Phenomenology of Sterile Neutrinos

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4 May 2010



4-6 May 2010, Padova C. Giunti – Phenomenology of Sterile Neutrinos – 4 May 2010 – 1

Standard Model: Massless Neutrinos

► Standard Model: $\nu_L, \nu_R^c \implies$ no Dirac mass term

 $\mathcal{L}^{\mathsf{D}} = m^{\mathsf{D}} \left(\overline{\nu_L} \nu_R + \overline{\nu_R} \nu_L \right)$

- Majorana Neutrino: $\nu^c = \nu$
- $\nu_R^c = \nu_R \implies$ Majorana mass term

$$\mathcal{L}^{\mathsf{M}} = \frac{1}{2} m^{\mathsf{M}} \left(\overline{\nu_L} \nu_R^{\mathsf{c}} + \overline{\nu_R^{\mathsf{c}}} \nu_L \right)$$

 Standard Model: Majorana mass term not allowed by SU(2)_L × U(1)_Y (no Higgs triplet)

- Neutrinos are special in the Standard Model: the only neutral fermions
- ► In extensions of the SM neutrinos can mix with non-SM fermions $L_L = \begin{pmatrix} \nu_{eL} \\ e_L \end{pmatrix} \begin{pmatrix} I=1/2 \\ Y=-1 \end{pmatrix} \quad \Phi = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} \begin{pmatrix} I=1/2 \\ Y=+1 \end{pmatrix} \quad \tilde{\Phi} = i\tau_2 \Phi^* \begin{pmatrix} I=1/2 \\ Y=-1 \end{pmatrix}$ new non-SM chiral fermion field $f_R \begin{pmatrix} I=0 \\ Y=0 \end{pmatrix}$ Dirac mass term $\sim \overline{L_L} \tilde{\Phi} f_R + M$ ajorana mass term $\sim \overline{f_R^C} f_R$ f_R is often called Right-Handed Neutrino: $f_R \to \nu_R$
- ▶ If these non-SM fermions are light, they are called Sterile Neutrinos: $\nu_{sL} = \nu_R^C$
- Active Neutrinos $(\nu_e, \nu_\mu, \nu_\tau)$ can oscillate into Sterile Neutrinos (ν_s)
- Extremely interesting window on Physics Beyond the SM
- Observable: Disappearance of Active Neutrinos or indirect evidence through combined fit of data

Sterile Neutrinos

- Sterile means No Standard Model Interactions
- Obviously no electromagnetic interactions as normal active neutrinos
- Thus Sterile means No Standard Weak Interactions
- But Sterile Neutrinos are not absolutely sterile:
 - Gravitational Interactions
 - New Non-Standard Interactions of the Physics Beyond the Standard Model which generates the masses of sterile neutrinos

Experimental Evidences of Neutrino Oscillations



Three-Neutrino Mixing

$$u_{lpha L} = \sum_{k=1}^{3} U_{lpha k} \,
u_{kL} \qquad (lpha = e, \mu, au)$$

three flavor fields: u_e , u_μ , $u_ au$

three massive fields: ν_1 , ν_2 , ν_3

$$\Delta m_{21}^2 + \Delta m_{32}^2 + \Delta m_{13}^2 = m_2^2 - m_1^2 + m_3^2 - m_2^2 + m_1^2 - m_3^2 = 0$$

$$\Delta m^2_{
m SOL} = \Delta m^2_{
m 21} \simeq 7.6 imes 10^{-5} \, {
m eV}^2$$

 $\Delta m^2_{
m ATM} \simeq |\Delta m^2_{
m 31}| \simeq |\Delta m^2_{
m 32}| \simeq 2.4 imes 10^{-3} \, {
m eV}^2$

Allowed Three-Neutrino Schemes



different signs of $\Delta m_{31}^2 \simeq \Delta m_{32}^2$

absolute scale is not determined by neutrino oscillation data

- \blacktriangleright Interesting possibility: a new $\Delta m^2\gtrsim 1\,{\rm eV}^2$
- It has been studied in connection with searches of neutrino oscillations in Short-BaseLine experiments
- Necessary introduction of at least one new massive neutrino: $4-\nu$ Mixing

