

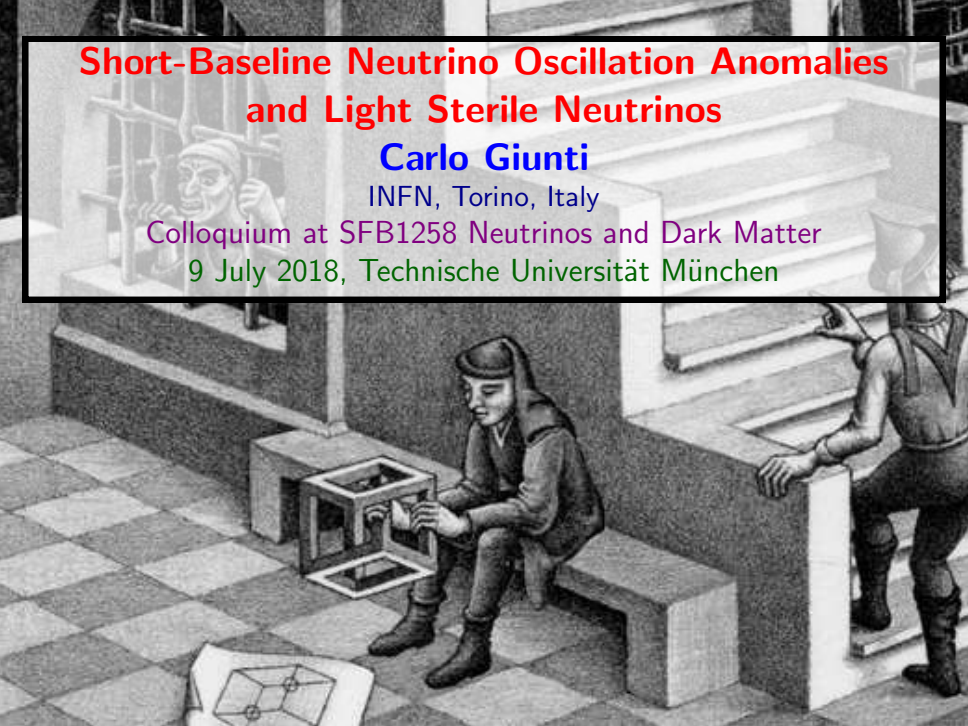
# Short-Baseline Neutrino Oscillation Anomalies and Light Sterile Neutrinos

**Carlo Giunti**

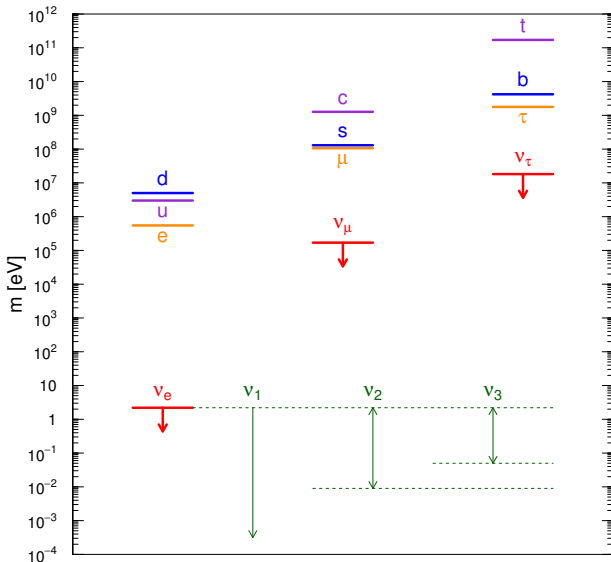
INFN, Torino, Italy

Colloquium at SFB1258 Neutrinos and Dark Matter

9 July 2018, Technische Universität München



# Fermion Mass Spectrum



# Neutrino Mixing

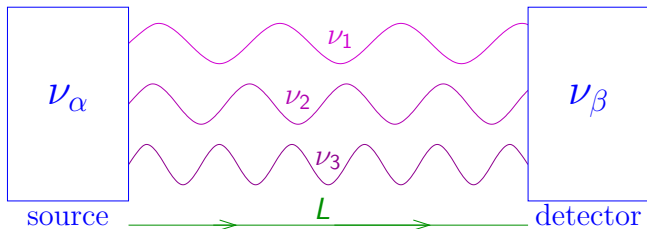
- ▶ Flavor Neutrinos:  $\nu_e, \nu_\mu, \nu_\tau$  produced in Weak Interactions
- ▶ Massive Neutrinos:  $\nu_1, \nu_2, \nu_3$  propagate from Source to Detector
- ▶ Neutrino Mixing: a Flavor Neutrino is a superposition of Massive Neutrinos

