

# Review of Sterile Neutrino Searches

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NNN15

International Workshop for the Next generation Nucleon decay  
and Neutrino detector

Stony Brook, New York, USA

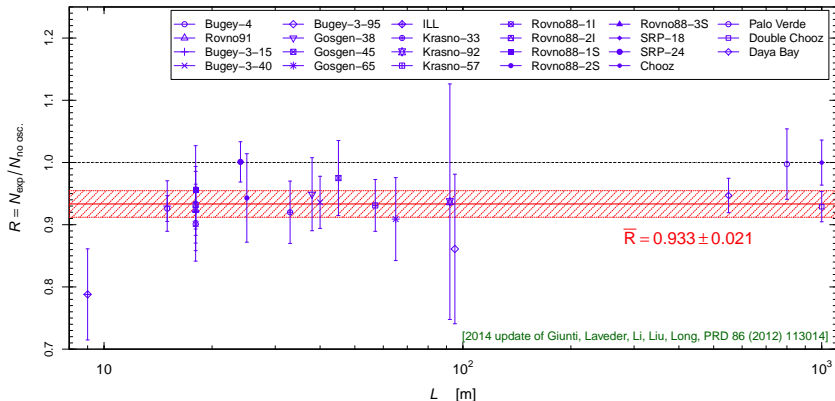
28-31 October 2015

# Reactor Electron Antineutrino Anomaly

[Mention et al, PRD 83 (2011) 073006; update in White Paper, arXiv:1204.5379]

New reactor  $\bar{\nu}_e$  fluxes

[Mueller et al, PRC 83 (2011) 054615; Huber, PRC 84 (2011) 024617]



$$\bar{\nu}_e \rightarrow \bar{\nu}_e$$

$$L \sim 10 - 100 \text{ m}$$

$$E \sim 4 \text{ MeV}$$

Nominal  $\approx 3.1\sigma$  deficit

$$\Delta m^2 \gtrsim 0.5 \text{ eV}^2$$

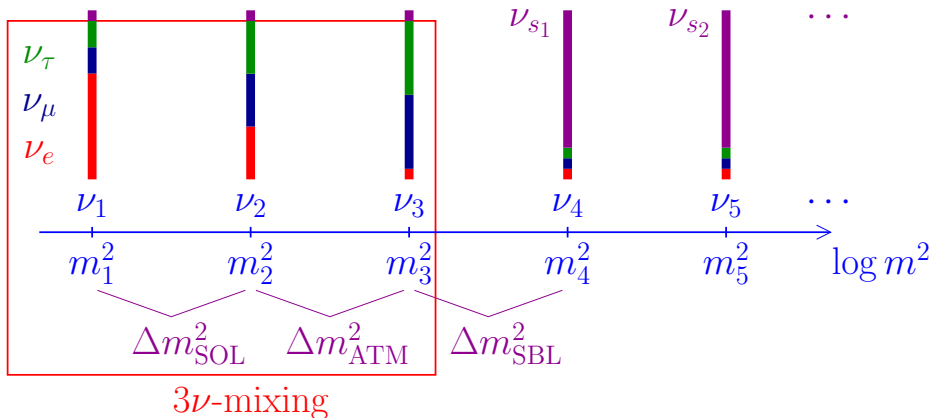
$$(\gg \Delta m_A^2 \gg \Delta m_S^2)$$

[see also: Sinev, arXiv:1103.2452; Ciuffoli, Evslin, Li, JHEP 12 (2012) 110; Zhang, Qian, Vogel, PRD 87 (2013) 073018; Kopp, Machado, Maltoni, Schwetz, JHEP 1305 (2013) 050; Ivanov et al, PRC 88 (2013) 055501]

Problem: unknown  $\bar{\nu}_e$  flux uncertainties?

[Hayes, Friar, Garvey, Jonkmans, PRL 112 (2014) 202501; Dwyer, Langford, PRL 114 (2015) 012502]

# Beyond Three-Neutrino Mixing: Sterile Neutrinos



Terminology: a eV-scale sterile neutrino  
means: a eV-scale massive neutrino which is mainly sterile

# Effective SBL Oscillation Probabilities in 3+1 Schemes

$$P_{\nu_\alpha \rightarrow \nu_\beta}^{\text{SBL}(-)} \simeq \sin^2 2\vartheta_{\alpha\beta} \sin^2 \left( \frac{\Delta m_{41}^2 L}{4E} \right)$$

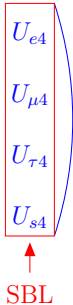
$$\sin^2 2\vartheta_{\alpha\beta} = 4|U_{\alpha 4}|^2 |U_{\beta 4}|^2$$

$$P_{\nu_\alpha \rightarrow \nu_\alpha}^{\text{SBL}(-)} \simeq 1 - \sin^2 2\vartheta_{\alpha\alpha} \sin^2 \left( \frac{\Delta m_{41}^2 L}{4E} \right)$$

