

Sterile Neutrinos - Review

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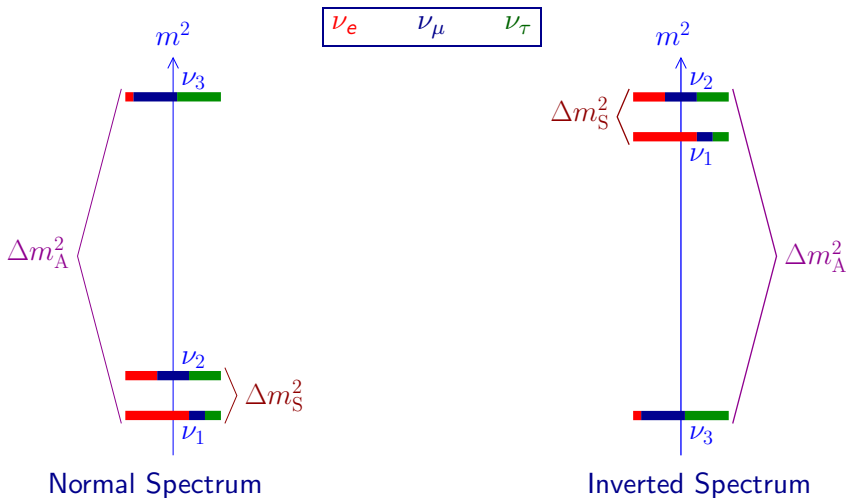
Neutrino Unbound: <http://www.nu.to.infn.it>

15th International Workshop on Next Generation
Nucleon Decay and Neutrino Detectors

APC, Paris

4-6 November 2014

Three-Neutrino Mixing Paradigm



absolute mass scale $\lesssim 1$ eV



Indications of SBL Oscillations Beyond 3ν





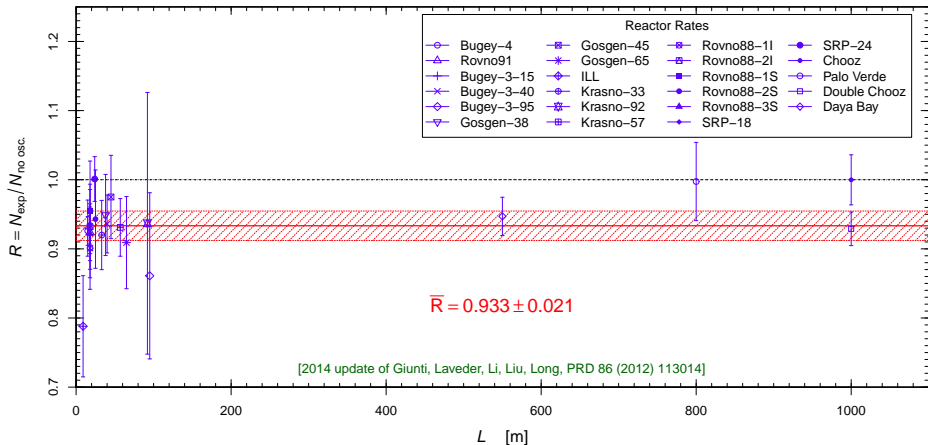
Reactor Electron Antineutrino Anomaly



[Mention et al, PRD 83 (2011) 073006; update in White Paper, arXiv:1204.5379]

New reactor $\bar{\nu}_e$ fluxes

[Mueller et al, PRC 83 (2011) 054615; Huber, PRC 84 (2011) 024617]



$$\bar{\nu}_e \rightarrow \bar{\nu}_e$$

$$L \simeq 10 - 100 \text{ m}$$

$$E \simeq 4 \text{ MeV}$$

$\sim 3.1\sigma$ deficit

$$\Delta m^2 \gtrsim 0.5 \text{ eV}^2$$

$$(\gg \Delta m_A^2 \gg \Delta m_S^2)$$

[see also: Sinev, arXiv:1103.2452; Ciuffoli, Evslin, Li, JHEP 12 (2012) 110; Zhang, Qian, Vogel, PRD 87 (2013) 073018; Kopp, Machado, Maltoni, Schwetz, JHEP 1305 (2013) 050; Ivanov et al, PRC 88 (2013) 055501]