$$\begin{pmatrix} |\nu_e\rangle \\ |\nu_\mu\rangle \\ |\nu_\tau\rangle \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \\ |\nu_3\rangle \end{pmatrix}$$

- ▶  $U$  is the  $3 \times 3$  unitary Neutrino Mixing Matrix

# Neutrino Oscillations

$$|\nu(t=0)\rangle = |\nu_\alpha\rangle = U_{\alpha 1} |\nu_1\rangle + U_{\alpha 2} |\nu_2\rangle + U_{\alpha 3} |\nu_3\rangle$$



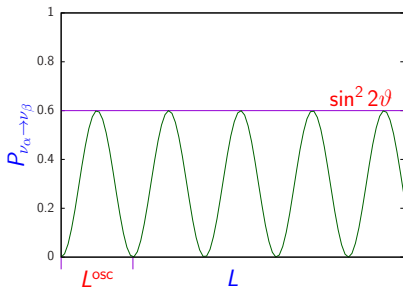
$$|\nu(t > 0)\rangle = U_{\alpha 1} e^{-iE_1 t} |\nu_1\rangle + U_{\alpha 2} e^{-iE_2 t} |\nu_2\rangle + U_{\alpha 3} e^{-iE_3 t} |\nu_3\rangle \neq |\nu_\alpha\rangle$$

$$E_k^2 = p^2 + m_k^2 \quad t = L$$

$$P_{\nu_\alpha \rightarrow \nu_\beta}(L) = |\langle \nu_\beta | \nu(L) \rangle|^2 = \sum_{k,j} U_{\beta k} U_{\alpha k}^* U_{\beta j}^* U_{\alpha j} \exp\left(-i \frac{\Delta m_{kj}^2 L}{2E}\right)$$

The oscillation probabilities depend on  $U$  and  $\Delta m_{kj}^2 \equiv m_k^2 - m_j^2$

$$2\nu\text{-mixing: } P_{\nu_\alpha \rightarrow \nu_\beta} = \sin^2 2\vartheta \sin^2 \left( \frac{\Delta m^2 L}{4E} \right) \implies L^{\text{osc}} = \frac{4\pi E}{\Delta m^2}$$



Tiny neutrino masses lead to observable macroscopic oscillation distances!

$$\frac{L}{E} \sim \left\{ \begin{array}{ll} 10 \frac{\text{m}}{\text{MeV}} \left( \frac{\text{km}}{\text{GeV}} \right) & \text{short-baseline experiments} \\ 10^3 \frac{\text{m}}{\text{MeV}} \left( \frac{\text{km}}{\text{GeV}} \right) & \text{long-baseline experiments} \\ 10^4 \frac{\text{km}}{\text{GeV}} & \text{atmospheric neutrino experiments} \\ 10^{11} \frac{\text{m}}{\text{MeV}} & \text{solar neutrino experiments} \end{array} \right. \quad \begin{array}{l} \Delta m^2 \gtrsim 10^{-1} \text{ eV}^2 \\ \Delta m^2 \gtrsim 10^{-3} \text{ eV}^2 \\ \Delta m^2 \gtrsim 10^{-4} \text{ eV}^2 \\ \Delta m^2 \gtrsim 10^{-11} \text{ eV}^2 \end{array}$$

Neutrino oscillations are the optimal tool to reveal tiny neutrino masses!