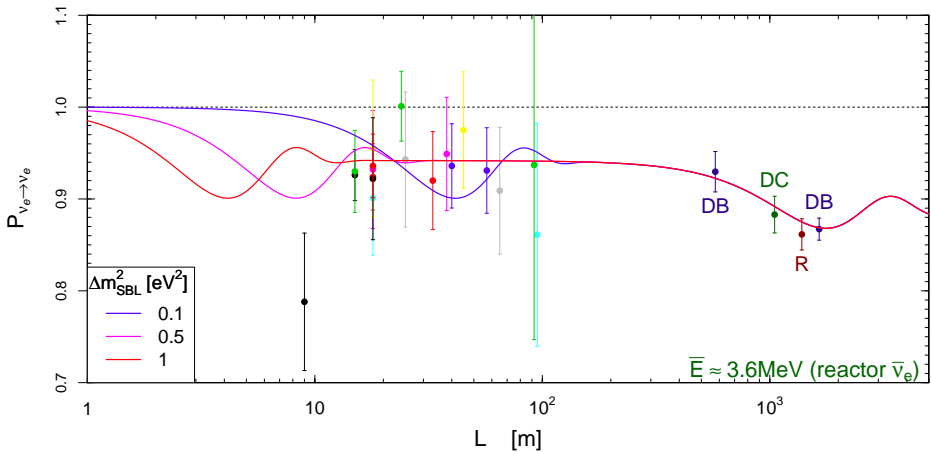
$$\sin^2 2\vartheta_{\alpha\alpha} = 4|U_{\alpha 4}|^2 (1 - |U_{\alpha 4}|^2)$$

Perturbation of  $3\nu$  Mixing:  $|U_{e4}|^2 \ll 1$ ,  $|U_{\mu 4}|^2 \ll 1$ ,  $|U_{\tau 4}|^2 \ll 1$ ,  $|U_{s4}|^2 \simeq 1$

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$


  
↑  
SBL

- ▶ 6 mixing angles
- ▶ 3 Dirac CP phases
- ▶ 3 Majorana CP phases
- ▶ But CP violation is not observable in current SBL experiments!
- ▶ Observable in LBL accelerator exp. sensitive to  $\Delta m_{\text{ATM}}^2$  [de Gouvea, Kelly, Kobach, PRD 91 (2015) 053005; Klop, Palazzo, PRD 91 (2015) 073017; Berryman, de Gouvea, Kelly, Kobach, PRD 92 (2015) 073012, Palazzo, arXiv:1509.03148] and solar exp. sensitive to  $\Delta m_{\text{SOL}}^2$  [Long, Li, Giunti, PRD 87, 113004 (2013) 113004]

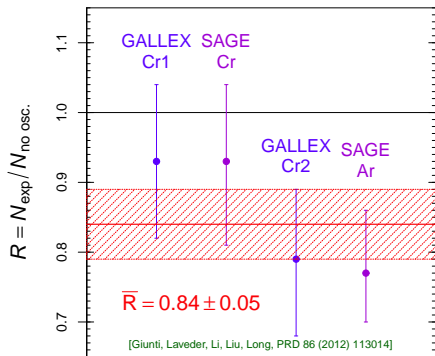


# Gallium Anomaly

Gallium Radioactive Source Experiments: GALLEX and SAGE

Detection Process:  $\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-$

$\nu_e$  Sources:  $e^- + {}^{51}\text{Cr} \rightarrow {}^{51}\text{V} + \nu_e$        $e^- + {}^{37}\text{Ar} \rightarrow {}^{37}\text{Cl} + \nu_e$



$\bar{\nu}_e \rightarrow \bar{\nu}_e$        $E \sim 0.7 \text{ MeV}$

$\langle L \rangle_{\text{GALLEX}} = 1.9 \text{ m}$

$\langle L \rangle_{\text{SAGE}} = 0.6 \text{ m}$

Nominal  $\approx 2.9\sigma$  anomaly

$\Delta m^2 \gtrsim 1 \text{ eV}^2$  ( $\gg \Delta m_A^2 \gg \Delta m_S^2$ )

[SAGE, PRC 73 (2006) 045805; PRC 80 (2009) 015807]

