

Light Sterile Neutrinos and Neutrinoless Double-Beta Decay

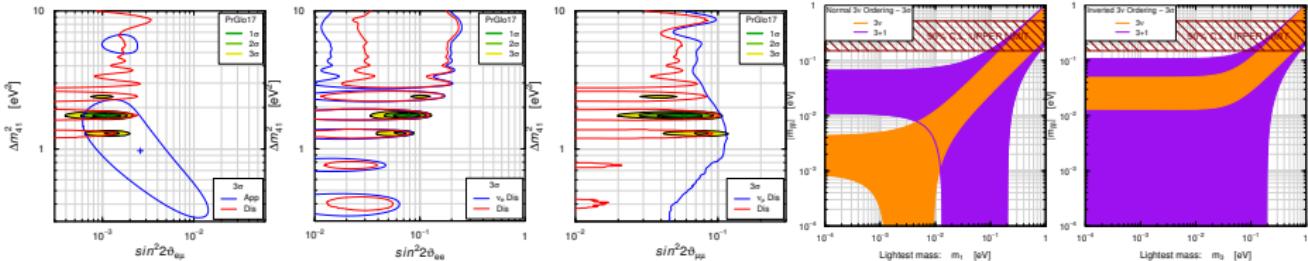
Carlo Giunti

INFN, Torino, Italy

MEDEX'17

Matrix Elements for the Double-beta-decay EXperiments

Prague, Czech Republic, 29 May - 2 June 2017



Indications of SBL Oscillations Beyond 3ν

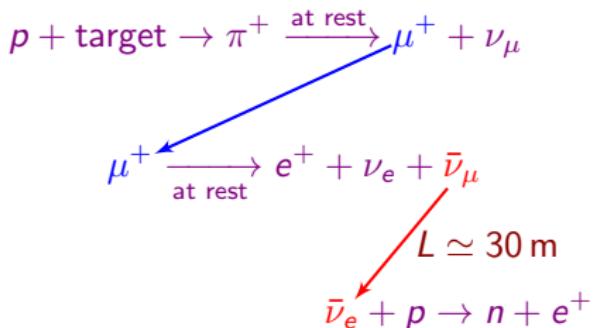
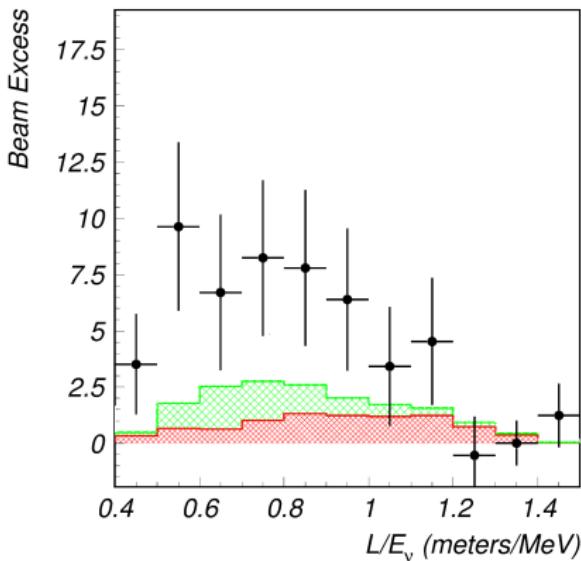
LSND

[PRL 75 (1995) 2650; PRC 54 (1996) 2685; PRL 77 (1996) 3082; PRD 64 (2001) 112007]

$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

$$20 \text{ MeV} \leq E \leq 52.8 \text{ MeV}$$

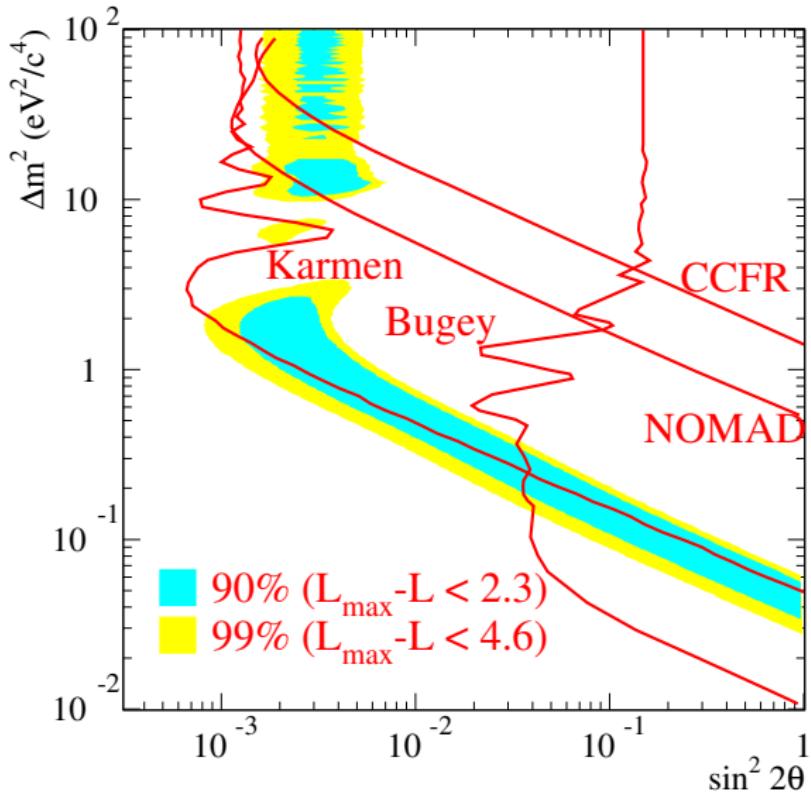
- Well-known and pure source of $\bar{\nu}_\mu$



Well-known detection process of $\bar{\nu}_e$

- $\approx 3.8\sigma$ excess
- But signal not seen by KARMEN at $L \simeq 18 \text{ m}$ with the same method

[PRD 65 (2002) 112001]



$$\Delta m_{SBL}^2 \gtrsim 3 \times 10^{-2} \text{ eV}^2 \gg \Delta m_{\text{ATM}}^2 \simeq 2.5 \times 10^{-3} \text{ eV}^2 \gg \Delta m_{\text{SOL}}^2$$

MiniBooNE

$L \simeq 541 \text{ m}$

$200 \text{ MeV} \leq E \lesssim 3 \text{ GeV}$

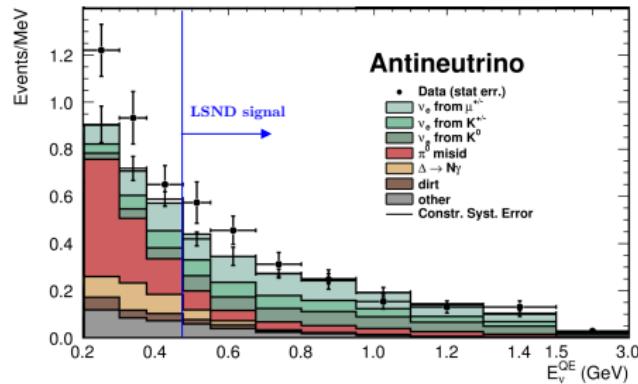
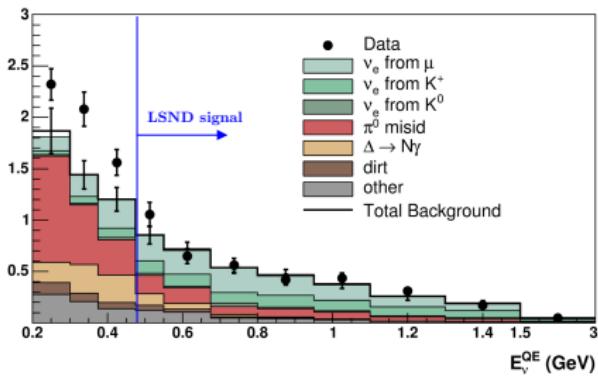
$$\nu_\mu \rightarrow \nu_e$$

