

# Massive Neutrinos in Cosmology

## Part II: Cosmology

**Carlo Giunti**

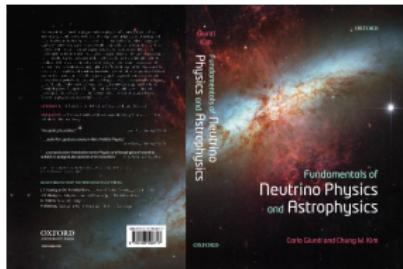
INFN, Torino, Italy

[giunti@to.infn.it](mailto:giunti@to.infn.it)

Neutrino Unbound: <http://www.nu.to.infn.it>

Torino Graduate School in Physics and Astrophysics

Torino, May 2018



C. Giunti and C.W. Kim  
Fundamentals of Neutrino Physics and  
Astrophysics  
Oxford University Press  
15 March 2007 – 728 pages

# Thermodynamics of the Early Universe

- Thermal equilibrium:

$$n_\chi = \frac{g_\chi}{(2\pi)^3} \int f_\chi(\vec{p}) d^3 p$$

$$\rho_\chi = \frac{g_\chi}{(2\pi)^3} \int E_\chi(\vec{p}) f_\chi(\vec{p}) d^3 p$$

$$p_\chi = \frac{g_\chi}{(2\pi)^3} \int \frac{|\vec{p}|^2}{3E_\chi(\vec{p})} f_\chi(\vec{p}) d^3 p$$

- Statistical distribution:  $f_\chi(\vec{p}) = \frac{1}{e^{(E_\chi(\vec{p}) - \mu_\chi)/T_\chi} \pm 1}$

- Chemical potential:

- $a + b \rightleftharpoons c + d \implies \mu_a + \mu_b = \mu_c + \mu_d$
- $\mu_\gamma = 0$  and  $\chi + \bar{\chi} \rightarrow \gamma\gamma \implies \mu_\chi = -\mu_{\bar{\chi}}$
- Conserved charge  $\implies \mu_\chi \neq 0$  if  $n_\chi \neq n_{\bar{\chi}}$

► Relativistic limit:  $T_\chi \gg m_\chi$  and  $T_\chi \gg \mu_\chi \implies f_\chi(\vec{p}) \simeq \frac{1}{e^{|\vec{p}|/T_\chi} \pm 1}$

$$n_\chi \simeq \begin{cases} \frac{\zeta(3)}{\pi^2} g_\chi T_\chi^3 & (\chi = \text{boson}) \\ \frac{3}{4} \frac{\zeta(3)}{\pi^2} g_\chi T_\chi^3 & (\chi = \text{fermion}), \end{cases}$$

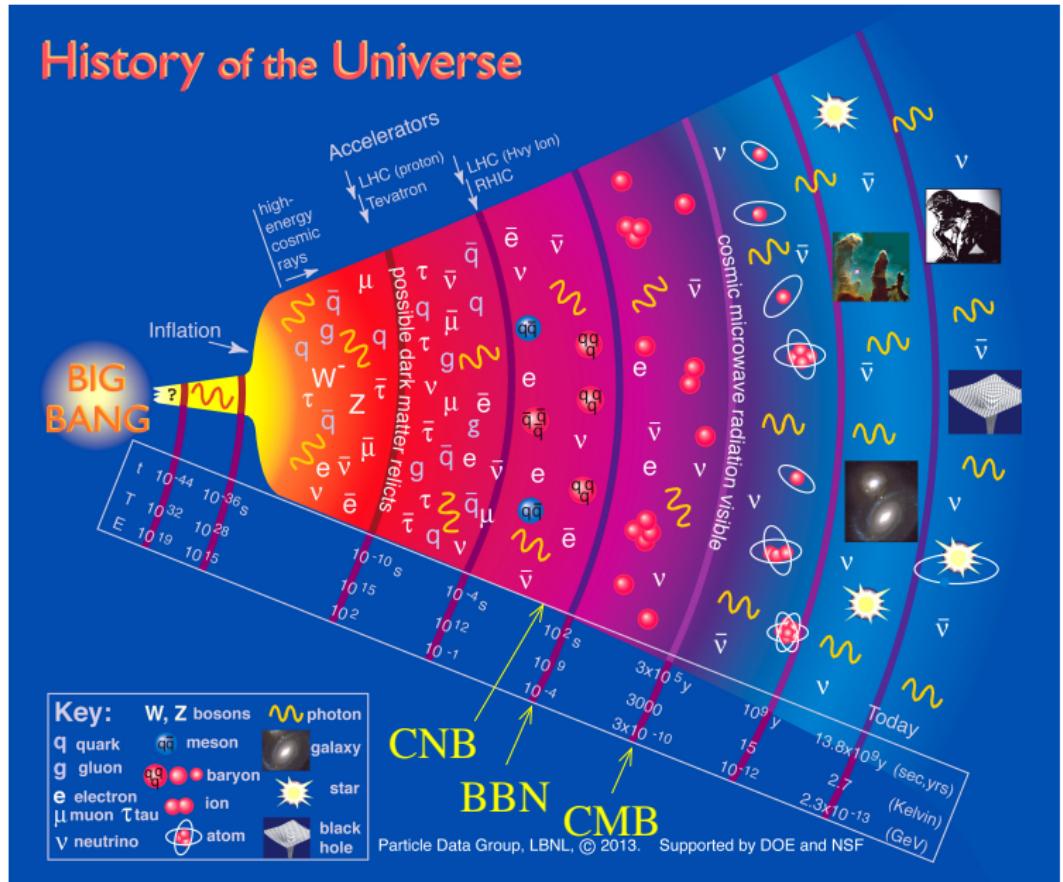
$$\rho_\chi \simeq \begin{cases} \frac{\pi^2}{30} g_\chi T_\chi^4 & (\chi = \text{boson}) \\ \frac{7}{8} \frac{\pi^2}{30} g_\chi T_\chi^4 & (\chi = \text{fermion}), \end{cases}$$

$$p_\chi \simeq \frac{1}{3} \rho_\chi,$$

► Average energy:

$$\langle E_\chi \rangle \simeq \langle |\vec{p}_\chi| \rangle \simeq \begin{cases} \frac{\pi^4}{30 \zeta(3)} T_\chi \simeq 2.701 T_\chi & (\chi = \text{boson}) \\ \frac{7\pi^4}{180 \zeta(3)} T_\chi \simeq 3.151 T_\chi & (\chi = \text{fermion}) \end{cases}$$

# Neutrino Decoupling



- Neutrinos are in equilibrium in the early Universe through weak interactions:

$$\begin{array}{lll} \nu\bar{\nu} \leftrightarrows e^+e^- & {}^{(-)}_{\nu}e \leftrightarrows {}^{(-)}_{\bar{\nu}}e & {}^{(-)}_{\nu}N \leftrightarrows {}^{(-)}_{\bar{\nu}}N \\ \nu_e n \leftrightarrows pe^- & \bar{\nu}_e p \leftrightarrows ne^+ & n \leftrightarrows pe^-\bar{\nu}_e \end{array}$$

- Interaction rate:  $\Gamma_\nu = n_\nu \langle \sigma v \rangle \sim G_F^2 T^5$

$$n_\nu \sim T^3 \quad \sigma \sim G_F^2 T^2 \quad v \simeq 1$$

- The rate of expansion is given by the Friedmann equation:

$$H^2 = \frac{8\pi}{3M_P} \rho - \frac{k}{R^2} \quad H(t) \equiv \frac{\dot{R}(t)}{R(t)}$$

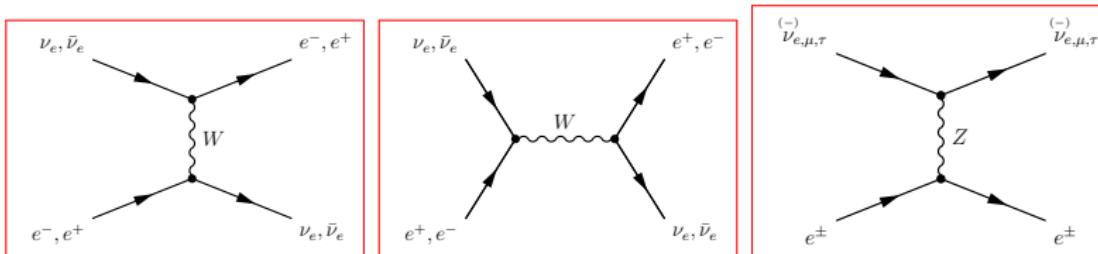
- In the radiation-dominated era:  $H^2 \simeq \frac{8\pi}{3M_P^2} \rho_{\text{rad}}$  with  $\rho_{\text{rad}} = \frac{\pi^2}{30} g_* T^4$

$$H \simeq \frac{2\pi^{3/2}}{3\sqrt{5}M_P} \sqrt{g_*} T^2 \quad g_* = \sum_{\chi=\text{relativistic bosons}} g_\chi + \frac{7}{8} \sum_{\chi=\text{relativistic fermions}} g_\chi$$

- Before  $\nu$  decoupling:  $g_* = g_*^{(\gamma)} + g_*^{(e^\pm)} + g_*^{(\nu)} = 2 + \frac{7}{8} 4 + \frac{7}{8} 6 = 10.75$

- Neutrino decoupling:  $\Gamma_\nu \sim H \implies T^{\nu\text{-dec}} \sim (M_P G_F^2)^{-1/3} \sim 1 \text{ MeV}$
- A more precise calculation takes into account that the dominant processes for  $T \lesssim 100 \text{ MeV}$  are

$$\nu \bar{\nu} \leftrightarrows e^+ e^- \quad \stackrel{(-)}{\nu} e \leftrightarrows \stackrel{(-)}{\nu} e$$



- Since the rates of these processes depend on neutrino energy  $E \simeq p$ , the decoupling temperature is not instantaneous and depends on  $p$ :

$$T^{\nu_e\text{-dec}}(p) \simeq 2.7 \left(\frac{p}{T}\right)^{-1/3} \quad T^{\nu_{\mu,\tau}\text{-dec}}(p) \simeq 4.5 \left(\frac{p}{T}\right)^{-1/3}$$

- Taking into account that  $\langle E \rangle \simeq 3T$ , one obtains:

$$T^{\nu_e\text{-dec}} \simeq 1.9 \text{ MeV} \quad T^{\nu_{\mu,\tau}\text{-dec}} \simeq 3.1 \text{ MeV}$$

- ▶ Hot relics: relativistic at decoupling  $\implies f_\nu^{\nu\text{-dec}}(\vec{p}) \simeq \frac{1}{e^{|\vec{p}|/T^{\nu\text{-dec}}} + 1}$
- ▶ FRW metric:  $d\tau^2 = dt^2 - R^2(t) \left[ \frac{dr^2}{1 - k r^2} + r^2 (d\theta^2 + \sin^2 \theta d\phi^2) \right]$
- ▶ Momentum scaling with expansion:  $|\vec{p}| = |\vec{p}|_{\nu\text{-dec}} \left( \frac{R}{R_{\nu\text{-dec}}} \right)^{-1}$   

$$f_\nu(\vec{p}) \simeq \left[ \exp\left( \frac{|\vec{p}| (R/R_{\nu\text{-dec}})}{T^{\nu\text{-dec}}} \right) + 1 \right]^{-1} = \frac{1}{e^{|\vec{p}|/T_\nu} + 1}$$

Effective temperature scales with expansion:

$$T_\nu = T^{\nu\text{-dec}} \left( \frac{R}{R_{\nu\text{-dec}}} \right)^{-1}$$

## Electron-Positron Annihilation

- After neutrino decoupling at  $T \simeq 1 \text{ MeV}$   $e^\pm$  and  $\gamma$  are the only relativistic particles in thermal equilibrium.
- At  $m_e/3 \simeq 0.2 \text{ MeV}$  electrons and positrons became nonrelativistic: out-of-equilibrium  $e^- e^+ \rightarrow \gamma\gamma$  heat the photon distribution.
- During this phase the photon temperature does not scale as  $R^{-1}$ .

