

Status of Short-Baseline Neutrino Oscillation Fits

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PITT PACC SBN

Workshop on Short Baseline Neutrino Oscillation Physics

Pittsburgh, PA, USA

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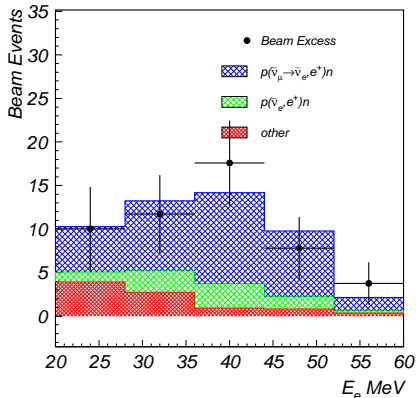
LSND

[PRL 75 (1995) 2650; PRC 54 (1996) 2685; PRL 77 (1996) 3082; PRD 64 (2001) 112007]

$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

$$L \simeq 30 \text{ m}$$

$$20 \text{ MeV} \leq E \leq 60 \text{ MeV}$$



- ▶ Well known source of $\bar{\nu}_\mu$:

$$\mu^+ \text{ at rest} \rightarrow e^+ + \nu_e + \bar{\nu}_\mu$$

- ▶ $\bar{\nu}_\mu \xrightarrow{L \simeq 30 \text{ m}} \bar{\nu}_e$

- ▶ Well known detection process of $\bar{\nu}_e$:

$$\bar{\nu}_e + p \rightarrow n + e^+$$

- ▶ But signal not seen by **KARMEN** with same method at $L \simeq 18 \text{ m}$

[PRD 65 (2002) 112001]

Nominal $\approx 3.8\sigma$ excess

$$\Delta m^2 \gtrsim 0.2 \text{ eV}^2 \quad (\gg \Delta m_{A}^2 \gg \Delta m_{S}^2)$$

MiniBooNE

$L \simeq 541 \text{ m}$

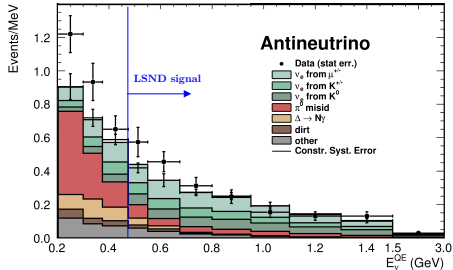
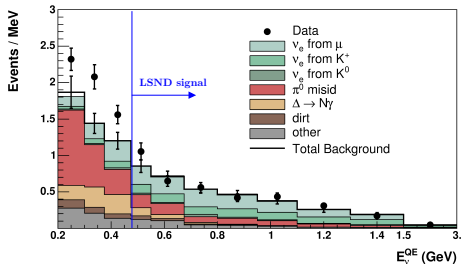
$200 \text{ MeV} \leq E \lesssim 3 \text{ GeV}$

$\nu_\mu \rightarrow \nu_e$

[PRL 102 (2009) 101802]

$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$

[PRL 110 (2013) 161801]



- ▶ Purpose: check LSND signal.
- ▶ Different L and E .
- ▶ Similar L/E (oscillations).
- ▶ No money, no Near Detector.

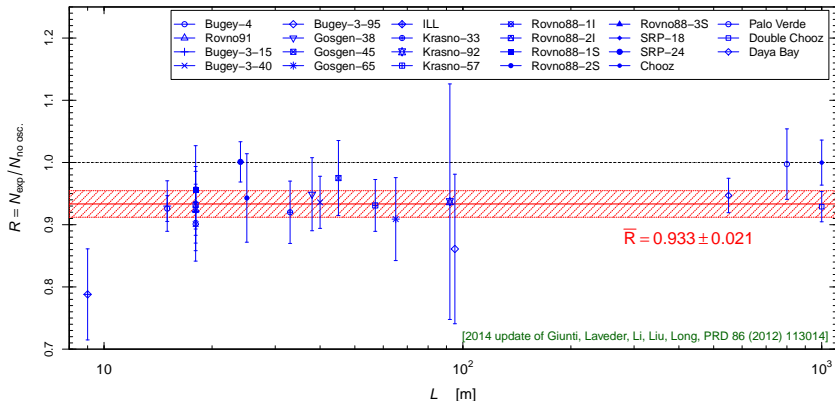
- ▶ LSND signal: $E > 475 \text{ MeV}$.
- ▶ Agreement with LSND signal?
- ▶ CP violation?
- ▶ Low-energy anomaly!

Reactor Electron Antineutrino Anomaly

[Mention et al, PRD 83 (2011) 073006; update in White Paper, arXiv:1204.5379]

New reactor $\bar{\nu}_e$ fluxes

[Mueller et al, PRC 83 (2011) 054615; Huber, PRC 84 (2011) 024617]



$$\bar{\nu}_e \rightarrow \bar{\nu}_e$$

$$L \sim 10 - 100 \text{ m}$$

$$E \sim 4 \text{ MeV}$$

Nominal $\approx 3.1\sigma$ deficit

$$\Delta m^2 \gtrsim 0.5 \text{ eV}^2$$

$$(\gg \Delta m_A^2 \gg \Delta m_S^2)$$

[see also: Sinev, arXiv:1103.2452; Ciuffoli, Evslin, Li, JHEP 12 (2012) 110; Zhang, Qian, Vogel, PRD 87 (2013) 073018; Kopp, Machado, Maltoni, Schwetz, JHEP 1305 (2013) 050; Ivanov et al, PRC 88 (2013) 055501]

Problem: unknown $\bar{\nu}_e$ flux uncertainties?