[PRL 102 (2009) 101802]

$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

[PRL 110 (2013) 161801]

Events / MeV



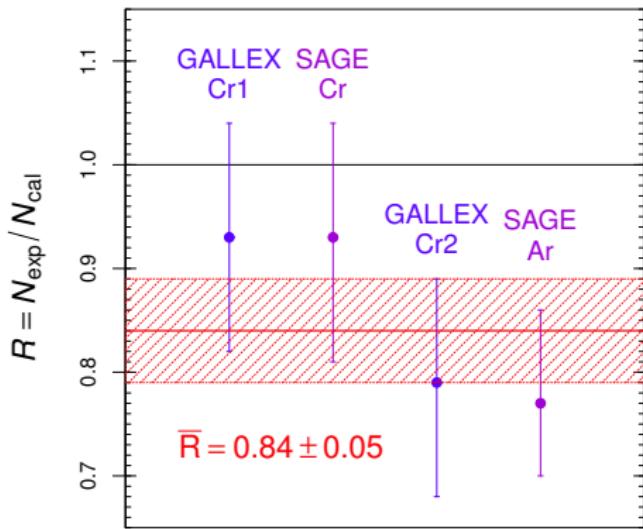
- ▶ Purpose: check LSND signal.
- ▶ LSND signal: $E > 475 \text{ MeV}$.
- ▶ Different L and E .
- ▶ Agreement with LSND signal?
- ▶ Similar L/E (oscillations).
- ▶ CP violation?
- ▶ No money, no Near Detector.
- ▶ Low-energy anomaly!

Gallium Anomaly

Gallium Radioactive Source Experiments: GALLEX and SAGE

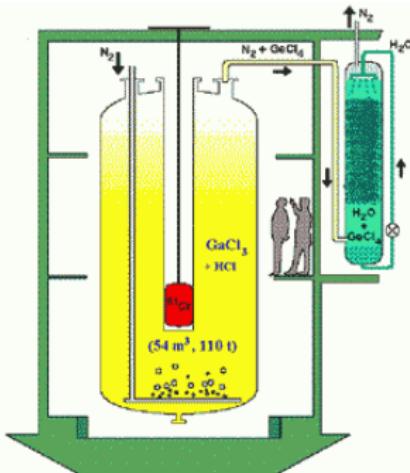


Test of Solar ν_e Detection:



$$\langle L \rangle_{\text{GALLEX}} = 1.9 \text{ m} \quad \langle L \rangle_{\text{SAGE}} = 0.6 \text{ m}$$

$$\Delta m^2_{\text{SBL}} \gtrsim 1 \text{ eV}^2 \gg \Delta m^2_{\text{ATM}} \gg \Delta m^2_{\text{SOL}}$$



$\approx 2.9\sigma$ deficit

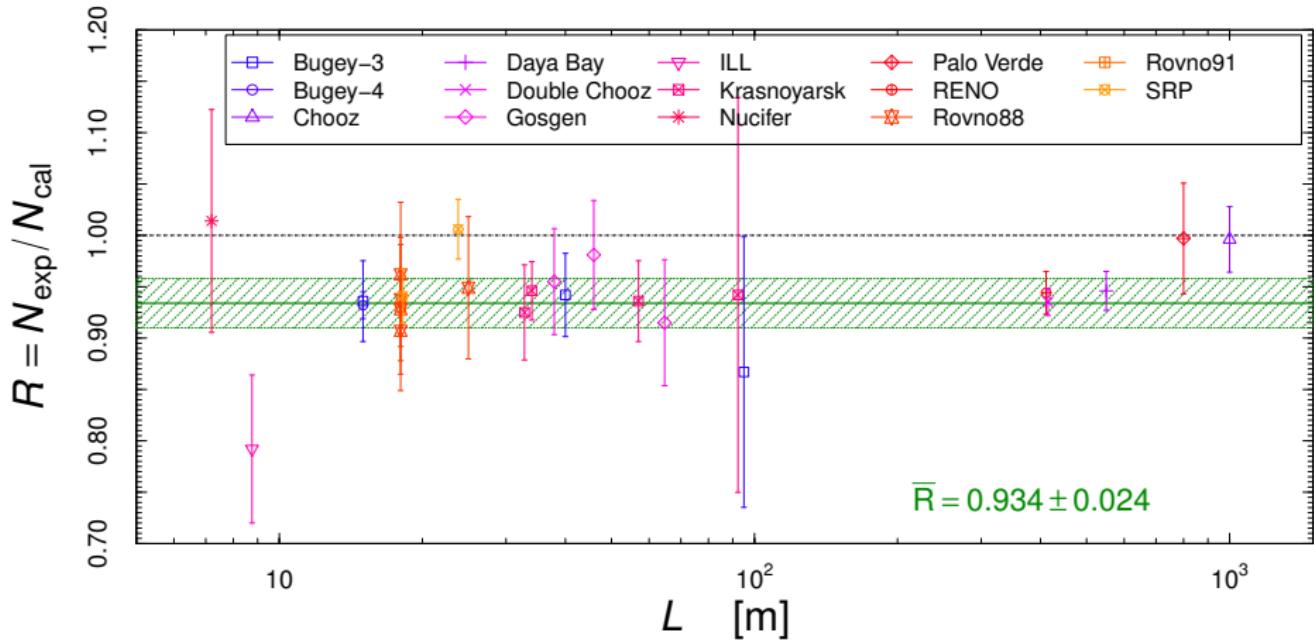
[SAGE, PRC 73 (2006) 045805; PRC 80 (2009) 015807;
Laveder et al, Nucl.Phys.Proc.Suppl. 168 (2007) 344,
MPLA 22 (2007) 2499, PRD 78 (2008) 073009,
PRC 83 (2011) 065504]