Entropy density:  $s = \frac{\rho + p}{T} = \frac{2\pi^2}{45} g_s T_\gamma^3$

$$g_s = \sum_{\substack{\chi=\text{interacting} \\ \text{relativistic} \\ \text{bosons}}} g_\chi + \frac{7}{8} \sum_{\substack{\chi=\text{interacting} \\ \text{relativistic} \\ \text{fermions}}} g_\chi$$

Entropy conservation:  $s \propto R^{-3} \implies T_\gamma \propto g_s^{-1/3} R^{-1}$

- Before and after  $e^-e^+$  annihilation:  $\frac{T_\nu^{\text{after}}}{T_\nu^{\text{before}}} = \left( \frac{R^{\text{after}}}{R^{\text{before}}} \right)^{-1}$

$$\frac{T_\gamma^{\text{after}}}{T_\gamma^{\text{before}}} = \left( \frac{g_s^{\text{after}}}{g_s^{\text{before}}} \right)^{-1/3} \left( \frac{R^{\text{after}}}{R^{\text{before}}} \right)^{-1} = \left( \frac{g_s^{\text{after}}}{g_s^{\text{before}}} \right)^{-1/3} \frac{T_\nu^{\text{after}}}{T_\nu^{\text{before}}}$$

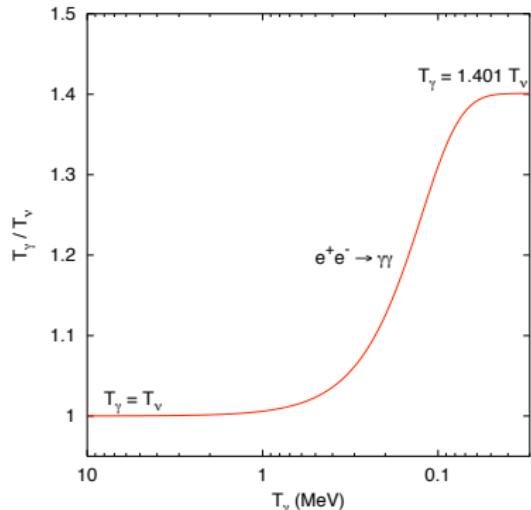
- $T_\gamma^{\text{before}} = T_\nu^{\text{before}}$

- $g_s^{\text{before}} = g_s^{(\gamma)} + g_s^{(e^\pm)} = 2 + \frac{7}{8} 4$

- $g_s^{\text{after}} = g_s^{(\gamma)} = 2$

- $T_\nu^{\text{after}} = \left( \frac{4}{11} \right)^{1/3} T_\gamma^{\text{after}} \simeq 0.7138 T_\gamma^{\text{after}}$

- $T_\nu^0 = \left( \frac{4}{11} \right)^{1/3} T_\gamma^0 = 1.945 \pm 0.001 \text{ K} = (1.676 \pm 0.001) \times 10^{-4} \text{ eV}$



# Effective Number of Relativistic Degrees Of Freedom

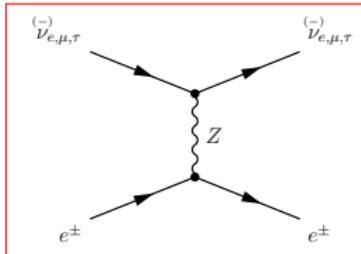
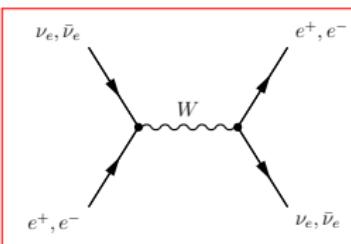
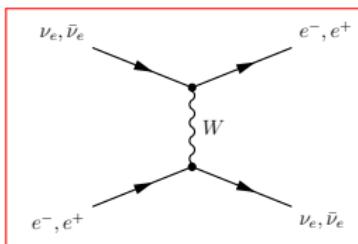
- Radiation density:

$$\rho_{\text{rad}} = \left[ 1 + \frac{7}{8} \left( \frac{4}{11} \right)^{4/3} N_{\text{eff}} \right] \rho_{\gamma}$$

- Three standard neutrinos:  $N_{\text{eff}}^{\nu} = 3.046$  Why  $N_{\text{eff}}^{\nu} > 3$ ?

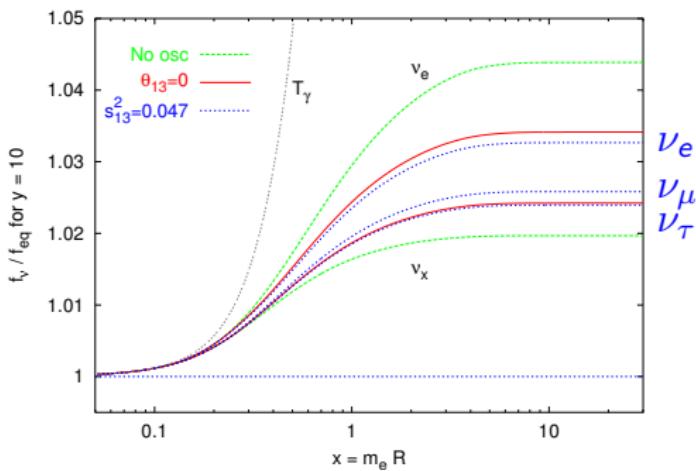
[Mangano et al, NPB 729 (2005) 221]  $\rightarrow N_{\text{eff}}^{\nu} = 3.045$  [de Salas, Pastor, JCAP 1607 (2016) 051]

- Neutrino decoupling was not instantaneous at  $T^{\nu\text{-dec}}$ .
- Higher-energy neutrinos decoupled later and were not completely decoupled during  $e^- e^+$  annihilation.
- This effect is different for  $\nu_e^{(-)}$  and  $\nu_{\mu,\tau}^{(-)}$  because of the additional charged-current interactions of  $\nu_e^{(-)}$ :



- Equilibrium distribution:  $f_{\text{eq}}(\vec{p}) \simeq \frac{1}{e^{p/T} + 1}$
- Nonthermal distortions:  $f_{\nu_\alpha}(\vec{p}, t) = f_{\text{eq}}(\vec{p}) (1 + \delta_{\nu_\alpha}(\vec{p}, t))$
- Boltzmann equation:

$$\left( \frac{\partial}{\partial t} - H p \frac{\partial}{\partial p} \right) f_{\nu_\alpha}(\vec{p}, t) = C[f_{\nu_\alpha}; f_{\nu_\beta}, f_{e^\pm}]$$



[Mangano et al, NPB 729 (2005) 221, arXiv:hep-ph/0506164]

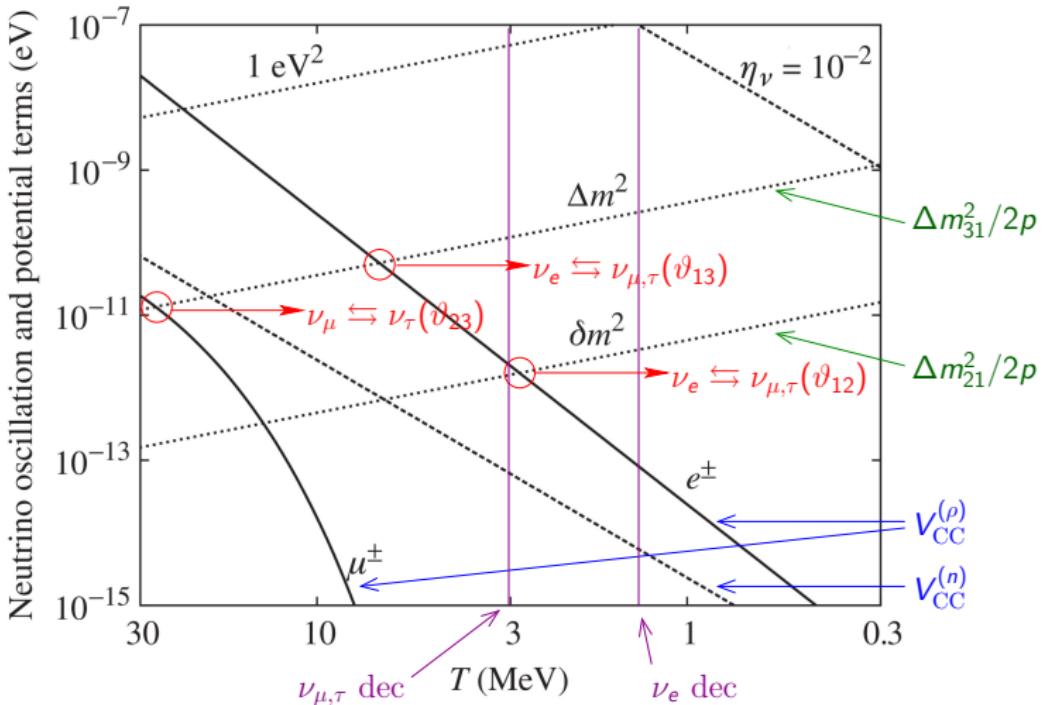
$$R = T_\nu^{-1}$$

$$x = m_e R = m_e / T_\nu$$

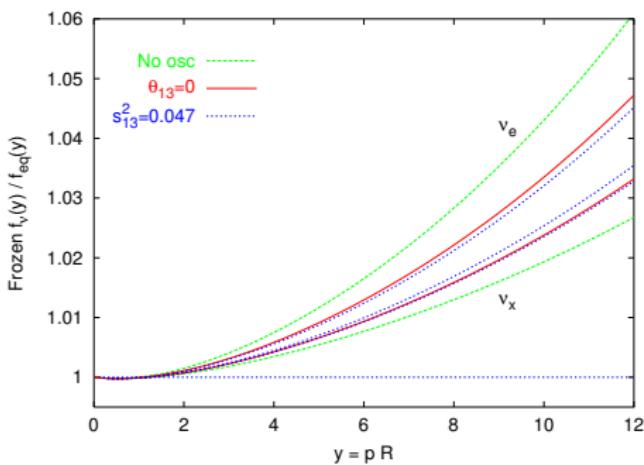
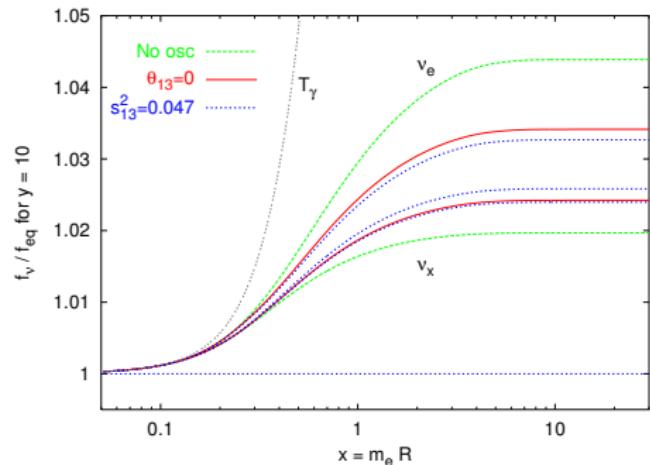
$$y = p R = p / T_\nu$$

► Neutrino oscillations mix the flavor distributions.

► Matter potential:  $V_{CC}^{(\ell)} = \sqrt{2} G_F (n_{\ell^-} - n_{\ell^+}) - \frac{8\sqrt{2} G_F p}{3m_W^2} (\rho_{\ell^-} + \rho_{\ell^+})$



[Lesgourgues, Mangano, Miele, Pastor, Neutrino Cosmology, Cambridge University Press, 2013]



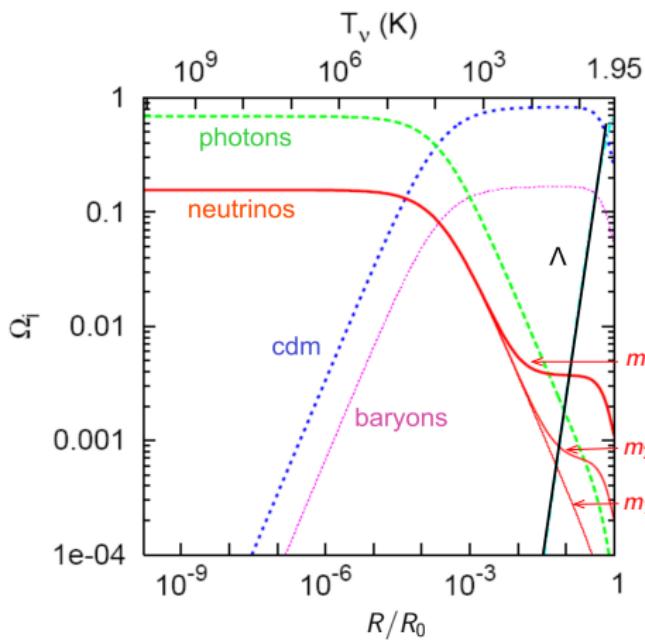
[Mangano et al, NPB 729 (2005) 221, arXiv:hep-ph/0506164]

$$R = T_\nu^{-1} \quad x = m_e R = m_e / T_\nu \quad y = p R = p / T_\nu$$

$$N_{\text{eff}} = 3 + \frac{\delta\rho_{\nu_e}}{\rho_\nu} + \frac{\delta\rho_{\nu_\mu}}{\rho_\nu} + \frac{\delta\rho_{\nu_\tau}}{\rho_\nu} = 3.046$$

$$f_{\nu_k} = \sum_{\alpha=e,\mu,\tau} |U_{\alpha k}|^2 f_{\nu_\alpha} \quad \Rightarrow \quad \begin{cases} f_{\nu_1} \simeq 0.7f_{\nu_e} + 0.3f_{\nu_{\mu,\tau}} \\ f_{\nu_2} \simeq 0.3f_{\nu_e} + 0.7f_{\nu_{\mu,\tau}} \\ f_{\nu_3} \simeq f_{\nu_{\mu,\tau}} \end{cases}$$

