Sterile Neutrinos: Phenomenology and Fits Marco Laveder

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Three-Neutrino Mixing Paradigm



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Beyond Three-Neutrino Mixing



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Standard Model

- Neutrinos are the only massless fermions
- Neutrinos are the only fermions with only left-handed component ν_L
- Neutrinos are the only neutral fermions

Extension of the SM: Massive Neutrinos

- ► Simplest extension: introduce right-handed component v_R (singlet of SU(2)_L × U(1)_Y)
- One generation: Dirac mass $m_D \overline{\nu_R} \nu_L$ + Majorana mass $m_M \overline{\nu_R^c} \nu_R$ \implies 2 massive Majorana neutrinos
- Three left-handed fields $+ N_R$ right-handed fields:

 $\nu_{eL}, \nu_{\mu L}, \nu_{\tau L} + \nu_{1R}, \dots, \nu_{N_R R}$

 \implies 3 + N_R massive Majorana neutrinos

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Sterile Neutrinos

• Light anti- ν_R are called sterile neutrinos

 $\nu_R^c \rightarrow \nu_{sL}$ (left-handed)

- Sterile means no standard model interactions
- Active neutrinos $(\nu_e, \nu_\mu, \nu_\tau)$ can oscillate into sterile neutrinos (ν_s)
- Observables:
 - Disappearance of active neutrinos (neutral current deficit)
 - Indirect evidence through combined fit of data (current indication)
- Short-baseline anomalies $+ 3\nu$ -mixing:

$$\Delta m_{21}^2 \ll |\Delta m_{31}^2| \ll |\Delta m_{41}^2| \le \dots$$

 $u_1 \quad
u_2 \quad
u_3 \quad
u_4 \quad \dots$
 $u_e \quad
u_\mu \quad
u_\tau \quad
u_{s_1} \quad \dots$
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- In this talk I consider sterile neutrinos with mass scale ~ 1 eV in light of LSND, MiniBooNE, Reactor Anomaly, Gallium Anomaly.
- Other possibilities (not incompatible):
 - \blacktriangleright Very light sterile neutrinos with mass scale $\ll 1\,\text{eV}$: important for solar neutrino phenomenology

[de Holanda, Smirnov, PRD 83 (2011) 113011]

► Heavy sterile neutrinos with mass scale ≫ 1 eV: could be Warm Dark Matter

[Kusenko, Phys. Rept. 481 (2009) 1]

[Boyarsky, Ruchayskiy, Shaposhnikov, Ann. Rev. Nucl. Part. Sci. 59 (2009) 191]

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Effective SBL Oscillation Probabilities in 3+1 Schemes

$$P_{\nu_{\alpha} \to \nu_{\beta}} = \sin^2 2\vartheta_{\alpha\beta} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E}\right)$$

 $\sin^2 2\vartheta_{\alpha\beta} = 4|U_{\alpha4}|^2|U_{\beta4}|^2$

No CP Violation!

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Effective SBL Oscillation Probabilities in 3+2 Schemes

 $\phi_{kj} = \Delta m_{kj}^2 L/4E$ $\eta = \arg[U_{e4}^* U_{\mu 4} U_{e5} U_{\mu 5}^*]$

$$\begin{split} P_{\substack{(-) \ \nu_{\mu} \to \nu_{e}}} &= 4|U_{e4}|^{2}|U_{\mu4}|^{2}\sin^{2}\phi_{41} + 4|U_{e5}|^{2}|U_{\mu5}|^{2}\sin^{2}\phi_{51} \\ &+ 8|U_{\mu4}U_{e4}U_{\mu5}U_{e5}|\sin\phi_{41}\sin\phi_{51}\cos(\phi_{54} \stackrel{(+)}{-}\eta) \end{split}$$

$$P_{(-)}_{\substack{\nu_{\alpha} \to \nu_{\alpha}}} = 1 - 4(1 - |U_{\alpha 4}|^2 - |U_{\alpha 5}|^2)(|U_{\alpha 4}|^2 \sin^2 \phi_{41} + |U_{\alpha 5}|^2 \sin^2 \phi_{51}) - 4|U_{\alpha 4}|^2|U_{\alpha 5}|^2 \sin^2 \phi_{54}$$