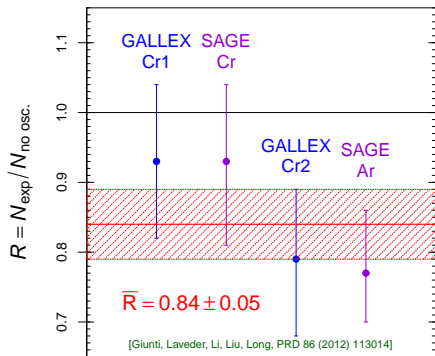
[Hayes, Friar, Garvey, Jonkmans, PRL 112 (2014) 202501; Dwyer, Langford, PRL 114 (2015) 012502]

Gallium Anomaly

Gallium Radioactive Source Experiments: GALLEX and SAGE

Detection Process: $\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-$

ν_e Sources: $e^- + {}^{51}\text{Cr} \rightarrow {}^{51}\text{V} + \nu_e$ $e^- + {}^{37}\text{Ar} \rightarrow {}^{37}\text{Cl} + \nu_e$



$\bar{\nu}_e \rightarrow \bar{\nu}_e$ $E \sim 0.7 \text{ MeV}$

$\langle L \rangle_{\text{GALLEX}} = 1.9 \text{ m}$

$\langle L \rangle_{\text{SAGE}} = 0.6 \text{ m}$

Nominal $\approx 2.9\sigma$ anomaly

$\Delta m^2 \gtrsim 1 \text{ eV}^2$ ($\gg \Delta m_A^2 \gg \Delta m_S^2$)

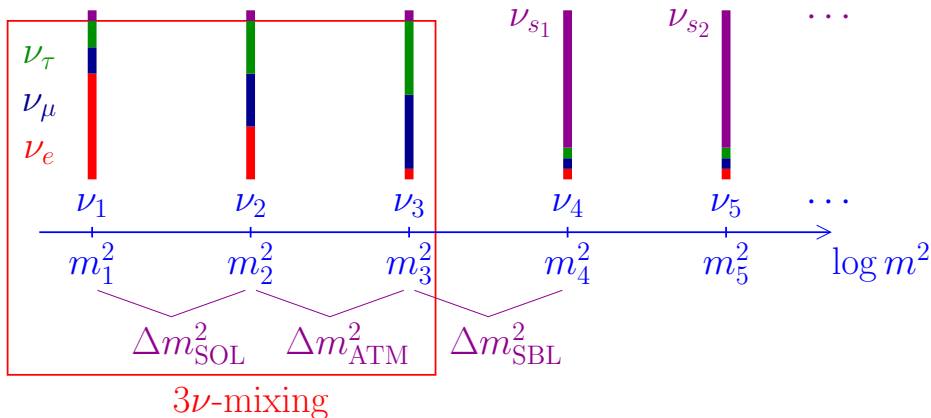
[SAGE, PRC 73 (2006) 045805; PRC 80 (2009) 015807]

[Laveder et al, Nucl.Phys.Proc.Suppl. 168 (2007) 344;
MPLA 22 (2007) 2499; PRD 78 (2008) 073009;
PRC 83 (2011) 065504]

[Mention et al, PRD 83 (2011) 073006]

- ▶ ${}^3\text{He} + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + {}^3\text{H}$ cross section measurement [Frekers et al., PLB 706 (2011) 134]
- ▶ $E_{\text{th}}(\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-) = 233.5 \pm 1.2 \text{ keV}$ [Frekers et al., PLB 722 (2013) 233]

Beyond Three-Neutrino Mixing: Sterile Neutrinos



Terminology: a eV-scale sterile neutrino
means: a eV-scale massive neutrino which is mainly sterile

Effective SBL Oscillation Probabilities in 3+1 Schemes

$$P_{\nu_\alpha \rightarrow \nu_\beta}^{\text{SBL}(-)} \simeq \sin^2 2\vartheta_{\alpha\beta} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$$

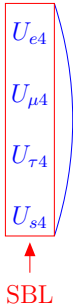
$$\sin^2 2\vartheta_{\alpha\beta} = 4|U_{\alpha 4}|^2 |U_{\beta 4}|^2$$

$$P_{\nu_\alpha \rightarrow \nu_\alpha}^{\text{SBL}(-)} \simeq 1 - \sin^2 2\vartheta_{\alpha\alpha} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$$

$$\sin^2 2\vartheta_{\alpha\alpha} = 4|U_{\alpha 4}|^2 (1 - |U_{\alpha 4}|^2)$$

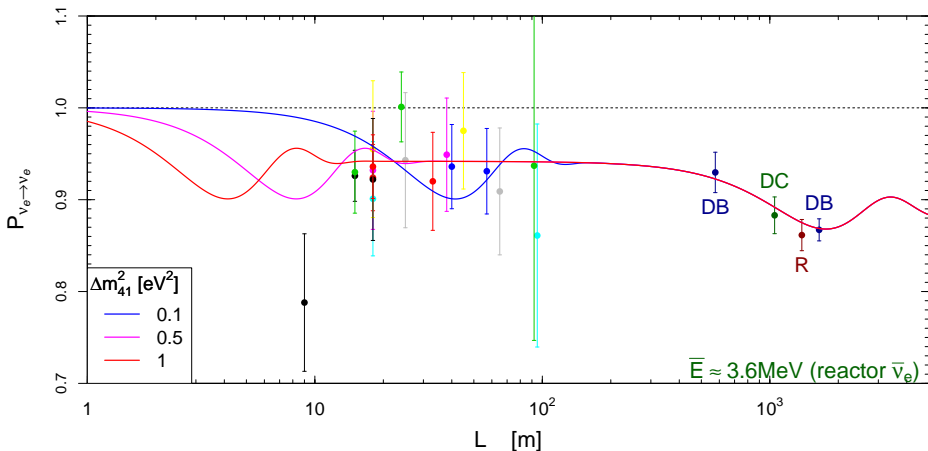
Perturbation of 3ν Mixing: $|U_{e4}|^2 \ll 1$, $|U_{\mu 4}|^2 \ll 1$, $|U_{\tau 4}|^2 \ll 1$, $|U_{s4}|^2 \simeq 1$

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$



 SBL

- ▶ 6 mixing angles
- ▶ 3 Dirac CP phases
- ▶ 3 Majorana CP phases
- ▶ But CP violation is not observable in current SBL experiments!
- ▶ Observable in LBL accelerator exp. sensitive to Δm_{ATM}^2 [de Gouvea, Kelly, Kobach, PRD 91 (2015) 053005; Klop, Palazzo, PRD 91 (2015) 073017; Berryman, de Gouvea, Kelly, Kobach, PRD 92 (2015) 073012, Palazzo, arXiv:1509.03148] and solar exp. sensitive to Δm_{SOL}^2 [Long, Li, Giunti, PRD 87, 113004 (2013) 113004]