# Energy Density



$$\Omega_i = \rho_i / \rho_c$$

$$\rho_c = \frac{3M_P}{8\pi} H^2$$

Rad: 
$$\left\{ \begin{array}{l} \rho_c \simeq \rho_R \propto R^{-4} \\ \frac{\rho_M}{\rho_c} \propto \frac{R^{-3}}{R^{-4}} \propto R \\ \frac{\rho_\Lambda}{\rho_c} \propto \frac{1}{R^{-4}} \propto R^4 \end{array} \right.$$

Mat: 
$$\left\{ \begin{array}{l} \rho_c \simeq \rho_M \propto R^{-3} \\ \frac{\rho_R}{\rho_c} \propto \frac{R^{-4}}{R^{-3}} \propto R^{-1} \\ \frac{\rho_\Lambda}{\rho_c} \propto \frac{1}{R^{-3}} \propto R^3 \end{array} \right.$$

$\Lambda$ : 
$$\left\{ \begin{array}{l} \rho_c \simeq \rho_\Lambda = \text{const.} \\ \frac{\rho_R}{\rho_c} \propto R^{-4} \\ \frac{\rho_M}{\rho_c} \propto R^{-3} \end{array} \right.$$

## Nonrelativistic Transition

- ▶ After decoupling  $T_\nu \propto R^{-1} \implies T_\nu = T_\nu^0 \left( \frac{R_0}{R} \right) = T_\nu^0 (1 + z)$
- ▶ Nonrelativistic transition:  $T_{\nu_i}^{\text{nr}} \simeq 3m_i \Rightarrow z_{\nu_i}^{\text{nr}} \simeq \frac{m_i}{3T_\nu^0} \simeq 2.0 \times 10^3 \left( \frac{m_i}{\text{eV}} \right)$   
 $m_3 \gtrsim 5 \times 10^{-2} \text{ eV} \Rightarrow z_{\nu_3}^{\text{nr}} \gtrsim 100 \quad m_2 \gtrsim 8 \times 10^{-3} \text{ eV} \Rightarrow z_{\nu_2}^{\text{nr}} \gtrsim 16$
- ▶ After the nonrelativistic transition:  $\rho_{\nu_i} \simeq m_i n_{\nu_i}$
- ▶  $n_\nu^0 + n_{\bar{\nu}}^0 \simeq \frac{3}{2} \frac{\zeta(3)}{\pi^2} (T_\nu^0)^3 \simeq \frac{6}{11} \frac{\zeta(3)}{\pi^2} (T_\gamma^0)^3 = \frac{3}{11} n_\gamma^0 \simeq 112 \text{ cm}^{-3}$
- ▶  $\rho_c^0 \equiv \frac{3H_0^2}{8\pi G_N} \simeq 10.54 h^2 \text{ keV cm}^{-3} \Rightarrow \Omega_{\nu_i}^0 \simeq \frac{m_i(n_\nu^0 + n_{\bar{\nu}}^0)}{\rho_c^0} \simeq \frac{m_i}{94.1 h^2 \text{ eV}}$
- ▶ Nonthermal distortions  $\implies \boxed{\Omega_{\nu_i}^0 \simeq \frac{m_i}{93.1 h^2 \text{ eV}}} \quad \Omega_{\nu_3}^0 \gtrsim 5 \times 10^{-4}$   
 $\Omega_{\nu_2}^0 \gtrsim 9 \times 10^{-5}$

- $\Omega_{\nu\text{-relativistic}}^0 = \left(\frac{4}{11}\right)^{4/3} \Omega_\gamma^0 \simeq 1.2 \times 10^{-5} \ll \Omega_{\nu_2}^0 \gtrsim 9 \times 10^{-5}$
- Total contribution of neutrinos to the current energy density of the Universe: [Gershtein, Zeldovich, JETP Lett. 4 (1966) 120; Cowsik, McClelland, PRL 29 (1972) 669]

$$\Omega_\nu^0 \simeq \frac{\sum_i m_i}{93.1 h^2 \text{ eV}}$$

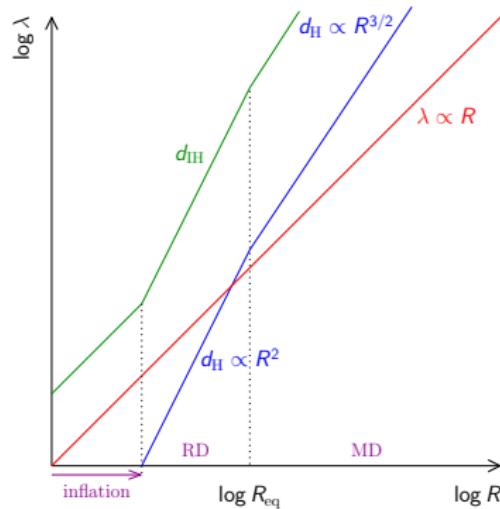
$$\left. \begin{array}{l} \Omega_\nu^0 \leq \Omega_M^0 \simeq 0.3 \\ h \simeq 0.7 \end{array} \right\} \Rightarrow \sum_i m_i \lesssim 14 \text{ eV}$$

- This bound is not competitive with the current kinematical laboratory limit:

$$m_i \lesssim m_\beta \lesssim 2 \text{ eV} \Rightarrow \sum_i m_i \lesssim 6 \text{ eV}$$

# Matter-Radiation Equality

- Matter-radiation equality is important because subhorizon matter density fluctuations can grow only during the matter-dominated era.



- Therefore structure formation starts at matter-radiation equality.
- Where neutrino still relativistic at matter-radiation equality?
- The answer to this question is important in order to determine the effect of neutrinos on structure formation.

- Redshift of matter-radiation equality:

$$\left. \begin{array}{l} \rho_M \propto R^{-3} \\ \rho_R \propto R^{-4} \end{array} \right\} \Rightarrow \frac{\rho_M}{\rho_R} = \frac{\rho_M^0}{\rho_R^0} \frac{R}{R_0} = \frac{\rho_M^0}{\rho_R^0} (1+z)^{-1} \Rightarrow 1+z_{eq} = \frac{\rho_M^0}{\rho_R^0} = \frac{\Omega_M^0}{\Omega_R^0}$$

- This relation assumes that the number of relativistic particles is not changed.
- If neutrinos were relativistic at matter-radiation equality:

$$1+z_{eq} = \frac{\Omega_M^0}{\Omega_R^0} (m_\nu = 0) = \frac{\Omega_B^0 + \Omega_{CDM}^0}{\Omega_\gamma^0 + \Omega_\nu^0 (m_\nu = 0)}$$

$$\Omega_R^0 (m_\nu = 0) = \left[ 1 + 3 \left( \frac{4}{11} \right)^{4/3} \right] \Omega_\gamma^0 \simeq 4.4 \times 10^{-5} h^{-2}$$

$$\simeq 8.9 \times 10^{-5} \quad \text{for} \quad h \simeq 0.7$$

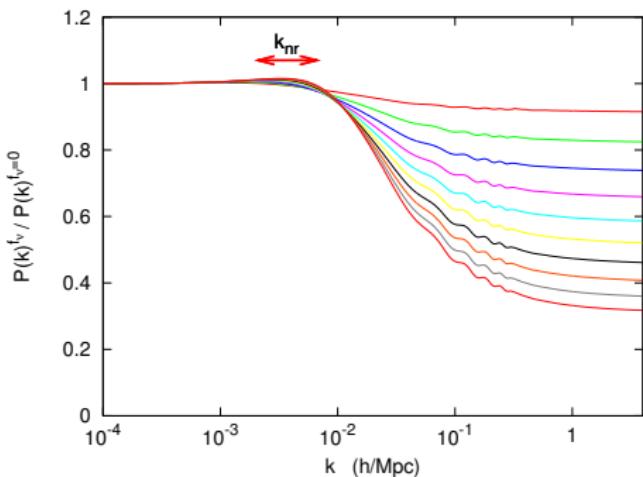
$$z_{eq} \simeq 2.4 \times 10^4 (\Omega_B^0 + \Omega_{CDM}^0) h^2 \simeq 3.5 \times 10^3 \quad \text{for} \quad \Omega_B^0 + \Omega_{CDM}^0 \simeq 0.3$$

$$z_{\nu_i}^{nr} \simeq 2.0 \times 10^3 \left( \frac{m_i}{\text{eV}} \right) < z_{eq} \quad \text{for} \quad m_i \lesssim 1.75 \text{ eV}$$

- ▶ From the current kinematical bound  $m_i \lesssim 2$  eV it is likely that all the three standard massive neutrinos became nonrelativistic after matter-radiation equality.
  - ▶ From  $t_{\text{eq}}$  to  $t_{\nu_i}^{\text{nr}}$  neutrinos free stream.
  - ▶ Subhorizon matter density fluctuations are suppressed by neutrino free streaming.
  - ▶ Current physical free-streaming scale:  $\lambda_{\nu_i-\text{fs}}^0 \simeq z_{\nu_i-\text{nr}} d_H(z_{\nu_i-\text{nr}})$
  - ▶ Matter-dominated era:  $d_H(z) \simeq 2 H_0^{-1} z^{-3/2} (\Omega_M^0)^{-1/2}$
- $$\lambda_{\nu_i-\text{fs}}^0 \simeq 0.013 \left( \frac{m_i}{\text{eV}} \right)^{-1/2} (\Omega_M^0)^{-1/2} h^{-1} \text{ Mpc}$$
- $$k_{\nu_i-\text{fs}}^0 \simeq \frac{2\pi}{\lambda_{\nu_i-\text{fs}}^0} \simeq 0.047 \left( \frac{m_i}{\text{eV}} \right)^{1/2} \sqrt{\Omega_M^0} h \text{ Mpc}^{-1}$$

## Power Spectrum

- ▶ Density fluctuations:  $\delta(t, \vec{x}) \equiv \frac{\rho(t, \vec{x}) - \langle \rho(t) \rangle}{\langle \rho(t) \rangle} = \int \frac{d^3 k}{(2\pi)^3} \delta(t, \vec{k}) e^{i \vec{k} \cdot \vec{x}}$
- ▶ The Fourier transform transform differential equations into algebraic ones.
- ▶ In the linear theory, the algebraic equations for the amplitude of each fluctuation mode with wavenumber  $\vec{k}$  are independent.
- ▶ The amplitude  $\delta(t, \vec{k})$  of each fluctuation mode evolves in time independently of the others and can be conveniently studied separately.
- ▶ Power spectrum:  $P(k, t) = \langle |\delta(t, \vec{k})|^2 \rangle$
- ▶ The power spectrum is the variance of the distribution of fluctuations in Fourier space.
- ▶ Gaussian fluctuations are completely characterized by their variance, i.e. by the power spectrum.



[Lesgourgues, Pastor, Phys. Rept. 429 (2006) 307]

$$\omega_M^0 = \Omega_M^0 h^2 = 0.147$$

$$\Omega_\Lambda^0 = 0.70$$

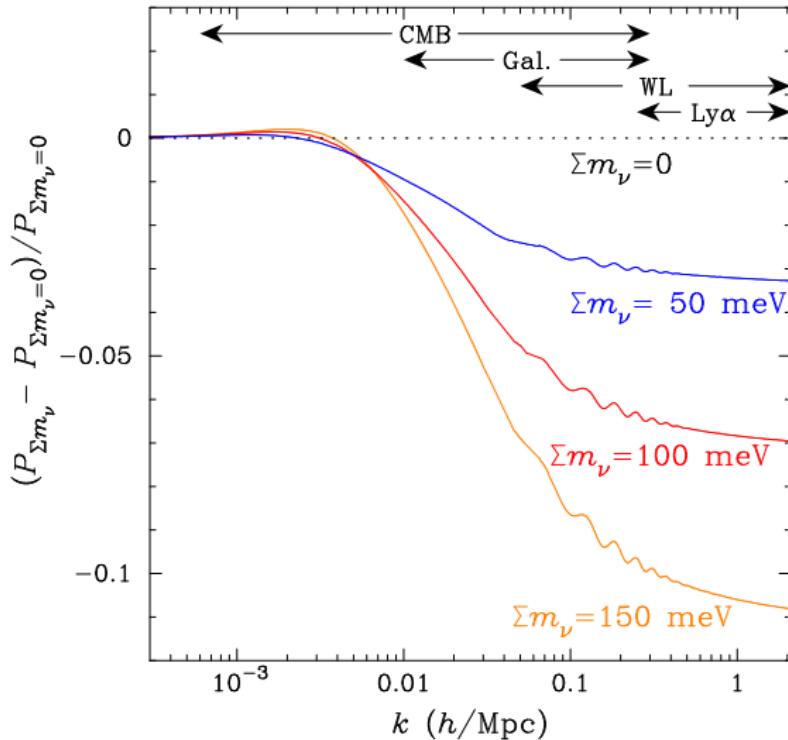
$$m_1 \simeq m_2 \simeq m_3 \simeq \frac{1}{3} \sum_i m_i$$

$$f_\nu \equiv \frac{\Omega_\nu^0}{\Omega_M^0} = 0.01, 0.02, \dots, 0.10$$

$$\sum_i m_i = 0.046, 0.092, 0.138, 0.184, \\ 0.230, 0.270, 0.322, 0.368, \\ 0.414, 0.460 \text{ eV}$$