Gallium Anomaly

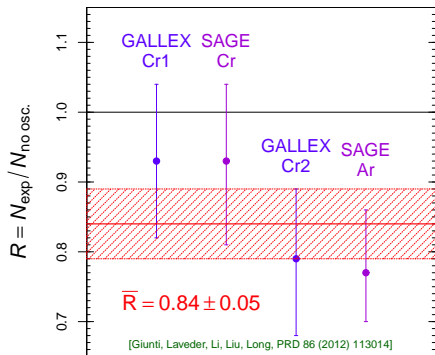
Gallium Radioactive Source Experiments: GALLEX and SAGE

Detection Process: $\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-$

ν_e Sources: $e^- + {}^{51}\text{Cr} \rightarrow {}^{51}\text{V} + \nu_e$ $e^- + {}^{37}\text{Ar} \rightarrow {}^{37}\text{Cl} + \nu_e$

Anomaly supported by new ${}^{71}\text{Ga}({}^3\text{He}, {}^3\text{H}){}^{71}\text{Ge}$ cross section measurement

[Frekers et al., PLB 706 (2011) 134]



$\bar{\nu}_e \rightarrow \bar{\nu}_e$ $E \sim 0.7 \text{ MeV}$

$\langle L \rangle_{\text{GALLEX}} = 1.9 \text{ m}$

$\langle L \rangle_{\text{SAGE}} = 0.6 \text{ m}$

$\sim 2.9\sigma$ anomaly

$\Delta m^2 \gtrsim 1 \text{ eV}^2$ ($\gg \Delta m_A^2 \gg \Delta m_S^2$)

[SAGE, PRC 73 (2006) 045805; PRC 80 (2009) 015807]

[Laveder et al, Nucl.Phys.Proc.Suppl. 168 (2007) 344;
MPLA 22 (2007) 2499; PRD 78 (2008) 073009;
PRC 83 (2011) 065504]

[Mention et al, PRD 83 (2011) 073006]

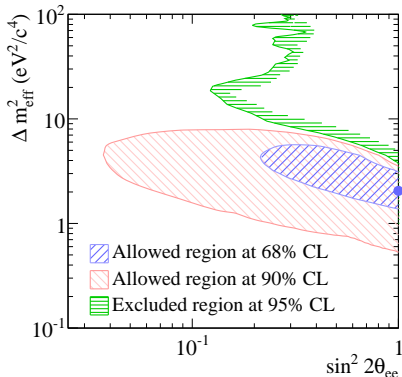
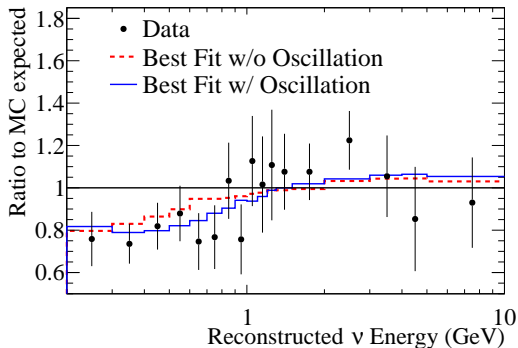
T2K Near Detector ν_e Disappearance

[arXiv:1410.8811]

