positive Indication

[Klapdor et al., MPLA 16 (2001) 2409; FP 32 (2002) 1181; NIMA 522 (2004) 371; PLB 586 (2004) 198] $T_{1/2}^{0\nu \text{ bf}} = 1.19 \times 10^{25} \text{ y} \quad T_{1/2}^{0\nu} = (0.69 - 4.18) \times 10^{25} \text{ y} (3\sigma) \quad 4.2\sigma \text{ evidence}$



the indication must be checked by other experiments

 $1.35 \lesssim |\mathcal{M}_{0\nu}| \lesssim 4.12 \implies 0.22 \,\mathrm{eV} \lesssim |m_{\beta\beta}| \lesssim 1.6 \,\mathrm{eV}$

if confirmed, very exciting (Majorana ν and large mass scale)

Indication of $\beta \beta_{0\nu}$ Decay: $0.22 \,\mathrm{eV} \lesssim |m_{\beta\beta}| \lesssim 1.6 \,\mathrm{eV}$ (~ 3σ range)



[13]

11188

tension among

oscillation data – CMB+HST+SN-la+BAO(+Ly α) – $\beta\beta_{0\nu}$ signal

Conclusions

FUTURE

Theory: Why lepton mixing \neq quark mixing? (Due to Majorana nature of ν 's?) Why only $|U_{e3}|^2 \ll 1$? Continue improvement of $\mathcal{M}_{0\nu}$ uncertainties. Exp.: Measure $|U_{e3}| > 0 \Rightarrow$ CP viol., matter effects, mass hierarchy Check $\beta\beta_{0\nu}$ signal at Quasi-Degenerate mass scale Improve β Decay, Cosmology, $\beta\beta_{0\nu}$ Decay measurements