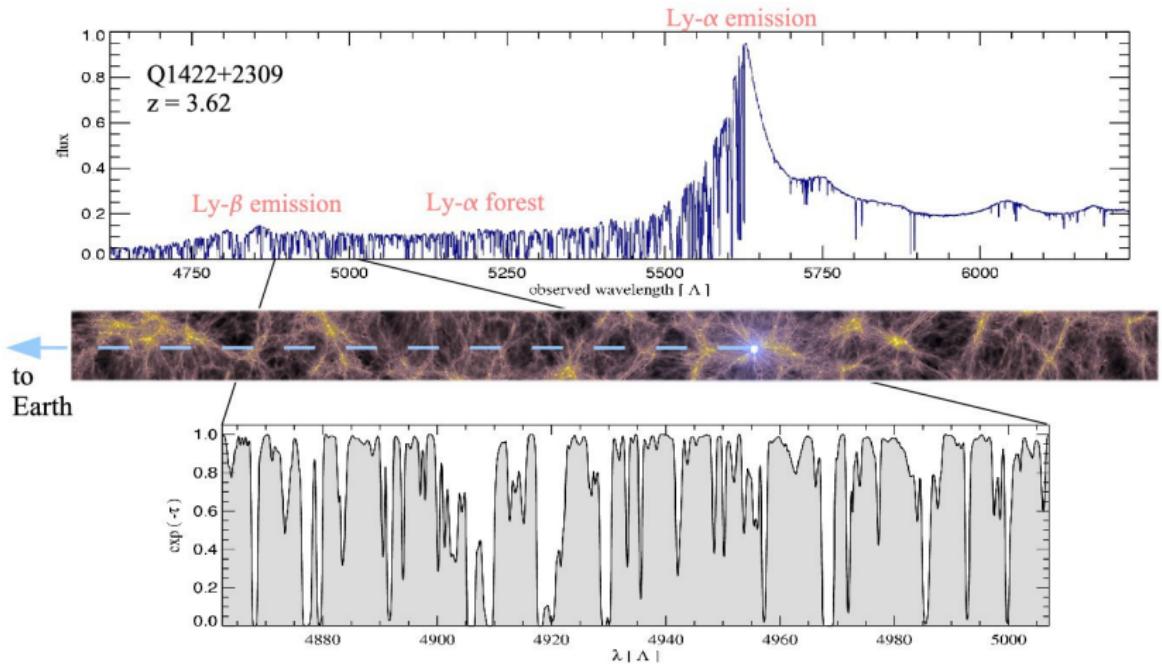
$$\begin{aligned} \frac{\Delta P(k)}{P(k)} &\simeq -8 \frac{\Omega_\nu^0}{\Omega_M^0} & k \gtrsim k_{fs} \simeq 0.026 \sqrt{\frac{\sum_i m_i}{1 \text{ eV}}} \sqrt{\Omega_M^0} h \text{ Mpc}^{-1} \\ &\simeq -0.8 \left( \frac{\sum_i m_i}{1 \text{ eV}} \right) \left( \frac{0.1}{\Omega_M^0 h^2} \right) \end{aligned}$$

[Hu, Eisenstein, Tegmark, PRL 80 (1998) 5255]



[Abazajian et al, Astropart.Phys. 63 (2015) 66, arXiv:1309.5383. ]

# Lyman-alpha Forest

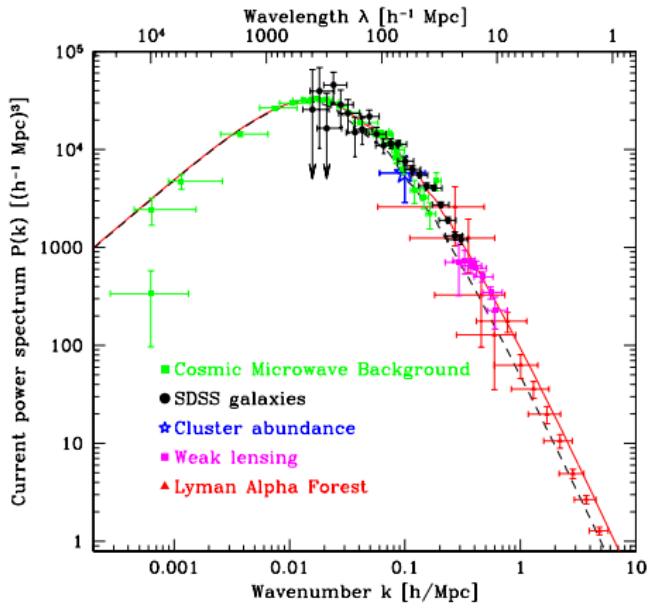


[Springel, Frenk, White, astro-ph/0604561]

Rest-frame Lyman  $\alpha$ ,  $\beta$ ,  $\gamma$  wavelengths:  $\lambda_\alpha^0 = 1215.67 \text{ \AA}$ ,  $\lambda_\beta^0 = 1025.72 \text{ \AA}$ ,  $\lambda_\gamma^0 = 972.54 \text{ \AA}$

Lyman- $\alpha$  forest: The region in which only Ly $\alpha$  photons can be absorbed:

$$[(1 + z_q)\lambda_\beta^0, (1 + z_q)\lambda_\alpha^0]$$



[Tegmark, hep-ph/0503257]

Solid Curve: flat  $\Lambda$ CDM model

$$h = 0.72$$

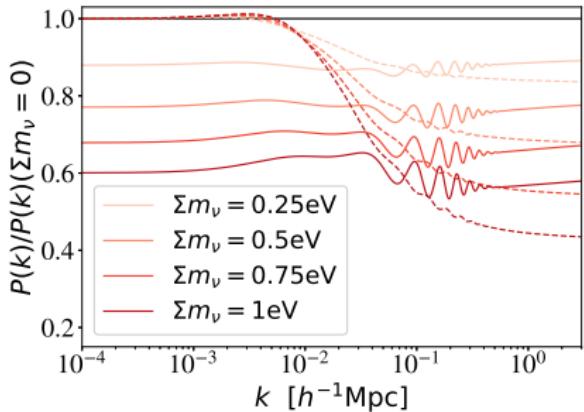
$$\Omega_M^0 = 0.28$$

$$\Omega_B^0 / \Omega_M^0 = 0.16$$

Dashed Curve:  $\sum_{i=1}^3 m_i = 1 \text{ eV}$

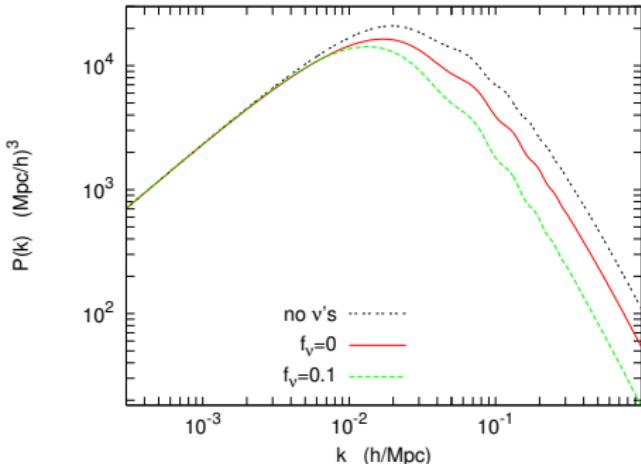
$$f_\nu \equiv \frac{\Omega_\nu^0}{\Omega_M^0}$$

$$\approx \frac{\sum_i m_i}{93.1 h^2 \text{ eV } \Omega_M^0} \simeq 0.07$$



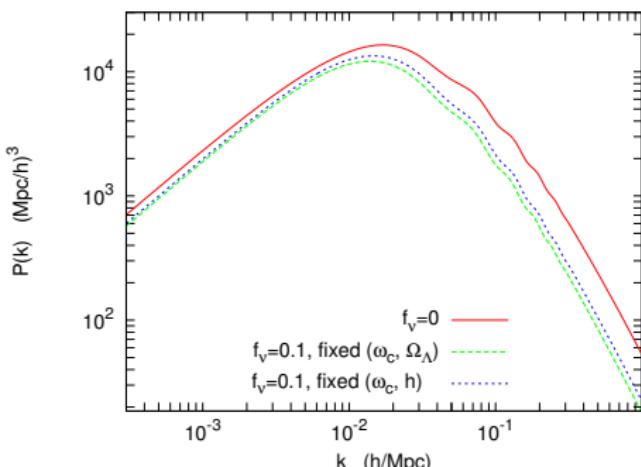
- ▶ In the previous figures  $\omega_M^0 = \Omega_M^0 h^2$  is fixed.
- ▶  $\Omega_B^0 + \Omega_{CDM}^0 = \Omega_M^0 - \Omega_\nu^0$
- ▶  $\Omega_\nu^0 \simeq \sum_i m_i / 93.1 h^2 \text{ eV}$
- ▶  $z_{\text{eq}} \simeq 2.4 \times 10^4 (\Omega_B^0 + \Omega_{CDM}^0) h^2$
- ▶  $z_{\text{eq}}$  decreases unless  $h$  is increased
- ▶ If  $z_{\text{eq}}$  is kept fixed by increasing  $h$ , there is also a suppression of the large-scale power spectrum.
- ▶ It is due to the decrease of time available for fluctuation growth as a consequence of the faster expansion.

[Lesgourges, Verde, Review of Particle Physics 2017]



- Fixed  $\omega_B^0, \omega_M^0, \Omega_\Lambda^0$
- $\omega_M^0 = \Omega_M^0 h^2 = (1 - \Omega_\Lambda^0) h^2$
- $h$  fixed
- $z_\Lambda = (\Omega_\Lambda^0 / \Omega_M^0)^{1/3}$  fixed
- $\omega_{\text{CDM}}^0 = \omega_M^0 - \omega_B^0 - \omega_\nu^0$
- $\omega_{\text{CDM}}^0, \Omega_{\text{CDM}}^0 = \omega_{\text{CDM}}^0 / h^2$  and  $z_{\text{eq}}$  decrease for  $f_\nu > 0$

[Lesgourges, Pastor, Phys. Rept. 429 (2006) 307]



- Fixed  $\omega_B^0, \omega_{\text{CDM}}^0 \Rightarrow z_{\text{eq}}$  fixed
- $\omega_M^0 = \omega_B^0 + \omega_{\text{CDM}}^0 + \omega_\nu^0$  increases
- Fixed  $\Omega_\Lambda^0 \Rightarrow z_\Lambda$  fixed:
- $$h = \sqrt{\frac{\omega_M^0}{1 - \Omega_\Lambda^0}}$$
 increases
- Fixed  $h$ :
- $$\Omega_\Lambda^0 = 1 - \omega_M^0 h^2$$
 decreases

Friedmann equation for a flat Universe:  $H^2 = \frac{8\pi}{3M_P} \rho$

$$\frac{H^2}{H_0^2} = \frac{\rho}{\rho_c^0} \quad \Rightarrow \quad H^2 = H_0^2 \frac{\rho_\Lambda + \rho_M + \rho_R}{\rho_c^0}$$

$$\rho_\Lambda = \rho_\Lambda^0$$

$$\rho_M = \rho_M^0 \left( \frac{R_0}{R} \right)^3 = \rho_M^0 (1+z)^3$$

$$\rho_R = \rho_R^0 \left( \frac{R_0}{R} \right)^4 = \rho_R^0 (1+z)^4$$

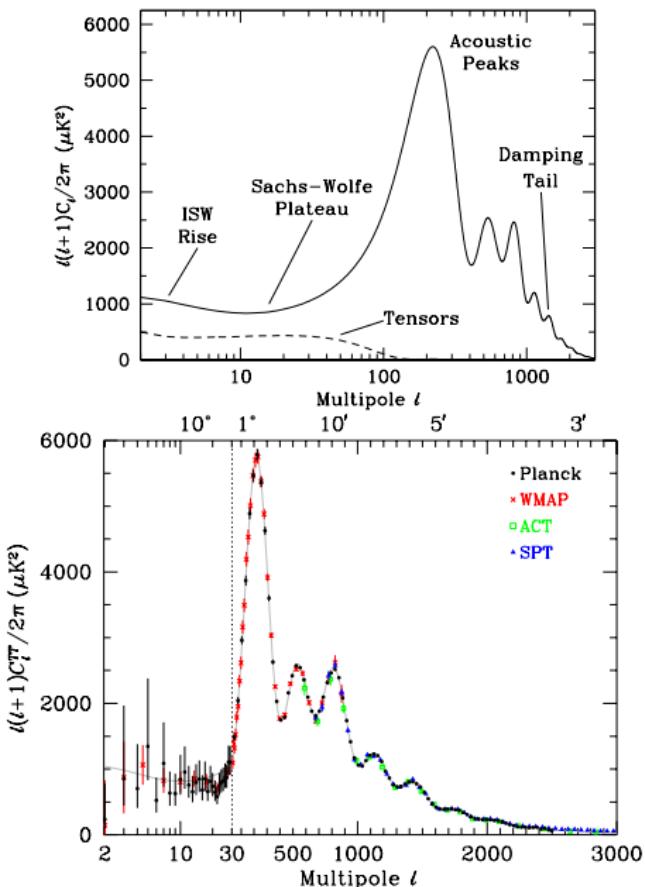
$$H^2 = H_0^2 \frac{\rho_\Lambda^0 + \rho_M^0 (1+z)^3 + \rho_R^0 (1+z)^4}{\rho_c^0}$$

$$H^2 = H_0^2 \left[ \Omega_\Lambda^0 + \Omega_M^0 (1+z)^3 + \Omega_R^0 (1+z)^4 \right]$$