Number of Flavor and Massive Neutrinos?



$$\Gamma_{Z} = \sum_{\ell=e,\mu,\tau} \Gamma_{Z \to \ell \bar{\ell}} + \sum_{q \neq t} \Gamma_{Z \to q \bar{q}} + \Gamma_{\text{inv}} \qquad \qquad \Gamma_{\text{inv}} = N_{\nu} \, \Gamma_{Z \to \nu \bar{\nu}}$$

$$N_{\nu} = 2.9840 \pm 0.0082$$

 $e^+e^- \to Z \to \nu\bar{\nu} \implies \nu_e \ \nu_\mu \ \nu_\tau \quad \text{active flavor neutrinos}$ mixing $\Rightarrow \nu_{\alpha L} = \sum_{k=1}^N U_{\alpha k} \nu_{kL} \qquad \alpha = e, \mu, \tau \qquad \begin{array}{c} N \ge 3\\ \text{no upper limit!} \end{array}$ Mass Basis: $\nu_1 \ \nu_2 \ \nu_3 \ \nu_4 \ \nu_5 \ \cdots$

Flavor Basis: $\nu_e \quad \nu_\mu \quad \nu_\tau \quad \nu_{s_1} \quad \nu_{s_2} \quad \cdots$ ACTIVE STERILE

STERILE NEUTRINOS

singlets of SM \implies no interactions!

active \rightarrow sterile transitions are possible if ν_4 , ... are light $\downarrow \downarrow$ disappearance of active neutrinos

Four-Neutrino Schemes: 2+2 and 3+1



LSND

[PRL 75 (1995) 2650; PRC 54 (1996) 2685; PRL 77 (1996) 3082; PRD 64 (2001) 112007]

 $ar{
u}_{\mu}
ightarrow ar{
u}_{e} \qquad L \simeq 30 \, \mathrm{m} \qquad 20 \, \mathrm{MeV} < E < 200 \, \mathrm{MeV}$



2+2 Four-Neutrino Schemes



2+2 Schemes are strongly disfavored by solar and atmospheric data



[Maltoni, Schwetz, Tortola, Valle, New J. Phys. 6 (2004) 122, arXiv:hep-ph/0405172]

$$\eta_s = |U_{s1}|^2 + |U_{s2}|^2$$
 99% CL:
 $\begin{cases} \eta_s < 0.25 & (\text{solar} + \text{KamLAND}) \\ \eta_s > 0.75 & (\text{atmospheric} + \text{K2K}) \end{cases}$

3+1 Four-Neutrino Schemes



Effective SBL Oscillation Probability in 3+1 Schemes

$$P_{\nu_{\alpha} \to \nu_{\beta}} = \left| \sum_{k=1}^{4} U_{\alpha k}^{*} U_{\beta k} e^{-iE_{k}t} \right|^{2} * \left| e^{iE_{1}t} \right|^{2}$$
$$= \left| \sum_{k=1}^{4} U_{\alpha k}^{*} U_{\beta k} e^{-i(E_{k} - E_{1})t} \right|^{2} \to \left| \sum_{k=1}^{4} U_{\alpha k}^{*} U_{\beta k} \exp\left(\frac{\Delta m_{k1}^{2}L}{2E}\right) \right|^{2}$$

$$\frac{\Delta m_{21}^2 L}{2E} \ll 1 \qquad \frac{\Delta m_{31}^2 L}{2E} \ll 1 \qquad \Delta m_{41}^2 \to \Delta m^2$$

$$P_{\nu_{\alpha} \to \nu_{\beta}} = \left| U_{\alpha 1}^* U_{\beta 1} + U_{\alpha 2}^* U_{\beta 2} + U_{\alpha 3}^* U_{\beta 3} + U_{\alpha 4}^* U_{\beta 4} \exp\left(\frac{\Delta m^2 L}{2E}\right) \right|^2$$

$$U_{\alpha 1}^* U_{\beta 1} + U_{\alpha 2}^* U_{\beta 2} + U_{\alpha 3}^* U_{\beta 3} = \delta_{\alpha \beta} - U_{\alpha 4}^* U_{\beta 4}$$