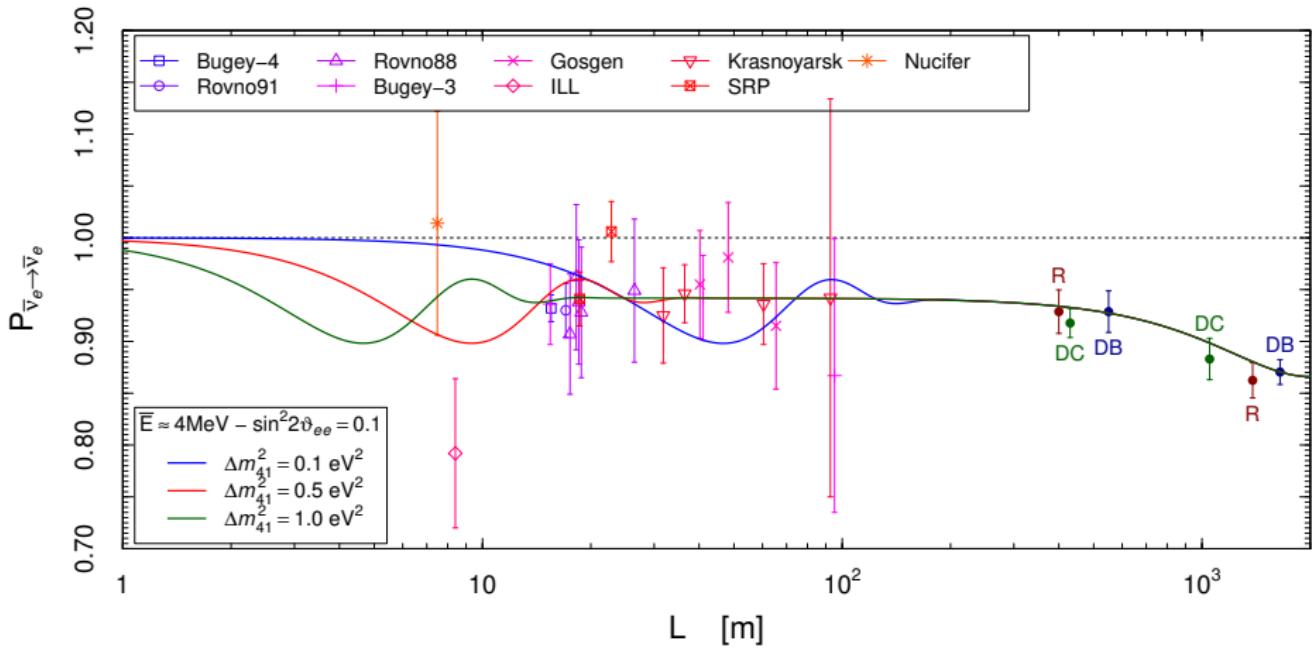
Reactor Electron Antineutrino Anomaly

[Mention et al, PRD 83 (2011) 073006]

New reactor $\bar{\nu}_e$ fluxes

[Mueller et al, PRC 83 (2011) 054615; Huber, PRC 84 (2011) 024617]

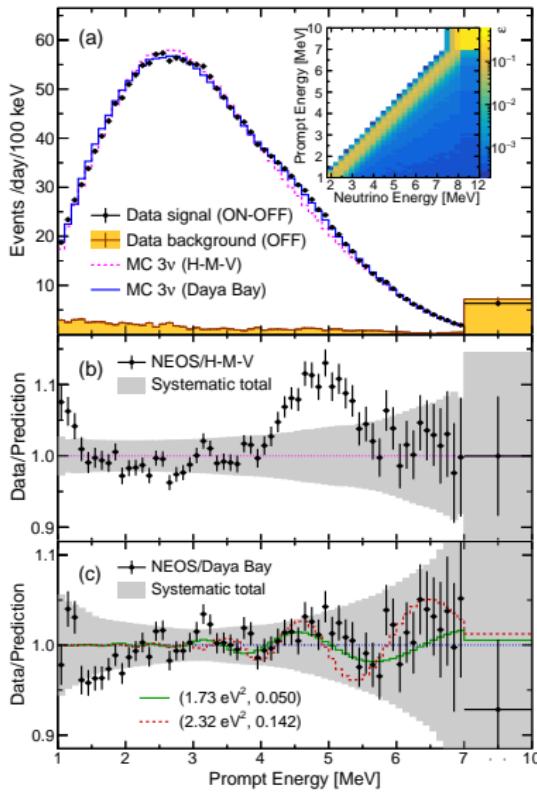




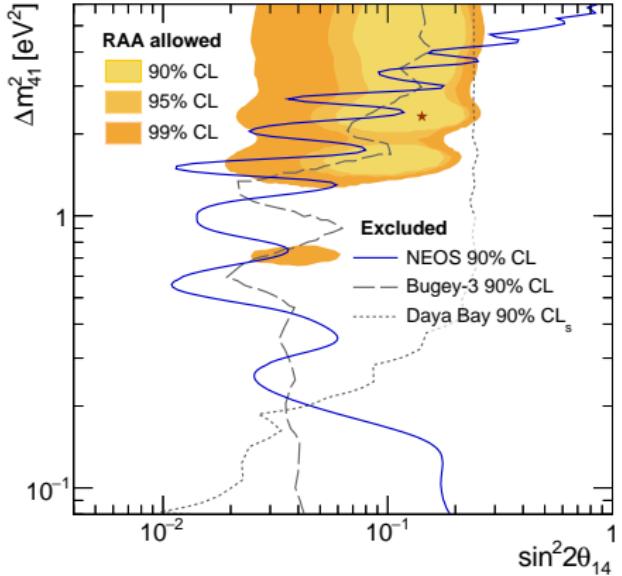
$$\Delta m_{SBL}^2 \gtrsim 0.5 \text{ eV}^2 \gg \Delta m_{ATM}^2 \gg \Delta m_{SOL}^2$$

NEOS

[arXiv:1610.05134]



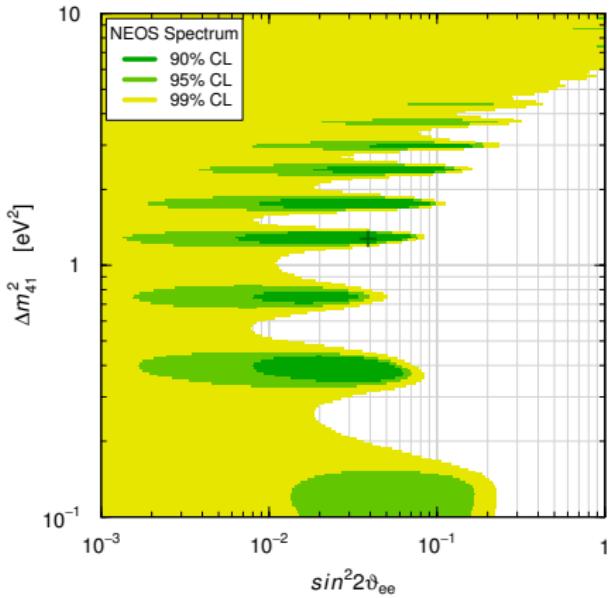
- ▶ Hanbit Nuclear Power Complex in Yeong-gwang, Korea.
- ▶ Thermal power of 2.8 GW.
- ▶ Detector: a ton of Gd-loaded liquid scintillator in a gallery approximately 24 m from the reactor core.
- ▶ The measured antineutrino event rate is 1976 per day with a signal to background ratio of about 22.



Raster Scan [NEOS, arXiv:1610.05134]

Best Fits:

$\Delta m_{41}^2 = 1.7 \text{ eV}^2$	$\sin^2 2\theta_{14} = 0.05$
$\Delta m_{41}^2 = 1.3 \text{ eV}^2$	$\sin^2 2\theta_{14} = 0.04$

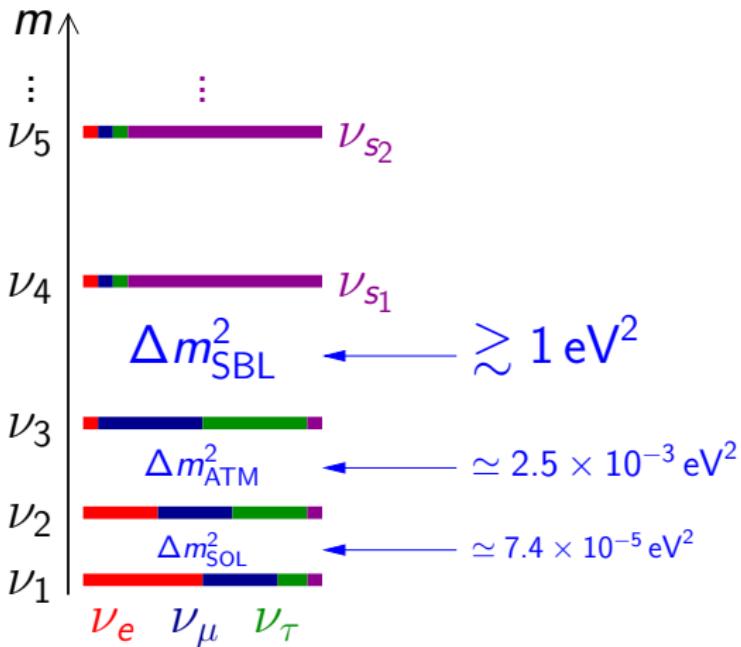


2-D χ^2 Analysis

$$\chi^2_{\text{no osc.}} - \chi^2_{\min} = 6.5$$

$\approx 2.1\sigma$ anomaly

Beyond Three-Neutrino Mixing: Sterile Neutrinos



Terminology: a eV-scale sterile neutrino
means: a eV-scale massive neutrino which is mainly sterile