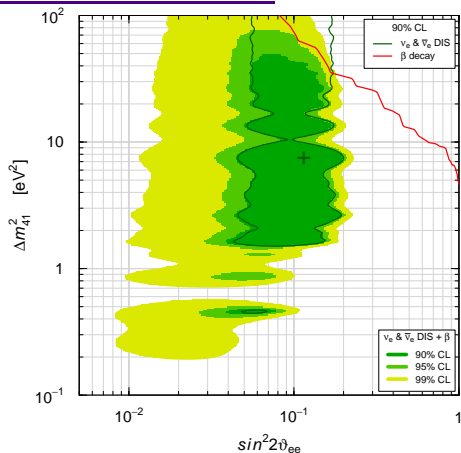
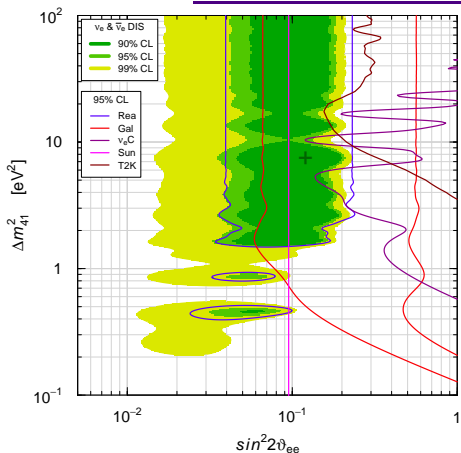
[Laveder et al, Nucl.Phys.Proc.Suppl. 168 (2007) 344;  
MPLA 22 (2007) 2499; PRD 78 (2008) 073009;  
PRC 83 (2011) 065504]

[Mention et al, PRD 83 (2011) 073006]

▶  ${}^3\text{He} + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + {}^3\text{H}$  cross section measurement [Frekers et al., PLB 706 (2011) 134]

▶  $E_{\text{th}}(\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-) = 233.5 \pm 1.2 \text{ keV}$  [Frekers et al., PLB 722 (2013) 233]

# Global $\nu_e$ and $\bar{\nu}_e$ Disappearance



KARMEN + LSND  $\nu_e + {}^{12}\text{C} \rightarrow {}^{12}\text{N}_{\text{g.s.}} + e^-$

[Conrad, Shaevitz, PRD 85 (2012) 013017]

[Giunti, Laveder, PLB 706 (2011) 200]

solar  $\nu_e$  + KamLAND  $\bar{\nu}_e + \vartheta_{13}$

[Giunti, Li, PRD 80 (2009) 113007]

[Palazzo, PRD 83 (2011) 113013; PRD 85 (2012) 077301]

[Giunti, Laveder, Li, Liu, Long, PRD 86 (2012) 113014]

T2K Near Detector  $\nu_e$  disappearance

[T2K, PRD 91 (2015) 051102]

Mainz + Troitsk Tritium  $\beta$  decay

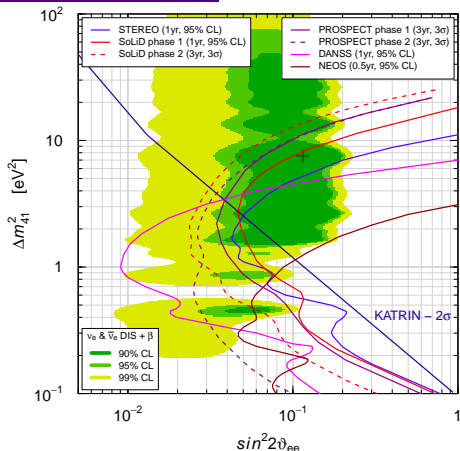
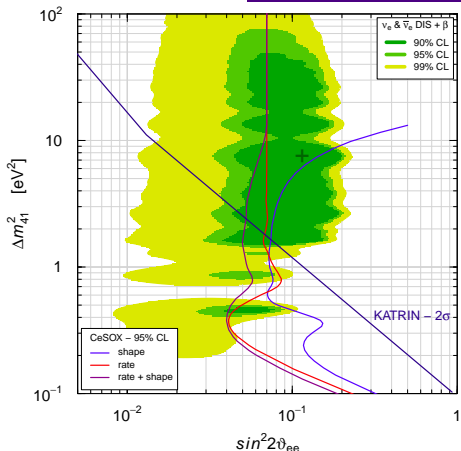
[Mainz, EPJC 73 (2013) 2323]

[Troitsk, JETPL 97 (2013) 67; JPG 41 (2014) 015001]

No Osc. excluded at 2.9 $\sigma$

$\Delta\chi^2/\text{NDF} = 11.2/2$

# Near-Future Experiments



**CeSOX (BOREXINO, Italy)**  
<sup>144</sup>Ce – 100 kCi [Vivier@TAUP2015]  
 rate: 1% normalization uncertainty  
 8.5 m from detector center  
**KATRIN (Germany)**  
 Tritium  $\beta$  decay [Mertens@TAUP2015]

**STEREO (France)**  $L \simeq 8\text{-}12\text{m}$  [Sanchez@EPSHEP2015]  
**SoLid (Belgium)**  $L \simeq 5\text{-}8\text{m}$  [Yermia@TAUP2015]  
**PROSPECT (USA)**  $L \simeq 7\text{-}12\text{m}$  [Heeger@TAUP2015]  
**DANSS (Russia)**  $L \simeq 10\text{-}12\text{m}$  [arXiv:1412.0817]  
**NEOS (Korea)**  $L \simeq 25\text{m}$  [Oh@WIN2015]



