

Short-Baseline Neutrino Anomalies

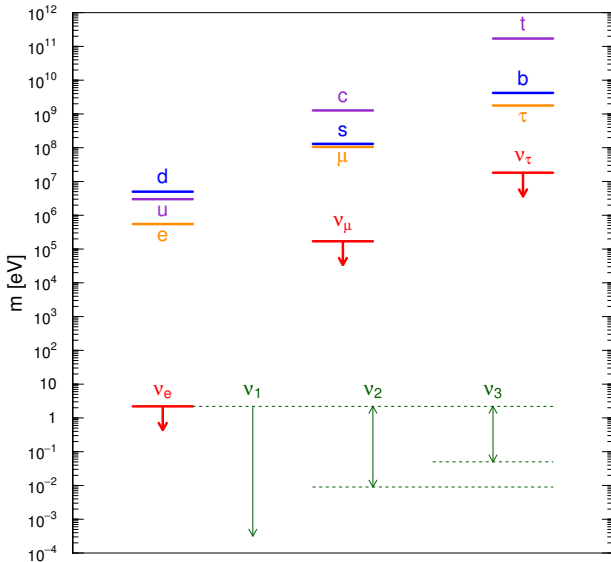
Carlo Giunti

INFN, Torino, Italy

Seminar at IFIC, Valencia, Spain, 7 March 2017



Fermion Mass Spectrum



Neutrino Mixing

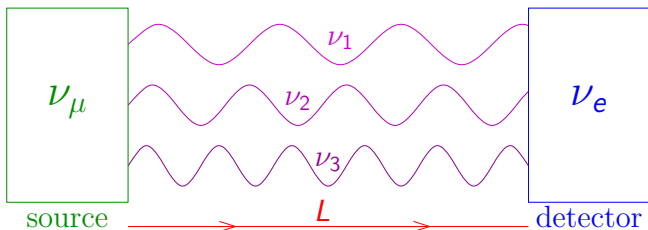
- ▶ Flavor Neutrinos: ν_e, ν_μ, ν_τ produced in Weak Interactions
- ▶ Massive Neutrinos: ν_1, ν_2, ν_3 propagate from Source to Detector
- ▶ Neutrino Mixing: a Flavor Neutrino is a superposition of Massive Neutrinos

$$\begin{pmatrix} |\nu_e\rangle \\ |\nu_\mu\rangle \\ |\nu_\tau\rangle \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \\ |\nu_3\rangle \end{pmatrix}$$

- ▶ U is the 3×3 unitary Neutrino Mixing Matrix

Neutrino Oscillations

$$|\nu(t=0)\rangle = |\nu_\mu\rangle = U_{\mu 1} |\nu_1\rangle + U_{\mu 2} |\nu_2\rangle + U_{\mu 3} |\nu_3\rangle$$



$$|\nu(t > 0)\rangle = U_{\mu 1} e^{-iE_1 t} |\nu_1\rangle + U_{\mu 2} e^{-iE_2 t} |\nu_2\rangle + U_{\mu 3} e^{-iE_3 t} |\nu_3\rangle \neq |\nu_\mu\rangle$$

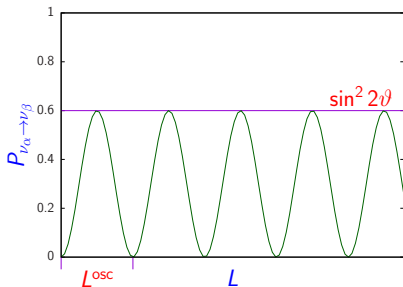
$$E_k^2 = p^2 + m_k^2 \quad t = L$$

$$P_{\nu_\mu \rightarrow \nu_e}(L) = |\langle \nu_e | \nu(L) \rangle|^2 = \sum_{k,j} U_{ek} U_{\mu k}^* U_{ej}^* U_{\mu j} \exp\left(-i \frac{\Delta m_{kj}^2 L}{2E}\right)$$

transition probabilities depend on U and $\Delta m_{kj}^2 \equiv m_k^2 - m_j^2$

$$\begin{array}{cccc} \nu_e \rightarrow \nu_\mu & \nu_e \rightarrow \nu_\tau & \nu_\mu \rightarrow \nu_e & \nu_\mu \rightarrow \nu_\tau \\ \bar{\nu}_e \rightarrow \bar{\nu}_\mu & \bar{\nu}_e \rightarrow \bar{\nu}_\tau & \bar{\nu}_\mu \rightarrow \bar{\nu}_e & \bar{\nu}_\mu \rightarrow \bar{\nu}_\tau \end{array}$$

$$2\nu\text{-mixing: } P_{\nu_\alpha \rightarrow \nu_\beta} = \sin^2 2\vartheta \sin^2 \left(\frac{\Delta m^2 L}{4E} \right) \implies L^{\text{osc}} = \frac{4\pi E}{\Delta m^2}$$



Tiny neutrino masses lead to observable macroscopic oscillation distances!

$$\frac{L}{E} \sim \left\{ \begin{array}{ll} 10 \frac{\text{m}}{\text{MeV}} \left(\frac{\text{km}}{\text{GeV}} \right) & \text{short-baseline experiments} \\ 10^3 \frac{\text{m}}{\text{MeV}} \left(\frac{\text{km}}{\text{GeV}} \right) & \text{long-baseline experiments} \\ 10^4 \frac{\text{km}}{\text{GeV}} & \text{atmospheric neutrino experiments} \\ 10^{11} \frac{\text{m}}{\text{MeV}} & \text{solar neutrino experiments} \end{array} \right. \quad \begin{array}{l} \Delta m^2 \gtrsim 10^{-1} \text{ eV}^2 \\ \Delta m^2 \gtrsim 10^{-3} \text{ eV}^2 \\ \Delta m^2 \gtrsim 10^{-4} \text{ eV}^2 \\ \Delta m^2 \gtrsim 10^{-11} \text{ eV}^2 \end{array}$$

Neutrino oscillations are the optimal tool to reveal tiny neutrino masses!

Three-Neutrino Mixing

Standard Parameterization of Mixing Matrix

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{13}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{13}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_{21}} & 0 \\ 0 & 0 & e^{i\lambda_{31}} \end{pmatrix}$$
$$= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{13}} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta_{13}} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta_{13}} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta_{13}} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta_{13}} & c_{23}c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_{21}} & 0 \\ 0 & 0 & e^{i\lambda_{31}} \end{pmatrix}$$

$$c_{ab} \equiv \cos \vartheta_{ab} \quad s_{ab} \equiv \sin \vartheta_{ab} \quad 0 \leq \vartheta_{ab} \leq \frac{\pi}{2} \quad 0 \leq \delta_{13}, \lambda_{21}, \lambda_{31} < 2\pi$$

OSCILLATION
PARAMETERS

$$\left\{ \begin{array}{l} 3 \text{ Mixing Angles: } \vartheta_{12}, \vartheta_{23}, \vartheta_{13} \\ 1 \text{ CPV Dirac Phase: } \delta_{13} \\ 2 \text{ independent } \Delta m_{kj}^2 \equiv m_k^2 - m_j^2: \Delta m_{21}^2, \Delta m_{31}^2 \end{array} \right.$$

2 CPV Majorana Phases: $\lambda_{21}, \lambda_{31} \iff |\Delta L| = 2$ processes

Experimental Evidences of Neutrino Oscillations

<p>Solar $\nu_e \rightarrow \nu_\mu, \nu_\tau$</p> <p>VLBL Reactor $\bar{\nu}_e$ disappearance</p>	$\left(\begin{array}{c} \text{SNO, BOREXino} \\ \text{Super-Kamiokande} \\ \text{GALLEX/GNO, SAGE} \\ \text{Homestake, Kamiokande} \\ \\ \text{(KamLAND)} \end{array} \right)$	$\left. \vphantom{\left(\begin{array}{c} \text{SNO, BOREXino} \\ \text{Super-Kamiokande} \\ \text{GALLEX/GNO, SAGE} \\ \text{Homestake, Kamiokande} \\ \\ \text{(KamLAND)} \end{array} \right)} \right\} \rightarrow \left\{ \begin{array}{l} \Delta m_S^2 = \Delta m_{21}^2 \simeq 7.5 \times 10^{-5} \text{ eV}^2 \\ \sin^2 \vartheta_S = \sin^2 \vartheta_{12} \simeq 0.30 \end{array} \right.$
<p>Atmospheric $\nu_\mu \rightarrow \nu_\tau$</p> <p>LBL Accelerator ν_μ disappearance</p> <p>LBL Accelerator $\nu_\mu \rightarrow \nu_\tau$</p>	$\left(\begin{array}{c} \text{Super-Kamiokande} \\ \text{Kamiokande, IMB} \\ \text{MACRO, Soudan-2} \\ \\ \text{(K2K, MINOS)} \\ \text{(T2K, NO}\nu\text{A)} \\ \\ \text{(Opera)} \end{array} \right)$	$\left. \vphantom{\left(\begin{array}{c} \text{Super-Kamiokande} \\ \text{Kamiokande, IMB} \\ \text{MACRO, Soudan-2} \\ \\ \text{(K2K, MINOS)} \\ \text{(T2K, NO}\nu\text{A)} \\ \\ \text{(Opera)} \end{array} \right)} \right\} \rightarrow \left\{ \begin{array}{l} \Delta m_A^2 = \Delta m_{31}^2 \simeq 2.5 \times 10^{-3} \text{ eV}^2 \\ \sin^2 \vartheta_A = \sin^2 \vartheta_{23} \simeq 0.50 \end{array} \right.$
<p>LBL Accelerator $\nu_\mu \rightarrow \nu_e$</p> <p>LBL Reactor $\bar{\nu}_e$ disappearance</p>	$\left(\begin{array}{c} \text{(T2K, MINOS, NO}\nu\text{A)} \\ \\ \text{(Daya Bay, RENO)} \\ \text{(Double Chooz)} \end{array} \right)$	$\left. \vphantom{\left(\begin{array}{c} \text{(T2K, MINOS, NO}\nu\text{A)} \\ \\ \text{(Daya Bay, RENO)} \\ \text{(Double Chooz)} \end{array} \right)} \right\} \rightarrow \left\{ \begin{array}{l} \Delta m_A^2 = \Delta m_{31}^2 \\ \sin^2 \vartheta_{13} \simeq 0.023 \end{array} \right.$

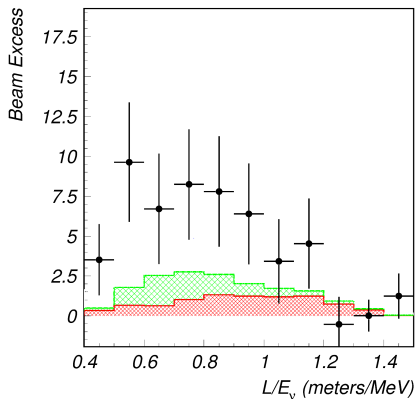
Indications of SBL Oscillations Beyond 3ν