Effective 3+1 SBL Oscillation Probabilities

Appearance ($\alpha \neq \beta$)

$$P_{\nu_\alpha \rightarrow \nu_\beta}^{\text{SBL}} \simeq \sin^2 2\vartheta_{\alpha\beta} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$$

$$\sin^2 2\vartheta_{\alpha\beta} = 4|U_{\alpha 4}|^2 |U_{\beta 4}|^2$$

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}_{\text{SBL}}$$

- ▶ 6 mixing angles
- ▶ 3 Dirac CP phases
- ▶ 3 Majorana CP phases

Disappearance

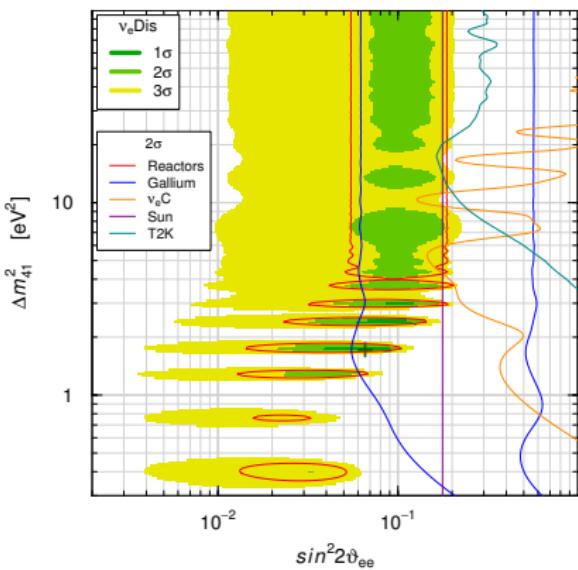
$$P_{\nu_\alpha \rightarrow \nu_\alpha}^{\text{SBL}} \simeq 1 - \sin^2 2\vartheta_{\alpha\alpha} \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$$

$$\sin^2 2\vartheta_{\alpha\alpha} = 4|U_{\alpha 4}|^2 (1 - |U_{\alpha 4}|^2)$$

- ▶ CP violation is not observable in SBL experiments!
- ▶ Observable in LBL accelerator exp. sensitive to Δm_{ATM}^2 [de Gouvea et al, PRD 91 (2015) 053005, PRD 92 (2015) 073012, arXiv:1605.09376; Palazzo et al, PRD 91 (2015) 073017, PLB 757 (2016) 142; Gandhi et al, JHEP 1511 (2015) 039] and solar exp. sensitive to Δm_{SOL}^2

Global ν_e and $\bar{\nu}_e$ Disappearance

[Gariazzo, CG, Laveder, Li, arXiv:1703.00860]



► KARMEN+LSND $\nu_e - {}^{12}\text{C}$

[Conrad, Shaevitz, PRD 85 (2012) 013017]

[CG, Laveder, PLB 706 (2011) 20]

► Solar $\nu_e + \text{KamLAND } \bar{\nu}_e$

[Li et al, PRD 80 (2009) 113007, PRD 86 (2012) 113014]

[Palazzo, PRD 83 (2011) 113013, PRD 85 (2012) 077301]

► T2K Near Detector ν_e disappearance

[T2K, PRD 91 (2015) 051102]

$$\Delta\chi^2_{\text{NO}} = 14.1 \Rightarrow \approx 3.3\sigma \text{ anomaly}$$

$$\text{Best Fit: } \Delta m_{41}^2 = 1.7 \text{ eV}^2$$

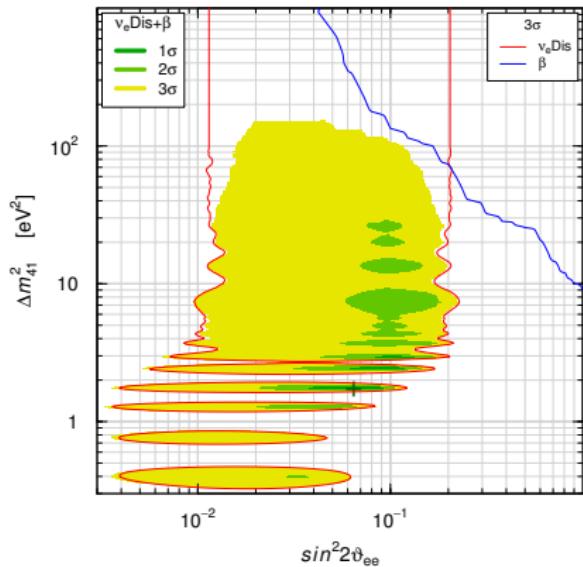
$$\sin^2 2\theta_{ee} = 0.066 \Leftrightarrow |U_{e4}|^2 = 0.017$$

$$\chi^2_{\min}/\text{NDF} = 163.0/174 \Rightarrow \text{GoF} = 71\%$$

$$\chi^2_{\text{PG}}/\text{NDF}_{\text{PG}} = 13.7/7 \Rightarrow \text{GoF}_{\text{PG}} = 6\%$$

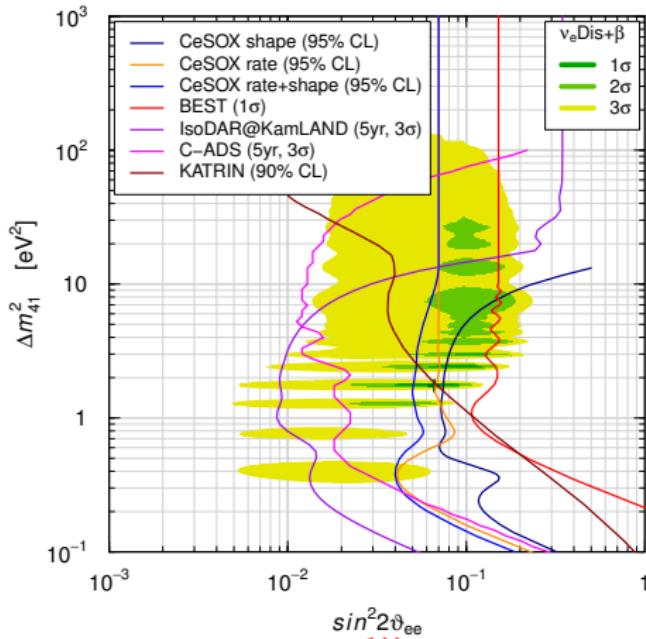
Global ν_e and $\bar{\nu}_e$ Disappearance + β^- Decay

[Gariazzo, CG, Laveder, Li, arXiv:1703.00860]



- Best Fit: $\Delta m_{41}^2 = 1.7 \text{ eV}^2$
 $\sin^2 2\vartheta_{ee} = 0.066 \Leftrightarrow |U_{e4}|^2 = 0.017$
- $2 \text{ cm} \lesssim \frac{L_{41}^{\text{osc}}}{E [\text{MeV}]} \lesssim 7 \text{ m}$ at 3σ
- $0.0050 \lesssim \sin^2 2\vartheta_{ee} \lesssim 0.23$ at 3σ