$$P_{\nu_{\alpha} \to \nu_{\beta}} = \left| \delta_{\alpha\beta} - U_{\alpha4}^{*} U_{\beta4} \left[1 - \exp\left(\frac{\Delta m^{2}L}{2E}\right) \right] \right|^{2}$$

$$= \delta_{\alpha\beta} + |U_{\alpha4}|^{2} |U_{\beta4}|^{2} \left(2 - 2\cos\frac{\Delta m^{2}L}{2E} \right)$$

$$- 2\delta_{\alpha\beta} |U_{\alpha4}|^{2} \left(1 - \cos\frac{\Delta m^{2}L}{2E} \right)$$

$$= \delta_{\alpha\beta} - 2|U_{\alpha4}|^{2} \left(\delta_{\alpha\beta} - |U_{\beta4}|^{2} \right) \left(1 - \cos\frac{\Delta m^{2}L}{2E} \right)$$

$$= \delta_{\alpha\beta} - 4|U_{\alpha4}|^{2} \left(\delta_{\alpha\beta} - |U_{\beta4}|^{2} \right) \sin^{2}\frac{\Delta m^{2}L}{2E}$$

$$\alpha \neq \beta \implies P_{\nu_{\alpha} \to \nu_{\beta}} = 4|U_{\alpha4}|^{2} |U_{\beta4}|^{2} \sin^{2}\left(\frac{\Delta m^{2}L}{4E}\right)$$

$$\alpha = \beta \implies P_{\nu_{\alpha} \to \nu_{\alpha}} = 4|U_{\alpha4}|^{2} \left(1 - |U_{\alpha4}|^{2} \right) \sin^{2}\left(\frac{\Delta m^{2}L}{4E}\right)$$
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U =

• ν_e disappearance experiments:

$$\sin^2 2\vartheta_{ee} = 4|U_{e4}|^2 \left(1 - |U_{e4}|^2\right) \simeq 4|U_{e4}|^2$$

• ν_{μ} disappearance experiments:

$$\sin^2 2 \vartheta_{\mu\mu} = 4 |U_{\mu4}|^2 \left(1 - |U_{\mu4}|^2\right) \simeq 4 |U_{\mu4}|^2$$

• $u_{\mu} \rightarrow \nu_{e} \text{ experiments:}$

$$\sin^2 2\vartheta_{\mu e} = 4|U_{e4}|^2|U_{\mu 4}|^2 \simeq rac{1}{4}\sin^2 2\vartheta_{ee}\sin^2 2\vartheta_{\mu \mu}$$

• Upper bounds on $\sin^2 2\vartheta_{ee}$ and $\sin^2 2\vartheta_{\mu\mu}$ imply strong limit on $\sin^2 2\vartheta_{\mu e}$

ν_e Disappearance



ν_{μ} Disappearance



 $u_{\mu}
ightarrow
u_{e}$



[Maltoni, Schwetz, Tortola, Valle, New J. Phys. 6 (2004) 122, arXiv:hep-ph/0405172]

<u>MiniBooNE</u>

[PRL 98 (2007) 231801]



- The LSND signal is strongly disfavored:
 - ▶ Not seen by other $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$ and $\nu_{\mu} \rightarrow \nu_{e}$ experiments
 - Disfavored by combined fit of data
- ► Possibility of a Δm² ≥ 1 eV² relevant for SBL experiments independent of LSND signal remains interesting: chance to discover Sterile Neutrinos and open powerful window on New Physics
- ► There are also direct searches of active-sterile transitions:
 - Solar + KamLAND: mixing smaller than 0.25 at 99% CL (constrained by matter effects and by SNO NC measurement)
 - Atmospheric + K2K: mixing smaller than 0.25 at 99% CL (constrained by matter effects)
 - Bounds from observation of NC interactions in SBL (CCFR) and LBL (MINOS) experiments



[PRD 59 (1999) 031101, arXiv:hep-ex/9809023]