LSND

[PRL 75 (1995) 2650; PRC 54 (1996) 2685; PRL 77 (1996) 3082; PRD 64 (2001) 112007]

$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

$$20 \text{ MeV} \leq E \leq 52.8 \text{ MeV}$$



- ▶ Well-known and pure source of $\bar{\nu}_\mu$

$$p + \text{target} \rightarrow \pi^+ \xrightarrow{\text{at rest}} \mu^+ + \nu_\mu$$

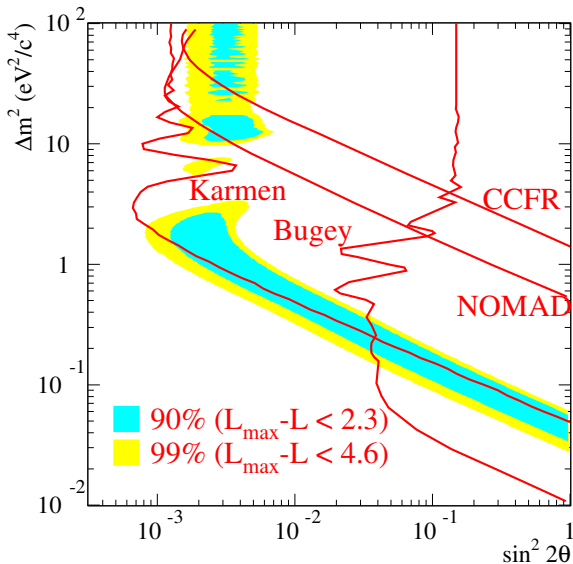
$$\mu^+ \xrightarrow{\text{at rest}} e^+ + \nu_e + \bar{\nu}_\mu$$

$$\bar{\nu}_e + p \rightarrow n + e^+ \quad L \simeq 30 \text{ m}$$

Well-known detection process of $\bar{\nu}_e$

- ▶ $\approx 3.8\sigma$ excess
- ▶ But signal not seen by **KARMEN** at $L \simeq 18 \text{ m}$ with the same method

[PRD 65 (2002) 112001]



$$\Delta m_{\text{SBL}}^2 \gtrsim 3 \times 10^{-2} \text{ eV}^2 \gg \Delta m_{\text{ATM}}^2 \simeq 2.5 \times 10^{-3} \text{ eV}^2 \gg \Delta m_{\text{SOL}}^2$$

MiniBooNE

$L \simeq 541 \text{ m}$

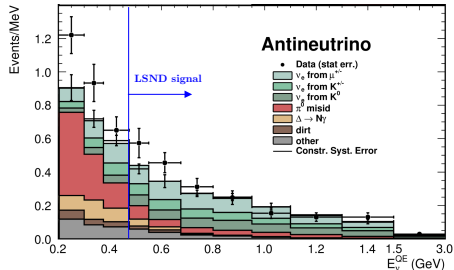
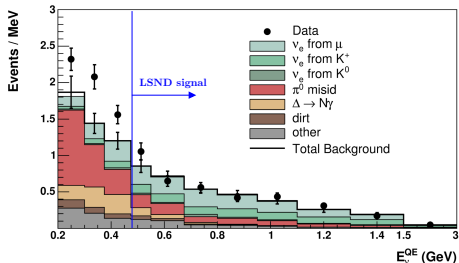
$200 \text{ MeV} \leq E \lesssim 3 \text{ GeV}$

$\nu_\mu \rightarrow \nu_e$

[PRL 102 (2009) 101802]

$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$

[PRL 110 (2013) 161801]



- ▶ Purpose: check LSND signal.
- ▶ Different L and E .
- ▶ Similar L/E (oscillations).
- ▶ No money, no Near Detector.

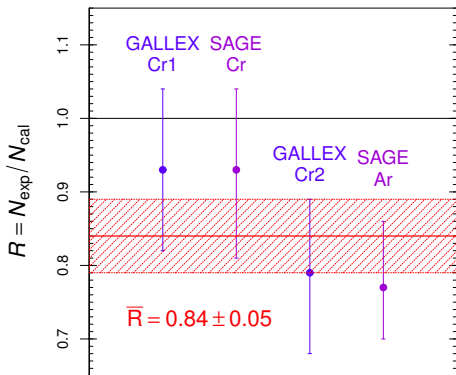
- ▶ LSND signal: $E > 475 \text{ MeV}$.
- ▶ Agreement with LSND signal?
- ▶ CP violation?
- ▶ Low-energy anomaly!

Gallium Anomaly

Gallium Radioactive Source Experiments: GALLEX and SAGE

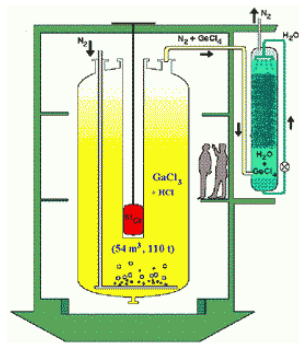


Test of Solar ν_e Detection:



$\langle L \rangle_{\text{GALLEX}} = 1.9 \text{ m}$ $\langle L \rangle_{\text{SAGE}} = 0.6 \text{ m}$

$\Delta m_{\text{SBL}}^2 \gtrsim 1 \text{ eV}^2 \gg \Delta m_{\text{ATM}}^2 \gg \Delta m_{\text{SOL}}^2$



$\approx 2.9\sigma$ deficit

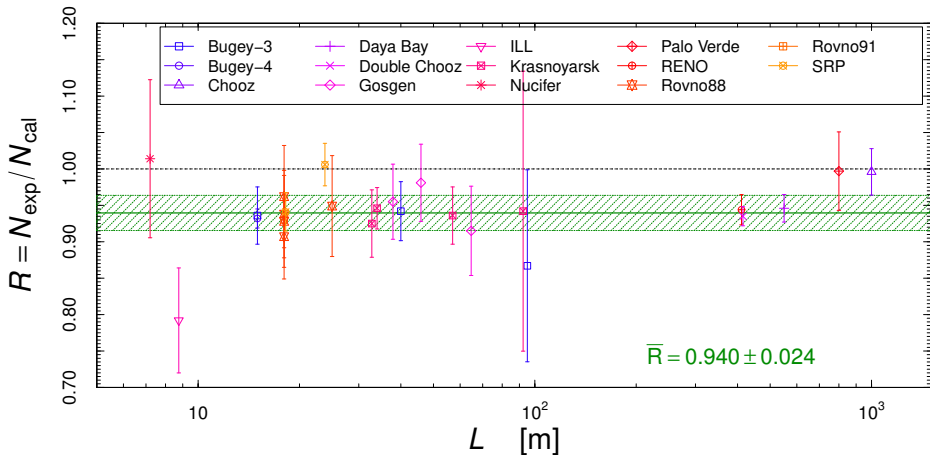
[SAGE, PRC 73 (2006) 045805; PRC 80 (2009) 015807; Laveder et al, Nucl.Phys.Proc.Suppl. 168 (2007) 344, MPLA 22 (2007) 2499, PRD 78 (2008) 073009, PRC 83 (2011) 065504]

Reactor Electron Antineutrino Anomaly

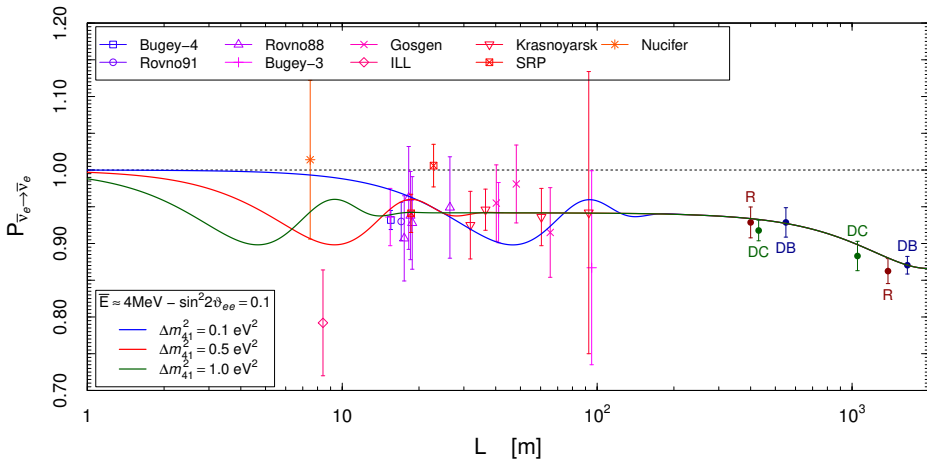
[Mention et al, PRD 83 (2011) 073006]

New reactor $\bar{\nu}_e$ fluxes

[Mueller et al, PRC 83 (2011) 054615; Huber, PRC 84 (2011) 024617]

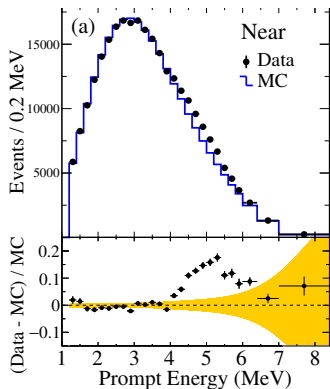


$\approx 2.5\sigma$ deficit

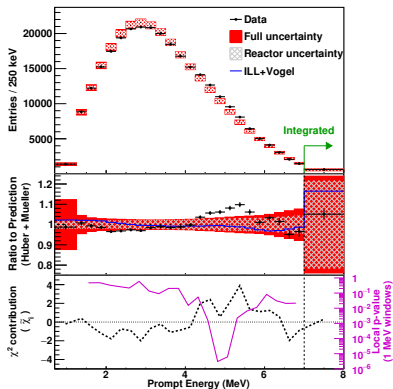


$$\Delta m_{\text{SBL}}^2 \gtrsim 0.5 \text{ eV}^2 \gg \Delta m_{\text{ATM}}^2 \gg \Delta m_{\text{SOL}}^2$$

5 MeV Bump



[RENO, arXiv:1511.05849]

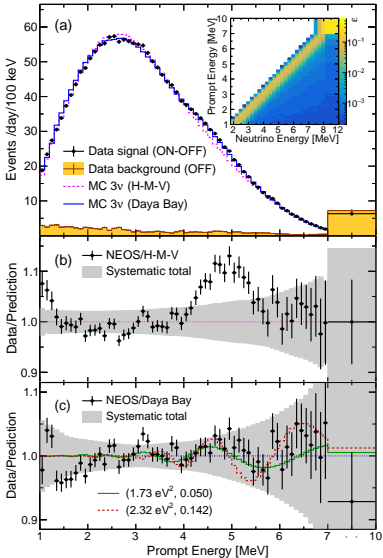


[Daya Bay, arXiv:1508.04233]

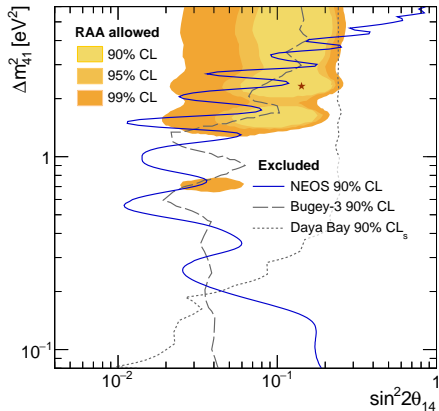
- ▶ Local problem with $\sim 3\%$ effect on total flux.
- ▶ It is an excess!
- ▶ It is correlated with the reactor activity.
- ▶ Cannot be explained by neutrino oscillations.
- ▶ Very likely due to theoretical miscalculation of the spectrum.