- ▶ More parameters: 7 (vs 3 in 3+1)
- CP violation

[Sorel, Conrad, Shaevitz, PRD 70 (2004) 073004; Maltoni, Schwetz, PRD 76 (2007) 093005; Karagiorgi et al, PRD 80 (2009) 073001; Kopp, Maltoni, Schwetz, PRL 107 (2011) 091801; Giunti, Laveder, PRD 84 (2011) 073008; Donini et al, arXiv:1205.5230]

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LSND

[LSND, PRL 75 (1995) 2650; PRC 54 (1996) 2685; PRL 77 (1996) 3082; PRD 64 (2001) 112007]

 $ar{
u}_{\mu}
ightarrow ar{
u}_{e} \qquad L \simeq 30 \, \mathrm{m}$

 $20 \,\mathrm{MeV} \le E \le 200 \,\mathrm{MeV}$



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MiniBooNE Antineutrinos - 2009-2010

[PRL 103 (2009) 111801; PRL 105 (2010) 181801]



- ▶ 5.7e20 POT: agreement with LSND $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$ signal (E > 475 MeV)
- similar L/E but different L and $E \Longrightarrow$ oscillations

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MiniBooNE $\bar{\nu}$ - Neutrino 2012 - 6 June



		1st half			2nd half	
	data	mc	excess	data	mc	excess
200-475	119	100.5±14.3	18.5 (1.3s)	138	100.0±14.1	38 (2.7s)
475-1250	120	99.1±14.0	20.9 (1.5s)	101	103.1±14.4	-2.2 (-0.2s)

agreement with LSND signal is sadly vanishing

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MiniBooNE ν and $\bar{\nu}$ - Neutrino 2012 - 6 June



Neutrino energy reconstruction problem?

[Martini, Ericson, Chanfray, arXiv:1202.4745]

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Reactor Electron Antineutrino Anomaly

				[Men	tion et	al,	PRD	83 ((201
0.6	0.7	0.8	0.9	1	1.1	1.2	1.3	1	.4
mп	щn	пр	III I	րդո	որո	1 1	шp	тп	П
				L .					
ROVN0 18.2 m	088 <u>3</u> S		-			0.92	±0,01	±0.07	·
BOWN 25.2 m	068_2S		-	• · · ·		0.94	±0.01	±0.07	·
ROVNO 18.2 m	0 68_ 1S			.		0.95	±0.01	±0 .07	· .
ROVN(18-0 m	068_21		-			0.93	±0.01	±0.06	
ROVNO 18.0 m	068_11			-		0-90	±0.01	±0-06	
SBP-II						1.00	±0,01	±0"04	
SBP-I 18.2 m			-	-		0.94	±0.01	±0.03	
Krasno 57.3 m	yarsk-III		- + •	- ·		0.93	±0,01	±0.05	i
Krasno Se.3 m	yarsk-II 🛛					0.94	±0,18	±0,05	5
Krasno 33.0 m	yarsk-l			H .		0.92	±0.03	±0,06	5
ILL 8.76 m		+	+ !			0.79	±0,06	±0.05	5
Goesge	an-III		┝┼┝╋┋	-		0.91	± 0.0 4	±0-05	6
Goesge 46.0 m	en-II		-	****		0.97	±0,02	±0,06	5
Goesge 3.0 m	an-l		-	* •••		0.95	±0.02	±0.06	5
Bugey3	3 н		* 1			0.86	±0,11	±0"04	k
Bugeya	3					0.94	±0,01	±0 . 04	۱.
Bugey- 14.9m	3/4		-	-		0.93	±0,00	±0,04	L.
ROV/NO	091		11	1		0.92	±0.02	±0.03	3
Bugey-	3/4					0.93	±0.00	±0-03	3
τ_=681	5S Ave	rage	- H			0.92	7 ±0.0	23	
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0.6	0.7	0.8	0.9	1	1.1	1.2	1.3	1	.4
	V _{Meas}	ured /	VExpec	ted, NEW	,				