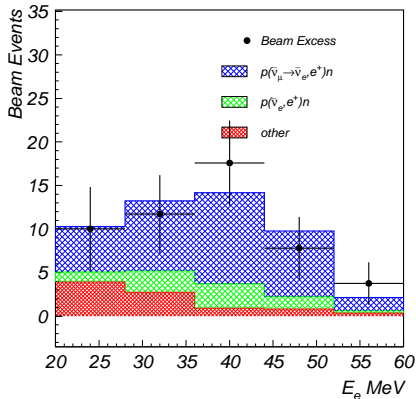
# LSND

[PRL 75 (1995) 2650; PRC 54 (1996) 2685; PRL 77 (1996) 3082; PRD 64 (2001) 112007]

$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

$$L \simeq 30 \text{ m}$$

$$20 \text{ MeV} \leq E \leq 60 \text{ MeV}$$



- ▶ Well known source of  $\bar{\nu}_\mu$ :

$$\mu^+ \text{ at rest} \rightarrow e^+ + \nu_e + \bar{\nu}_\mu$$

- ▶  $\bar{\nu}_\mu \xrightarrow{L \simeq 30 \text{ m}} \bar{\nu}_e$

- ▶ Well known detection process of  $\bar{\nu}_e$ :

$$\bar{\nu}_e + p \rightarrow n + e^+$$

- ▶ But signal not seen by **KARMEN** with same method at  $L \simeq 18 \text{ m}$

[PRD 65 (2002) 112001]

Nominal  $\approx 3.8\sigma$  excess

$$\Delta m^2 \gtrsim 0.2 \text{ eV}^2 \quad (\gg \Delta m_{A}^2 \gg \Delta m_{S}^2)$$

# MiniBooNE

$L \simeq 541 \text{ m}$

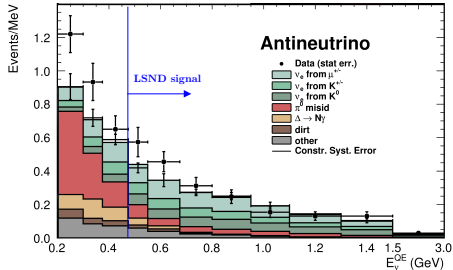
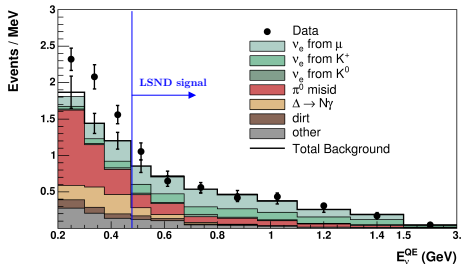
$200 \text{ MeV} \leq E \lesssim 3 \text{ GeV}$

$\nu_\mu \rightarrow \nu_e$

[PRL 102 (2009) 101802]

$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$

[PRL 110 (2013) 161801]



- ▶ Purpose: check LSND signal.
- ▶ Different  $L$  and  $E$ .
- ▶ Similar  $L/E$  (oscillations).
- ▶ No money, no Near Detector.

- ▶ LSND signal:  $E > 475 \text{ MeV}$ .
- ▶ Agreement with LSND signal?
- ▶ CP violation?
- ▶ Low-energy anomaly!

## 3+1: Appearance vs Disappearance

- ▶ Amplitude of  $\nu_e$  disappearance:

$$\sin^2 2\vartheta_{ee} = 4|U_{e4}|^2 (1 - |U_{e4}|^2) \simeq 4|U_{e4}|^2$$

- ▶ Amplitude of  $\nu_\mu$  disappearance:

$$\sin^2 2\vartheta_{\mu\mu} = 4|U_{\mu4}|^2 (1 - |U_{\mu4}|^2) \simeq 4|U_{\mu4}|^2$$

- ▶ Amplitude of  $\nu_\mu \rightarrow \nu_e$  transitions:

$$\sin^2 2\vartheta_{e\mu} = 4|U_{e4}|^2 |U_{\mu4}|^2 \simeq \frac{1}{4} \sin^2 2\vartheta_{ee} \sin^2 2\vartheta_{\mu\mu}$$

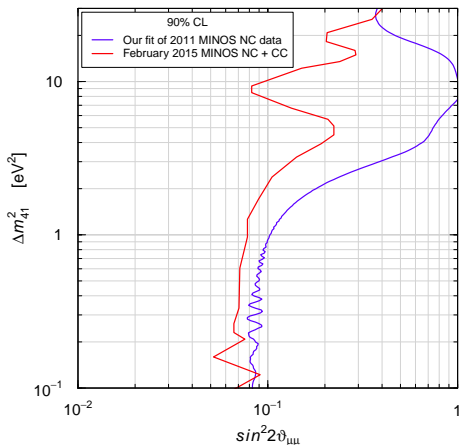
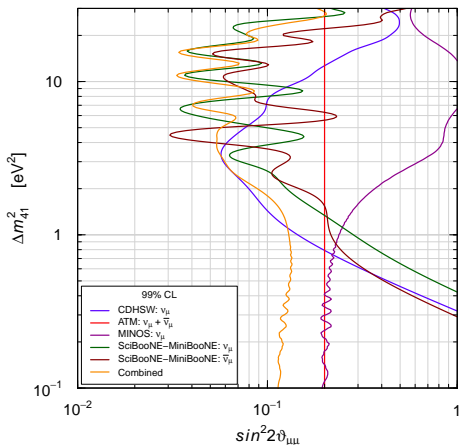
- ▶ Upper bounds on  $\nu_e$  and  $\nu_\mu$  disappearance  $\Rightarrow$  strong limit on  $\nu_\mu \rightarrow \nu_e$