Matter-dominated Universe:  $H^2 \simeq H_0^2 \left[ 1 - \Omega_M^0 + \Omega_M^0 (1+z)^3 \right]$

Increases with  $\Omega_M^0$  because  $1+z > 1$

# Cosmic Microwave Background Radiation



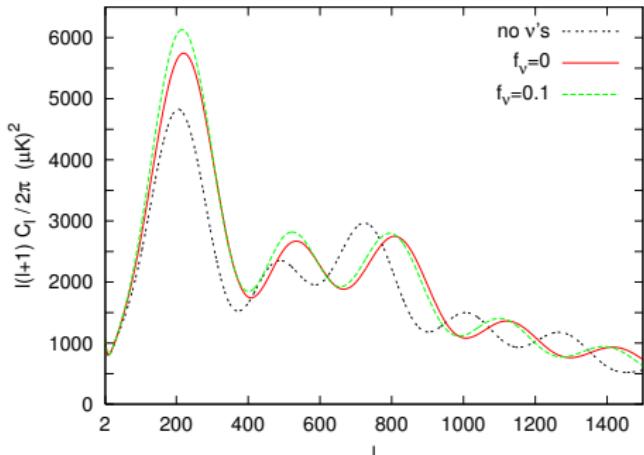
- Temperature fluctuations:

$$\frac{\Delta T_\gamma(\theta, \phi)}{T_\gamma} = \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} a_{\ell m} Y_\ell^m(\theta, \phi)$$

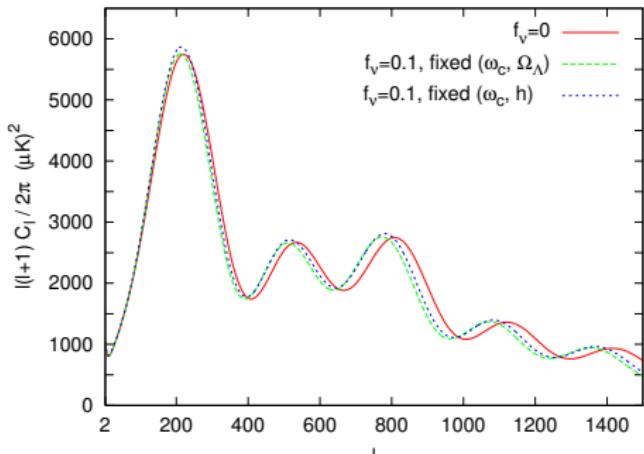
- Angular power spectrum:

$$C_\ell = \frac{1}{2\ell + 1} \sum_{m=-\ell}^{\ell} \langle |a_{\ell m}|^2 \rangle$$

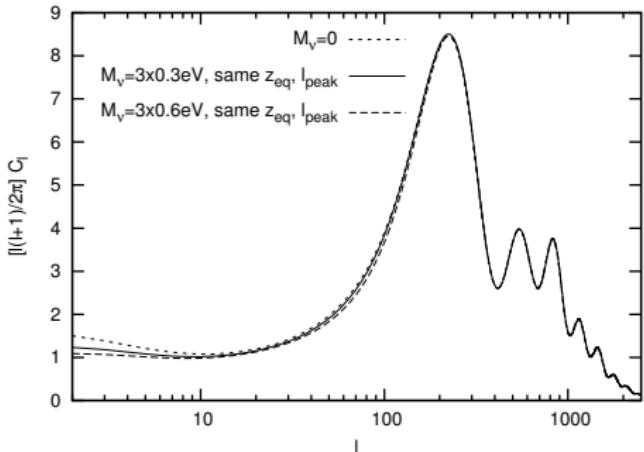
- $C_\ell$  are the variances of the multipole moments  $a_{\ell m}$ .
- Gaussian fluctuations are completely characterized by the variances  $C_\ell$ .



► Fixed  $\omega_B^0, \omega_M^0, \Omega_\Lambda^0$



► Fixed  $\omega_B^0, \omega_{\text{CDM}}^0 \Rightarrow z_{\text{eq}}$  fixed



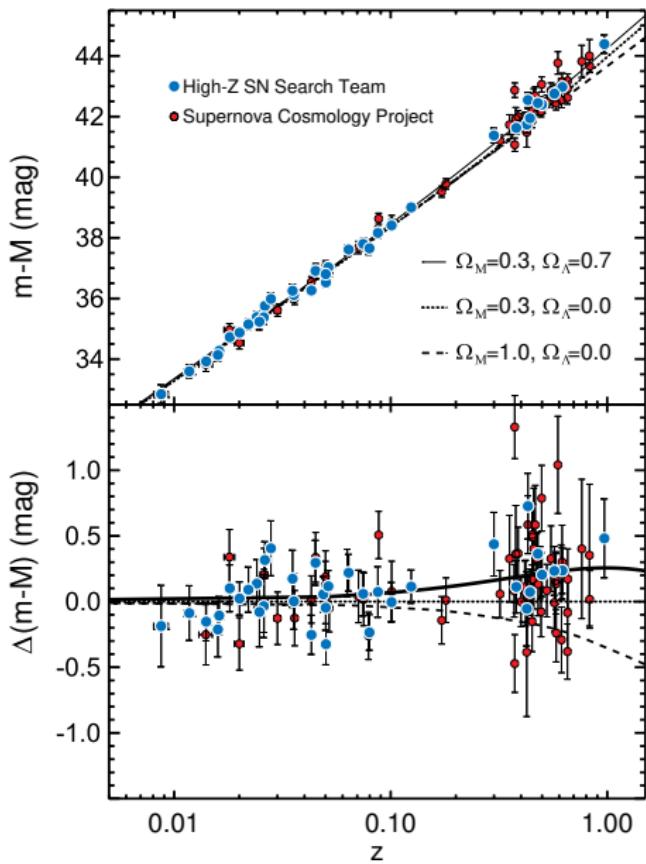
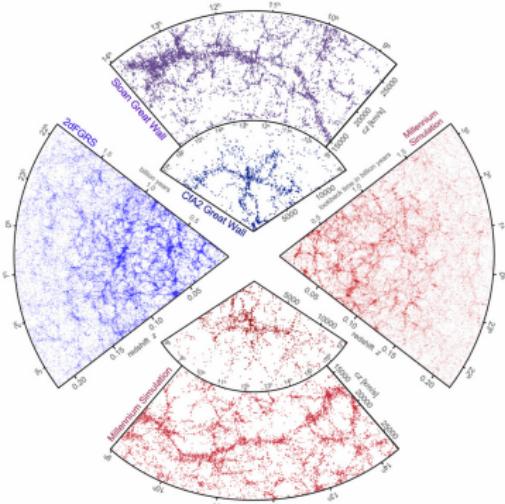
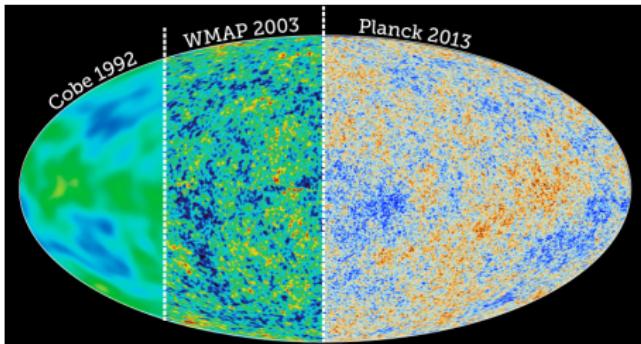
[Lesgourgues, Pastor, New J. Phys. 16 (2014) 065002]

- ▶ ΛMDM: Λ Mixed Dark Matter model, where Mixed refers to the inclusion of some HDM component.
- ▶ Flat ΛCDM parameters:

$$\omega_B^0, \omega_M^0, \Omega_\Lambda^0, A_s, n_s, \tau$$

- ▶ Some of the parameters of the ΛMDM model have been varied together with  $M_\nu = \sum_i m_i$  in order to keep fixed the redshift of equality and the angular diameter distance to last scattering.
- ▶ We conclude that the CMB alone is not a very powerful tool for constraining sub-eV neutrino masses, and should be used in combination with homogeneous cosmology constraints and/or measurements of the LSS power spectrum, for instance from galaxy clustering, galaxy lensing or CMB lensing.

# Cosmological Bound on Neutrino Masses



CMB (WMAP, ...) + LSS (2dFGRS) + HST + SN-Ia  $\implies$  Flat  $\Lambda$ CDM

$$T_0 = 13.7 \pm 0.2 \text{ Gyr} \quad h = 0.71^{+0.04}_{-0.03}$$

$$\Omega_0 = 1.02 \pm 0.02 \quad \Omega_b = 0.044 \pm 0.004 \quad \Omega_m = 0.27 \pm 0.04$$

$$\Omega_\nu h^2 < 0.0076 \quad (\text{95\% conf.}) \quad \implies \quad \sum_{k=1}^3 m_k < 0.71 \text{ eV}$$

CMB + HST + SN-Ia + BAO

$$T_0 = 13.72 \pm 0.12 \text{ Gyr} \quad h = 0.705 \pm 0.013$$

$$-0.0179 < \Omega_0 - 1 < 0.0081 \quad (\text{95\% C.L.})$$

$$\Omega_b = 0.0456 \pm 0.0015 \quad \Omega_m = 0.274 \pm 0.013$$

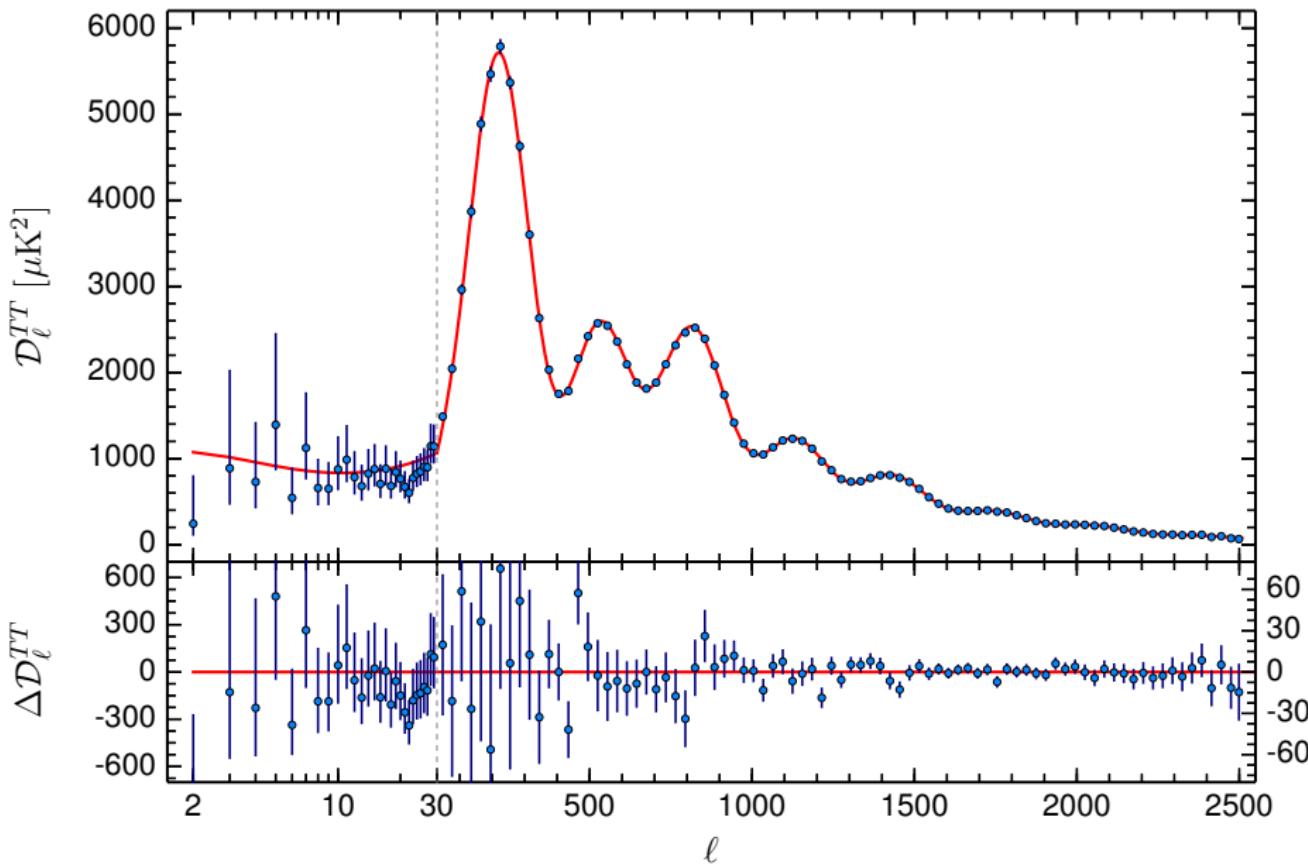
$$\sum_{k=1}^3 m_k < 0.67 \text{ eV} \quad (\text{95\% C.L.}) \quad N_{\text{eff}} = 4.4 \pm 1.5$$