$\nu_e \rightarrow \nu_e$

$L \simeq 280$ m

$E \sim 500$ MeV



No Oscillations: $\chi^2_{\min}/\text{NDF} = 45.86/51$

Oscillations: $\chi^2_{\min}/\text{NDF} = 42.16/49$

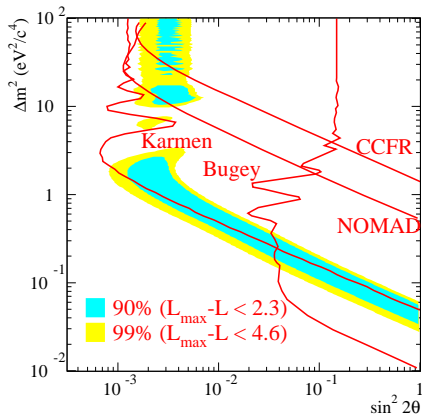
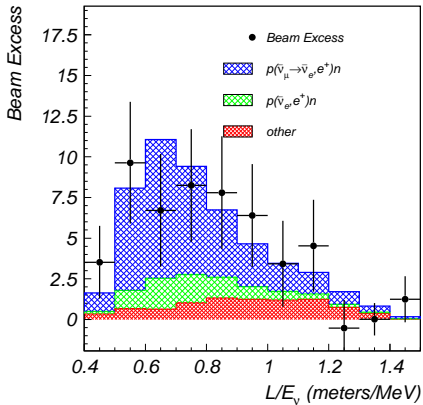
$\Delta\chi^2/\text{NDF} = 3.7/2$

$\sim 1.4\sigma$ anomaly

$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

$$L \simeq 30 \text{ m}$$

$$20 \text{ MeV} \leq E \leq 200 \text{ MeV}$$



3.8 σ excess

$$\Delta m^2 \gtrsim 0.2 \text{ eV}^2$$

$$(\gg \Delta m_A^2 \gg \Delta m_S^2)$$

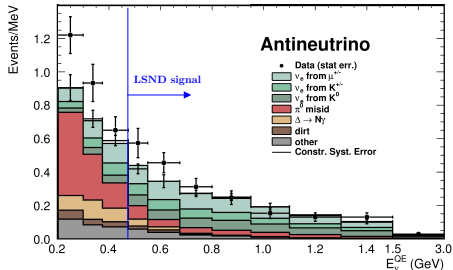
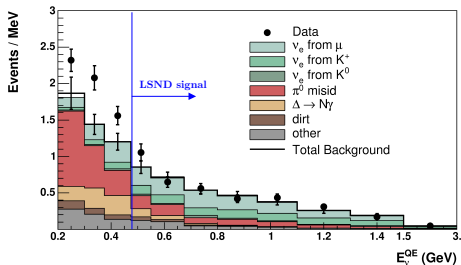
$L \simeq 541 \text{ m}$ $200 \text{ MeV} \leq E \lesssim 3 \text{ GeV}$

$\nu_\mu \rightarrow \nu_e$

[PRL 102 (2009) 101802]

$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$

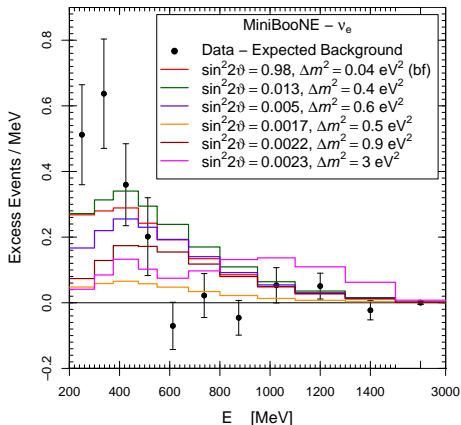
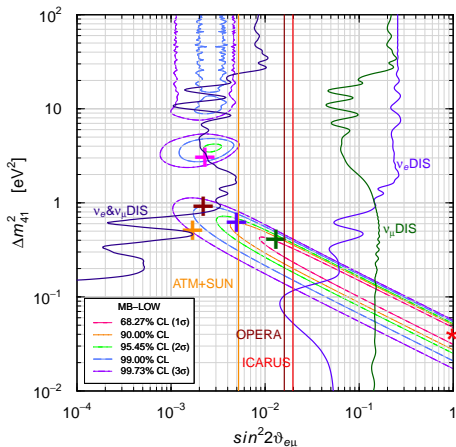
[PRL 110 (2013) 161801]



- ▶ Purpose: check LSND signal.
- ▶ Different L and E .
- ▶ Similar L/E (oscillations).
- ▶ LSND signal: $E > 475 \text{ MeV}$.