NEOS

[arXiv:1610.05134]



- ▶ Hanbit Nuclear Power Complex in Yeong-gwang, Korea.
- ▶ Thermal power of 2.8 GW.
- ▶ Detector: a ton of Gd-loaded liquid scintillator in a gallery approximately 24 m from the reactor core.
- ▶ The measured antineutrino event rate is 1976 per day with a signal to background ratio of about 22.

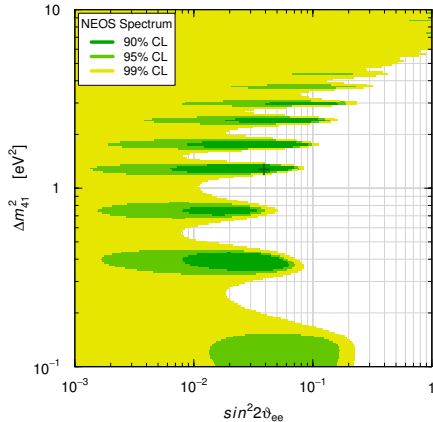


Raster Scan [NEOS, arXiv:1610.05134]

Best Fits:

$$\Delta m_{41}^2 = 1.7 \text{ eV}^2 \quad \sin^2 2\theta_{14} = 0.05$$

$$\Delta m_{41}^2 = 1.3 \text{ eV}^2 \quad \sin^2 2\theta_{14} = 0.04$$

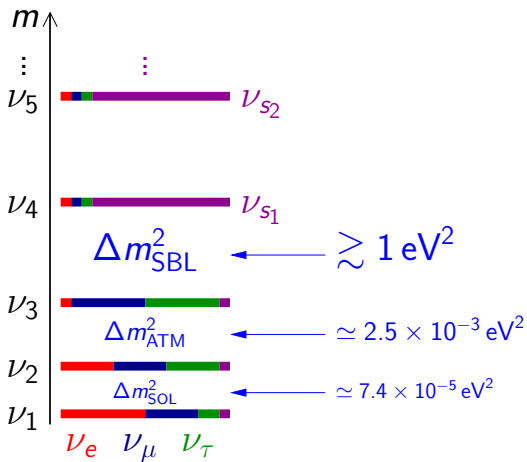


2-D χ^2 Analysis

$$\chi_{\text{no osc.}}^2 - \chi_{\text{min}}^2 = 6.5$$

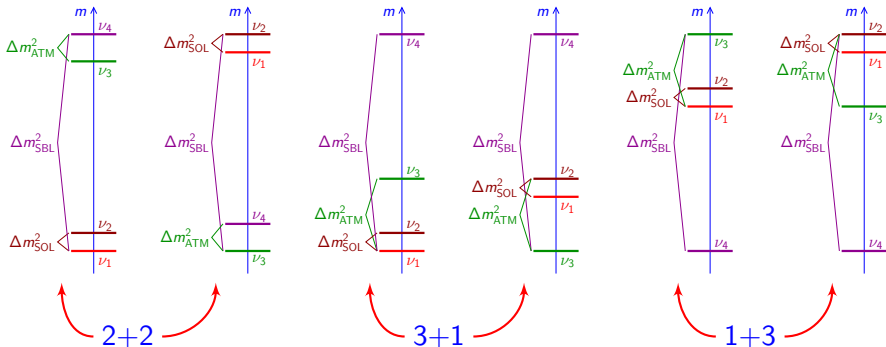
$\approx 2.1\sigma$ anomaly

Beyond Three-Neutrino Mixing: Sterile Neutrinos

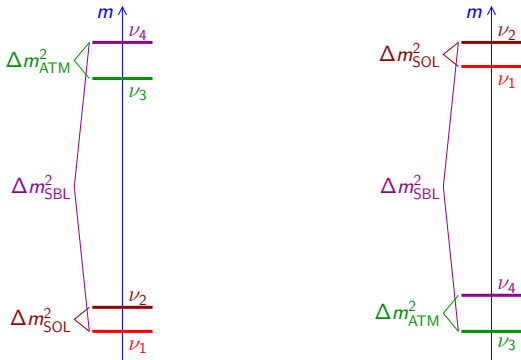


Terminology: a eV-scale sterile neutrino
means: a eV-scale massive neutrino which is mainly sterile

Four-Neutrino Schemes: 2+2, 3+1 and 1+3



2+2 Four-Neutrino Schemes

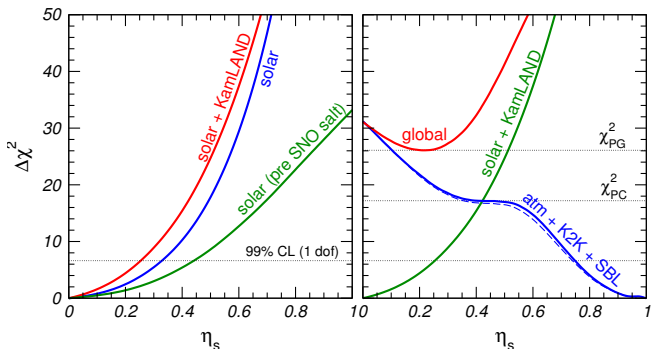


- ▶ After LSND (1995) 2+2 was preferred to 3+1, because of the 3+1 appearance-disappearance tension

[Okada, Yasuda, IJMPA 12 (1997) 3669; Bilenky, CG, Grimus, EPJC 1 (1998) 247]

- ▶ This is not a perturbation of 3- ν Mixing \implies Large active-sterile oscillations for solar or atmospheric neutrinos!

2+2 Schemes are Strongly Disfavored



Solar: Matter Effects + SNO NC

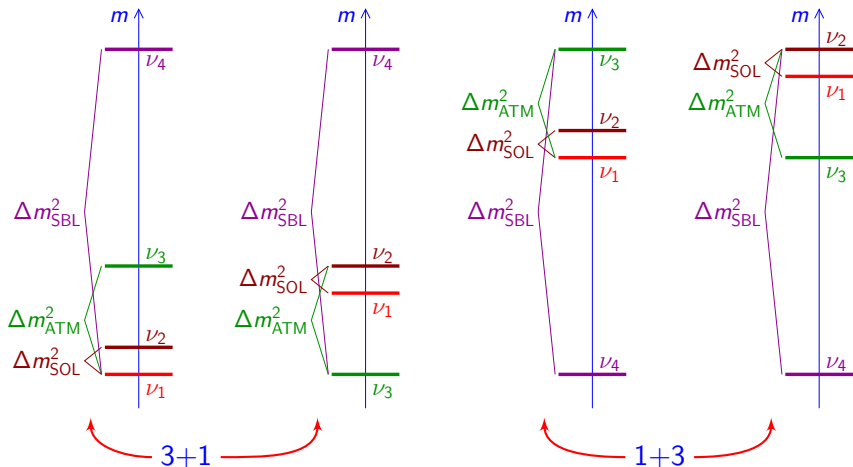
Atmospheric: Matter Effects

$$\eta_s = |U_{s1}|^2 + |U_{s2}|^2 = 1 - |U_{s3}|^2 + |U_{s4}|^2$$

$$99\% \text{ CL: } \begin{cases} \eta_s < 0.25 & \text{(Solar + KamLAND)} \\ \eta_s > 0.75 & \text{(Atmospheric + K2K)} \end{cases}$$

[Maltoni, Schwetz, Tortola, Valle, New J. Phys. 6 (2004) 122]

3+1 and 1+3 Four-Neutrino Schemes



- ▶ Perturbation of 3- ν Mixing: $|U_{e4}|^2, |U_{\mu 4}|^2, |U_{\tau 4}|^2 \ll 1$ $|U_{s4}|^2 \simeq 1$
- ▶ 1+3 schemes are disfavored by cosmology (Λ CDM):

$$\sum_{k=1}^3 m_k \lesssim 0.2 \text{ eV} \quad [\text{Planck, Astron. Astrophys. 594 (2016) A13 (arXiv:1502.01589)}]$$

Effective 3+1 SBL Oscillation Probabilities

Appearance ($\alpha \neq \beta$)

$$P_{\nu_\alpha \rightarrow \nu_\beta}^{\text{SBL}(-)} \simeq \sin^2 2\vartheta_{\alpha\beta} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$$

$$\sin^2 2\vartheta_{\alpha\beta} = 4|U_{\alpha 4}|^2 |U_{\beta 4}|^2$$

Disappearance

$$P_{\nu_\alpha \rightarrow \nu_\alpha}^{\text{SBL}(-)} \simeq 1 - \sin^2 2\vartheta_{\alpha\alpha} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$$

$$\sin^2 2\vartheta_{\alpha\alpha} = 4|U_{\alpha 4}|^2 (1 - |U_{\alpha 4}|^2)$$

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

SBL

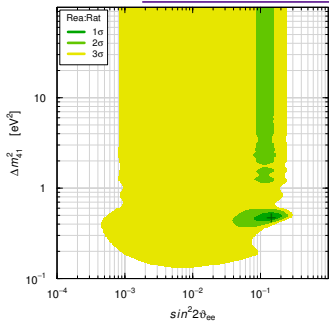
▶ CP violation is not observable in SBL experiments!