1) 073006; update in White Paper, arXiv:1204.5379] **new reactor** $\bar{\nu}_e$ **fluxes** [Mueller et al, PRC 83 (2011) 054615] [Huber, PRC 84 (2011) 024617]

Detection: $\sigma(\bar{\nu}_e + p \rightarrow n + e^+) \propto \tau_n^{-1}$

PDG	neutron lifetime τ_n
1995	$887.0\pm2.0\text{sec}$
1998	$886.7\pm1.9\text{sec}$
2002	$885.7\pm0.8\text{sec}$
2011	$881.5\pm1.5\text{sec}$
2012	$880.1\pm1.1{\rm sec}$

change of predicted event rates

²³⁵ U	²³⁸ U	²³⁹ Pu	²⁴¹ Pu
+3.7%	+9.8%	+4.2%	+4.7%

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Reactor $\bar{\nu}_e$ **Disappearance**

$$P_{\nu_e o \nu_e} = 1 - \sin^2 2 \vartheta_{ee} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$$

$$\sin^2 2\vartheta_{ee} = 4|U_{e4}|^2 \left(1 - |U_{e4}|^2\right)$$



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Gallium Anomaly

Gallium Radioactive Source Experiments

Tests of the solar neutrino detectors GALLEX (Cr1, Cr2) and SAGE (Cr, Ar) $\nu_{o} + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^{-}$ Detection Process: $e^- + {}^{51}Cr \rightarrow {}^{51}V + \nu_e$ $e^- + {}^{37}Ar \rightarrow {}^{37}Cl + \nu_e$ ν_{e} Sources: ⁵¹Cr ³⁷Ar E [keV] 747 752 427 432 811 813 0.8163 0.0849 0.0895 0.0093 0.902 0.098 B.R. 51Cr (27.7 days) 427 keV v (9.0%) 37Ar (35.04 days)





[SAGE, PRC 73 (2006) 045805, nucl-ex/0512041]

[SAGE, PRC 59 (1999) 2246, hep-ph/9803418]

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[Bahcall, PRC 56 (1997) 3391, hep-ph/9710491]

 $\langle L \rangle_{\text{GALLEX}} = 1.9 \,\text{m}$

 $\langle L \rangle_{\text{SAGE}} = 0.6 \,\text{m}$



[GALLEX]

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- ► Deficit could be due to overestimate of $\sigma(\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-)$
- Calculation: Bahcall, PRC 56 (1997) 3391



▶ $\sigma_{
m G.S.}$ from $T_{1/2}(^{71}
m{Ge}) = 11.43 \pm 0.03 \,
m{days}$ [Hampel, Remsberg, PRC 31 (1985) 666]

$$\sigma_{ ext{G.S.}}(^{51} ext{Cr}) = 55.3 imes 10^{-46} ext{ cm}^2 \left(1 \pm 0.004
ight)_{3\sigma}$$

• $\sigma(^{51}\text{Cr}) = \sigma_{\text{G.S.}}(^{51}\text{Cr})\left(1 + 0.669 \frac{\text{BGT}_{175}}{\text{BGT}_{\text{G.S.}}} + 0.220 \frac{\text{BGT}_{500}}{\text{BGT}_{\text{G.S.}}}\right)$

Contribution of Excited States only 5%!

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		BGT ₁₇₅ BGT _{G.S.}	BGT ₅₀₀ BGT _{G.S.}
Krofcheck et al. PRL 55 (1985) 1051	71 Ga $(p,n)^{71}$ Ge	< 0.056	0.13 ± 0.02
Haxton PLB 431 (1998) 110	Shell Model	0.19 ± 0.18	
Frekers et al. PLB 706 (2011) 134	71 Ga $(^{3}$ He $, ^{3}$ H $)^{71}$ Ge	0.039 ± 0.030	0.202 ± 0.016

Haxton:

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[Haxton, PLB 431 (1998) 110]
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"a sophisticated shell model calculation is performed ... for the transition to the first excited state in ⁷¹Ge. The calculation predicts destructive interference between the (p, n) spin and spin-tensor matrix elements"

► 2.7 σ discrepancy of BGT₅₀₀/BGT_{G.S.} measurements

► Anyhow, new ⁷¹Ga(³He, ³H)⁷¹Ge data support Gallium Anomaly!