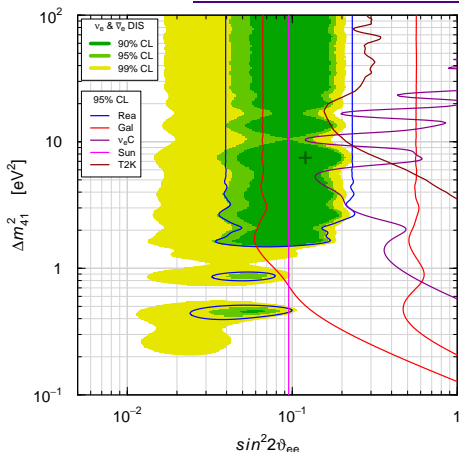


$$\sin^2 2\vartheta_{ee} = 4|U_{e4}|^2 (1 - |U_{e4}|^2) = \sin^2 2\vartheta_{14}$$

$$P_{\nu_e \rightarrow \nu_e}^{\text{SBL}} \simeq 1 - \sin^2 2\vartheta_{14} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$$

$$P_{\nu_e \rightarrow \nu_e}^{\text{LBL}} \simeq 1 - \frac{1}{2} \sin^2 2\vartheta_{14} - \cos^4 \vartheta_{14} \sin^2 2\vartheta_{13} \sin^2 \left(\frac{\Delta m_{31}^2 L}{4E} \right)$$

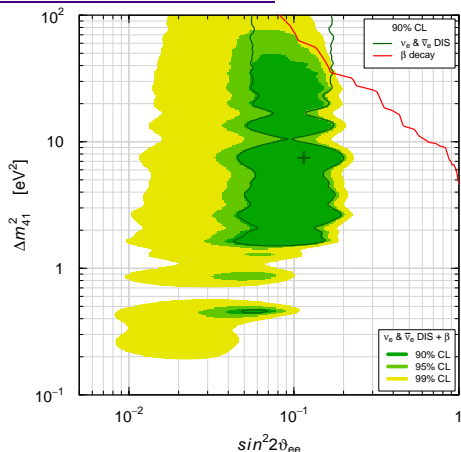
Global ν_e and $\bar{\nu}_e$ Disappearance



KARMEN + LSND $\nu_e + {}^{12}\text{C} \rightarrow {}^{12}\text{N}_{g.s.} + e^-$
 [Conrad, Shaevitz, PRD 85 (2012) 013017]
 [Giunti, Laveder, PLB 706 (2011) 200]

solar ν_e + KamLAND $\bar{\nu}_e + \vartheta_{13}$
 [Giunti, Li, PRD 80 (2009) 113007]
 [Palazzo, PRD 83 (2011) 113013; PRD 85 (2012) 077301]
 [Giunti, Laveder, Li, Liu, Long, PRD 86 (2012) 113014]

T2K Near Detector ν_e disappearance
 [T2K, PRD 91 (2015) 051102]

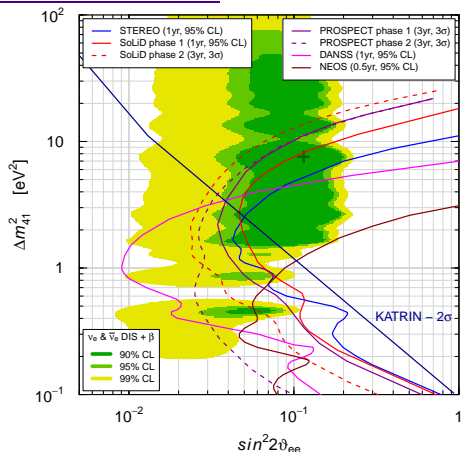
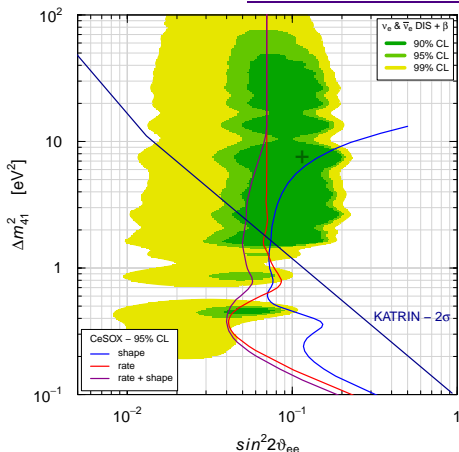


Mainz + Troitsk Tritium β decay
 [Mainz, EPJC 73 (2013) 2323]
 [Troitsk, JETPL 97 (2013) 67; JPG 41 (2014) 015001]

No Osc. excluded at 2.9σ
 $(\Delta\chi^2/\text{NDF} = 11.2/2)$

$$7 \text{ cm} \lesssim \frac{L_{41}^{\text{osc}}}{E [\text{MeV}]} \lesssim 2 \text{ m} \quad (2\sigma)$$

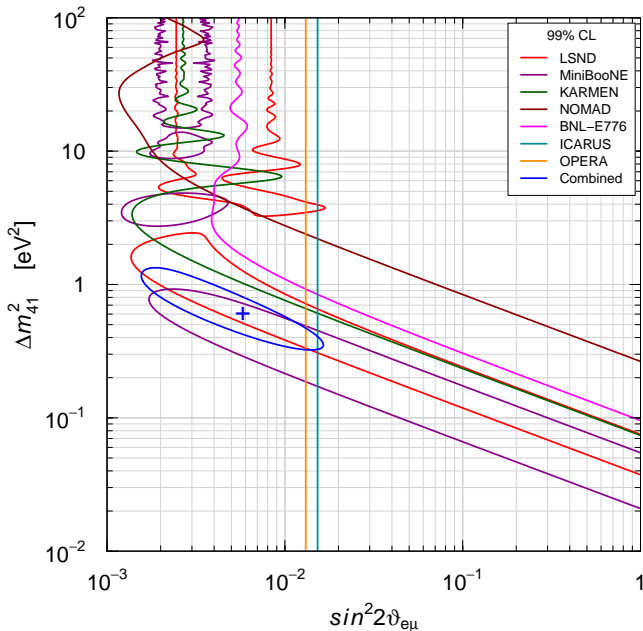
Near-Future Experiments



CeSOX (BOREXINO, Italy)
¹⁴⁴Ce – 100 kCi [Vivier@TAUP2015]
 rate: 1% normalization uncertainty
 8.5 m from detector center
 KATRIN (Germany)
 Tritium β decay [Mertens@TAUP2015]