▶ Observable in LBL accelerator exp. sensitive to Δm_{ATM}^2 [de Gouvea et al, PRD 91 (2015) 053005, PRD 92 (2015) 073012, arXiv:1605.09376; Palazzo et al, PRD 91 (2015) 073017, PLB 757 (2016) 142; Gandhi et al, JHEP 1511 (2015) 039] and solar exp. sensitive to Δm_{SOL}^2 [Long, Li, CG, PRD 87, 113004 (2013) 113004]

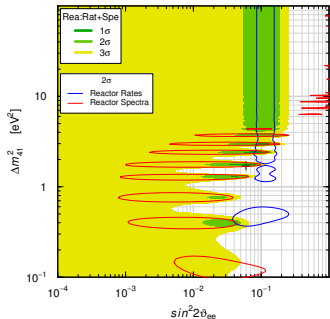
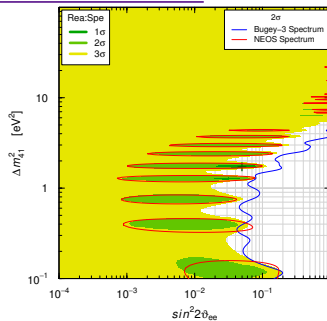
- ▶ 6 mixing angles
- ▶ 3 Dirac CP phases
- ▶ 3 Majorana CP phases

Reactor $\bar{\nu}_e$ Disappearance

Reactor Rates



Reactor Spectra



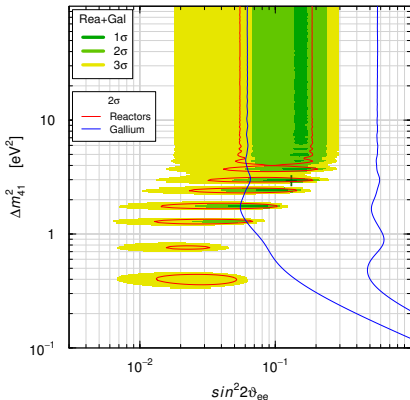
[Gariazzo, CG, Laveder, Li, arXiv:1703.00860]

Reactor Rates + Spectra

- ▶ $\Delta\chi^2_{\text{NO}} = 10.6 \Rightarrow \approx 2.8\sigma$ anomaly
- ▶ Best Fit: $\Delta m^2_{41} = 1.7 \text{ eV}^2$
 $\sin^2 2\vartheta_{ee} = 0.060 \Leftrightarrow |U_{e4}|^2 = 0.015$
- ▶ $\chi^2_{\text{min}}/\text{NDF} = 94.1/108 \Rightarrow \text{GoF} = 83\%$
- ▶ $\chi^2_{\text{PG}}/\text{NDF}_{\text{PG}} = 7.8/2 \Rightarrow \text{GoF}_{\text{PG}} = 2\%$

Reactor $\bar{\nu}_e$ + Gallium ν_e Disappearance

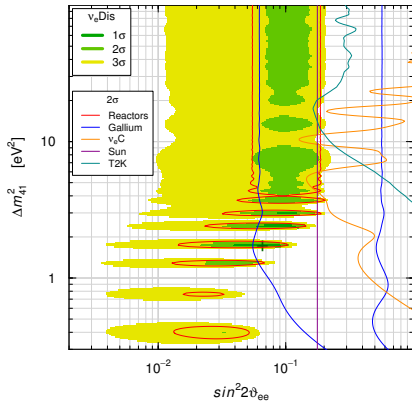
[Gariazzo, CG, Laveder, Li, arXiv:1703.00860]



- ▶ $\Delta\chi^2_{\text{NO}} = 14.6 \Rightarrow \approx 3.4\sigma$ anomaly
- ▶ Best Fit: $\Delta m^2_{41} = 3.0 \text{ eV}^2$
 $\sin^2 2\vartheta_{ee} = 0.13 \Leftrightarrow |U_{e4}|^2 = 0.034$
- ▶ $\chi^2_{\text{min}}/\text{NDF} = 107.3/112 \Rightarrow \text{GoF} = 61\%$
- ▶ $\chi^2_{\text{PG}}/\text{NDF}_{\text{PG}} = 5.4/2 \Rightarrow \text{GoF}_{\text{PG}} = 7\%$

Global ν_e and $\bar{\nu}_e$ Disappearance

[Gariazzo, CG, Laveder, Li, arXiv:1703.00860]



▶ KARMEN+LSND ν_e - ^{12}C

[Conrad, Shaevitz, PRD 85 (2012) 013017]

[CG, Laveder, PLB 706 (2011) 20]

▶ Solar ν_e + KamLAND $\bar{\nu}_e$

[Li et al, PRD 80 (2009) 113007, PRD 86 (2012) 113014]

[Palazzo, PRD 83 (2011) 113013, PRD 85 (2012) 077301]

▶ T2K Near Detector ν_e disappearance

[T2K, PRD 91 (2015) 051102]

▶ $\Delta\chi^2_{\text{NO}} = 13.3 \Rightarrow \approx 3.2\sigma$ anomaly

▶ Best Fit: $\Delta m_{41}^2 = 1.7 \text{ eV}^2$

$$\sin^2 2\vartheta_{ee} = 0.066 \Leftrightarrow |U_{e4}|^2 = 0.017$$

▶ $\chi^2_{\text{min}}/\text{NDF} = 162.5/174 \Rightarrow \text{GoF} = 72\%$

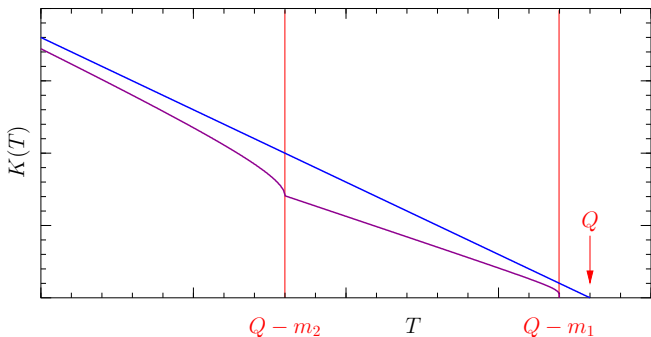
▶ $\chi^2_{\text{PG}}/\text{NDF}_{\text{PG}} = 13.8/7 \Rightarrow \text{GoF}_{\text{PG}} = 6\%$

Tritium Beta-Decay: ${}^3\text{H} \rightarrow {}^3\text{He} + e^- + \bar{\nu}_e$

$$\frac{d\Gamma}{dT} = \frac{(\cos\vartheta_C G_F)^2}{2\pi^3} |\mathcal{M}|^2 F(E) p E K^2(T)$$

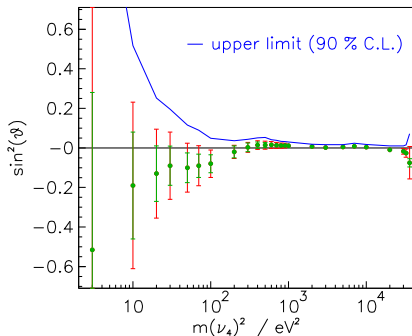
Kurie function:
$$K(T) = \left[(Q - T) \sum_k |U_{ek}|^2 \sqrt{(Q - T)^2 - m_k^2} \right]^{1/2}$$

$$Q = M_{{}^3\text{H}} - M_{{}^3\text{He}} - m_e = 18.58 \text{ keV}$$

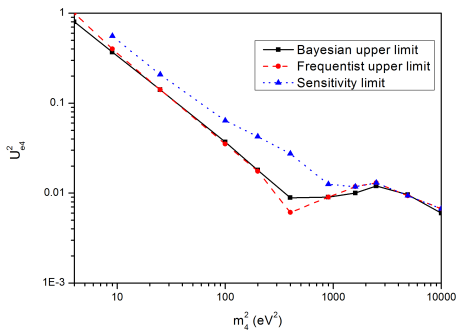


Mainz and Troitsk Limit on $\Delta m_{41}^2 \simeq m_4^2$

$$m_4 \gg m_1, m_2, m_3 \implies \Delta m_{41}^2 \equiv m_4^2 - m_1^2 \simeq m_4^2$$



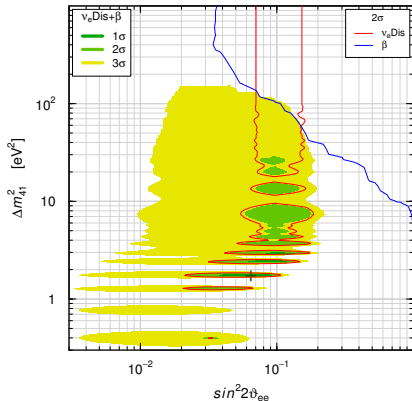
[Kraus, Singer, Valerius, Weinheimer, EPJC 73 (2013) 2323]



[Belesev et al, JPG 41 (2014) 015001]

Global ν_e and $\bar{\nu}_e$ Disappearance + β Decay

[Gariazzo, CG, Laveder, Li, arXiv:1703.00860]

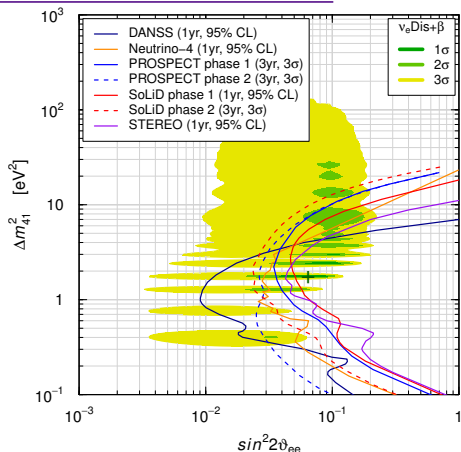
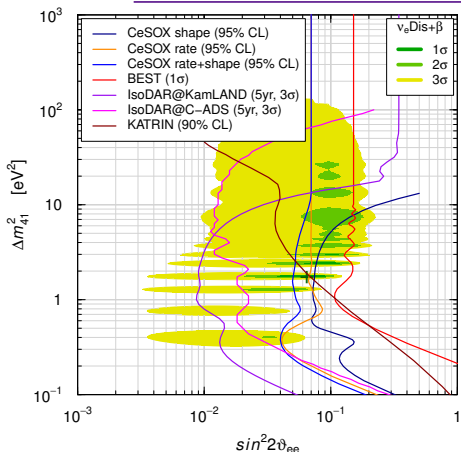


► Best Fit: $\Delta m_{41}^2 = 1.7 \text{ eV}^2$
 $\sin^2 2\vartheta_{ee} = 0.065 \Leftrightarrow |U_{e4}|^2 = 0.016$

► $2 \text{ cm} \lesssim \frac{L_{41}^{\text{osc}}}{E [\text{MeV}]} \lesssim 8 \text{ m}$ at 3σ

► $0.0033 \lesssim \sin^2 2\vartheta_{ee} \lesssim 0.22$ at 3σ

The Race for ν_e and $\bar{\nu}_e$ Disappearance



CeSOX (Gran Sasso, Italy) $^{144}\text{Ce} \rightarrow \bar{\nu}_e$
 BOREXINO: $L \simeq 5\text{-}12\text{m}$ [Vivier@TAUP2015]

BEST (Baksan, Russia) $^{51}\text{Cr} \rightarrow \nu_e$
 $L \simeq 5\text{-}12\text{m}$ [PRD 93 (2016) 073002]