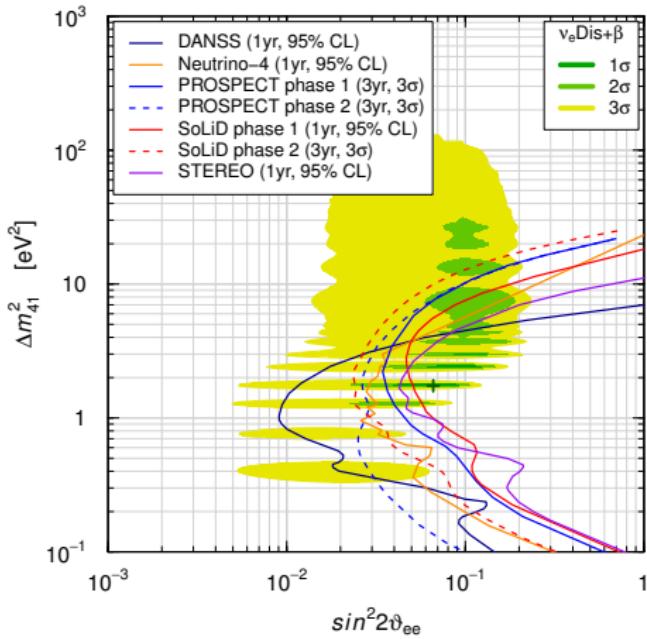
The Race for ν_e and $\bar{\nu}_e$ Disappearance



CeSOX (Gran Sasso, Italy) $^{144}\text{Ce} \rightarrow \bar{\nu}_e$
 BOREXINO: $L \simeq 5\text{-}12\text{m}$ [Vivier@TAUP2015]

BEST (Baksan, Russia) $^{51}\text{Cr} \rightarrow \nu_e$
 $L \simeq 5\text{-}12\text{m}$ [PRD 93 (2016) 073002]

IsoDAR@KamLAND (Kamioka, Japan)
 $^{8}\text{Li} \rightarrow \bar{\nu}_e$ $L \simeq 16\text{m}$ [arXiv:1511.05130]
C-ADS (Guangdong, China)
 $^{8}\text{Li} \rightarrow \bar{\nu}_e$ $L \simeq 15\text{m}$ [JHEP 1601 (2016) 004]



DANSS (Kalinin, Russia) $L \simeq 10\text{-}12\text{m}$ [arXiv:1606.02896]

Neutrino-4 (RIAR, Russia) $L \simeq 6\text{-}11\text{m}$ [JETP 121 (2015) 578]

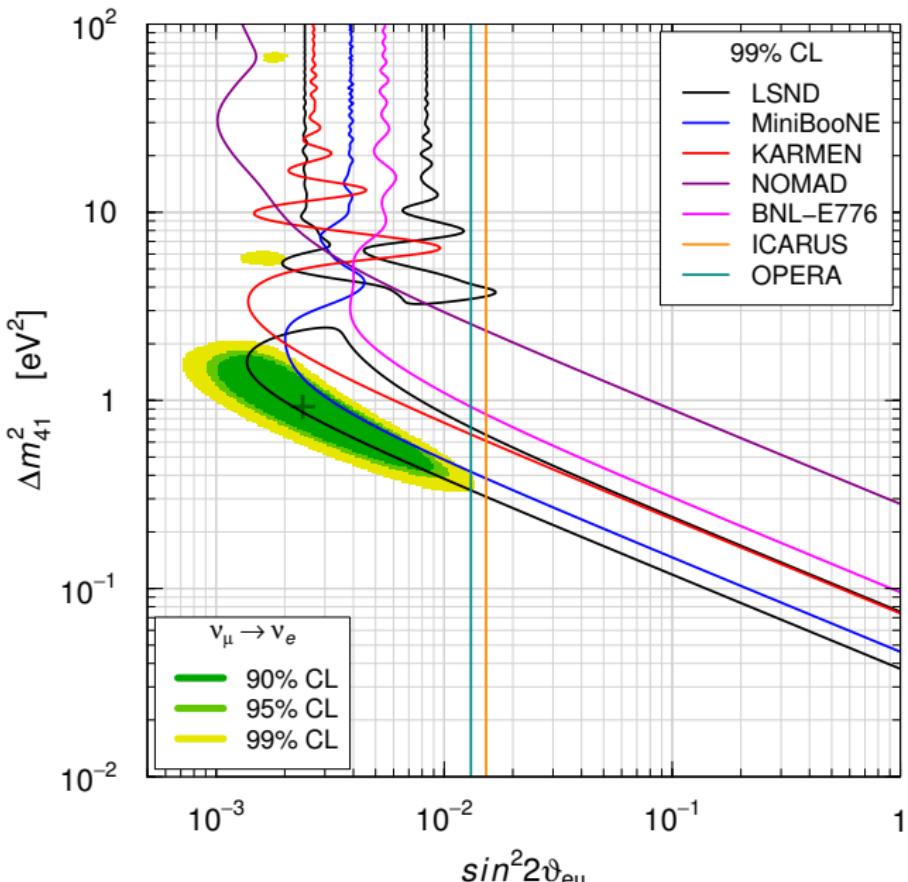
PROSPECT (ORNL, USA) $L \simeq 7\text{-}12\text{m}$ [arXiv:1512.02202]

SoLID (SCK-CEN, Belgium) $L \simeq 5\text{-}8\text{m}$ [arXiv:1510.07835]

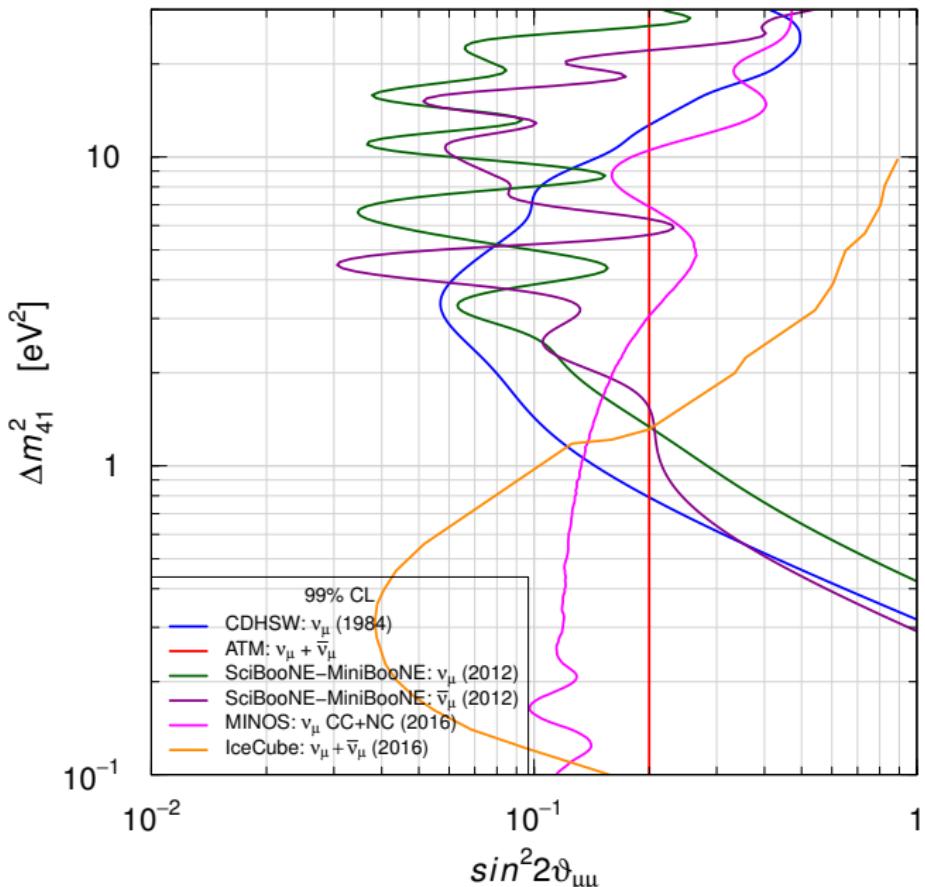
STEREO (ILL, France) $L \simeq 8\text{-}12\text{m}$ [arXiv:1602.00568]