Flat  $\Lambda$ CDM

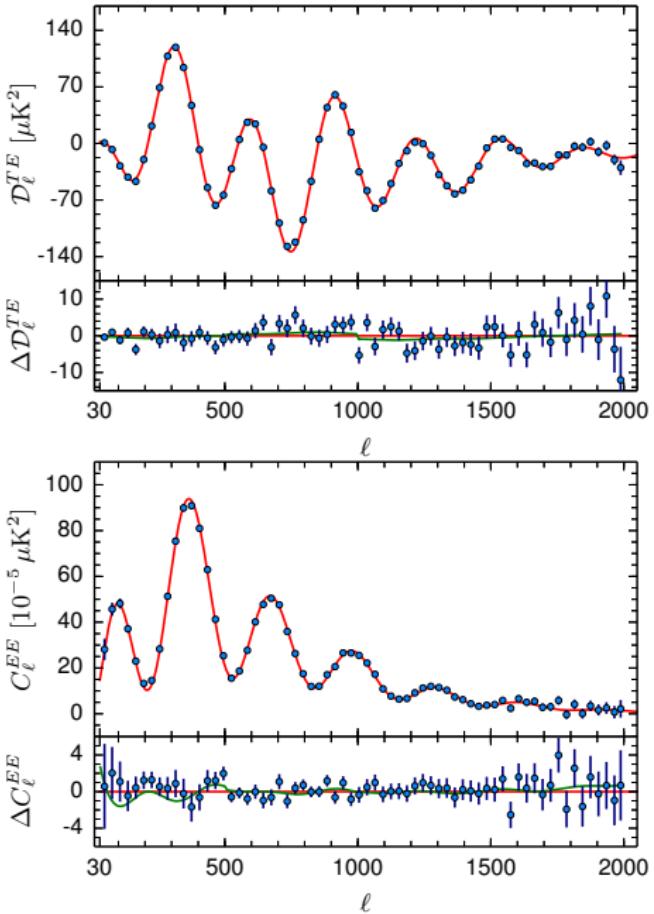
Case	Cosmological data set	$\sum_i m_i$ (at $2\sigma$ )
1	CMB	< 1.19 eV
2	CMB + LSS	< 0.71 eV
3	CMB + HST + SN-Ia	< 0.75 eV
4	CMB + HST + SN-Ia + BAO	< 0.60 eV
5	CMB + HST + SN-Ia + BAO + Ly $\alpha$	< 0.19 eV

# Planck

[arXiv:1502.01589]

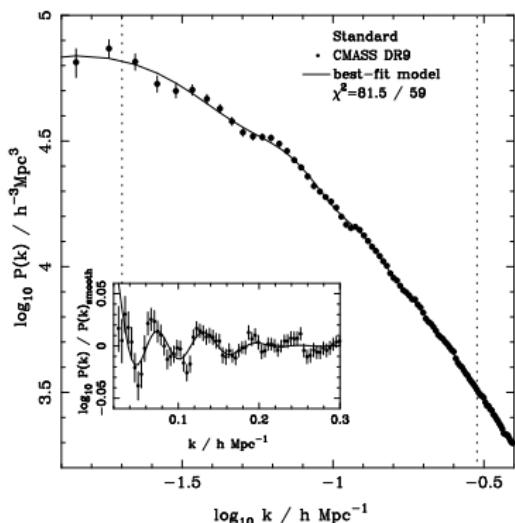


# Planck Polarization Data

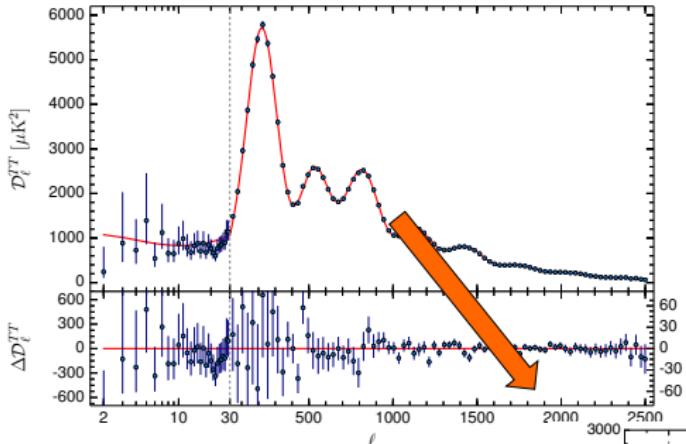


# Planck Terminology

- ▶ TT denotes the Planck TT data (low- $\ell$  for  $\ell < 30$  and high- $\ell$  for  $\ell \geq 30$ ).
- ▶ lowP denotes the Planck polarization data at multipoles  $\ell < 30$  (low- $\ell$ ).
- ▶ TE denotes the Planck TE data at  $\ell \geq 30$ .
- ▶ EE denotes the Planck EE data at  $\ell \geq 30$ .
- ▶ Lensing denotes the Planck weak lensing data.
- ▶ BAO denotes the Baryon Acoustic Oscillation data.



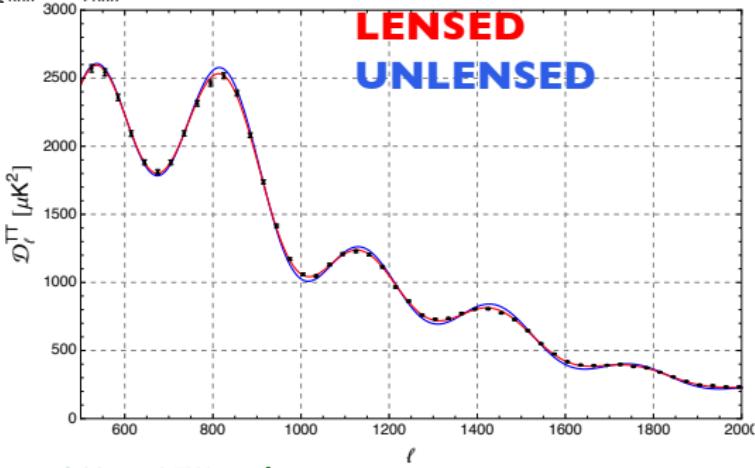
Baryon Oscillation Spectroscopic Survey  
(BOSS)  
part of the Sloan Digital Sky Survey III  
(SDSS-III)  
Data Release 9 (DR9) CMASS sample  
[arXiv:1203.6594]



Lensing smooths the peaks of the CMB power spectrum...  
... and introduces nongaussianities in the map (nonzero 4-point c.f.)

Neutrino free streaming damps matter perturbations and *reduces* lensing

The effect is proportional to ν energy density



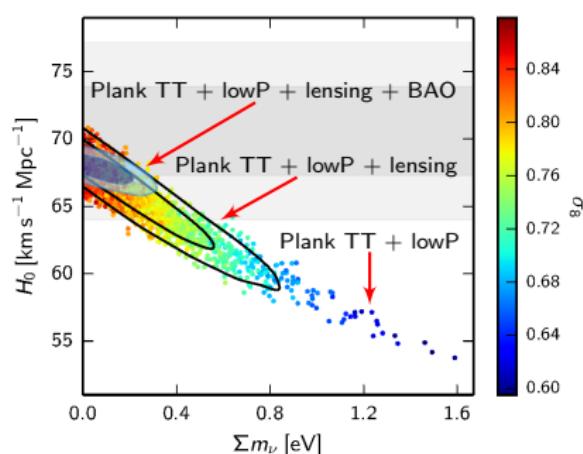
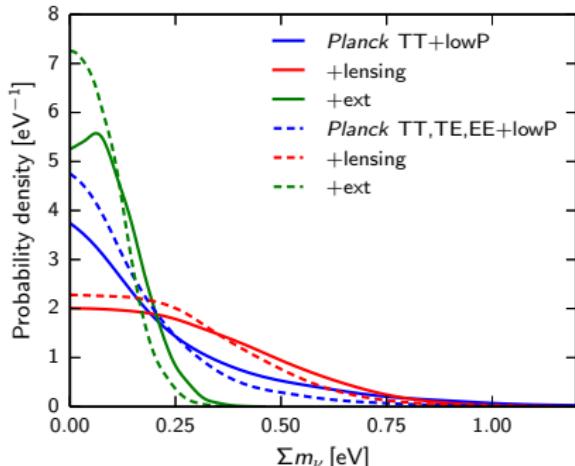
[M. Lattanzi @ Moriond EW 2018]

# Planck Limits on $\sum m_\nu$

[Planck, A&A 594 (2016) A13, arXiv:1502.01589]

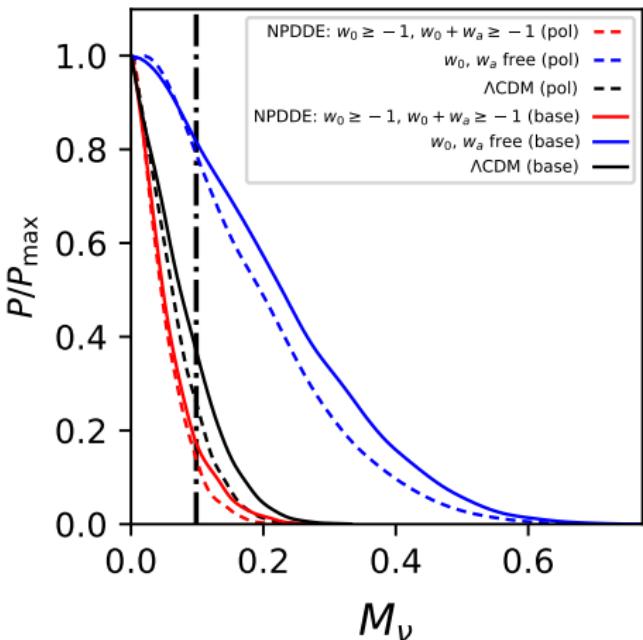
## Cosmological data set

Cosmological data set	$\sum m_\nu$ (95% C.L.)
Plank TT + lowP	< 0.72 eV
Plank TT + lowP + BAO	< 0.21 eV
Plank TT,TE,EE + lowP	< 0.49 eV
Plank TT,TE,EE + lowP + BAO	< 0.17 eV
Plank TT + lowP + lensing	< 0.68 eV
Plank TT,TE,EE + lowP + lensing	< 0.59 eV
Plank TT + lowP + lensing + BAO + JLA + $H_0$	< 0.23 eV