# Three-Neutrino Mixing Paradigm

Standard Parameterization of Mixing Matrix (as CKM)

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{13}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{13}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_{21}} & 0 \\ 0 & 0 & e^{i\lambda_{31}} \end{pmatrix}$$
$$= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{13}} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta_{13}} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta_{13}} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta_{13}} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta_{13}} & c_{23}c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_{21}} & 0 \\ 0 & 0 & e^{i\lambda_{31}} \end{pmatrix}$$

$$c_{ab} \equiv \cos \vartheta_{ab} \quad s_{ab} \equiv \sin \vartheta_{ab} \quad 0 \leq \vartheta_{ab} \leq \frac{\pi}{2} \quad 0 \leq \delta_{13}, \lambda_{21}, \lambda_{31} < 2\pi$$

OSCILLATION  
PARAMETERS

$$\left\{ \begin{array}{l} 3 \text{ Mixing Angles: } \vartheta_{12}, \vartheta_{23}, \vartheta_{13} \\ 1 \text{ CPV Dirac Phase: } \delta_{13} \\ 2 \text{ independent } \Delta m_{kj}^2 \equiv m_k^2 - m_j^2: \Delta m_{21}^2, \Delta m_{31}^2 \end{array} \right.$$

2 CPV Majorana Phases:  $\lambda_{21}, \lambda_{31} \iff |\Delta L| = 2$  processes

# Three-Neutrino Mixing Ingredients

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{13}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{13}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_{21}} & 0 \\ 0 & 0 & e^{i\lambda_{31}} \end{pmatrix}$$

Solar  
 $\nu_e \rightarrow \nu_\mu, \nu_\tau$

SNO, Borexino  
Super-Kamiokande  
GALLEX/GNO, SAGE  
Homestake, Kamiokande

VLBL Reactor  
 $\bar{\nu}_e$  disappearance

(KamLAND)

$$\left. \begin{array}{l} \left. \begin{array}{l} \text{SNO, Borexino} \\ \text{Super-Kamiokande} \\ \text{GALLEX/GNO, SAGE} \\ \text{Homestake, Kamiokande} \end{array} \right\} \right\} \rightarrow \left\{ \begin{array}{l} \Delta m_S^2 = \Delta m_{21}^2 \simeq 7.4 \times 10^{-5} \text{ eV}^2 \\ \sin^2 \vartheta_S = \sin^2 \vartheta_{12} \simeq 0.30 \end{array} \right.$$

# Three-Neutrino Mixing Ingredients

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{13}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{13}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_{21}} & 0 \\ 0 & 0 & e^{i\lambda_{31}} \end{pmatrix}$$

Atmospheric $\nu_\mu \rightarrow \nu_\tau$	$\left( \begin{array}{l} \text{Super-Kamiokande} \\ \text{Kamiokande, IMB} \\ \text{MACRO, Soudan-2} \end{array} \right)$	} $\rightarrow$ {	$\Delta m_A^2 \simeq  \Delta m_{31}^2  \simeq 2.5 \times 10^{-3} \text{ eV}^2$ $\sin^2 \vartheta_A = \sin^2 \vartheta_{23} \simeq 0.50$
LBL Accelerator $\nu_\mu$ disappearance	$\left( \begin{array}{l} \text{K2K, MINOS} \\ \text{T2K, NO}\nu\text{A} \end{array} \right)$		
LBL Accelerator $\nu_\mu \rightarrow \nu_\tau$	(OPERA)		



# Three-Neutrino Mixing Ingredients

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{13}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{13}} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\lambda_{21}} & 0 \\ 0 & 0 & e^{i\lambda_{31}} \end{pmatrix}$$

LBL Accelerator

$$\nu_\mu \rightarrow \nu_e$$

(T2K, MINOS, NO $\nu$ A)

LBL Reactor

$\bar{\nu}_e$  disappearance

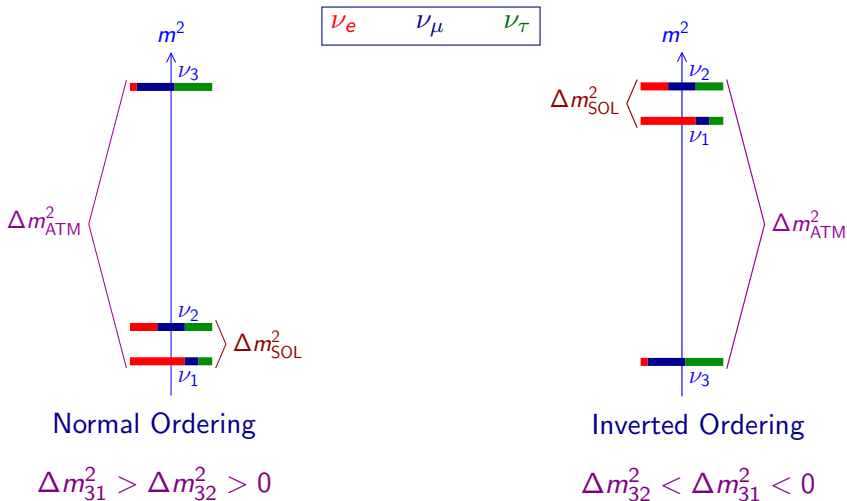
(Daya Bay, RENO  
Double Chooz)