[Okada, Yasuda, IJMPA 12 (1997) 3669; Bilenky, Giunti, Grimus, EPJC 1 (1998) 247]

- ▶ Similar constraint in 3+2, 3+3,  $\dots$ , 3+ $N_S$ !

[Giunti, Zavanin, arXiv:1508.03172]

# $\nu_\mu$ and $\bar{\nu}_\mu$ Disappearance

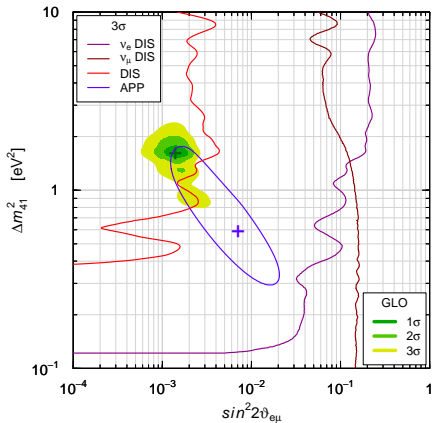


MINOS:  $L_{\text{decay}} \simeq 0.675 \text{ km}$     $L_{\text{ND}} \simeq 1.04 \text{ km}$     $L_{\text{FD}} \simeq 735 \text{ km}$

$$E \approx 4 \text{ GeV} \implies \frac{L_{\text{osc}}}{L_{\text{ND}}} \approx \frac{10}{\Delta m_{41}^2 [\text{eV}^2]} \quad [\text{see Whitehead talk}]$$

# Global 3+1 Fit

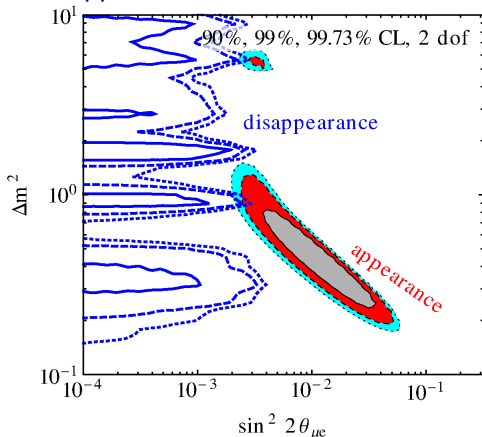
Our Fit



GoF = 5%

PGoF = 0.1%

Kopp, Machado, Maltoni, Schwetz



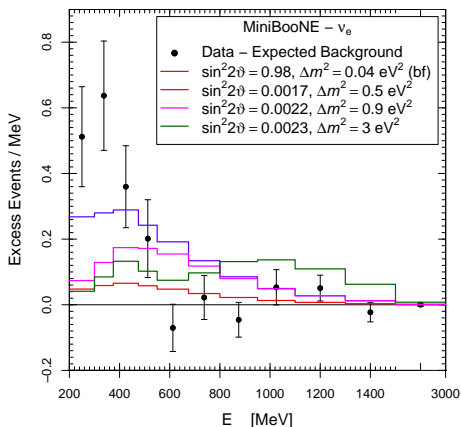
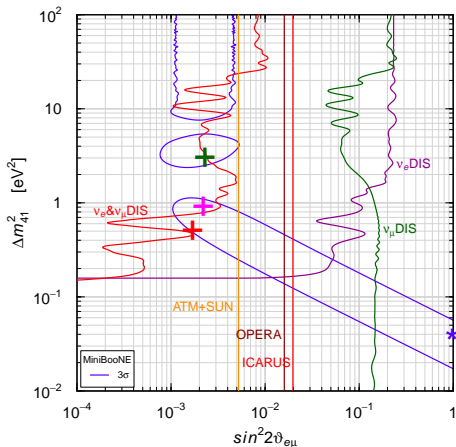
GoF = 19%

PGoF = 0.01%

[Kopp, Machado, Maltoni, Schwetz, JHEP 1305 (2013) 050]

There is no globally allowed region  
in this paper!