STEREO (France) $L \simeq 8$ -12m [Sanchez@EPSHEP2015]
 SoLid (Belgium) $L \simeq 5$ -8m [Yermia@TAUP2015]
 PROSPECT (USA) $L \simeq 7$ -12m [Heeger@TAUP2015]
 DANSS (Russia) $L \simeq 10$ -12m [arXiv:1412.0817]
 NEOS (Korea) $L \simeq 25$ m [Oh@WIN2015]

$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ and $\nu_\mu \rightarrow \nu_e$ Appearance



3+1: Appearance vs Disappearance

- ▶ Amplitude of ν_e disappearance:

$$\sin^2 2\vartheta_{ee} = 4|U_{e4}|^2 (1 - |U_{e4}|^2) \simeq 4|U_{e4}|^2$$

- ▶ Amplitude of ν_μ disappearance:

$$\sin^2 2\vartheta_{\mu\mu} = 4|U_{\mu4}|^2 (1 - |U_{\mu4}|^2) \simeq 4|U_{\mu4}|^2$$

- ▶ Amplitude of $\nu_\mu \rightarrow \nu_e$ transitions:

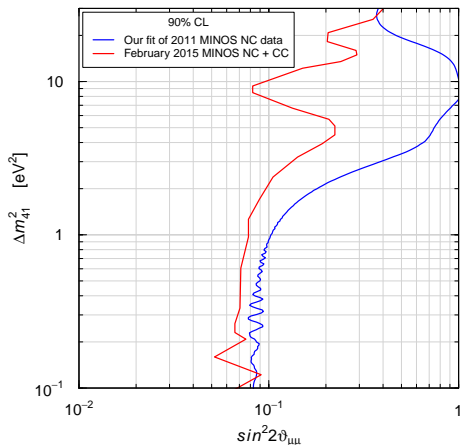
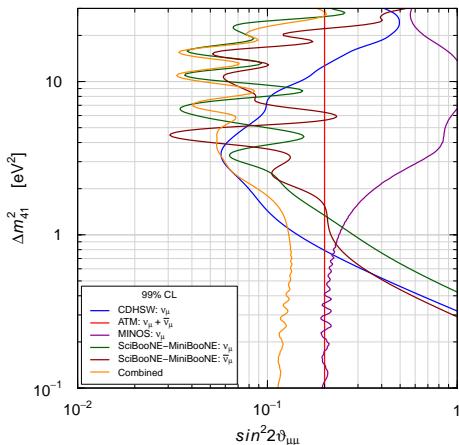
$$\sin^2 2\vartheta_{e\mu} = 4|U_{e4}|^2 |U_{\mu4}|^2 \simeq \frac{1}{4} \sin^2 2\vartheta_{ee} \sin^2 2\vartheta_{\mu\mu}$$

- ▶ Upper bounds on ν_e and ν_μ disappearance \Rightarrow strong limit on $\nu_\mu \rightarrow \nu_e$

[Okada, Yasuda, IJMPA 12 (1997) 3669; Bilenky, Giunti, Grimus, EPJC 1 (1998) 247]

- ▶ Similar constraint in 3+2, 3+3, \dots , 3+ N_S ! [Giunti, Zavanin, MPLA 31 (2015) 1650003]

ν_μ and $\bar{\nu}_\mu$ Disappearance

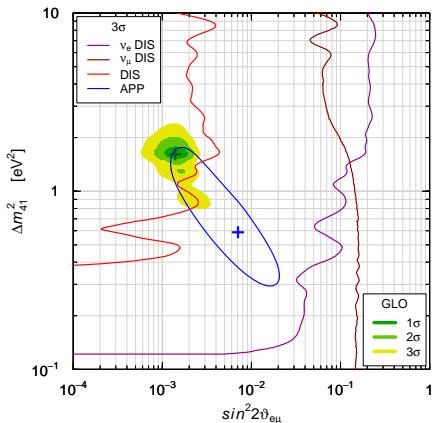


MINOS: $L_{\text{decay}} \simeq 0.675 \text{ km}$ $L_{\text{ND}} \simeq 1.04 \text{ km}$ $L_{\text{FD}} \simeq 735 \text{ km}$

$$E \approx 4 \text{ GeV} \implies \frac{L_{\text{osc}}}{L_{\text{ND}}} \approx \frac{10}{\Delta m_{41}^2 [\text{eV}^2]}$$

Global 3+1 Fit

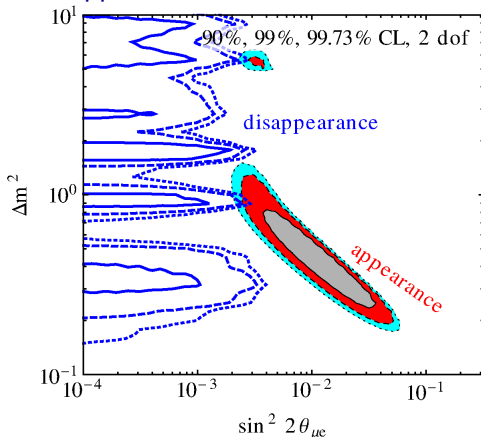
Our Fit



GoF = 5%

PGoF = 0.1%

Kopp, Machado, Maltoni, Schwetz



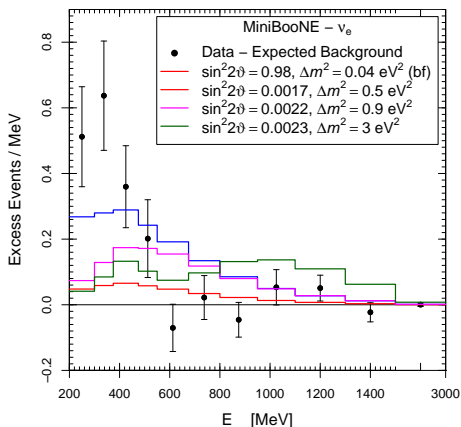
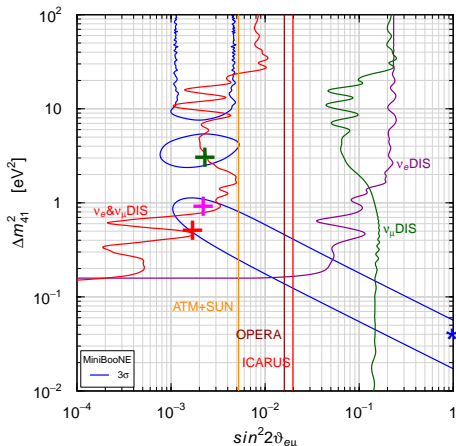
GoF = 19%