$\rightarrow$

$$\Delta m_A^2 \simeq |\Delta m_{31}^2| \simeq 2.5 \times 10^{-3} \text{ eV}^2$$

$$\sin^2 \vartheta_{13} \simeq 0.022$$

# Mass Ordering



absolute scale is not determined by neutrino oscillation data

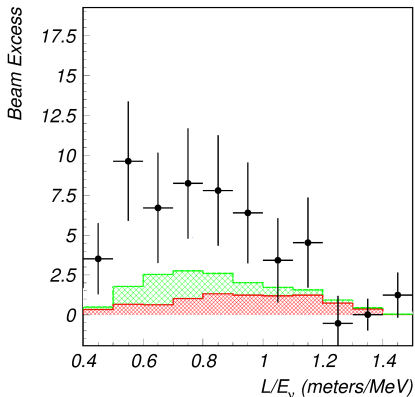
# Short-Baseline Neutrino Oscillation Anomalies

# LSND

[PRL 75 (1995) 2650; PRC 54 (1996) 2685; PRL 77 (1996) 3082; PRD 64 (2001) 112007]

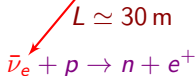
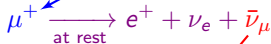
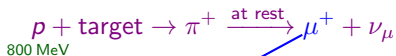
$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

$$20 \text{ MeV} \leq E \leq 52.8 \text{ MeV}$$



$$\Delta m_{\text{SBL}}^2 \gtrsim 0.1 \text{ eV}^2 \gg \Delta m_{\text{ATM}}^2$$

- ▶ Well-known and pure source of  $\bar{\nu}_\mu$



Well-known detection process of  $\bar{\nu}_e$

- ▶  $\approx 3.8\sigma$  excess
- ▶ But signal not seen by **KARMEN** at  $L \simeq 18 \text{ m}$  with the same method

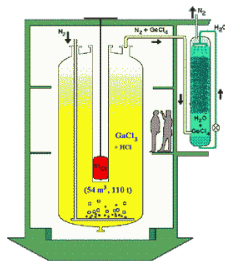
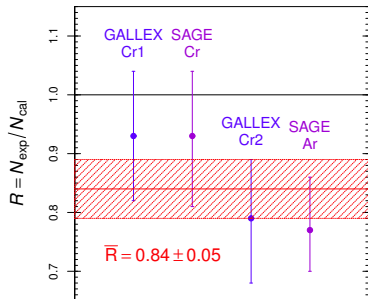
[PRD 65 (2002) 112001]

# Gallium Anomaly

Gallium Radioactive Source Experiments: GALLEX and SAGE



Test of Solar  $\nu_e$  Detection:



$\approx 2.9\sigma$  deficit

$\langle L \rangle_{\text{GALLEX}} = 1.9 \text{ m}$      $\langle L \rangle_{\text{SAGE}} = 0.6 \text{ m}$

$$\Delta m_{\text{SBL}}^2 \gtrsim 1 \text{ eV}^2 \gg \Delta m_{\text{ATM}}^2$$

[SAGE, PRC 73 (2006) 045805; PRC 80 (2009) 015807;  
Laveder et al, Nucl.Phys.Proc.Suppl. 168 (2007) 344,  
MPLA 22 (2007) 2499, PRD 78 (2008) 073009,  
PRC 83 (2011) 065504]