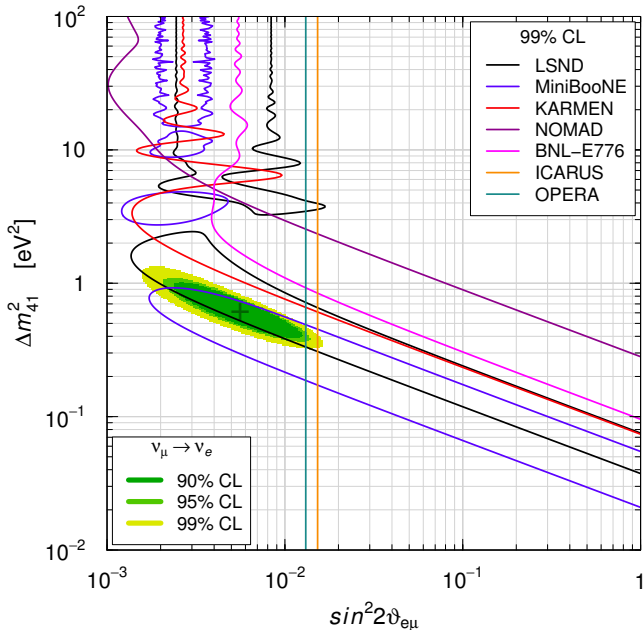
IsoDAR@KamLAND (Kamioka, Japan)
 $^8\text{Li} \rightarrow \bar{\nu}_e$ $L \simeq 16\text{m}$ [arXiv:1511.05130]

IsoDAR@C-ADS (Guangdong, China)
 $^8\text{Li} \rightarrow \bar{\nu}_e$ $L \simeq 15\text{m}$ [JHEP 1601 (2016) 004]

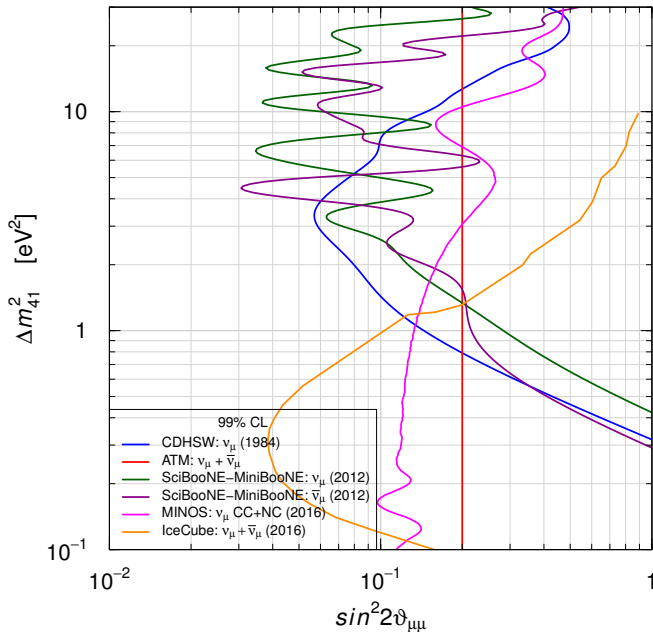
DANSS (Kalinin, Russia) $L \simeq 10\text{-}12\text{m}$ [arXiv:1606.02896]
 Neutrino-4 (RIAR, Russia) $L \simeq 6\text{-}11\text{m}$ [JETP 121 (2015) 578]
 PROSPECT (ORNL, USA) $L \simeq 7\text{-}12\text{m}$ [arXiv:1512.02202]
 SoLid (SCK-CEN, Belgium) $L \simeq 5\text{-}8\text{m}$ [arXiv:1510.07835]
 STEREO (ILL, France) $L \simeq 8\text{-}12\text{m}$ [arXiv:1602.00568]

KATRIN (Karlsruhe, Germany) $^3\text{H} \rightarrow \bar{\nu}_e$ [Drexlin@NOW2016]

$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ and $\nu_\mu \rightarrow \nu_e$ Appearance



ν_μ and $\bar{\nu}_\mu$ Disappearance



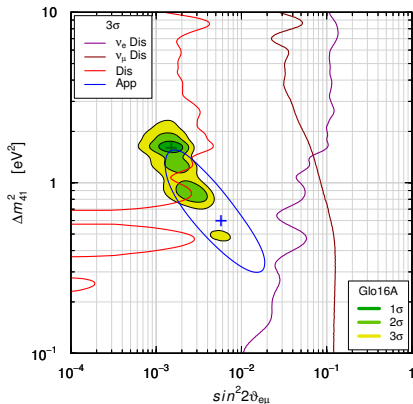
3+1 Appearance-Disappearance Tension

$$\nu_e \text{ DIS} \\ \sin^2 2\vartheta_{ee} \simeq 4|U_{e4}|^2$$

$$\nu_\mu \text{ DIS} \\ \sin^2 2\vartheta_{\mu\mu} \simeq 4|U_{\mu4}|^2$$

$$\nu_\mu \rightarrow \nu_e \text{ APP} \\ \sin^2 2\vartheta_{e\mu} = 4|U_{e4}|^2|U_{\mu4}|^2 \simeq \frac{1}{4} \sin^2 2\vartheta_{ee} \sin^2 2\vartheta_{\mu\mu}$$

[Okada, Yasuda, IJMPA 12 (1997) 3669; Bilenky, CG, Grimus, EPJC 1 (1998) 247]

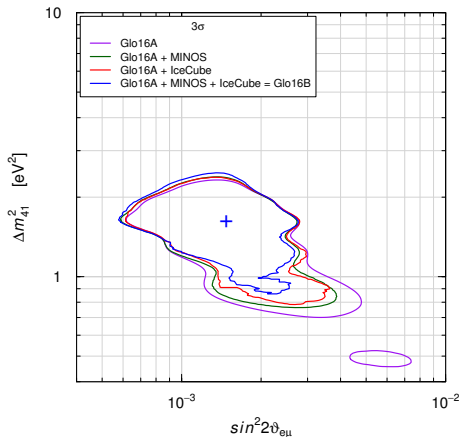
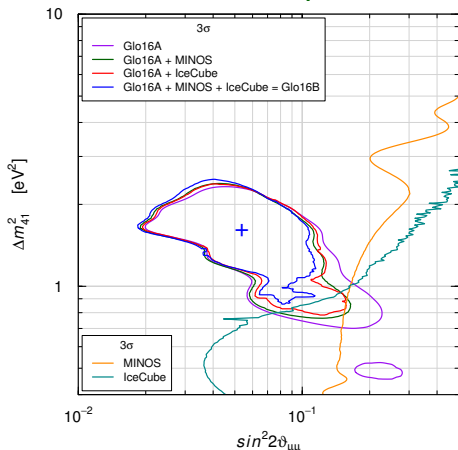


- ▶ $\nu_\mu \rightarrow \nu_e$ is quadratically suppressed!
- ▶ Glo16A = 2016 data except MINOS and IceCube
[Gariazzo, CG, Laveder, Li, arXiv:1703.00860]
- ▶ $\Delta\chi^2_{\text{NO}} = 51.9 \Rightarrow \approx 6.4\sigma$ anomaly
- ▶ Best Fit: $\Delta m_{41}^2 = 1.6 \text{ eV}^2$
 $|U_{e4}|^2 = 0.025 \quad |U_{\mu4}|^2 = 0.015$
- ▶ $\chi^2_{\text{min}}/\text{NDF} = 288.3/249 \Rightarrow \text{GoF} = 4\%$
- ▶ $\chi^2_{\text{PG}}/\text{NDF}_{\text{PG}} = 13.4/2 \Rightarrow \text{GoF}_{\text{PG}} = 0.1\%$
- ▶ Similar tension in 3+2, 3+3, ..., 3+N_s

[CG, Zavanin, MPLA 31 (2015) 1650003]

Effects of MINOS and IceCube

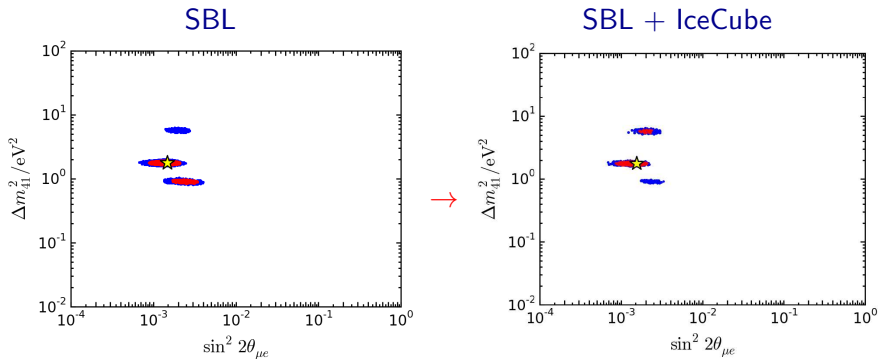
[Gariazzo, CG, Laveder, Li, arXiv:1703.00860]



- ▶ Glo16B = Glo16A + MINOS + IceCube $\Delta\chi_{\text{NO}}^2 = 50.7 \Rightarrow \approx 6.3\sigma$ anomaly
- ▶ Best Fit: $\Delta m_{41}^2 = 1.6 \text{ eV}^2$ $|U_{e4}|^2 = 0.027$ $|U_{\mu4}|^2 = 0.014$
- ▶ $\chi_{\text{min}}^2/\text{NDF} = 556.9/525 \Rightarrow \text{GoF} = 16\%$
- ▶ $\chi_{\text{PG}}^2/\text{NDF}_{\text{PG}} = 14.5/2 \Rightarrow \text{GoF}_{\text{PG}} = 0.07\% \leftarrow \text{Strong tension!}$

Another Analysis of SBL + IceCube

[Collin, Argüelles, Conrad, Shaevitz, PRL 117 (2016) 221801 (arXiv:1607.00011)]



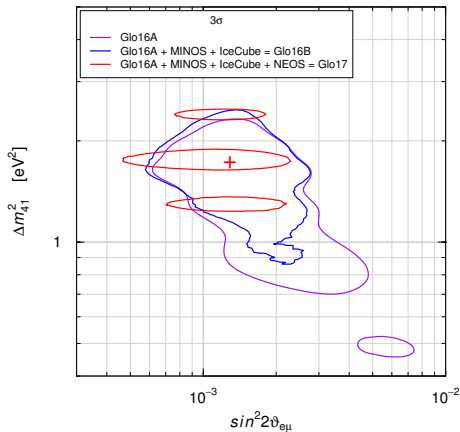
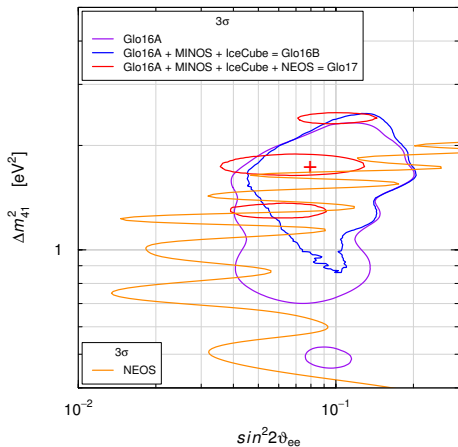
Red: 90% CL

Blue: 99% CL

3+1	Δm_{41}^2	$ U_{e4} $	$ U_{\mu 4} $	$ U_{\tau 4} $	N_{bins}	χ_{\min}^2	χ_{null}^2	$\Delta\chi^2$ (dof)
SBL	1.75	0.163	0.117	-	315	306.81	359.15	52.34 (3)
SBL+IC	1.75	0.164	0.119	0.00	524	518.59	568.84	50.26 (4)
IC	5.62	-	0.314	-	209	207.11	209.69	2.58 (2)