- \blacktriangleright LBL ν_{μ} disappearance and $\nu_{\mu} \rightarrow \nu_{e}$ experiment with $E \sim 3\,{\rm GeV}$ and
 - Near Detector at 1.04 km
 - Far Detector at 734 km
- Events classified in two groups: CC and NC
- ▶ Information on $u_{\mu} \rightarrow \nu_{s}$ from difference between near and far NC energy spectrum
- Analysis complicated because there are five contributions to NC sample:
 - 1. Genuine NC interactions
 - 2. Misidentified ν_{μ} CC interactions
 - 3. ν_{τ} CC interactions
 - 4. Possible u_e CC interactions originating from $u_\mu
 ightarrow
 u_e$ oscillations
 - 5. CC interactions of ν_e beam component
- Assumed 4- ν Mixing with Mixing Matrix

 $U = R_{34}(\theta_{34})R_{24}(\theta_{24}, \delta_2)R_{14}(\theta_{14})R_{23}(\theta_{23})R_{13}(\theta_{13}, \delta_1)R_{12}(\theta_{12}, \delta_3)$



[MINOS, PRD 81 (2010) 052004, arXiv:1001.0336]

Gallium Anomaly

Gallium Radioactive Source Experiments Tests of the solar neutrino detectors GALLEX (Cr1, Cr2) and SAGE (Cr, Ar) $\nu_{e} + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^{-}$ Detection Process: $e^- + {}^{51}Cr \rightarrow {}^{51}V + \nu_e$ $e^- + {}^{37}Ar \rightarrow {}^{37}Cl + \nu_e$ ν_{e} Sources: ⁵¹Cr ³⁷Ar E [keV] 427 811 747 752 432 813 0.8163 0.0849 0.0895 0.902 B.R. 0.0093 0.09851Cr (27.7 days) 427 keV v (9.0%) ³⁷Ar (35.04 days) 432 keV v (0.9%) 813 keV v (9.8%) 747 keV v (81.6%) 811 keV v (90.2%) 752 keV v (8.5%) 37Cl (stable) 320 keV y [SAGE, PRC 73 (2006) 045805, nucl-ex/0512041] 51 V [SAGE, PRC 59 (1999) 2246, hep-ph/9803418]





[SAGE, PRC 73 (2006) 045805, nucl-ex/0512041]

$$R_{\rm Ga}=0.88\pm0.05$$

[SAGE, PRC 59 (1999) 2246, hep-ph/9803418]

- ► Deficit could be partly due to overestimate of $\sigma(\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-)$
- Calculation: Bahcall, PRC 56 (1997) 3391, hep-ph/9710491



• $\sigma_{G.S.}$ related to measured $\sigma(e^- + {}^{71}\text{Ge} \rightarrow {}^{71}\text{Ga} + \nu_e)$:

$$\sigma_{ ext{G.S.}}(^{51} ext{Cr}) = 55.3 imes 10^{-46} ext{ cm}^2 \left(1 \pm 0.004
ight)_{3\sigma}$$

• $\sigma(^{51}\text{Cr}) = \sigma_{G.S.}(^{51}\text{Cr})\left(1 + 0.669 \frac{\text{BGT}_{175 \text{ keV}}}{\text{BGT}_{G.S.}} + 0.220 \frac{\text{BGT}_{500 \text{ keV}}}{\text{BGT}_{G.S.}}\right)$

Contribution of Excited States only 5%!

Bahcall:

from $p + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + n$ measurements [Krofcheck et al., PRL 55 (1985) 1051] $\frac{\text{BGT}_{175 \text{ keV}}}{\text{BGT}_{CS}} < 0.056 \Rightarrow \frac{\text{BGT}_{175 \text{ keV}}}{\text{BGT}_{CS}} = \frac{0.056}{2} \qquad \frac{\text{BGT}_{500 \text{ keV}}}{\text{BGT}_{CS}} = 0.146$ 3σ lower limit: $\frac{BGT_{175 \text{ keV}}}{BGT_{CS}} = \frac{BGT_{500 \text{ keV}}}{BGT_{CS}} = 0$ 3σ upper limit: $\frac{BGT_{175 \text{ keV}}}{BGT_{CS}} < 0.056 \times 2$ $\frac{BGI_{500 \text{ keV}}}{BGT_{CS}} = 0.146 \times 2$ $\sigma(^{51}{\rm Cr}) = 58.1 \times 10^{-46} \, {\rm cm}^2 \left(1^{+0.036}_{-0.028}\right)_{\rm sc}$