KATRIN (Karlsruhe, Germany) $^{3}\text{H} \rightarrow \bar{\nu}_e$ [Drexlin@NOW2016]

$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ and $\nu_\mu \rightarrow \nu_e$ Appearance



ν_μ and $\bar{\nu}_\mu$ Disappearance



3+1 Appearance-Disappearance Tension

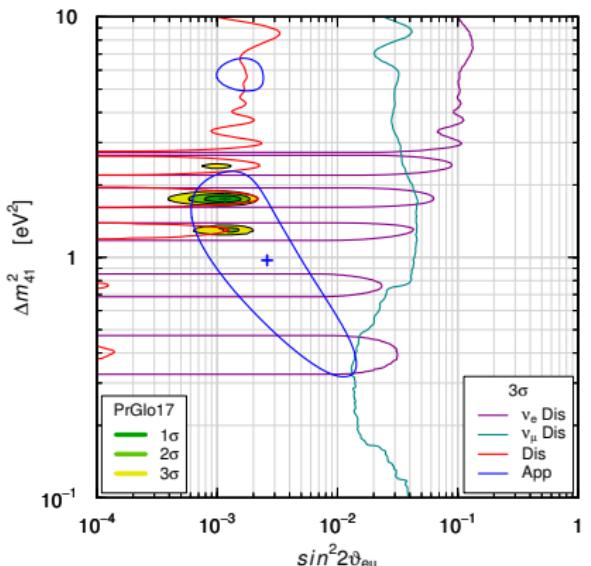
ν_e DIS
 $\sin^2 2\vartheta_{ee} \simeq 4|U_{e4}|^2$

ν_μ DIS
 $\sin^2 2\vartheta_{\mu\mu} \simeq 4|U_{\mu 4}|^2$

$\nu_\mu \rightarrow \nu_e$ APP

$$\sin^2 2\vartheta_{e\mu} = 4|U_{e4}|^2|U_{\mu 4}|^2 \simeq \frac{1}{4} \sin^2 2\vartheta_{ee} \sin^2 2\vartheta_{\mu\mu}$$

[Okada, Yasuda, IJMPA 12 (1997) 3669; Bilenky, CG, Grimus, EPJC 1 (1998) 247]



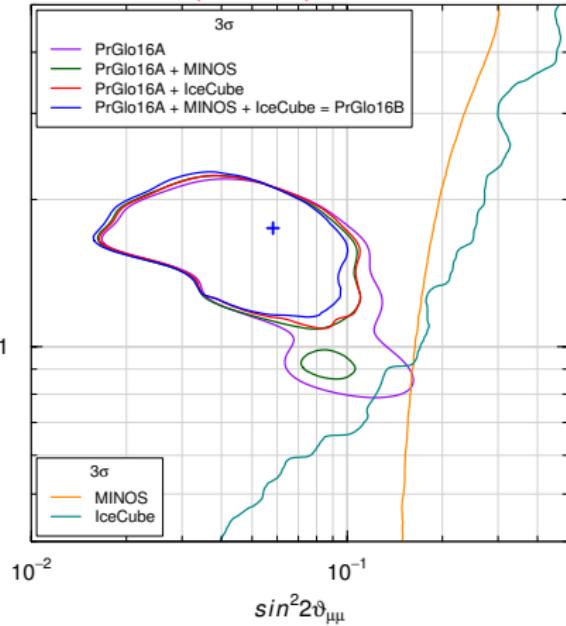
- $\nu_\mu \rightarrow \nu_e$ is quadratically suppressed!
- PrGlo17 = Pragmatic Global Fit 2017
 [Gariazzo, CG, Laveder, Li, arXiv:1703.00860]
- $\Delta\chi^2_{\text{NO}} = 47.4 \Rightarrow \approx 6.1\sigma$ anomaly
- Best Fit: $\Delta m_{41}^2 = 1.7 \text{ eV}^2$
 $|U_{e4}|^2 = 0.020 \quad |U_{\mu 4}|^2 = 0.015$
- $\chi^2_{\text{min}}/\text{NDF} = 595.1/579 \Rightarrow \text{GoF} = 31\%$
- $\chi^2_{\text{PG}}/\text{NDF}_{\text{PG}} = 7.2/2 \Rightarrow \text{GoF}_{\text{PG}} = 2.7\%$
- Similar tension in 3+2, 3+3, ..., 3+N_s

[CG, Zavanin, MPLA 31 (2015) 1650003]

Effects of MINOS, IceCube and NEOS

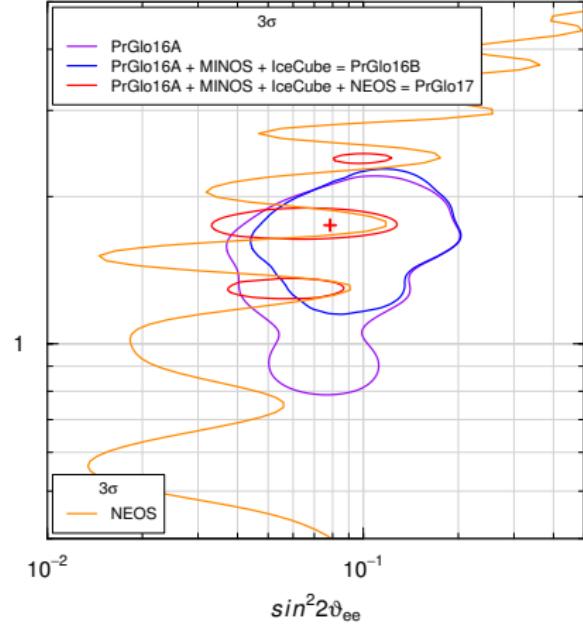
$$(-) \nu_\mu \rightarrow (-) \bar{\nu}_\mu$$

Δm_{41}^2 [eV²]