Effects of NEOS

[Gariazzo, CG, Laveder, Li, arXiv:1703.00860]



▶ Glo17 = GLO16B + NEOS

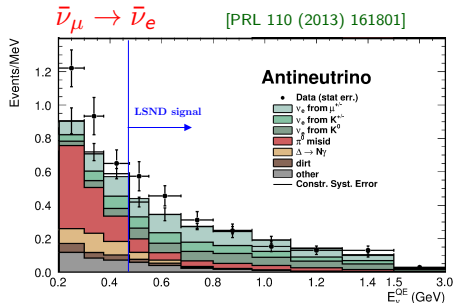
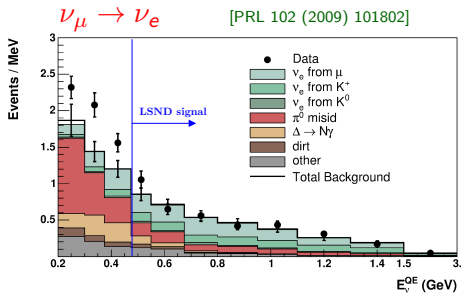
$\Delta\chi_{\text{NO}}^2 = 50.8 \Rightarrow \approx 6.3\sigma$ anomaly

▶ Best Fit: $\Delta m_{41}^2 = 1.7 \text{ eV}^2$ $|U_{e4}|^2 = 0.020$ $|U_{\mu 4}|^2 = 0.016$

▶ $\chi_{\text{min}}^2/\text{NDF} = 621.7/585 \Rightarrow \text{GoF} = 14\%$

▶ $\chi_{\text{PG}}^2/\text{NDF}_{\text{PG}} = 17.3/2 \Rightarrow \text{GoF}_{\text{PG}} = 0.02\% \leftarrow \text{Strong tension!}$

MiniBooNE Low-Energy Anomaly



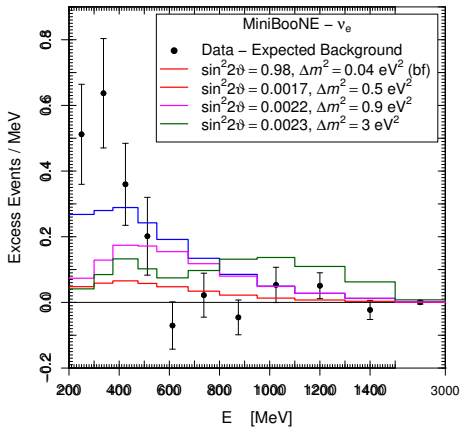
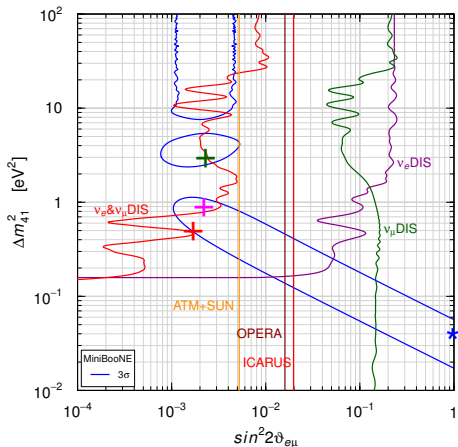
- Fit of MB Low-Energy Excess requires small Δm_{41}^2 and large $\sin^2 2\vartheta_{e\mu}$, in contradiction with disappearance data

$$P_{\nu_\mu \rightarrow \nu_e}^{\text{SBL}(-)} = \sin^2 2\vartheta_{e\mu} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$$

- MB low-energy excess is the main cause of bad APP-DIS $\text{GoF}_{\text{PG}} = 0.06\%$
- Pragmatic Approach:** discard the Low-Energy Excess because it is likely not due to oscillations

[CG, Laveder, Li, Long, PRD 88 (2013) 073008]

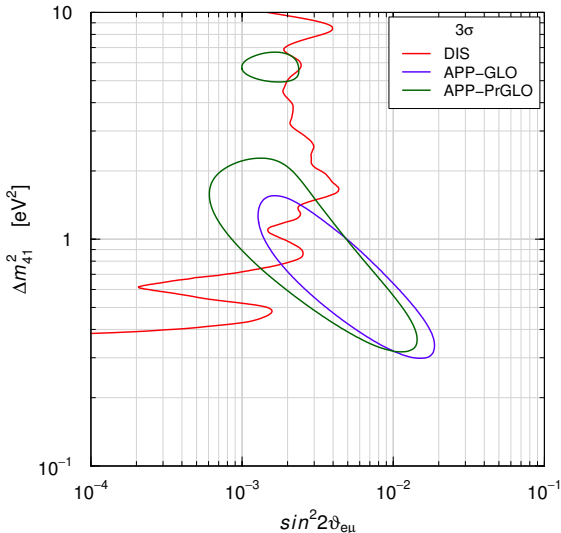
- MicroBooNE** is crucial for checking the MiniBooNE Low-Energy Anomaly and the consistency of different short-baseline data



No fit of low-energy excess for realistic $\sin^2 2\vartheta_{e\mu} \lesssim 3 \times 10^{-3}$

Global \rightarrow Pragmatic

[CG, arXiv:1609.04688]

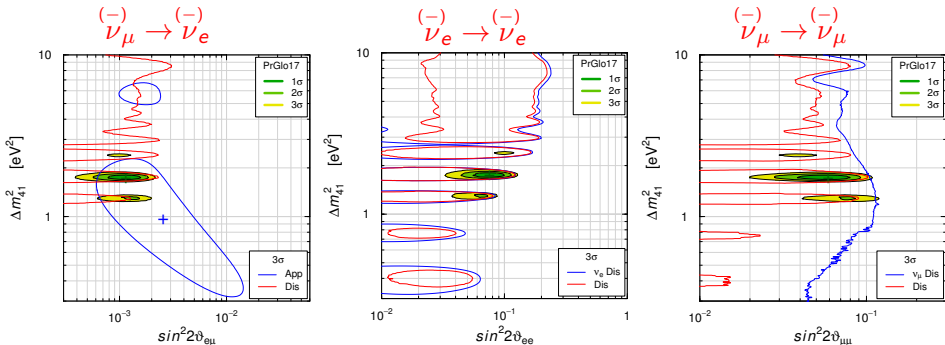


▶ APP-GLO: all MiniBooNE data

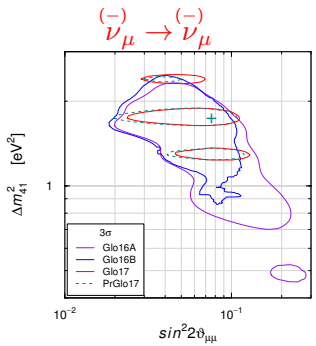
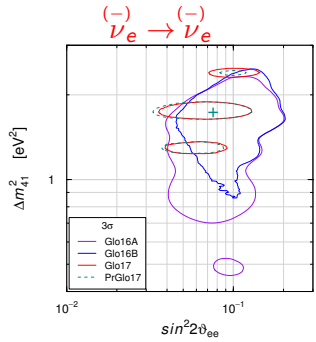
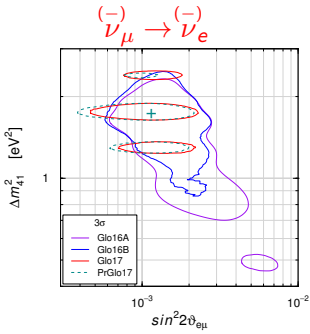
▶ APP-PrGLO: only MiniBooNE $E > 475$ MeV data (Pragmatic)

Pragmatic Global 3+1 Fit

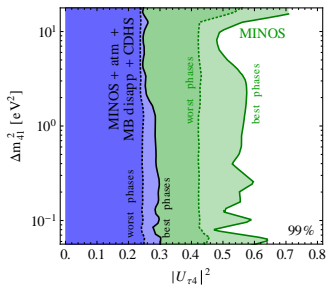
[Gariazzo, CG, Laveder, Li, arXiv:1703.00860]



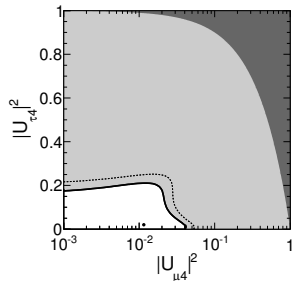
- ▶ $\Delta\chi_{\text{NO}}^2 = 46.5 \Rightarrow \approx 6.0\sigma$ anomaly
- ▶ Best Fit: $\Delta m_{41}^2 = 1.7 \text{ eV}^2$ $|U_{e4}|^2 = 0.019$ $|U_{\mu 4}|^2 = 0.015$
- ▶ $\chi_{\text{min}}^2/\text{NDF} = 594.8/579 \Rightarrow \text{GoF} = 32\%$
- ▶ $\chi_{\text{PG}}^2/\text{NDF}_{\text{PG}} = 7.4/2 \Rightarrow \text{GoF}_{\text{PG}} = 3\% \leftarrow \text{Mild tolerable tension!}$



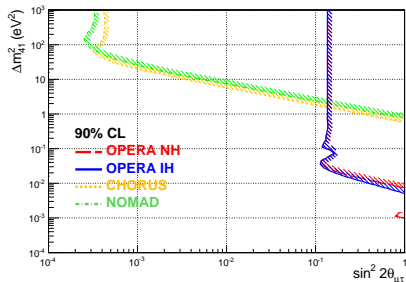
Bounds on $|U_{\tau 4}|^2$



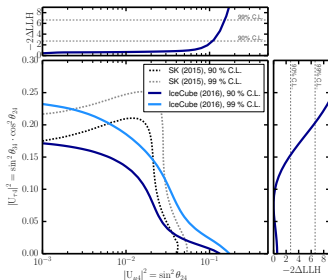
[Kopp et al, JHEP 1305 (2013) 050]



[Super-Kamiokande, PRD 91 (2015) 052019]

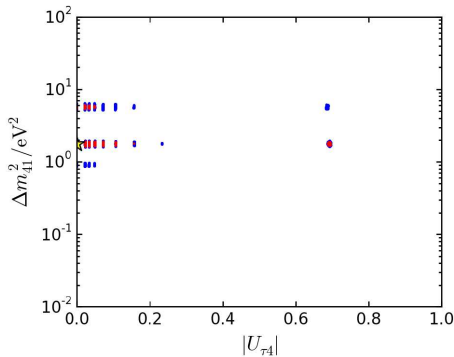


$\nu_{\mu} \rightarrow \nu_{\tau}$ [OPERA, JHEP 1506 (2015) 069]



[IceCube DeepCore, arXiv:1702.05160]

[Collin et al, PRL 117 (2016) 221801]