	Model	95% CL (eV)	Ref.
<b>CMB alone</b>			
Pl15[TT+lowP]	$\Lambda\text{CDM} + \sum m_\nu$	< 0.72	[29]
Pl15[TT+lowP]	$\Lambda\text{CDM} + \sum m_\nu + N_{\text{eff}}$	< 0.73	[35]
Pl16[TT+SimLow]	$\Lambda\text{CDM} + \sum m_\nu$	< 0.59	[32]
<b>CMB + probes of background evolution</b>			
Pl15[TT+lowP] + BAO	$\Lambda\text{CDM} + \sum m_\nu$	< 0.21	[29]
Pl15[TT+lowP] + JLA	$\Lambda\text{CDM} + \sum m_\nu$	< 0.33	[35]
Pl15[TT+lowP] + BAO	$\Lambda\text{CDM} + \sum m_\nu + N_{\text{eff}}$	< 0.27	[35]
<b>CMB + probes of background evolution + LSS</b>			
Pl15[TT+lowP+lensing]	$\Lambda\text{CDM} + \sum m_\nu$	< 0.68	[29]
Pl15[TT+lowP+lensing] + BAO	$\Lambda\text{CDM} + \sum m_\nu$	< 0.25	[35]
Pl15[TT+lowP] + P(k) <sub>DR12</sub>	$\Lambda\text{CDM} + \sum m_\nu$	< 0.30	[50]
Pl15[TT,TE,EE+lowP] + BAO+ P(k) <sub>WZ</sub>	$\Lambda\text{CDM} + \sum m_\nu$	< 0.14	[52]
Pl15[TT,TE,EE+lowP] + BAO+ P(k) <sub>DR7</sub>	$\Lambda\text{CDM} + \sum m_\nu$	< 0.13	[52]
Pl15[TT+lowP+lensing] + Ly $\alpha$	$\Lambda\text{CDM} + \sum m_\nu$	< 0.12	[48]
Pl16[TT+SimLow+lensing] + BAO	$\Lambda\text{CDM} + \sum m_\nu$	< 0.17	[48]
Pl15[TT+lowP+lensing] + BAO	$\Lambda\text{CDM} + \sum m_\nu + \Omega_k$	< 0.37	[35]
Pl15[TT+lowP+lensing] + BAO	$\Lambda\text{CDM} + \sum m_\nu + w$	< 0.37	[35]
Pl15[TT+lowP+lensing] + BAO	$\Lambda\text{CDM} + \sum m_\nu + N_{\text{eff}}$	< 0.32	[29]
Pl15[TT,TE,EE+lowP+lensing]	$\Lambda\text{CDM} + \sum m_\nu + 5\text{-params.}$	< 0.66	[34]

- The neutrino mass bound can be loosened in extended cosmological models.
- For example with a varying Dark Energy equation of state.



$$P_{\text{DDE}} = W_{\text{DDE}} \rho_{\text{DDE}}$$

DDE: Dynamical Dark Energy

$$w_{\text{DE}}(z) = w_0 + w_a \frac{z}{1+z}$$

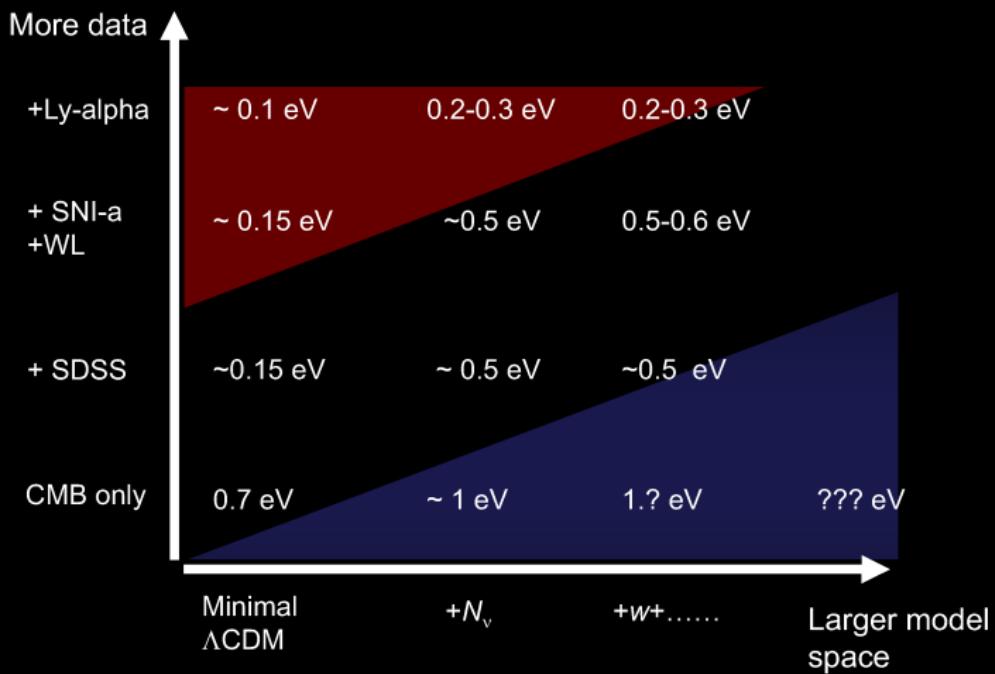
NPDDE: Non-Phantom DDE

$$w_{\text{DE}}(z) \geq -1$$

$$w_0 \geq -1 \quad w_0 + w_a \geq -1$$

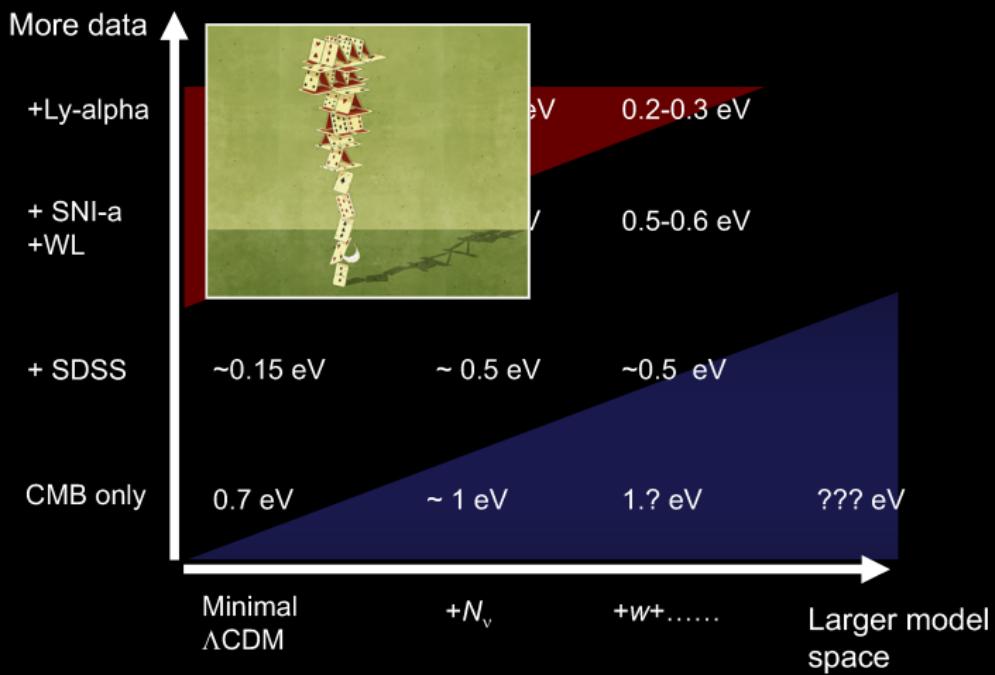
[Vagnozzi et al, arXiv:1801.08553]

# THE NEUTRINO MASS FROM COSMOLOGY PLOT



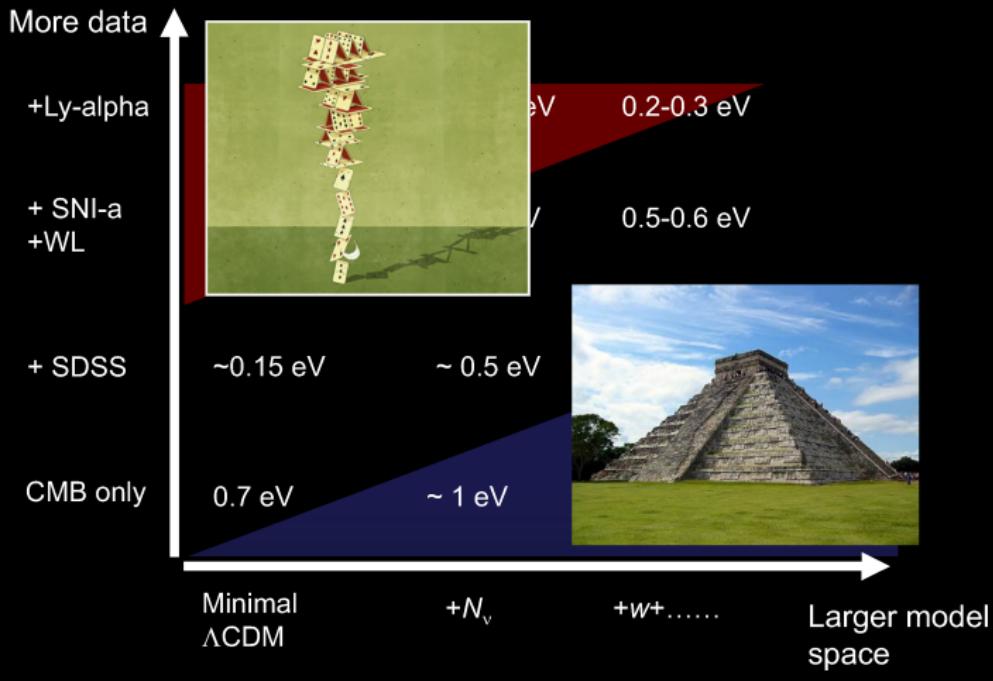
[S. Hannestad, 2018]

# THE NEUTRINO MASS FROM COSMOLOGY PLOT

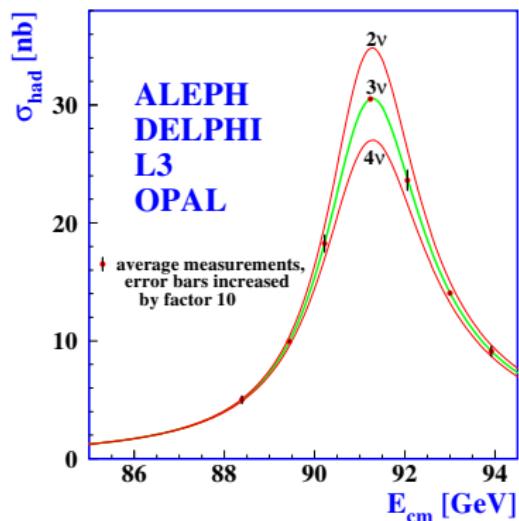
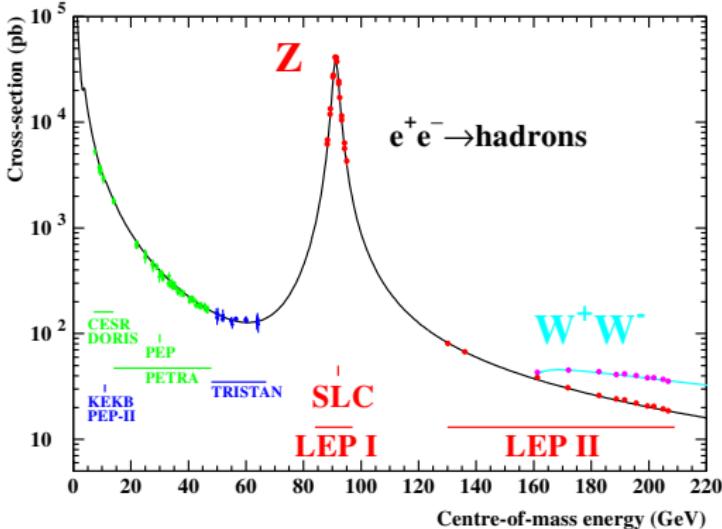


[S. Hannestad, 2018]

# THE NEUTRINO MASS FROM COSMOLOGY PLOT



# Number of Flavor and Massive Neutrinos?



[LEP, Phys. Rept. 427 (2006) 257, arXiv:hep-ex/0509008]

$$\Gamma_Z = \sum_{\ell=e,\mu,\tau} \Gamma_{Z \rightarrow \ell\bar{\ell}} + \sum_{q \neq t} \Gamma_{Z \rightarrow q\bar{q}} + \Gamma_{\text{inv}}$$

$$\Gamma_{\text{inv}} = N_\nu \Gamma_{Z \rightarrow \nu\bar{\nu}}$$

$N_{\nu_{\text{active}}}^{\text{LEP}} = 2.9840 \pm 0.0082$

$$e^+ e^- \rightarrow Z \xrightarrow{\text{invisible}} \sum_{a=\text{active}} \nu_a \bar{\nu}_a \implies \nu_e \nu_\mu \nu_\tau$$

3 light active flavor neutrinos

mixing  $\Rightarrow \nu_{\alpha L} = \sum_{k=1}^N U_{\alpha k} \nu_{kL} \quad \alpha = e, \mu, \tau$

$N \geq 3$   
no upper limit!