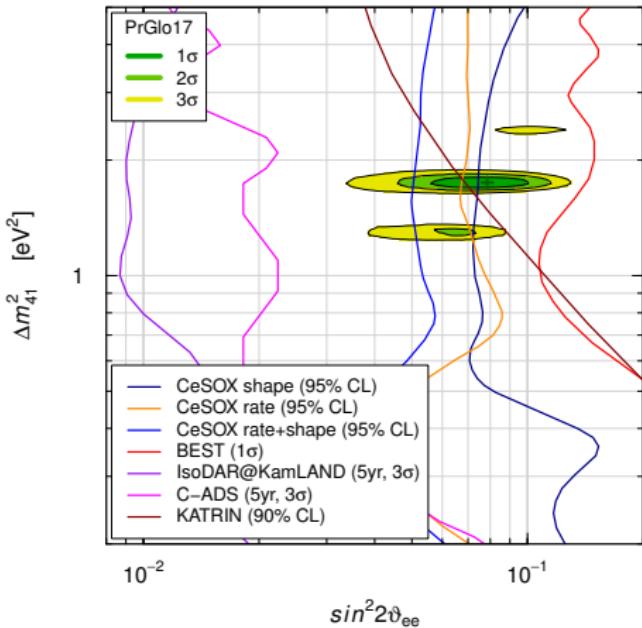
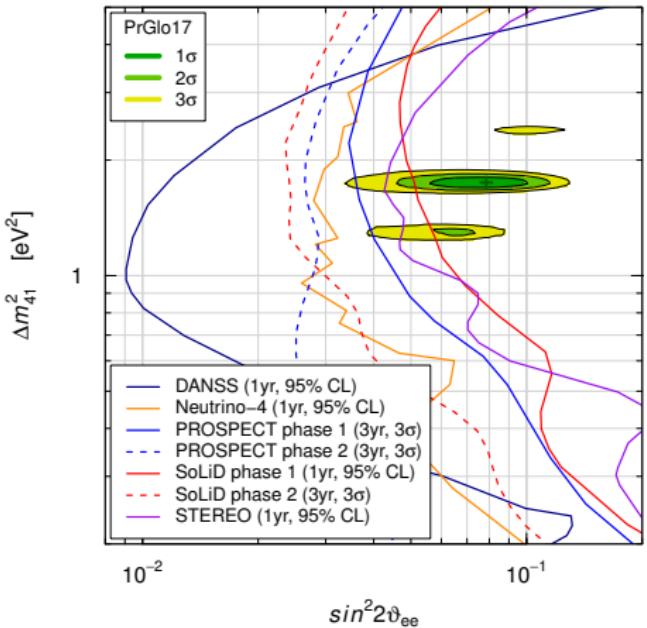
$$(-) \nu_e \rightarrow (-) \bar{\nu}_e$$

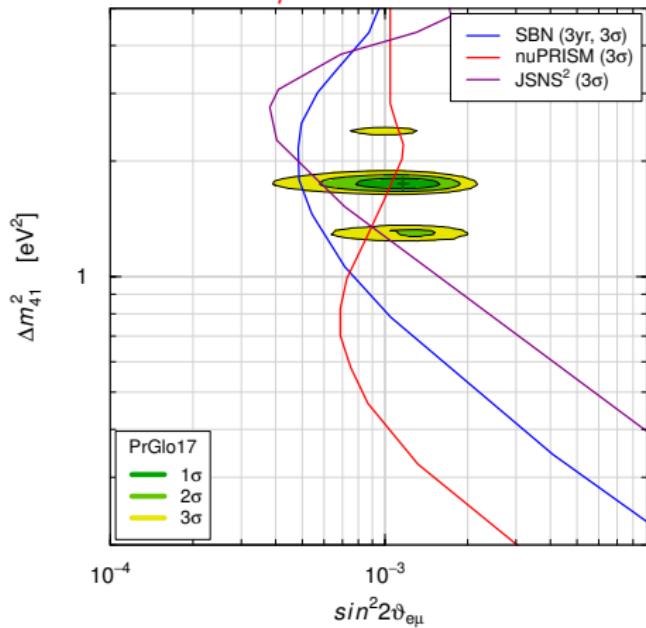
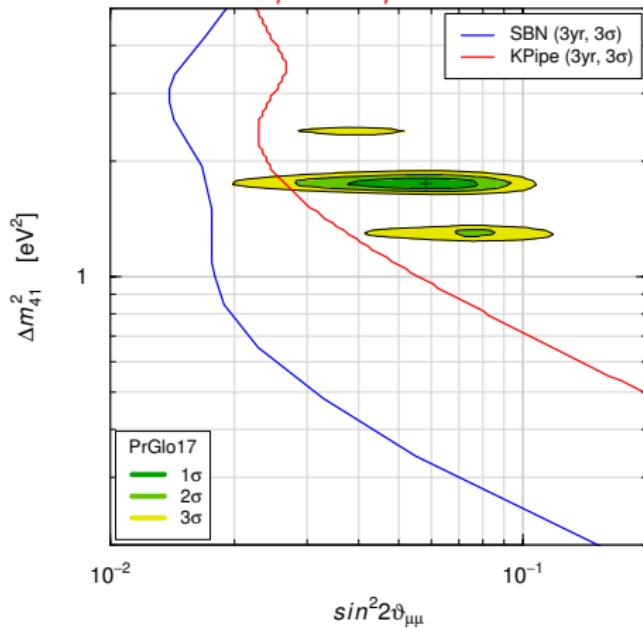
Δm_{41}^2 [eV²]



The Race for the Light Sterile

$$(-) \nu_e \rightarrow (-) \nu_e$$



$(-) \nu_\mu \rightarrow (-) \nu_e$  $(-) \nu_\mu \rightarrow (-) \nu_\mu$ 

Effects of light sterile neutrinos should also be seen in:

► β Decay Experiments

[Hannestad et al, JCAP 1102 (2011) 011; PRC 84 (2011) 045503; Formaggio, Barrett, PLB 706 (2011) 68; Esmaili, Peres, PRD 85 (2012) 117301; Gastaldo et al, JHEP 1606 (2016) 061]

► Neutrinoless Double- β Decay Experiments

[Rodejohann et al, JHEP 1107 (2011) 091; Li, Liu, PLB 706 (2012) 406; Meroni et al, JHEP 1311 (2013) 146, PRD 90 (2014) 053002; Pascoli et al, PRD 90 (2014) 093005; CG, Zavanin, JHEP 1507 (2015) 171; Guzowski et al, PRD 92 (2015) 012002]

► Long-baseline Neutrino Oscillation Experiments

[de Gouvea et al, PRD 91 (2015) 053005, PRD 92 (2015) 073012, arXiv:1605.09376; Palazzo et al, PRD 91 (2015) 073017, PLB 757 (2016) 142, JHEP 1602 (2016) 111, JHEP 1609 (2016) 016, arXiv:1605.04299; Gandhi et al, JHEP 1511 (2015) 039; Pant et al, NPB 909 (2016) 1079, Choubey, Pramanik, PLB 764 (2017) 135]

► Solar neutrinos

[Dooling et al, PRD 61 (2000) 073011, Gonzalez-Garcia et al, PRD 62 (2000) 013005; Palazzo, PRD 83 (2011) 113013, PRD 85 (2012) 077301; Li et al, PRD 80 (2009) 113007, PRD 87, 113004 (2013), JHEP 1308 (2013) 056; Kopp et al, JHEP 1305 (2013) 050]

► Atmospheric neutrinos

[Goswami, PRD 55 (1997) 2931; Bilenky et al, PRD 60 (1999) 073007; Maltoni et al, NPB 643 (2002) 321, PRD 67 (2003) 013011; Choubey, JHEP 0712 (2007) 014; Razzaque, Smirnov, JHEP 1107 (2011) 084, PRD 85 (2012) 093010; Gandhi, Ghoshal, PRD 86 (2012) 037301; Barger et al, PRD 85 (2012) 011302; Esmaili et al, JCAP 1211 (2012) 041, JCAP 1307 (2013) 048, JHEP 1312 (2013) 014; Rajpoot et al, EPJC 74 (2014) 2936; Lindner et al, JHEP 1601 (2016) 124; Behera et al, arXiv:1605.08607]

► Supernova neutrinos

[Caldwell, Fuller, Qian, PRD 61 (2000) 123005; Peres, Smirnov, NPB 599 (2001); Sorel, Conrad, PRD 66 (2002) 033009; Tamborra et al, JCAP 1201 (2012) 013; Wu et al, PRD 89 (2014) 061303; Esmaili et al, PRD 90 (2014) 033013]