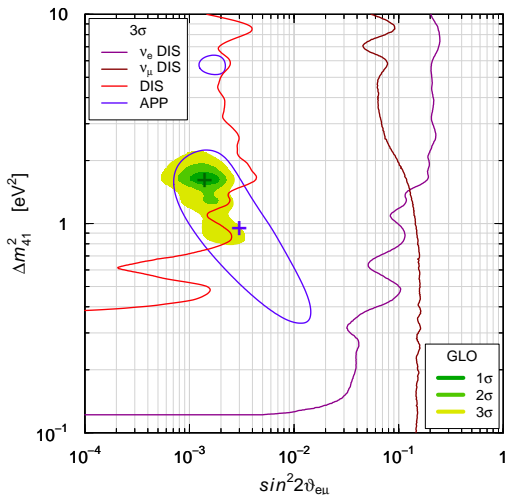
# MiniBooNE Low-Energy Excess?



- ▶ No fit of low-energy excess for realistic  $\sin^2 2\vartheta_{e\mu} \lesssim 3 \times 10^{-3}$
- ▶ Neutrino energy reconstruction problem? [Martini, Ericson, Chanfray, PRD 87 (2013) 013009]
- ▶ MB low-energy excess is the main cause of bad APP-DIS PGoF = 0.1%
- ▶ **Pragmatic Approach:** discard the Low-Energy Excess because it is very likely not due to oscillations

# Pragmatic Global 3+1 Fit

[PRD 88 (2013) 073008; arXiv:1507.08204]



MiniBooNE  $E > 475$  MeV

GoF = 26%

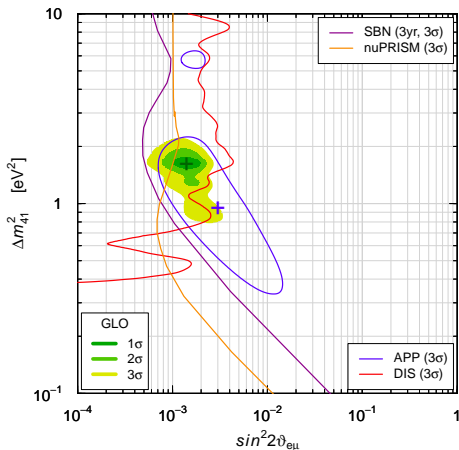
PGoF = 7%

- ▶ APP  $\nu_\mu \rightarrow \nu_e$  &  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ :  
LSND ( $\nu_s$ ), MiniBooNE (?),  
OPERA ( ~~$\nu_s$~~ ), ICARUS ( ~~$\nu_s$~~ ),  
KARMEN ( ~~$\nu_s$~~ ),  
NOMAD ( ~~$\nu_s$~~ ), BNL-E776 ( ~~$\nu_s$~~ )
- ▶ DIS  $\nu_e$  &  $\bar{\nu}_e$ : Reactors ( $\nu_s$ ),  
Gallium ( $\nu_s$ ),  $\nu_e$ C ( ~~$\nu_s$~~ ),  
Solar ( ~~$\nu_s$~~ )
- ▶ DIS  $\nu_\mu$  &  $\bar{\nu}_\mu$ : CDHSW ( ~~$\nu_s$~~ ),  
MINOS ( ~~$\nu_s$~~ ),  
Atmospheric ( ~~$\nu_s$~~ ),  
MiniBooNE/SciBooNE ( ~~$\nu_s$~~ )

No Osc. nominally disfavored  
at  $\approx 6.3\sigma$

$\Delta\chi^2/\text{NDF} = 47.7/3$

# Future Experiments



SBN (FNAL, USA)

[arXiv:1503.01520]

3 Liquid Argon TPCs

LAr1-ND  $L \simeq 100$  m

MicroBooNE  $L \simeq 470$  m

ICARUS T600  $L \simeq 600$  m

nuPRISM (J-PARC, Japan)

[Wilking@NNN2015]

$L \simeq 1$  km

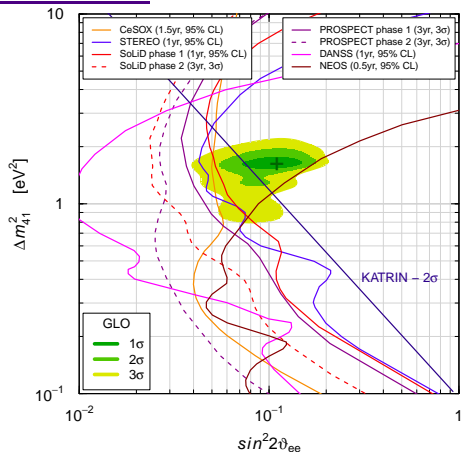
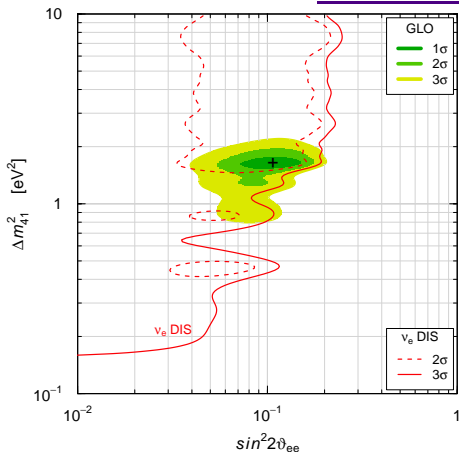
50 m tall water Cherenkov detector