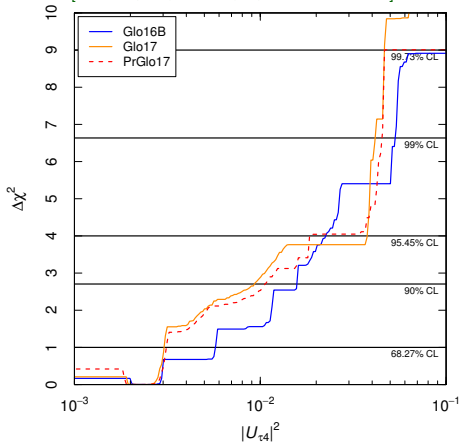


90% CL

$$\vartheta_{34} < 6^\circ \quad \text{for } \Delta m_{41}^2 \approx 6 \text{ eV}^2$$

$$\vartheta_{34} < 80^\circ \quad \text{for } \Delta m_{41}^2 \approx 2 \text{ eV}^2$$

[Gariazzo, CG, Laveder, Li, arXiv:1703.00860]



90% CL

$$\text{Glo16A} + \text{MINOS: } \vartheta_{34} < 27^\circ$$

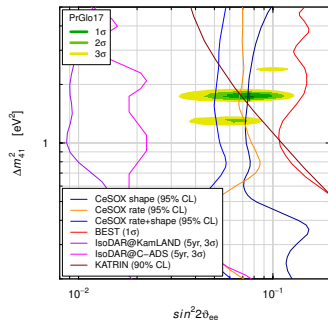
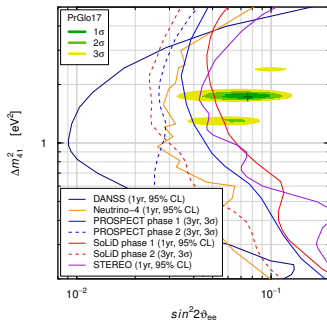
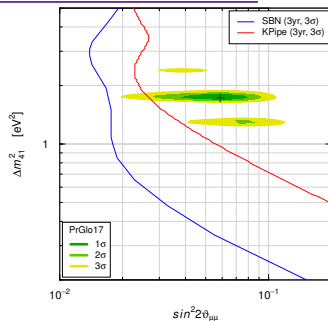
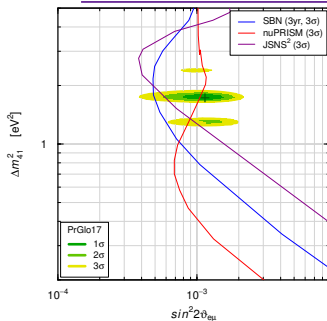
$$\text{Glo16A} + \text{IceCube: } \vartheta_{34} < 7.3^\circ$$

$$\text{Glo16B: } \vartheta_{34} < 7.3^\circ$$

$$\text{Glo17: } \vartheta_{34} < 5.6^\circ$$

$$\text{PrGlo17: } \vartheta_{34} < 6.0^\circ$$

The Race for the Light Sterile



Effects of light sterile neutrinos should also be seen in:

▶ β Decay Experiments

[Hannestad et al, JCAP 1102 (2011) 011, PRC 84 (2011) 045503; Formaggio, Barrett, PLB 706 (2011) 68; Esmaili, Peres, PRD 85 (2012) 117301; Gastaldo et al, JHEP 1606 (2016) 061]

▶ Neutrinoless Double- β Decay Experiments

[Rodejohann et al, JHEP 1107 (2011) 091; Li, Liu, PLB 706 (2012) 406; Meroni et al, JHEP 1311 (2013) 146, PRD 90 (2014) 053002; Pascoli et al, PRD 90 (2014) 093005; CG, Zavanin, JHEP 1507 (2015) 171; Guzowski et al, PRD 92 (2015) 012002]

▶ Long-baseline Neutrino Oscillation Experiments

[de Gouvea et al, PRD 91 (2015) 053005, PRD 92 (2015) 073012, arXiv:1605.09376; Palazzo et al, PRD 91 (2015) 073017, PLB 757 (2016) 142, JHEP 1602 (2016) 111, JHEP 1609 (2016) 016, arXiv:1605.04299; Gandhi et al, JHEP 1511 (2015) 039; Pant et al, NPB 909 (2016) 1079, Choubey, Pramanik, PLB 764 (2017) 135]

▶ Solar neutrinos

[Dooling et al, PRD 61 (2000) 073011, Gonzalez-Garcia et al, PRD 62 (2000) 013005; Palazzo, PRD 83 (2011) 113013, PRD 85 (2012) 077301; Li et al, PRD 80 (2009) 113007, PRD 87, 113004 (2013), JHEP 1308 (2013) 056; Kopp et al, JHEP 1305 (2013) 050]

▶ Atmospheric neutrinos

[Goswami, PRD 55 (1997) 2931; Bilenky et al, PRD 60 (1999) 073007; Maltoni et al, NPB 643 (2002) 321, PRD 67 (2003) 013011; Choubey, JHEP 0712 (2007) 014; Razaque, Smirnov, JHEP 1107 (2011) 084, PRD 85 (2012) 093010; Gandhi, Ghoshal, PRD 86 (2012) 037301; Barger et al, PRD 85 (2012) 011302; Esmaili et al, JCAP 1211 (2012) 041, JCAP 1307 (2013) 048, JHEP 1312 (2013) 014; Rajpoot et al, EPJC 74 (2014) 2936; Lindner et al, JHEP 1601 (2016) 124; Behera et al, arXiv:1605.08607]

▶ Supernova neutrinos

[Caldwell, Fuller, Qian, PRD 61 (2000) 123005; Peres, Smirnov, NPB 599 (2001); Sorel, Conrad, PRD 66 (2002) 033009; Tamborra et al, JCAP 1201 (2012) 013; Wu et al, PRD 89 (2014) 061303; Esmaili et al, PRD 90 (2014) 033013]

▶ Cosmic neutrinos

[Cirelli et al, NPB 708 (2005) 215; Donini, Yasuda, arXiv:0806.3029; Barry et al, PRD 83 (2011) 113012]

▶ Indirect dark matter detection [Esmaili, Peres, JCAP 1205 (2012) 002]

▶ Cosmology [see: Wong, ARNPS 61 (2011) 69; Archidiacono et al, AHEP 2013 (2013) 191047]

Effective 3+1 LBL Oscillation Probabilities

[de Gouvea et al, PRD 91 (2015) 053005, PRD 92 (2015) 073012, arXiv:1605.09376; Palazzo et al, PRD 91 (2015) 073017, PLB 757 (2016) 142, arXiv:1601.05995, arXiv:1603.03759, arXiv:1605.04299; Gandhi et al, JHEP 1511 (2015) 039]

$$|U_{e3}| \simeq \sin \vartheta_{13} \simeq 0.15 \sim \varepsilon \implies \varepsilon^2 \sim 0.03$$

$$|U_{e4}| \simeq \sin \vartheta_{14} \simeq 0.17 \sim \varepsilon$$

$$|U_{\mu 4}| \simeq \sin \vartheta_{24} \simeq 0.11 \sim \varepsilon$$

$$\alpha \equiv \frac{\Delta m_{21}^2}{|\Delta m_{31}^2|} \simeq \frac{7 \times 10^{-5}}{2.4 \times 10^{-3}} \simeq 0.031 \sim \varepsilon^2$$

At order ε^3 :

[Klop, Palazzo, PRD 91 (2015) 073017]

$$\Delta_{kj} \equiv \Delta m_{kj}^2 L / 4E$$

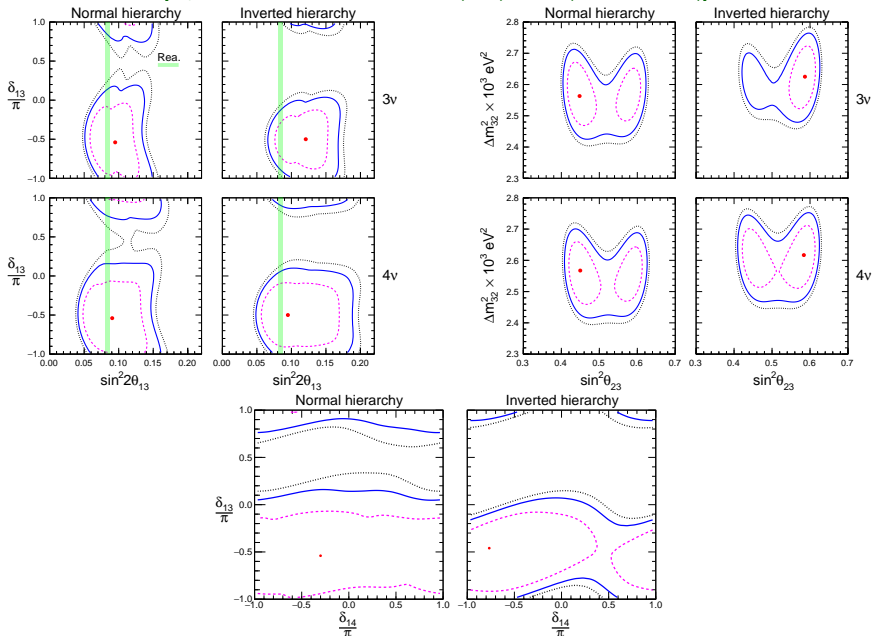
$$P_{\nu_\mu \rightarrow \nu_e}^{\text{LBL}} \simeq 4 \sin^2 \vartheta_{13} \sin^2 \vartheta_{23} \sin^2 \Delta_{31} \sim \varepsilon^2$$

$$+ 2 \sin \vartheta_{13} \sin 2\vartheta_{12} \sin 2\vartheta_{23} (\alpha \Delta_{31}) \sin \Delta_{31} \cos(\Delta_{32} + \delta_{13}) \sim \varepsilon^3$$

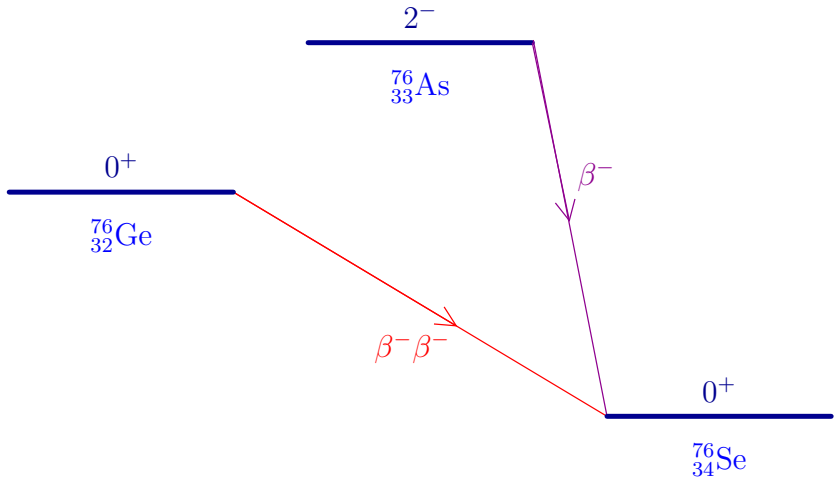
$$+ 4 \sin \vartheta_{13} \sin \vartheta_{14} \sin \vartheta_{24} \sin \vartheta_{23} \sin \Delta_{31} \sin(\Delta_{31} + \delta_{13} - \delta_{14}) \sim \varepsilon^3$$