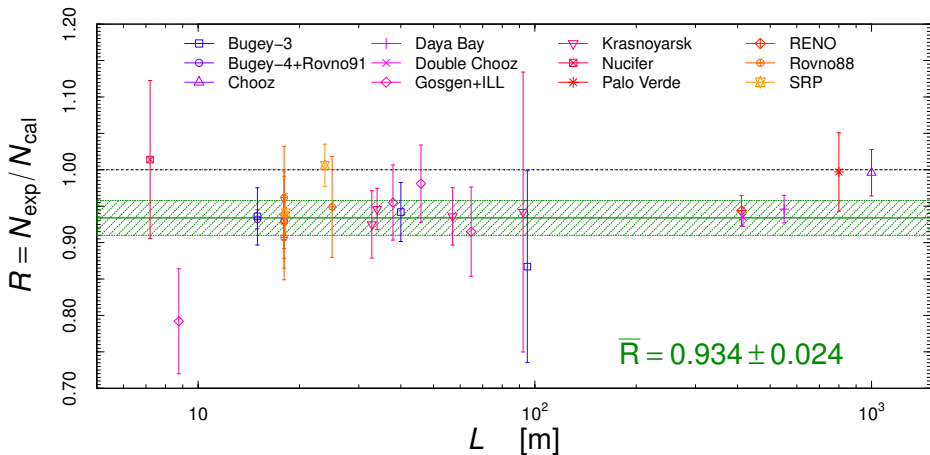
▶  ${}^3\text{He} + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + {}^3\text{H}$  cross section measurement [Frekers et al., PLB 706 (2011) 134]

# Reactor Electron Antineutrino Anomaly

[Mention et al, PRD 83 (2011) 073006]

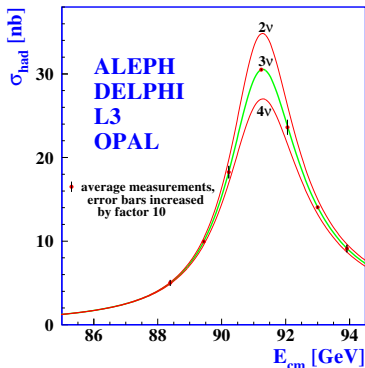
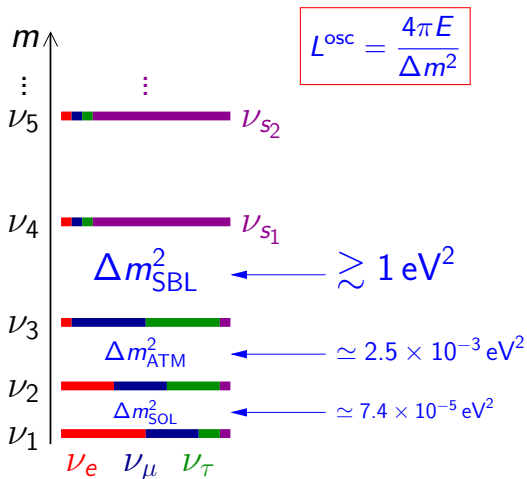
## New reactor $\bar{\nu}_e$ fluxes: Huber-Mueller (HM)

[Mueller et al, PRC 83 (2011) 054615; Huber, PRC 84 (2011) 024617]



$\approx 2.8\sigma$  deficit

# Beyond Three-Neutrino Mixing: Sterile Neutrinos



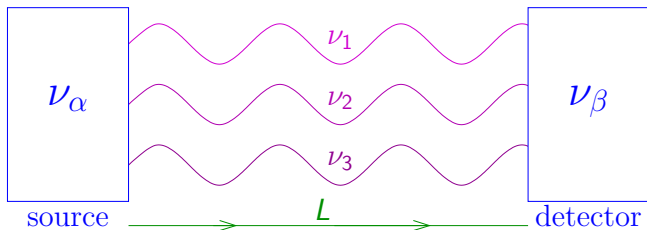
$$N_{\nu_{\text{active}}}^{\text{LEP}} = 2.9840 \pm 0.0082$$

Terminology: a eV-scale sterile neutrino  
 means: a eV-scale massive neutrino which is mainly sterile