► Cosmic neutrinos

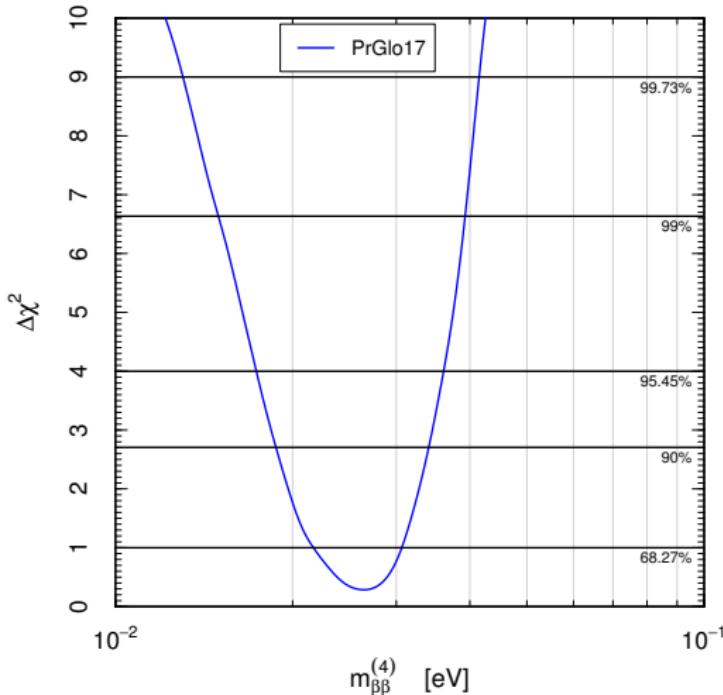
[Cirelli et al, NPB 708 (2005) 215; Donini, Yasuda, arXiv:0806.3029; Barry et al, PRD 83 (2011) 113012]

► Indirect dark matter detection [Esmaili, Peres, JCAP 1205 (2012) 002]

► Cosmology [see: Wong, ARNPS 61 (2011) 69; Archidiacono et al, AHEP 2013 (2013) 191047]

Neutrinoless Double-Beta Decay

$$m_{\beta\beta} = |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{i\alpha_{21}} m_2 + |U_{e3}|^2 e^{i\alpha_{31}} m_3 + |U_{e4}|^2 e^{i\alpha_{41}} m_4$$



$$m_{\beta\beta}^{(k)} = |U_{ek}|^2 m_k$$

$$\begin{aligned} m_1 &\ll m_4 \\ \downarrow \\ m_{\beta\beta}^{(4)} &\simeq |U_{e4}|^2 \sqrt{\Delta m_{41}^2} \end{aligned}$$

warning:
possible cancellation
with $m_{\beta\beta}^{(3\nu)}$

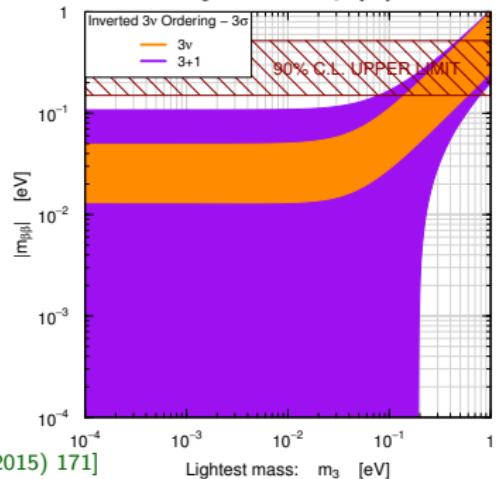
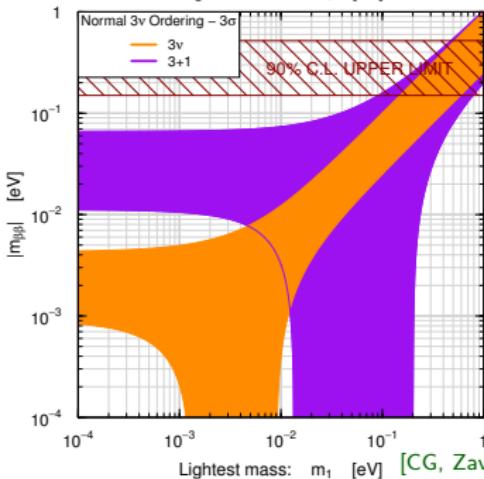
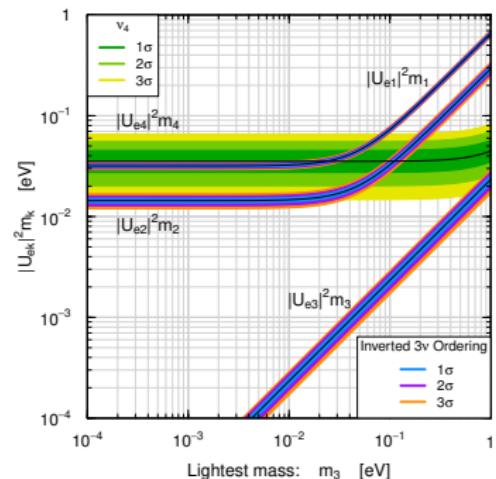
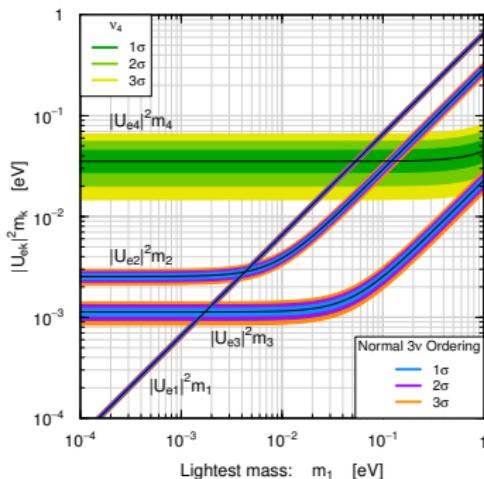
[Barry, Rodejohann, Zhang, JHEP 07 (2011) 091]

[Li, Liu, PLB 706 (2012) 406]

[Rodejohann, JPG 39 (2012) 124008]

[Girardi, Meroni, Petcov, JHEP 1311 (2013) 146]

[CG, Zavanin, JHEP 07 (2015) 171]



Conclusions

- ▶ Exciting indications of light sterile neutrinos at the eV scale:
 - ▶ LSND $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ signal.
 - ▶ Gallium ν_e disappearance.
 - ▶ Reactor $\bar{\nu}_e$ disappearance.
- ▶ Vigorous experimental program to check conclusively in a few years:
 - ▶ ν_e and $\bar{\nu}_e$ disappearance with reactors and radioactive sources.
 - ▶ $\nu_\mu \rightarrow \nu_e$ transitions with accelerator neutrinos.
 - ▶ ν_μ disappearance with accelerator neutrinos.
- ▶ Independent tests through effect of m_4 in β -decay and $\beta\beta_{0\nu}$ -decay.
- ▶ Cosmology: strong tension with $\Delta N_{\text{eff}} = 1$ and $m_4 \approx 1 \text{ eV}$. It may be solved by a non-standard cosmological mechanism.
- ▶ Possibilities for the next years:
 - ▶ Reactor and source experiments ν_e and $\bar{\nu}_e$ observe SBL oscillations: big excitement and explosion of the field.
 - ▶ Otherwise: still marginal interest to check the LSND appearance signal.
 - ▶ In any case the possibility of the existence of sterile neutrinos related to New Physics beyond the Standard Model will continue to be studied (e.g keV sterile neutrinos).