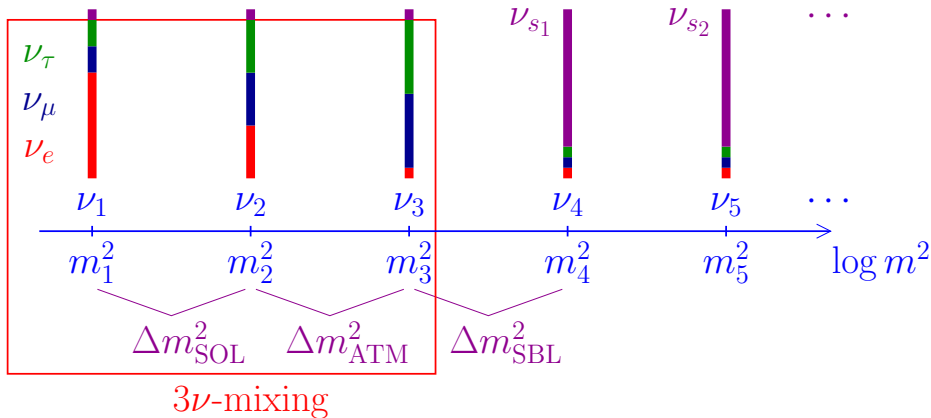
- ▶ Agreement with LSND signal?
- ▶ CP violation?
- ▶ Low-energy anomaly!

MiniBooNE Low-Energy Excess?



- ▶ No fit of low-energy excess for realistic $\sin^2 2\vartheta_{e\mu} \lesssim 5 \times 10^{-3}$
- ▶ APP-DIS PGoF = 0.1%
- ▶ Neutrino energy reconstruction problem? [Martini, Ericson, Chanfray, PRD 87 (2013) 013009]
- ▶ **Our approach:** discard the Low-Energy Excess because it is very likely not due to oscillations

Beyond Three-Neutrino Mixing: Sterile Neutrinos





Light Sterile Neutrinos



- ▶ Physics Beyond the SM \implies right-handed sterile neutrinos
- ▶ Sterile means **no standard model interactions**
[Pontecorvo, Sov. Phys. JETP 26 (1968) 984]
- ▶ Active neutrinos (ν_e, ν_μ, ν_τ) can oscillate into light sterile neutrinos (ν_s)
- ▶ Observables:
 - ▶ **Disappearance** of active neutrinos (neutral current deficit)
 - ▶ Indirect evidence through **combined fit of data** (current indication)
- ▶ Short-baseline anomalies + 3ν -mixing:

$$\Delta m_{21}^2 \ll |\Delta m_{31}^2| \ll |\Delta m_{41}^2| \leq \dots$$

ν_1	ν_2	ν_3	ν_4	\dots
ν_e	ν_μ	ν_τ	ν_{s1}	\dots

▶ In this talk I consider sterile neutrinos with mass scale $\sim 1 \text{ eV}$ in light of short-baseline Reactor Anomaly, Gallium Anomaly, LSND.

▶ Other possibilities (not incompatible):

▶ **Very light sterile neutrinos** with mass scale $\ll 1 \text{ eV}$: important for solar neutrino phenomenology

[Das, Pulido, Picariello, PRD 79 (2009) 073010]

[de Holanda, Smirnov, PRD 83 (2011) 113011]

Recent Daya Bay constraints for $10^{-3} \lesssim \Delta m^2 \lesssim 10^{-1} \text{ eV}^2$

[PRL 113 (2014) 141802, arXiv:1407.7259]

▶ **Heavy sterile neutrinos** with mass scale $\gg 1 \text{ eV}$: could be Warm Dark Matter

[Kusenko, Phys. Rept. 481 (2009) 1]

[Boyarsky, Ruchayskiy, Shaposhnikov, Ann. Rev. Nucl. Part. Sci. 59 (2009) 191]