Haxton: [Hata, Haxton, PLB 353 (1995) 422, nucl-th/9503017; Haxton, PLB 431 (1998) 110, nucl-th/9804011] "a sophisticated shell model calculation is performed ... for the transition to the first excited state in ⁷¹Ge. The calculation predicts destructive interference between the (p, n) spin and spin-tensor matrix elements."

$$\sigma(^{51}{
m Cr}) = 63.9 imes 10^{-46} \, {
m cm}^2 \, (1 \pm 0.106)_{1\sigma}$$

Gallium Radioactive Source Experiments are Short-BaseLine Neutrino Oscillation Experiments



Fig. 1. Region of electron neutrino oscillation parameters ruled out at 90% C.L. by the GALLEX ⁵¹Cr source experiment.

[Bahcall, Krastev, Lisi, PLB 348 (1995) 121]

	GALLEX		SAGE	
	Cr1	Cr2	Cr	Ar
R	0.953 ± 0.11	$0.812\substack{+0.10 \\ -0.11}$	0.95 ± 0.12	$0.79 \pm ^{+0.09}_{-0.10}$
$\langle L \rangle$	1.9 m		0.6 m	

$$\textit{R}_{Ga} = 0.87 \pm 0.05$$

$$P_{\nu_e o \nu_e}(L, E) = 1 - \sin^2 2 \vartheta \, \sin^2 \left(\frac{\Delta m^2 L}{4E} \right)$$

 $L_{\rm osc} \lesssim 0.5 \quad {\rm m} \implies \Delta m^2 \gtrsim 1 \, {\rm eV}^2 \implies \nu_e \to \nu_s$ $R = \frac{\int {\rm d}V \, L^{-2} \sum_i ({\rm B.R.})_i \, \sigma_i \, P_{\nu_e \to \nu_e}(L, E_i)}{2}$

$$P = \frac{\sum_{i} (B.R.)_{i} \sigma_{i} \int dV L^{-2}}{\sum_{i} (B.R.)_{i} \sigma_{i} \int dV L^{-2}}$$

[Acero, Giunti, Laveder, PRD 78 (2008) 073009, arXiv:0711.4222]



Future Promising Searches of SBL Oscillations

- SAGE is planning a new source experiment (ν_e disappearance)
- Beta-Beam experiments:

$$egin{aligned} \mathcal{N}(A,Z) &
ightarrow \mathcal{N}(A,Z+1) + e^- + ar{
u}_e & (eta^-) \ & \mathcal{N}(A,Z) &
ightarrow \mathcal{N}(A,Z-1) + e^+ +
u_e & (eta^+) \end{aligned}$$

Neutrino Factory experiments:

$$\mu^+
ightarrow ar{
u}_\mu + e^+ +
u_e$$
 $\mu^-
ightarrow
u_\mu + e^- + ar{
u}_e$

Neutrino Factory



Systematic Uncertainties: Cross Section, Detector Normalization, Energy Resolution and Calibration, Backgrounds

ν_e Disappearance



[Giunti, Laveder, Winter, PRD 80 (2009) 073005, arXiv:0907.5487]

Conclusions

- Existence of sterile neutrinos is possible
- Likely connected with neutrino mass generation
- Active-Sterile transitions have been searched in several experiments and discussed in global phenomenological analyses of data
- LSND indication of 4-Neutrino Mixing is disfavored
- ▶ Gallium Anomaly may be due to $\nu_e \rightarrow \nu_s$ oscillations with sin² 2𝔅 $\gtrsim 0.1$ and $\Delta m^2 \gtrsim 1 \, {\rm eV}^2$
- ▶ SBL oscillations can be explored with high precision in
 - Beta-Beam experiments (pure ν_e or $\bar{\nu}_e$ beam from nuclear decay)
 - Neutrino Factory experiments (ν_e and $\bar{\nu}_{\mu}$ from μ^+ decay, or $\bar{\nu}_e$ and ν_{μ} from μ^- decay)