$1^\circ - 4^\circ$  off-axis

can be improved with T2K ND



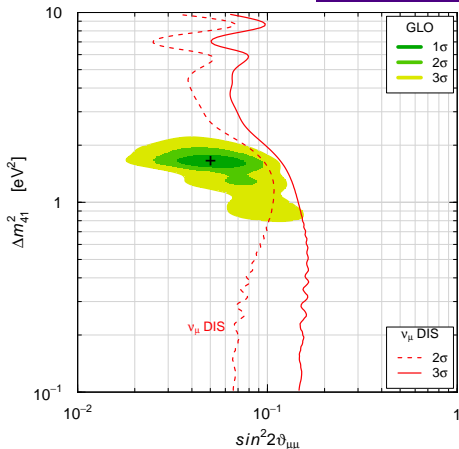
# $\nu_e$ Disappearance



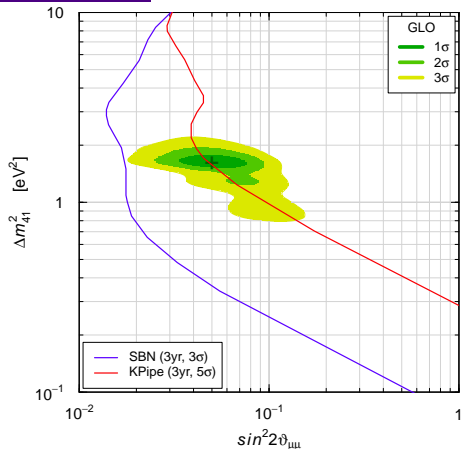
CeSOX (BOREXINO, Italy)  
<sup>144</sup>Ce – 100 kCi [Vivier@TAUP2015]  
 rate: 1% normalization uncertainty  
 8.5 m from detector center  
 KATRIN (Germany)  
 Tritium  $\beta$  decay [Mertens@TAUP2015]

STEREO (France)  $L \simeq 8$ -12m [Sanchez@EPSHEP2015]  
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 DANSS (Russia)  $L \simeq 10$ -12m [arXiv:1412.0817]  
 NEOS (Korea)  $L \simeq 25$ m [Oh@WIN2015]

# $\nu_\mu$ Disappearance



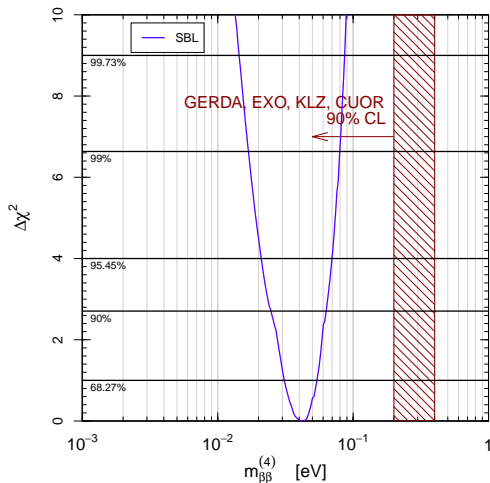
**SBN (USA)** [arXiv:1503.01520]  
LAr1-ND  $L \simeq 100\text{m}$   
MicroBooNE  $L \simeq 470\text{m}$   
ICARUS T600  $L \simeq 600\text{m}$



**KPipe (Japan)** [arXiv:1510.06994]  
 $L \simeq 30\text{-}150\text{m}$   
120 m long detector!

# Neutrinoless Double- $\beta$ Decay

$$m_{\beta\beta} = |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{i\alpha_{21}} m_2 + |U_{e3}|^2 e^{i\alpha_{31}} m_3 + |U_{e4}|^2 e^{i\alpha_{41}} m_4$$