PGoF = 0.01%

[Kopp, Machado, Maltoni, Schwetz, JHEP 1305 (2013) 050]

There is no globally allowed region
in this paper!

MiniBooNE Low-Energy Excess?



- ▶ No fit of low-energy excess for realistic $\sin^2 2\theta_{e\mu} \lesssim 3 \times 10^{-3}$
- ▶ MB low-energy excess is the main cause of bad APP-DIS PGoF = 0.1%
- ▶ Pragmatic Approach: discard the Low-Energy Excess because it is very likely not due to oscillations

[Giunti, Laveder, Li, Long, PRD 88 (2013) 073008]

Neutrino energy reconstruction problem?

[Martini, Ericson, Chanfray, PRD 85 (2012) 093012; PRD 87 (2013) 013009]

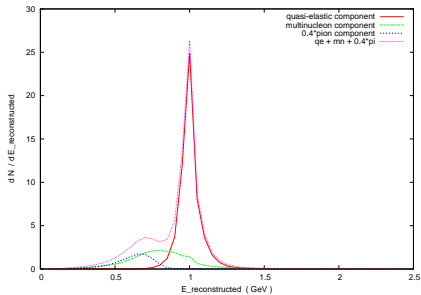
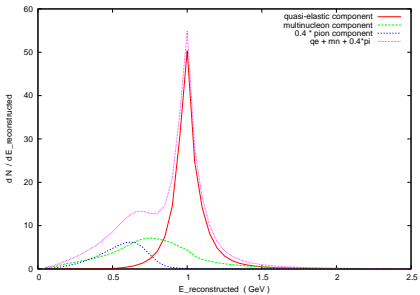
- ▶ Effect due to multinucleon interactions whose signal is indistinguishable from that due to quasielastic charged-current scattering



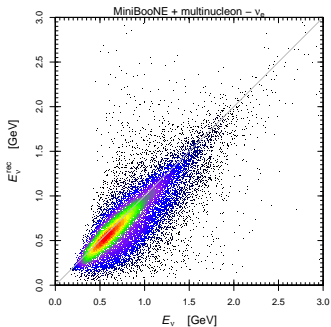
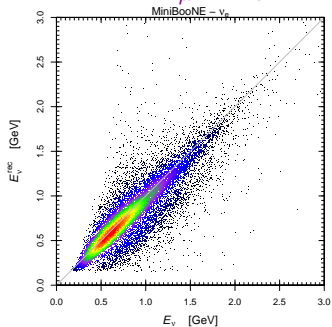
- ▶ In the MiniBooNE analysis the reconstructed neutrino energy is ($E_B \simeq 25$ MeV)

$$E_\nu^{\text{QE}} = \frac{2(M_i - E_B) E_e - (m_e^2 - 2M_i E_B + E_B^2 + \Delta M_{if}^2)}{2(M_i - E_B - E_e + p_e \cos \theta_e)}$$

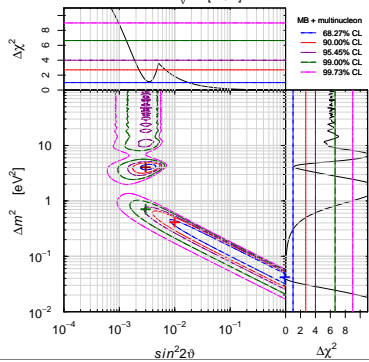
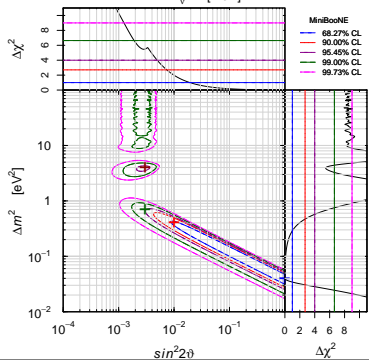
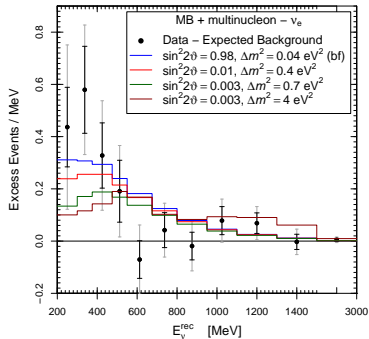
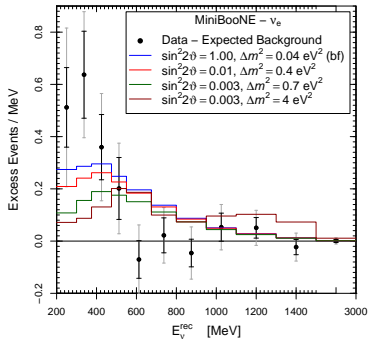
- ▶ The MiniBooNE collaboration took into account:
 - ▶ Fermi motion of the initial nucleon
 - ▶ Charged-current single charged pion production events in which the pion is not observed
(e.g. $\nu_e + n \rightarrow \Delta^+ + e^- \rightarrow n + \pi^+ + e^-$ with π^+ absorbed by a nucleus)