[Drewes, IJMPE, 22 (2013) 1330019]

Effective SBL Oscillation Probabilities in 3+1 Schemes

$$P_{\nu_\alpha \rightarrow \nu_\beta}^{\text{SBL}(-)} \simeq \sin^2 2\vartheta_{\alpha\beta} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$$

$$\sin^2 2\vartheta_{\alpha\beta} = 4|U_{\alpha 4}|^2 |U_{\beta 4}|^2$$

$$P_{\nu_\alpha \rightarrow \nu_\alpha}^{\text{SBL}(-)} \simeq 1 - \sin^2 2\vartheta_{\alpha\alpha} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$$

$$\sin^2 2\vartheta_{\alpha\alpha} = 4|U_{\alpha 4}|^2 (1 - |U_{\alpha 4}|^2)$$

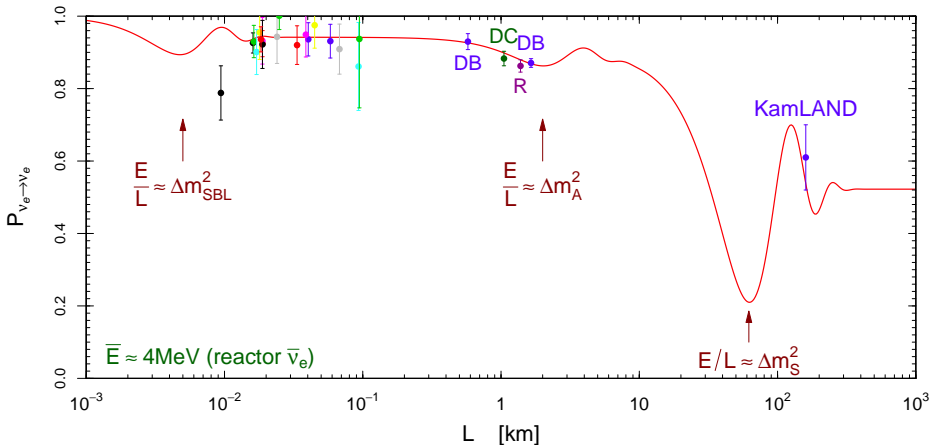
Perturbation of 3ν Mixing: $|U_{e4}|^2 \ll 1$, $|U_{\mu 4}|^2 \ll 1$, $|U_{\tau 4}|^2 \ll 1$, $|U_{s4}|^2 \simeq 1$

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

- ▶ 6 mixing angles
- ▶ 3 Dirac CP phases
- ▶ 3 Majorana CP phases

↑
SBL

but CP violation is not observable
in SBL experiments!



$$P_{\nu_e \rightarrow \nu_e}^{(-)(-)} \simeq 1 - \frac{1}{2} \sin^2 2\vartheta_{14} - \cos^4 \vartheta_{14} \sin^2 2\vartheta_{13} \sin^2 \left(\frac{\Delta m_{31}^2 L}{4E} \right)$$

3+1: Appearance vs Disappearance

- ▶ ν_e disappearance experiments:

$$\sin^2 2\vartheta_{ee} = 4|U_{e4}|^2 (1 - |U_{e4}|^2) \simeq 4|U_{e4}|^2$$

- ▶ ν_μ disappearance experiments:

$$\sin^2 2\vartheta_{\mu\mu} = 4|U_{\mu4}|^2 (1 - |U_{\mu4}|^2) \simeq 4|U_{\mu4}|^2$$

- ▶ $\nu_\mu \rightarrow \nu_e$ experiments:

$$\sin^2 2\vartheta_{e\mu} = 4|U_{e4}|^2 |U_{\mu4}|^2 \simeq \frac{1}{4} \sin^2 2\vartheta_{ee} \sin^2 2\vartheta_{\mu\mu}$$