# Short-Baseline Neutrino Oscillations

## Three-Neutrino Mixing

$$|\nu_{\text{source}}\rangle = |\nu_{\alpha}\rangle = U_{\alpha 1} |\nu_1\rangle + U_{\alpha 2} |\nu_2\rangle + U_{\alpha 3} |\nu_3\rangle$$



$$|\nu_{\text{detector}}\rangle \simeq U_{\alpha 1} e^{-iEL} |\nu_1\rangle + U_{\alpha 2} e^{-iEL} |\nu_2\rangle + U_{\alpha 3} e^{-iEL} |\nu_3\rangle = e^{-iEL} |\nu_{\alpha}\rangle$$

$$P_{\nu_{\alpha} \rightarrow \nu_{\beta}}(L) = |\langle \nu_{\beta} | \nu_{\text{detector}} \rangle|^2 \simeq |e^{-iEL} \langle \nu_{\beta} | \nu_{\alpha} \rangle|^2 = \delta_{\alpha\beta}$$

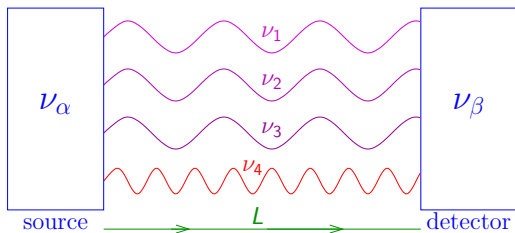
**No Observable Short-Baseline Neutrino Oscillations!**



# Short-Baseline Neutrino Oscillations

## 3+1 Neutrino Mixing

$$|\nu_{\text{source}}\rangle = |\nu_{\alpha}\rangle = U_{\alpha 1} |\nu_1\rangle + U_{\alpha 2} |\nu_2\rangle + U_{\alpha 3} |\nu_3\rangle + U_{\alpha 4} |\nu_4\rangle$$



$$|\nu_{\text{detector}}\rangle \simeq e^{-iEL} (U_{\alpha 1} |\nu_1\rangle + U_{\alpha 2} |\nu_2\rangle + U_{\alpha 3} |\nu_3\rangle) + U_{\alpha 4} e^{-iE_4 L} |\nu_4\rangle \neq |\nu_{\alpha}\rangle$$

$$P_{\nu_{\alpha} \rightarrow \nu_{\beta}}(L) = |\langle \nu_{\beta} | \nu_{\text{detector}} \rangle|^2 \neq \delta_{\alpha\beta}$$

Observable Short-Baseline Neutrino Oscillations!

The oscillation probabilities depend on  $U$  and

$$\Delta m_{\text{SBL}}^2 = \Delta m_{41}^2 \simeq \Delta m_{42}^2 \simeq \Delta m_{43}^2$$

# Effective 3+1 SBL Oscillation Probabilities

Appearance ( $\alpha \neq \beta$ )

Disappearance

$$P_{\nu_\alpha \rightarrow \nu_\beta}^{\text{SBL}(-)(-)} \simeq \sin^2 2\vartheta_{\alpha\beta} \sin^2 \left( \frac{\Delta m_{41}^2 L}{4E} \right)$$

$$P_{\nu_\alpha \rightarrow \nu_\alpha}^{\text{SBL}(-)(-)} \simeq 1 - \sin^2 2\vartheta_{\alpha\alpha} \sin^2 \left( \frac{\Delta m_{41}^2 L}{4E} \right)$$

$$\sin^2 2\vartheta_{\alpha\beta} = 4|U_{\alpha 4}|^2 |U_{\beta 4}|^2$$

$$\sin^2 2\vartheta_{\alpha\alpha} = 4|U_{\alpha 4}|^2 (1 - |U_{\alpha 4}|^2)$$

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

SBL

- ▶  $\Delta m_{\text{SBL}}^2 = \Delta m_{41}^2 \simeq \Delta m_{42}^2 \simeq \Delta m_{43}^2$
- ▶ CP violation is not observable in SBL experiments!