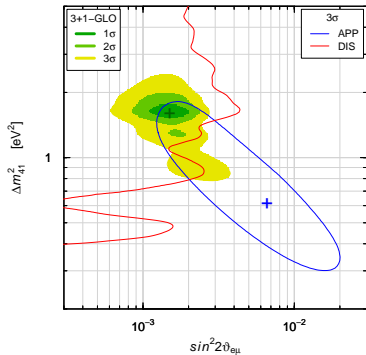
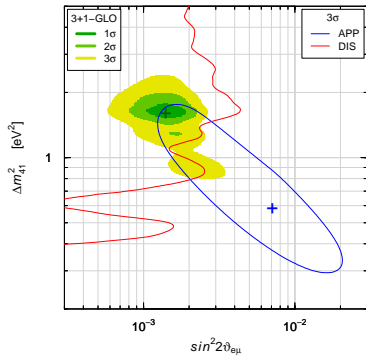


MiniBooNE $\nu_\mu \rightarrow \nu_e$ full transmutation Monte Carlo events



[Ericson, Garzelli, Giunti, Martini, in preparation]

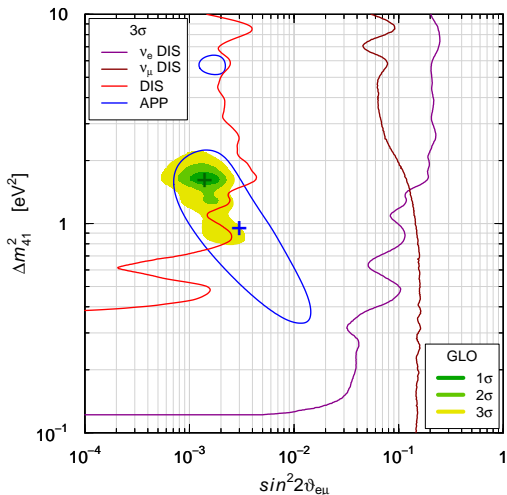




- ▶ Multinucleon interactions can decrease slightly the MiniBooNE low-energy anomaly
- ▶ Multinucleon interactions cannot solve the APP-DIS tension
- ▶ MicroBooNE is crucial for checking the MiniBooNE low-energy anomaly
- ▶ If confirmed it is a real problem

Pragmatic Global 3+1 Fit

[Gariazzo, Giunti, Laveder, Li, Zavanin, JPG 43 (2016) 033001]



MiniBooNE $E > 475$ MeV
GoF = 26% PGoF = 7%

- ▶ APP $\nu_\mu \rightarrow \nu_e$ & $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$:
LSND (ν_s), MiniBooNE (?),
OPERA (~~ν_s~~), ICARUS (~~ν_s~~),
KARMEN (~~ν_s~~),
NOMAD (~~ν_s~~), BNL-E776 (~~ν_s~~)
- ▶ DIS ν_e & $\bar{\nu}_e$: Reactors (ν_s),
Gallium (ν_s), $\nu_e C$ (~~ν_s~~),
Solar (~~ν_s~~)
- ▶ DIS ν_μ & $\bar{\nu}_\mu$: CDHSW (~~ν_s~~),
MINOS (~~ν_s~~),
Atmospheric (~~ν_s~~),
MiniBooNE/SciBooNE (~~ν_s~~)

No Osc. nominally disfavored
at $\approx 6.3\sigma$

$$\Delta\chi^2/\text{NDF} = 47.7/3$$

Effective SBL Oscillation Probabilities in 3+2 Schemes

$$\Delta_{kj} = \Delta m_{kj}^2 L/4E$$

$$\eta = \arg[U_{e4}^* U_{\mu 4} U_{e5} U_{\mu 5}^*]$$

$$P_{\nu_{\mu} \rightarrow \nu_e}^{\text{SBL}(-)} = 4|U_{e4}|^2 |U_{\mu 4}|^2 \sin^2 \Delta_{41} + 4|U_{e5}|^2 |U_{\mu 5}|^2 \sin^2 \Delta_{51} + 8|U_{\mu 4} U_{e4} U_{\mu 5} U_{e5}| \sin \Delta_{41} \sin \Delta_{51} \cos(\Delta_{54}^{(+)} - \eta)$$

$$P_{\nu_{\alpha} \rightarrow \nu_{\alpha}}^{\text{SBL}(-)} = 1 - 4(1 - |U_{\alpha 4}|^2 - |U_{\alpha 5}|^2)(|U_{\alpha 4}|^2 \sin^2 \Delta_{41} + |U_{\alpha 5}|^2 \sin^2 \Delta_{51}) - 4|U_{\alpha 4}|^2 |U_{\alpha 5}|^2 \sin^2 \Delta_{54}$$

[Sorel, Conrad, Shaevitz, PRD 70 (2004) 073004; Maltoni, Schwetz, PRD 76 (2007) 093005; Karagiorgi et al, PRD 80 (2009) 073001; Kopp, Maltoni, Schwetz, PRL 107 (2011) 091801; Giunti, Laveder, PRD 84 (2011) 073008; Donini et al, JHEP 07 (2012) 161; Archidiacono et al, PRD 86 (2012) 065028; Jacques, Krauss, Lunardini, PRD 87 (2013) 083515; Conrad et al, AHEP 2013 (2013) 163897; Archidiacono et al, PRD 87 (2013) 125034; Kopp, Machado, Maltoni, Schwetz, JHEP 1305 (2013) 050; Giunti, Laveder, Y.F. Li, H.W. Long, PRD 88 (2013) 073008; Girardi, Meroni, Petcov, JHEP 1311 (2013) 146]

▶ Good: CP violation

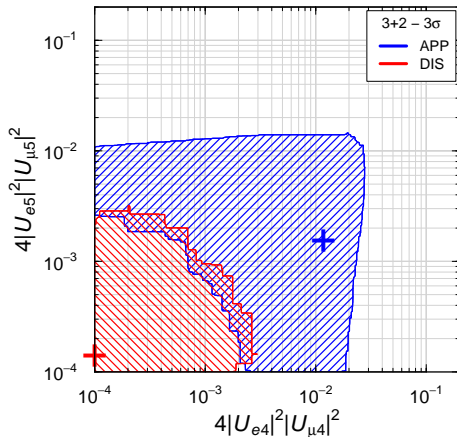
▶ Bad: Two massive sterile neutrinos at the eV scale!