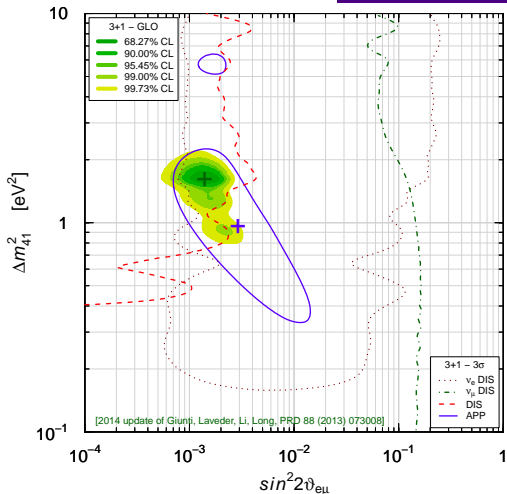
- ▶ Upper bounds on $\sin^2 2\vartheta_{ee}$ and $\sin^2 2\vartheta_{\mu\mu} \implies$ strong limit on $\sin^2 2\vartheta_{e\mu}$

[Okada, Yasuda, IJMPA 12 (1997) 3669-3694; Bilenky, Giunti, Grimus, EPJC 1 (1998) 247]

- ▶ Similar constraint in 3+2, 3+3, ..., 3+ N_s !



3+1 Global Fit



MiniBooNE $E > 475$ MeV
 GoF = 26% PGoF = 7%

- ▶ APP $\nu_\mu \rightarrow \nu_e$ & $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$:
 LSND (ν_s), MiniBooNE (?),
 OPERA (~~ν_s~~), ICARUS (~~ν_s~~),
 KARMEN (~~ν_s~~),
 NOMAD (~~ν_s~~), BNL-E776 (~~ν_s~~)
- ▶ DIS ν_e & $\bar{\nu}_e$: Reactors (ν_s),
 Gallium (ν_s), $\nu_e C$ (~~ν_s~~),
 Solar (~~ν_s~~)
- ▶ DIS ν_μ & $\bar{\nu}_\mu$: CDHSW (~~ν_s~~),
 MINOS (~~ν_s~~),
 Atmospheric (~~ν_s~~),
 MiniBooNE/SciBooNE (~~ν_s~~)

No Osc. disfavored at 6.3σ
 $\Delta\chi^2/\text{NDF} = 47.7/3$

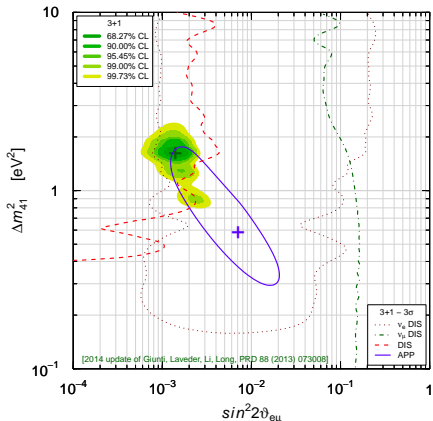
[different approach and conclusions: Kopp, Machado, Maltoni, Schwetz, JHEP 1305 (2013) 050]



All MiniBooNE Data?