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Gallium ν_e Disappearance



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Reactor $\bar{\nu}_e$ and **Gallium** ν_e **Disappearance**



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Global ν_e and $\bar{\nu}_e$ **Disappearance**



 $\begin{array}{l} {\sf KARMEN} + {\sf LSND} \\ \nu_e + {}^{12}{\sf C} \rightarrow {}^{12}{\sf N}_{{\sf g.s.}} + e^- \\ {\sf [Conrad, Shaevitz, PRD 85 (2012) 013017]} \\ {\sf [Giunti, Laveder, PLB 706 (2011) 200]} \end{array}$

SUN&KamLAND + ϑ_{13}

[Giunti, Li, PRD 80 (2009) 113007] [Palazzo, PRD 83 (2011) 113013] [Palazzo, PRD 85 (2012) 077301]

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SUN&KamLAND + ϑ_{13} bound on $|U_{e4}|^2$

[Giunti, Li, PRD 80 (2009) 113007; Palazzo, PRD 83 (2011) 113013, PRD 85 (2012) 077301] 3+1 with simplifying assumptions: $U_{\mu4} = U_{\tau4} = 0$, no CP violation $U_{e1} = c_{12}c_{13}c_{14}$ $U_{e2} = s_{12}c_{13}c_{14}$ $U_{e3} = s_{13}c_{14}$ $U_{e4} = s_{14}$ $U_{s1} = -c_{12}c_{13}s_{14}$ $U_{s2} = -s_{12}c_{13}s_{14}$ $U_{s3} = -s_{13}s_{14}$ $U_{s4} = c_{14}$ $P_{\nu_e \to \nu_e} = c_{13}^4 c_{14}^4 P_{\nu_e \to \nu_e}^{2\nu} + s_{13}^4 c_{14}^4 + s_{14}^4$ $P_{\nu_{2} \rightarrow \nu_{2}} = c_{14}^{2} s_{14}^{2} \left(c_{13}^{4} P_{\nu_{2} \rightarrow \nu_{3}}^{2\nu} + s_{13}^{4} + 1 \right)$ $V = c_{13}^2 c_{14}^2 V_{\rm CC} - c_{13}^2 s_{14}^2 V_{\rm NC} = (|U_{e1}|^2 + |U_{e2}|^2) V_{\rm CC} - (|U_{s1}|^2 + |U_{s2}|^2) V_{\rm NC}$ Δχ². [Giunti, Laveder, Li, June 2012] Fit with $U_{\mu4}$ and $U_{\tau4}$ free: 2 -2 -1 leα..sin²θ...

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Global ν_e and $\bar{\nu}_e$ Disappearance



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Testable Implications

 β Decay





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Global 3+1 Fit: Disappearance Constraints

• ν_e disappearance experiments:

$$\sin^2 2\vartheta_{ee} = 4|U_{e4}|^2 \left(1 - |U_{e4}|^2\right) \simeq 4|U_{e4}|^2$$

• ν_{μ} disappearance experiments:

$$\sin^2 2artheta_{\mu\mu} = 4 |U_{\mu4}|^2 \left(1 - |U_{\mu4}|^2\right) \simeq 4 |U_{\mu4}|^2$$

• $\nu_{\mu} \rightarrow \nu_{e}$ experiments:

$$\sin^2 2\vartheta_{e\mu} = 4|U_{e4}|^2|U_{\mu4}|^2 \simeq \frac{1}{4}\sin^2 2\vartheta_{ee}\sin^2 2\vartheta_{\mu\mu}$$