4 more parameters: $\underbrace{\Delta m_{41}^2, |U_{e4}|^2, |U_{\mu 4}|^2, \Delta m_{51}^2, |U_{e5}|^2, |U_{\mu 5}|^2}_{3+1}, \eta$

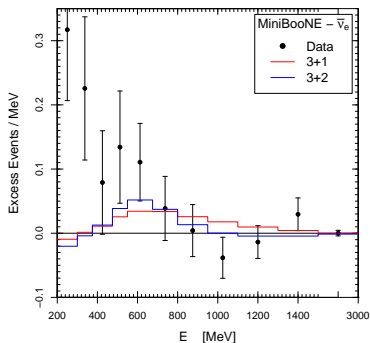
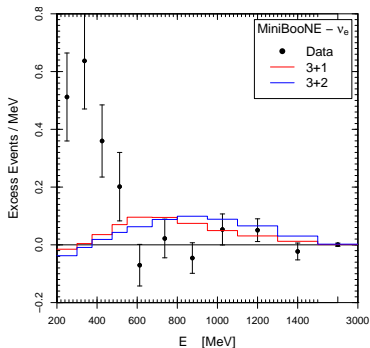
Global Fits	Our Fit		KMMS	
	3+1	3+2	3+1	3+2
GoF	5%	7%	19%	23%
PGoF	0.1%	0.04%	0.01%	0.003%

- ▶ Our Fit: Gariazzo, Giunti, Laveder, Li, Zavanin, JPG 43 (2016) 033001
- ▶ KMMS: Kopp, Machado, Maltoni, Schwetz, JHEP 1305 (2013) 050

APP-DIS 3+2 Tension:

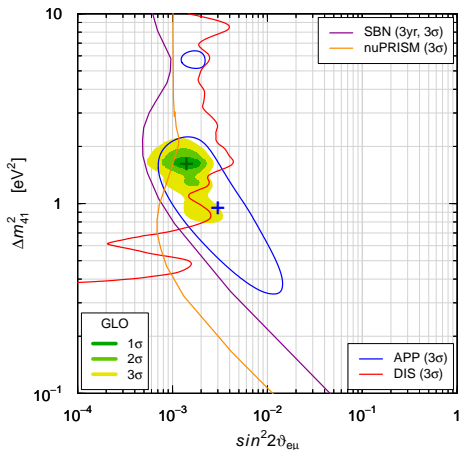


3+2 cannot fit MiniBooNE Low-Energy Excess



- ▶ Note difference between 3+2 ν_e and $\bar{\nu}_e$ histograms due to CP violation
- ▶ 3+2 can fit slightly better the small $\bar{\nu}_e$ excess at about 600 MeV
- ▶ 3+2 fit of low-energy excess as bad as 3+1
- ▶ Claims that 3+2 can fit low-energy excess do not take into account constraints from other data
- ▶ Conclusion: 3+2 is not needed

Future Experiments



SBN (FNAL, USA)

[arXiv:1503.01520]

3 Liquid Argon TPCs

LAr1-ND $L \simeq 100$ m

MicroBooNE $L \simeq 470$ m

ICARUS T600 $L \simeq 600$ m

nuPRISM (J-PARC, Japan)

[Wilking@NNN2015]

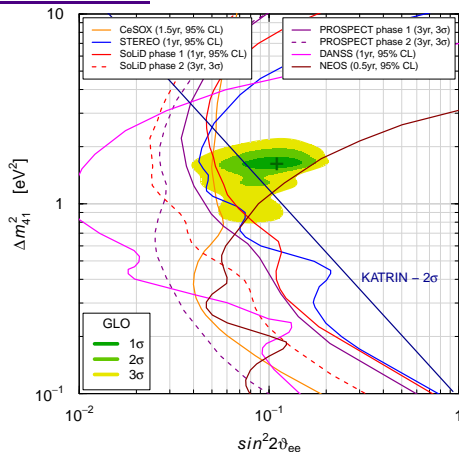
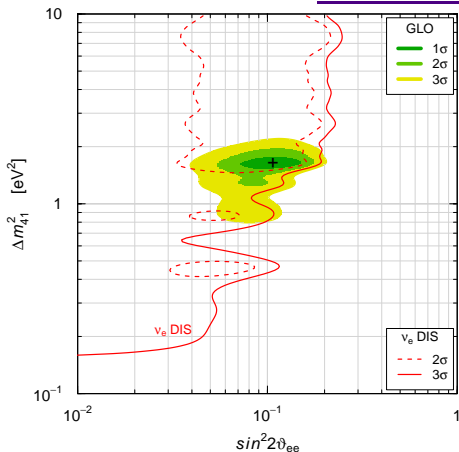
$L \simeq 1$ km

50 m tall water Cherenkov detector

$1^\circ - 4^\circ$ off-axis

can be improved with T2K ND

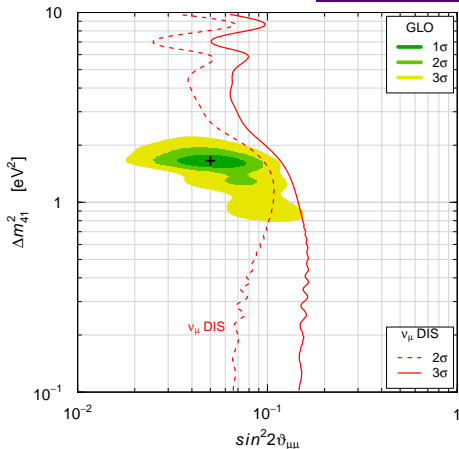
ν_e Disappearance



CeSOX (BOREXINO, Italy)
¹⁴⁴Ce – 100 kCi [Vivier@TAUP2015]
 rate: 1% normalization uncertainty
 8.5 m from detector center
 KATRIN (Germany)
 Tritium β decay [Mertens@TAUP2015]

STEREO (France) $L \simeq 8$ -12m [Sanchez@EPSHEP2015]
 SoLid (Belgium) $L \simeq 5$ -8m [Yermia@TAUP2015]
 PROSPECT (USA) $L \simeq 7$ -12m [Heeger@TAUP2015]
 DANSS (Russia) $L \simeq 10$ -12m [arXiv:1412.0817]
 NEOS (Korea) $L \simeq 25$ m [Oh@WIN2015]