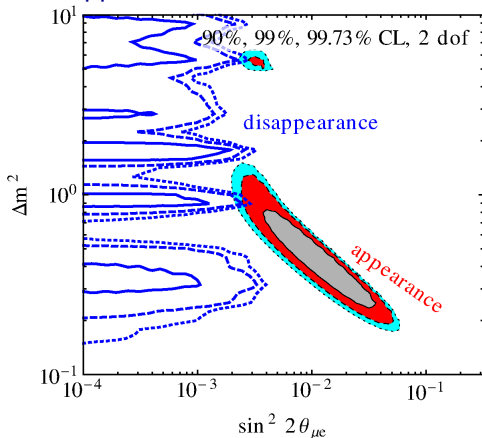
Our Fit



GoF = 5%

PGoF = 0.1%

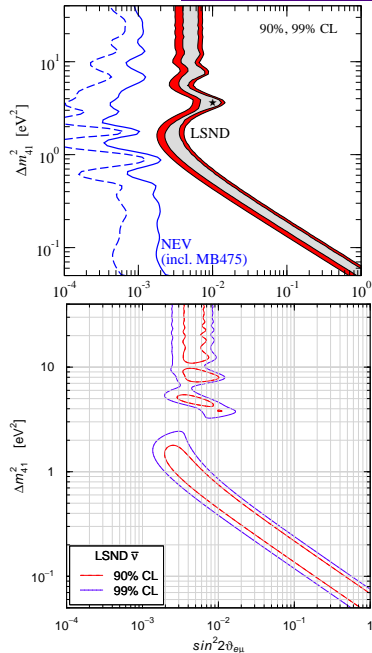
Kopp, Machado, Maltoni, Schwetz



GoF = 19%

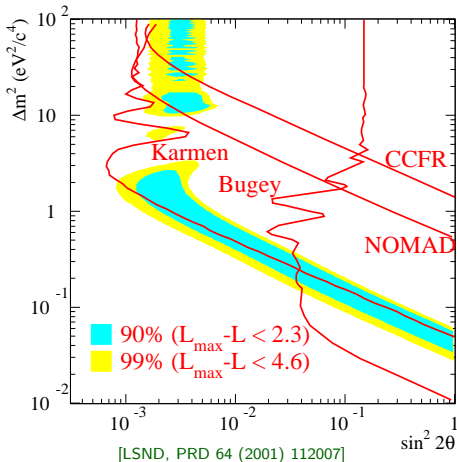
PGoF = 0.01%

△ Different LSND Treatments ▽



← Kopp, Machado, Maltoni, Schwetz

[Maltoni, Schwetz, PRD 76 (2007) 093005]

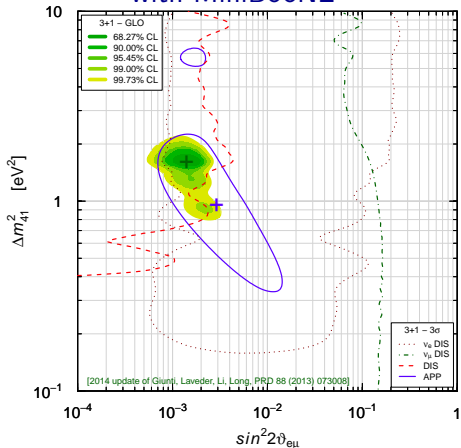


← Our Fit

[improvement of Giunti, Laveder, PRD 82 (2010) 093016]

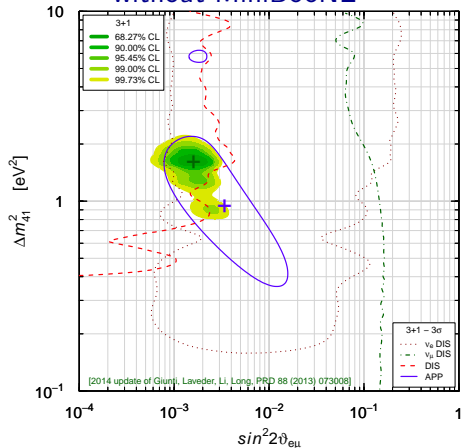
MiniBooNE Impact on SBL Oscillations?

with MiniBooNE



GoF = 26% PGoF = 7%
 No Osc. disfavored at 6.3σ
 $\Delta\chi^2/\text{NDF} = 47.7/3$

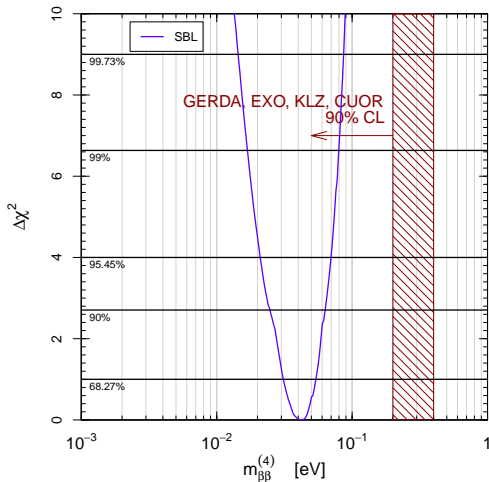
without MiniBooNE



GoF = 16% PGoF = 5%
 No Osc. disfavored at 6.4σ
 $\Delta\chi^2/\text{NDF} = 48.1/3$