► Upper bounds on $\sin^2 2\vartheta_{ee}$ and $\sin^2 2\vartheta_{\mu\mu} \implies$ strong limit on $\sin^2 2\vartheta_{e\mu}$

[Okada, Yasuda, Int. J. Mod. Phys. A12 (1997) 3669-3694]

[Bilenky, Giunti, Grimus, Eur. Phys. J. C1 (1998) 247]

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 u_{μ} and $ar{
u}_{\mu}$ Disappearance



ν_e and $\bar{\nu}_e$ Disappearance



3+1 with SUN&KamLAND+ ϑ_{13}



- ▶ 3+1 & 3+2: Appearance-Disappearance tension
- ► Tension reduced in 3+1+NSI [Akhmedov, Schwetz, JHEP 10 (2010) 115]
- ► No tension in 3+1+CPTV

[Barger, Marfatia, Whisnant, PLB 576 (2003) 303] [Giunti, Laveder, PRD 82 (2010) 093016, PRD 83 (2011) 053006]

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3+2 with SUN&KamLAND+ ϑ_{13}



- 3+2 is preferred to 3+1 only if there is CP-violating MiniBooNE neutrino-antineutrino difference
- almost disappeared with 2012 MiniBooNE antineutrino data

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3+1 Global Fit



MiniBooNE 2011 data (*E* > 475 MeV)

More time is needed to fit MiniBooNE 2012 data

Cosmology

• N_s = number of thermalized sterile neutrinos (not necessarily integer)

• CMB and LSS in ACDM: $N_s = 1.3 \pm 0.9$ $m_s < 0.66 \, {
m eV} \, (95\% \, {
m C.L.})$

[Hamann, Hannestad, Raffelt, Tamborra, Wong, PRL 105 (2010) 181301]

 $N_s = 1.61 \pm 0.92$ $m_s < 0.70 \, \text{eV}$ (95% C.L.)

[Giusarma, Corsi, Archidiacono, de Putter, Melchiorri, Mena, Pandolfi, PRD 83 (2011) 115023]

 $N_{s}=1.12^{+0.86}_{-0.74}~(95\%~{
m C.L.})~$ [Archidiacono, Calabrese, Melchiorri, PRD 84 (2011) 123008]

 $\blacktriangleright \text{ BBN: } \begin{cases} N_s = 0.22 \pm 0.59 & \text{[Cyburt, Fields, Olive, Skillman, AP 23 (2005) 313]} \\ N_s = 0.64^{+0.40}_{-0.35} & \text{[Izotov, Thuan, ApJL 710 (2010) L67]} \\ N_s \leq 1 \text{ at } 95\% \text{ C.L. } & \text{[Mangano, Serpico, PLB 701 (2011) 296]} \end{cases}$

• CMB+LSS+BBN: $N_s = 0.85^{+0.39}_{-0.56}$ (95% C.L.)

[Hamann, Hannestad, Raffelt, Wong, JCAP 1109 (2011) 034]

Standard ACDM: 3+1 allowed, 3+2 disfavored

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Conclusions

- ► Short-baseline neutrino oscillation anomalies ⇒ sterile neutrinos
- Short-Baseline $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$ Signal is not feeling well:
 - MiniBooNE 2011 antineutrino data were more similar to neutrino data than those of 2010 (LSND signal diminished and low-energy anomaly appeared)
 - ► MiniBooNE 2012 antineutrino data are even more similar to neutrino data
 - Probably there is no CP violation \implies no need of 3+2
 - The decrease of MiniBooNE-LSND agreement is discouraging
 - Better experiments are needed to clarify situation
- ▶ Short-Baseline ν_e and $\bar{\nu}_e$ Disappearance is in good health:
 - Reactor $\bar{\nu}_e$ anomaly is alive and exciting
 - \blacktriangleright Gallium ν_e anomaly has been strengthened by new cross-section measurements
 - ► Many promising projects to test short-baseline v_e and v
 _e disappearance in a few years with reactors and radioactive sources
 - ▶ Independent tests through effect of m_4 in β -decay and $(\beta\beta)_{0\nu}$ -decay

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