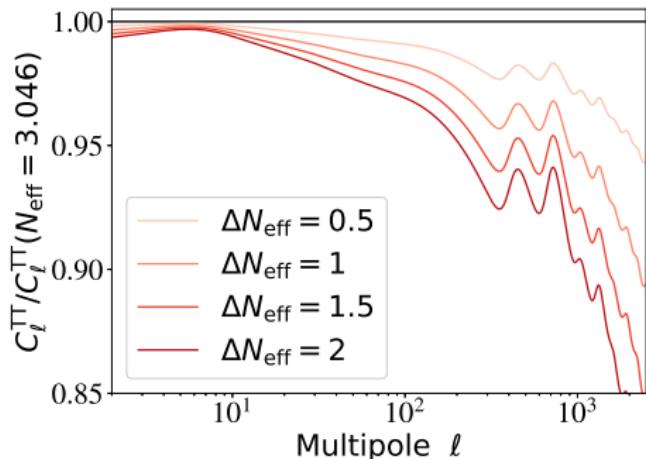
Mass Basis:	$\nu_1$	$\nu_2$	$\nu_3$	$\nu_4$	$\nu_5$	$\dots$
Flavor Basis:	$\nu_e$	$\nu_\mu$	$\nu_\tau$	$\nu_{s_1}$	$\nu_{s_2}$	$\dots$
	ACTIVE			STERILE		

$$\nu_{\alpha L} = \sum_{k=1}^N U_{\alpha k} \nu_{kL} \quad \alpha = e, \mu, \tau, s_1, s_2, \dots$$

# Sterile Neutrinos

- ▶ Sterile means no standard model interactions
  - [Pontecorvo, Sov. Phys. JETP 26 (1968) 984]
- ▶ Obviously no electromagnetic interactions as normal active neutrinos
- ▶ Thus sterile means no standard weak interactions
- ▶ But sterile neutrinos are not absolutely sterile:
  - ▶ Gravitational Interactions
  - ▶ New non-standard interactions of the physics beyond the Standard Model which generates the masses of sterile neutrinos
- ▶ Active neutrinos ( $\nu_e, \nu_\mu, \nu_\tau$ ) can oscillate into sterile neutrinos ( $\nu_s$ )
- ▶ Observables:
  - ▶ Disappearance of active neutrinos (neutral current deficit) ← CE $\nu$ NS
  - ▶ Indirect evidence through combined fit of data (current indication)
- ▶ Powerful window on new physics beyond the Standard Model

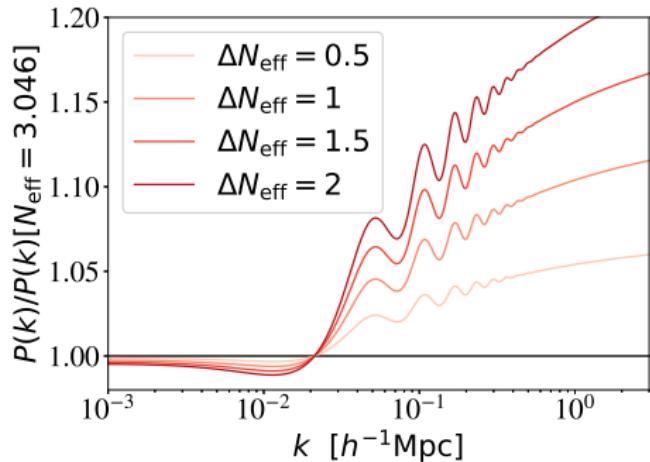
# Dark Radiation



- ▶ Photons feel gravitational forces from a denser neutrino component.
- ▶ Decreases the acoustic peaks because the distribution of free-streaming neutrinos is smoother than that of the photons.

- ▶  $\rho_{\text{rad}} = \left[ 1 + \frac{7}{8} \left( \frac{4}{11} \right)^{4/3} N_{\text{eff}} \right] \rho_{\gamma}$
- ▶  $\Delta N_{\text{eff}} = N_{\text{eff}} - 3.046$
- ▶ Fixed  $z_{\text{eq}}$ ,  $z_{\Lambda}$ ,  $\omega_B^0$
- ▶  $z_{\text{eq}} \simeq \frac{\Omega_M^0 h^2}{\omega_{\gamma}^0 (1 + 0.227 N_{\text{eff}})}$
- ▶  $z_{\Lambda} \simeq \left( \frac{\Omega_{\Lambda}^0}{\Omega_M^0} \right)^{1/3} \simeq \left( \frac{1 - \Omega_M^0}{\Omega_M^0} \right)^{1/3}$
- ▶ Therefore fixed  $\Omega_M^0$
- ▶  $\omega_B^0 = \Omega_B^0 h^2$
- ▶ It can be done by increasing  $h^2$  and decreasing  $\Omega_B^0$  with an increase of  $\Omega_{\text{CDM}}^0 = \Omega_M^0 - \Omega_B^0$

# Dark Radiation



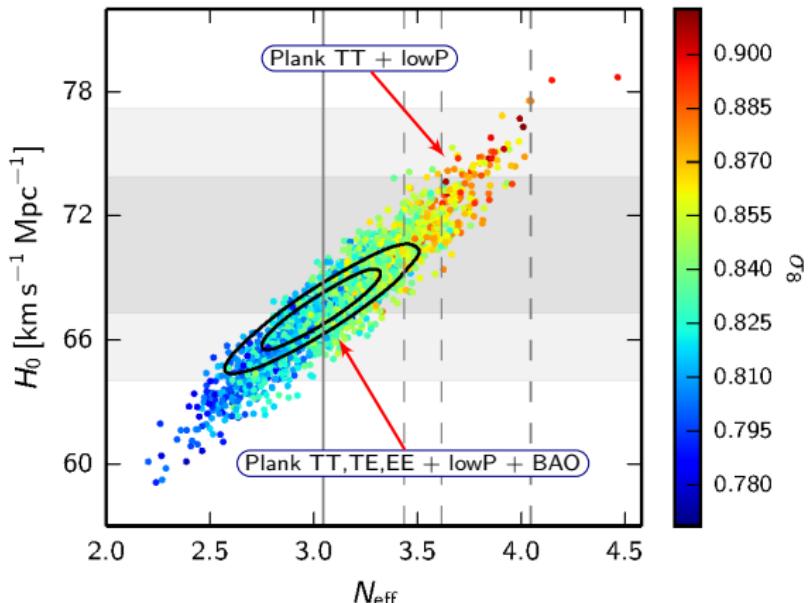
- Increased fluctuations due to increased  $\Omega_{\text{CDM}}^0$ .
- Decreased BAO due to decreased  $\Omega_B^0$ .

- $\rho_{\text{rad}} = \left[ 1 + \frac{7}{8} \left( \frac{4}{11} \right)^{4/3} N_{\text{eff}} \right] \rho_\gamma$
- $\Delta N_{\text{eff}} = N_{\text{eff}} - 3.046$
- Fixed  $z_{\text{eq}}$ ,  $z_\Lambda$ ,  $\omega_B^0$
- $z_{\text{eq}} \simeq \frac{\Omega_M^0 h^2}{\omega_\gamma^0 (1 + 0.227 N_{\text{eff}})}$
- $z_\Lambda \simeq \left( \frac{\Omega_\Lambda^0}{\Omega_M^0} \right)^{1/3} \simeq \left( \frac{1 - \Omega_M^0}{\Omega_M^0} \right)^{1/3}$
- Therefore fixed  $\Omega_M^0$
- $\omega_B^0 = \Omega_B^0 h^2$
- It can be done by increasing  $h^2$  and decreasing  $\Omega_B^0$  with an increase of  $\Omega_{\text{CDM}}^0 = \Omega_M^0 - \Omega_B^0$

# Planck Limits on Dark Radiation

[Planck, A&A 594 (2016) A13, arXiv:1502.01589]

Cosmological data set	$N_{\text{eff}}$
Plank TT + lowP	$3.13 \pm 0.32$
Plank TT + lowP + BAO	$3.15 \pm 0.23$
Plank TT,TE,EE + lowP	$2.99 \pm 0.20$
Plank TT,TE,EE + lowP + BAO	$3.04 \pm 0.18$



# Massive Sterile Neutrinos

- sterile neutrinos can be produced by  $\nu_{e,\mu,\tau} \rightarrow \nu_s$  oscillations before active neutrino decoupling ( $t_{\nu\text{-dec}} \sim 1\text{ s}$ )
- energy density of radiation before matter-radiation equality:

$$\rho_R = \left[ 1 + \frac{7}{8} \left( \frac{4}{11} \right)^{4/3} N_{\text{eff}} \right] \rho_\gamma \quad (t < t_{\text{eq}} \sim 6 \times 10^4 \text{ y})$$
$$N_{\text{eff}}^{\text{SM}} = 3.046 \quad \Delta N_{\text{eff}} = N_{\text{eff}} - N_{\text{eff}}^{\text{SM}}$$

- sterile neutrino contribution:

$$\rho_s = (T_s/T_\nu)^4 \rho_\nu \implies \Delta N_{\text{eff}} = (T_s/T_\nu)^4$$

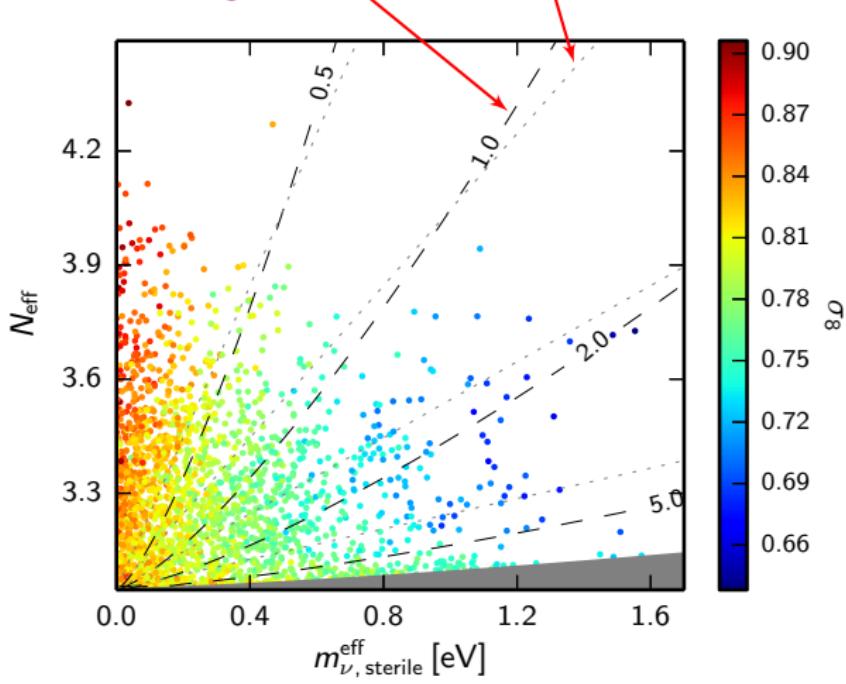
- sterile neutrino  $\nu_s \simeq \nu_4$  with mass  $m_s = m_4 \sim 1\text{ eV}$  becomes non-relativistic at  $T_\nu \sim m_s/3$ , that is at  $t_{\nu_s\text{-nr}} \sim 2.0 \times 10^5 \text{ y}$ , before recombination at  $t_{\text{rec}} \sim 3.8 \times 10^5 \text{ y}$
- current energy density of sterile neutrinos:

$$\Omega_s = \frac{n_s m_s}{\rho_c} \simeq \frac{(T_s/T_\nu)^3 m_s}{93.1 h^2 \text{ eV}} = \frac{\Delta N_{\text{eff}}^{3/4} m_s}{93.1 h^2 \text{ eV}} = \frac{m_s^{\text{eff}}}{93.1 h^2 \text{ eV}}$$
$$m_s^{\text{eff}} = \Delta N_{\text{eff}}^{3/4} m_s = (T_s/T_\nu)^3 m_s$$

# Limits on Massive Sterile Neutrinos

$$N_{\text{eff}} < 3.7 \quad m_s^{\text{eff}} < 0.52 \quad (95\%; \text{Plank TT} + \text{lowP} + \text{lensing} + \text{BAO})$$

Constant  $m_s$ : Thermal and DW



►  $m_s^{\text{eff}} \equiv 93.1 \Omega_s h^2 \text{ eV}$

► Thermally distributed:

$$f_s(E) = \frac{1}{e^{E/T_s} + 1}$$

$$\begin{aligned} m_s^{\text{eff}} &= \left(\frac{T_s}{T_\nu}\right)^3 m_s \\ &= (\Delta N_{\text{eff}})^{3/4} m_s \end{aligned}$$

► Dodelson-Widrow:

$$f_s(E) = \frac{\chi_s}{e^{E/T_\nu} + 1}$$

$$\begin{aligned} m_s^{\text{eff}} &= \chi_s m_s \\ &= \Delta N_{\text{eff}} m_s \end{aligned}$$

## Conclusions

- ▶ Normal light neutrinos are Hot Dark Matter.
- ▶ Their effects on cosmological observables depend on their masses.
- ▶ Cosmological data give information on neutrino physics, but it is model-dependent.
- ▶ Neutrino physics may contribute to solve tensions in the Cosmological data.
- ▶ Light sterile neutrinos are allowed only if their thermalization is suppressed.
- ▶ Heavy sterile neutrinos with mass of the order of keV can contribute to the Dark Matter (not discussed).