Pragmatic 3+1 Fit

$$m_{\beta\beta}^{(k)} = |U_{ek}|^2 m_k$$

$$m_1 \ll m_4$$



$$m_{\beta\beta}^{(4)} \simeq |U_{e4}|^2 \sqrt{\Delta m_{41}^2}$$

surprise:  
possible cancellation  
with  $m_{\beta\beta}^{(3\nu)}$

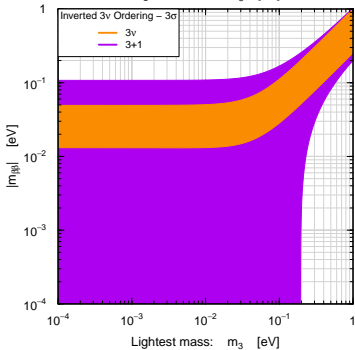
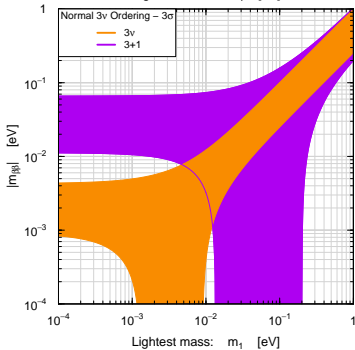
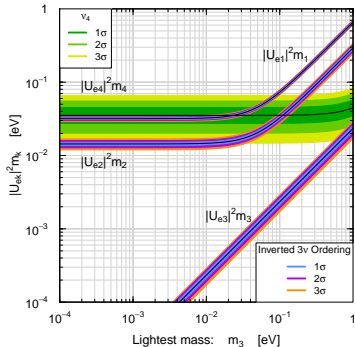
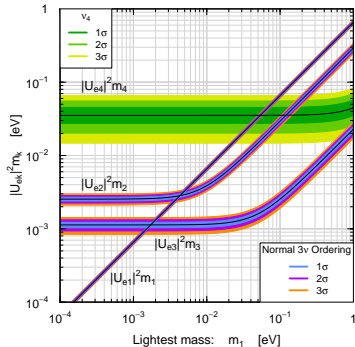
[Barry et al, JHEP 07 (2011) 091]

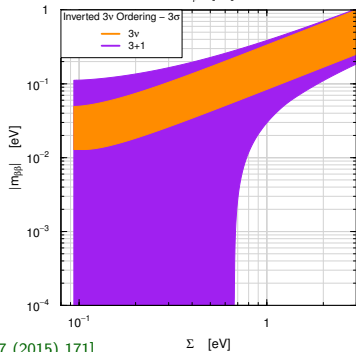
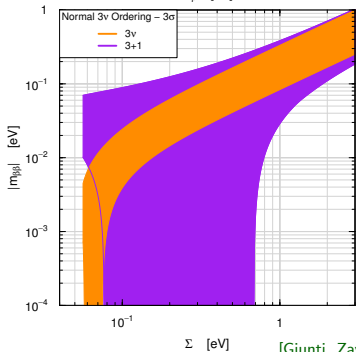
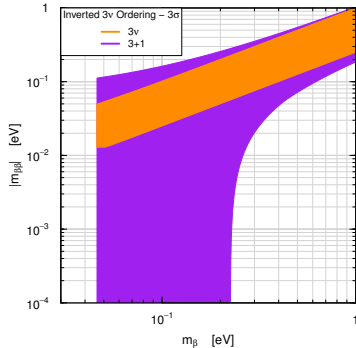
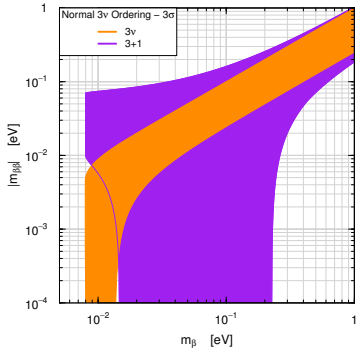
[Li, Liu, PLB 706 (2012) 406]

[Rodejohann, JPG 39 (2012) 124008]

[Girardi, Meroni, Petcov, JHEP 1311 (2013) 146]

[Giunti, Zavanin, JHEP 07 (2015) 171]





[Giunti, Zavanin, JHEP 07 (2015) 171]

# Conclusions

- ▶ Short-Baseline  $\nu_e$  and  $\bar{\nu}_e$  Disappearance:
  - ▶ Experimental data agree on Reactor  $\bar{\nu}_e$  and Gallium  $\nu_e$  disappearance.
  - ▶ Problem: total rates may have unknown systematic uncertainties.
  - ▶ Many promising projects to test unambiguously short-baseline  $\nu_e$  and  $\bar{\nu}_e$  disappearance in a few years with reactors and radioactive sources.
  - ▶ Independent tests through effect of  $m_4$  in  $\beta$ -decay and  $\beta\beta_{0\nu}$ -decay.
- ▶ Short-Baseline  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  LSND Signal:
  - ▶ Not seen by other SBL  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  experiments.
  - ▶ MiniBooNE experiment has been inconclusive.
  - ▶ Experiments with near detector are needed to check LSND signal!
  - ▶ Promising Fermilab program aimed at a conclusive solution of the mystery: a near detector (LAr1-ND), an intermediate detector (MicroBooNE) and a far detector (ICARUS-T600), all Liquid Argon Time Projection Chambers.
- ▶ Pragmatic 3+1 Fit is fine: moderate APP-DIS tension.
- ▶ 3+2 is not needed: same APP-DIS tension and no exp. CP violation.
- ▶ Cosmology [see Y. Wong talk]:
  - ▶ Tension between  $\Delta N_{\text{eff}} = 1$  and  $m_s \approx 1$  eV.
  - ▶ Cosmological and oscillation data may be reconciled by a non-standard cosmological mechanism.