Without LSND: No Osc. disfavored only at 2.6σ ($\Delta\chi^2/\text{NDF} = 11.4/3$)

👁 Neutrinoless Double- β Decay 👁



[Giunti, Laveder, Li, Long, 2014]

$$|m_{\beta\beta}| = \left| \sum_{k=1}^4 U_{ek}^2 m_k \right|$$

$$m_{\beta\beta}^{(4)} = |U_{e4}|^2 \sqrt{\Delta m_{41}^2}$$

caveat:
possible cancellation
with $m_{\beta\beta}^{(3\nu-IH)}$

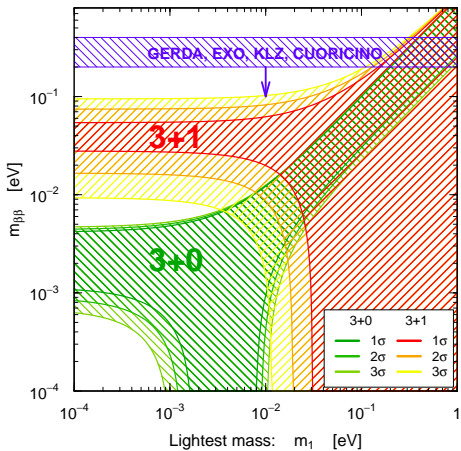
[Barry et al, JHEP 07 (2011) 091]

[Li, Liu, PLB 706 (2012) 406]

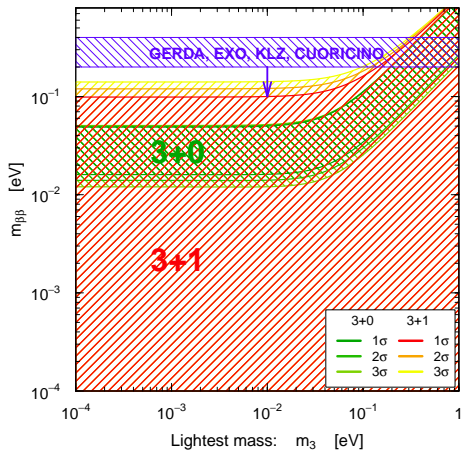
[Rodejohann, JPG 39 (2012) 124008]

[Girardi, Meroni, Petcov, JHEP 1311 (2013) 146]

Normal 3ν Spectrum



Inverted 3ν Spectrum



[Giunti, Laveder, Li, Long, 2014]



Conclusions



- ▶ Short-Baseline ν_e and $\bar{\nu}_e$ 3+1 Disappearance:
 - ▶ Experimental data **agree** on Reactor $\bar{\nu}_e$ and Gallium ν_e anomalies.
 - ▶ Problem: **systematic uncertainties**.
 - ▶ Interesting recent **T2K** hint of possible SBL ν_e disappearance.
 - ▶ Many promising projects to test **unambiguously** short-baseline ν_e and $\bar{\nu}_e$ disappearance in a few years with reactors and radioactive sources.
 - ▶ Independent tests through effect of m_4 in **β -decay** and **$\beta\beta_{0\nu}$ -decay**.
- ▶ Short-Baseline $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ LSND Signal:
 - ▶ **Not seen** by other SBL $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ experiments.
 - ▶ **MiniBooNE** experiment has been inconclusive.
 - ▶ **Experiments with near detector** are needed to check LSND signal!
 - ▶ If $|U_{e4}| > 0$ why not $|U_{\mu 4}| > 0$? $\implies \sin^2 2\vartheta_{e\mu} = 4|U_{e4}|^2|U_{\mu 4}|^2 > 0$