CP Violation in T2K and $\text{NO}\nu A$

[Capozzi, CG, Laveder, Palazzo, PRD 95 (2017) 033006 (arXiv:1612.07764)]



Neutrinoless Double-Beta Decay



Effective Majorana Neutrino Mass:

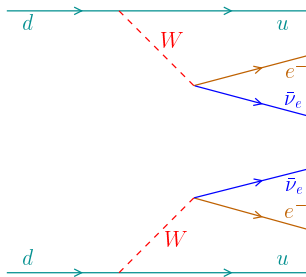
$$m_{\beta\beta} = \sum_k U_{ek}^2 m_k$$

Two-Neutrino Double- β Decay: $\Delta L = 0$

$$\mathcal{N}(A, Z) \rightarrow \mathcal{N}(A, Z + 2) + e^- + e^- + \bar{\nu}_e + \bar{\nu}_e$$

$$(T_{1/2}^{2\nu})^{-1} = G_{2\nu} |\mathcal{M}_{2\nu}|^2$$

second order weak interaction process
in the Standard Model



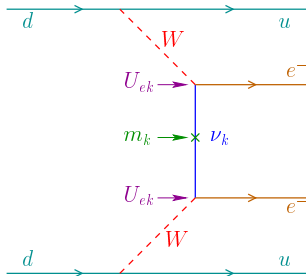
Neutrinoless Double- β Decay: $\Delta L = 2$

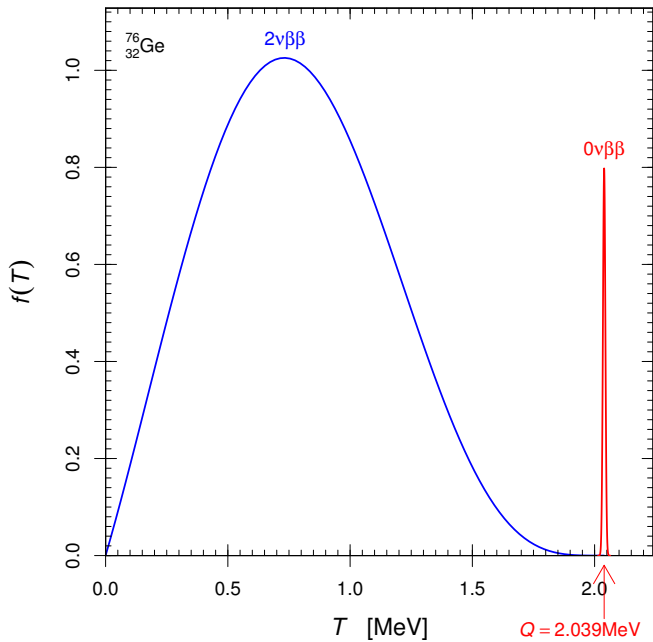
$$\mathcal{N}(A, Z) \rightarrow \mathcal{N}(A, Z + 2) + e^- + e^-$$

$$(T_{1/2}^{0\nu})^{-1} = G_{0\nu} |\mathcal{M}_{0\nu}|^2 |m_{\beta\beta}|^2$$

effective
Majorana
mass

$$|m_{\beta\beta}| = \left| \sum_k U_{ek}^2 m_k \right|$$



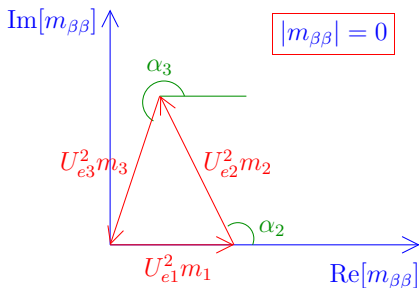
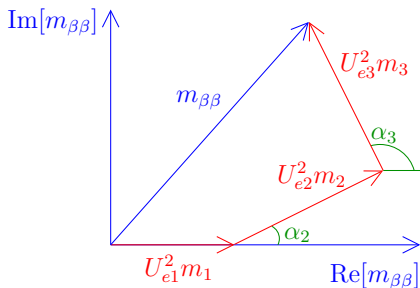


Effective Majorana Neutrino Mass

$$m_{\beta\beta} = \sum_k U_{ek}^2 m_k \quad \text{complex } U_{ek} \Rightarrow \text{possible cancellations}$$

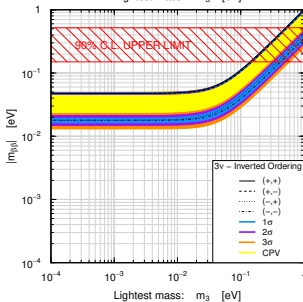
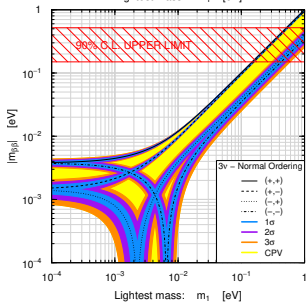
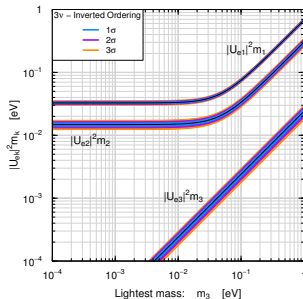
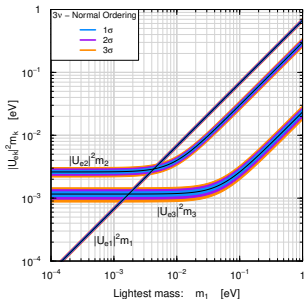
$$m_{\beta\beta} = |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{i\alpha_2} m_2 + |U_{e3}|^2 e^{i\alpha_3} m_3$$

$$\alpha_2 = 2\lambda_2 \quad \alpha_3 = 2(\lambda_3 - \delta_{13})$$



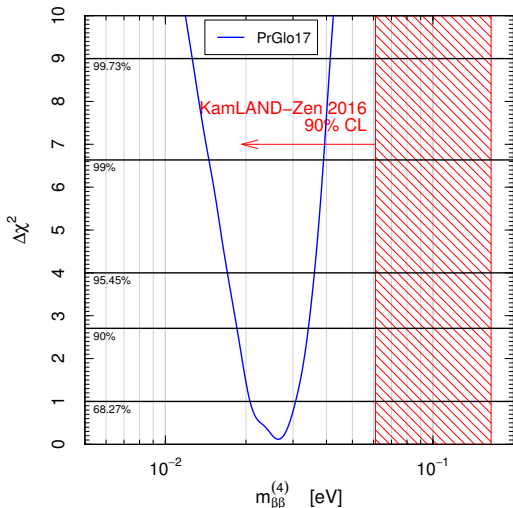
Predictions of 3ν -Mixing Paradigm

$$m_{\beta\beta} = |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{i\alpha_2} m_2 + |U_{e3}|^2 e^{i\alpha_3} m_3$$



3+1 Mixing

$$m_{\beta\beta} = |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{i\alpha_{21}} m_2 + |U_{e3}|^2 e^{i\alpha_{31}} m_3 + |U_{e4}|^2 e^{i\alpha_{41}} m_4$$



$$m_{\beta\beta}^{(k)} = |U_{ek}|^2 m_k$$

$$m_1 \ll m_4$$



$$m_{\beta\beta}^{(4)} \simeq |U_{e4}|^2 \sqrt{\Delta m_{41}^2}$$

surprise:
possible cancellation
with $m_{\beta\beta}^{(3\nu)}$

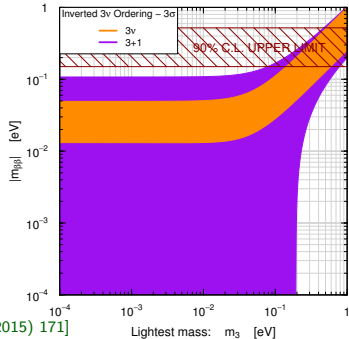
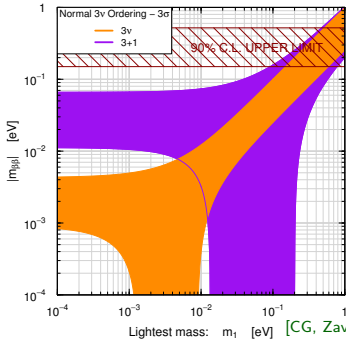
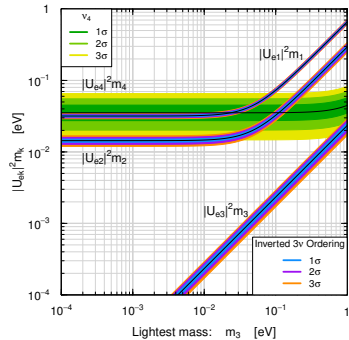
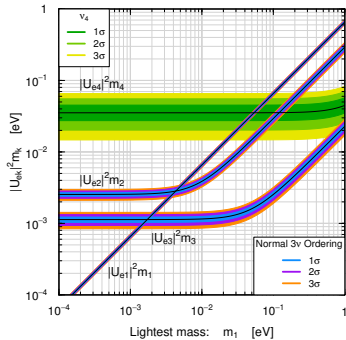
[Barry et al, JHEP 07 (2011) 091]

[Li, Liu, PLB 706 (2012) 406]

[Rodejohann, JPG 39 (2012) 124008]

[Girardi, Meroni, Petcov, JHEP 1311 (2013) 146]

[CG, Zavanin, JHEP 07 (2015) 171]



Conclusions

- ▶ Exciting indications of light sterile neutrinos at the eV scale:
 - ▶ LSND $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ signal (+ MiniBooNE?).
 - ▶ Gallium ν_e disappearance.
 - ▶ Reactor $\bar{\nu}_e$ disappearance (+ NEOS spectrum?).
- ▶ Vigorous experimental program to check **conclusively** in a few years:
 - ▶ ν_e and $\bar{\nu}_e$ disappearance with reactors and radioactive sources.
 - ▶ $\nu_\mu \rightarrow \nu_e$ transitions with accelerator neutrinos.
 - ▶ ν_μ disappearance with accelerator neutrinos.
- ▶ Independent tests through effect of m_4 in β -decay and $\beta\beta_{0\nu}$ -decay.
- ▶ **Cosmology**: tension between $\Delta N_{\text{eff}} = 1$ and $m_s \approx 1$ eV. It may be due to a non-standard cosmological mechanism.
- ▶ Possibilities for the next years:
 - ▶ **Reactor and source experiments** ν_e and $\bar{\nu}_e$ observe SBL oscillations: big excitement and explosion of the field.
 - ▶ **Otherwise**: still marginal interest to check the LSND appearance signal.
 - ▶ In any case the possibility of the existence of sterile neutrinos related to **New Physics beyond the Standard Model** will continue to be studied (e.g keV sterile neutrinos).