ν_μ Disappearance

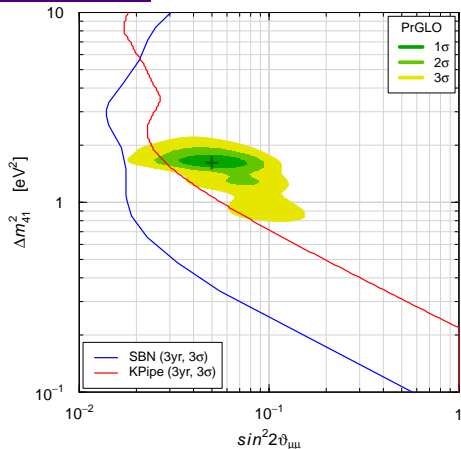


SBN (USA) [arXiv:1503.01520]

LAr1-ND $L \simeq 100\text{m}$

MicroBooNE $L \simeq 470\text{m}$

ICARUS T600 $L \simeq 600\text{m}$



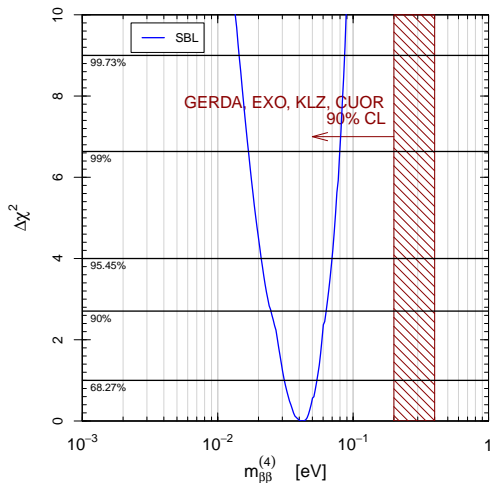
KPipe (Japan) [arXiv:1510.06994]

$L \simeq 30\text{-}150\text{m}$

120 m long detector!

Neutrinoless Double- β Decay

$$m_{\beta\beta} = |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{i\alpha_{21}} m_2 + |U_{e3}|^2 e^{i\alpha_{31}} m_3 + |U_{e4}|^2 e^{i\alpha_{41}} m_4$$



Pragmatic 3+1 Fit

$$m_{\beta\beta}^{(k)} = |U_{ek}|^2 m_k$$

$$m_1 \ll m_4$$



$$m_{\beta\beta}^{(4)} \simeq |U_{e4}|^2 \sqrt{\Delta m_{41}^2}$$

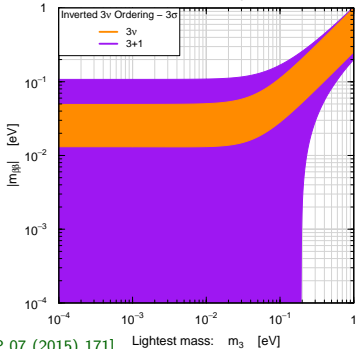
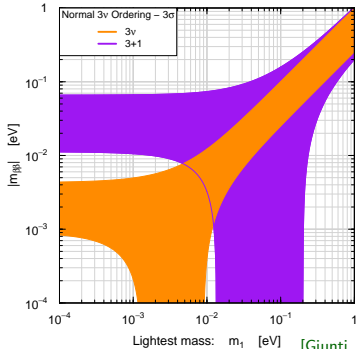
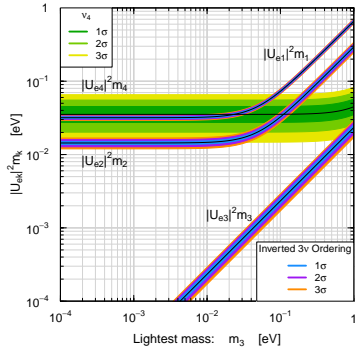
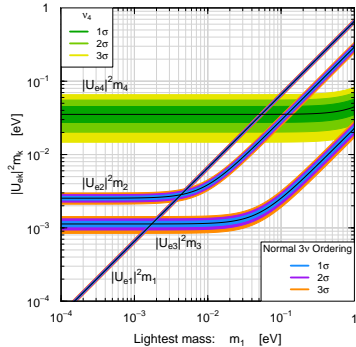
surprise:
possible cancellation
with $m_{\beta\beta}^{(3\nu)}$

[Barry et al, JHEP 07 (2011) 091]

[Li, Liu, PLB 706 (2012) 406]

[Rodejohann, JPG 39 (2012) 124008]

[Girardi, Meroni, Petcov, JHEP 1311 (2013) 146]



[Giunti, Zavanin, JHEP 07 (2015) 171]

Conclusions

- ▶ Short-Baseline ν_e and $\bar{\nu}_e$ Disappearance:
 - ▶ Experimental data agree on Reactor $\bar{\nu}_e$ and Gallium ν_e disappearance.
 - ▶ Problem: total rates may have unknown systematic uncertainties.
 - ▶ Many promising projects to test unambiguously short-baseline ν_e and $\bar{\nu}_e$ disappearance in a few years with reactors and radioactive sources.
 - ▶ Independent tests through effect of m_4 in β -decay and $\beta\beta_{0\nu}$ -decay.
- ▶ Short-Baseline $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ LSND Signal:
 - ▶ Not seen by other SBL $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ experiments.
 - ▶ MiniBooNE experiment has been inconclusive.
 - ▶ Experiments with near detector are needed to check LSND signal!
 - ▶ Promising Fermilab program aimed at a conclusive solution of the mystery: a near detector (LAr1-ND), an intermediate detector (MicroBooNE) and a far detector (ICARUS-T600), all Liquid Argon Time Projection Chambers.
- ▶ Pragmatic 3+1 Fit is fine: moderate APP-DIS tension.
- ▶ 3+2 is not needed: same APP-DIS tension and no experimental evidence of CP violation.
- ▶ Cosmology:
 - ▶ Tension between $\Delta N_{\text{eff}} = 1$ and $m_s \approx 1$ eV.
 - ▶ Cosmological and oscillation data may be reconciled by a non-standard cosmological mechanism.