- ▶ Observable in LBL accelerator exp. sensitive to  $\Delta m_{\text{ATM}}^2$  [de Gouvea et al, PRD 91 (2015) 053005, PRD 92 (2015) 073012, arXiv:1605.09376; Palazzo et al, PRD 91 (2015) 073017, PLB 757 (2016) 142; Kayser et al, JHEP 1511 (2015) 039, JHEP 1611 (2016) 122] and solar exp. sensitive to  $\Delta m_{\text{SOL}}^2$  [Long, Li, CG, PRD 87, 113004 (2013) 113004]

- ▶ 6 mixing angles
- ▶ 3 Dirac CP phases
- ▶ 3 Majorana CP phases

# 3+1: Appearance vs Disappearance

▶ SBL Oscillation parameters:  $\Delta m_{41}^2$   $|U_{e4}|^2$   $|U_{\mu4}|^2$  ( $|U_{\tau4}|^2$ )

▶ Amplitude of  $\nu_e$  disappearance:

$$\sin^2 2\vartheta_{ee} = 4|U_{e4}|^2 (1 - |U_{e4}|^2) \simeq 4|U_{e4}|^2$$

▶ Amplitude of  $\nu_\mu$  disappearance:

$$\sin^2 2\vartheta_{\mu\mu} = 4|U_{\mu4}|^2 (1 - |U_{\mu4}|^2) \simeq 4|U_{\mu4}|^2$$

▶ Amplitude of  $\nu_\mu \rightarrow \nu_e$  transitions:

$$\sin^2 2\vartheta_{e\mu} = 4|U_{e4}|^2 |U_{\mu4}|^2 \simeq \frac{1}{4} \sin^2 2\vartheta_{ee} \sin^2 2\vartheta_{\mu\mu}$$

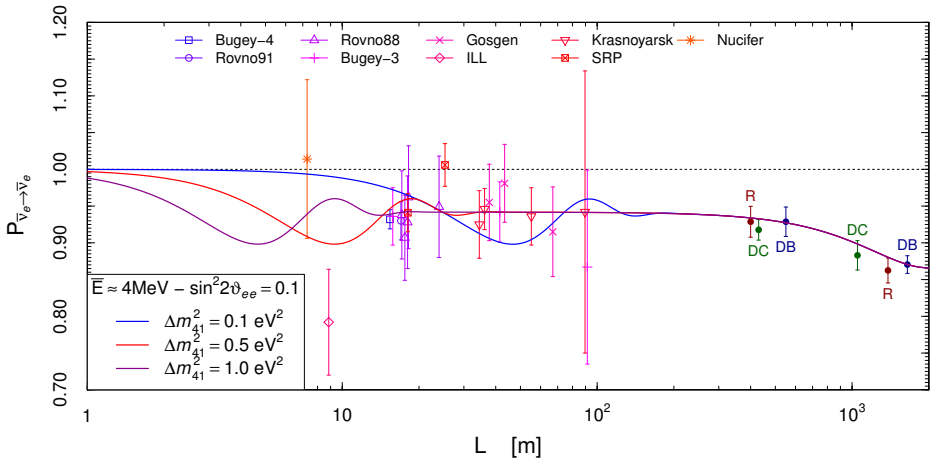
quadratically suppressed for small  $|U_{e4}|^2$  and  $|U_{\mu4}|^2$



Appearance-Disappearance Tension

[Okada, Yasuda, IJMPA 12 (1997) 3669; Bilenky, CG, Grimus, EPJC 1 (1998) 247]

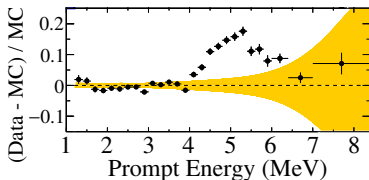
# Reactor Electron Antineutrino Anomaly



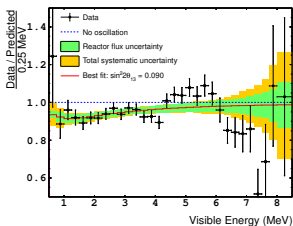
$$\Delta m_{\text{SBL}}^2 \gtrsim 0.5 \text{ eV}^2 \gg \Delta m_{\text{ATM}}^2$$

- ▶ SBL oscillations are averaged at the Daya Bay, RENO, and Double Chooz near detectors  $\implies$  no spectral distortion

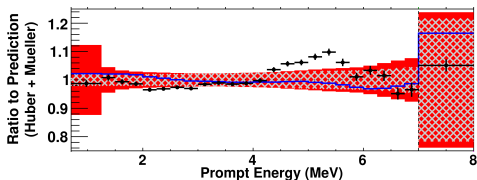
# Reactor Antineutrino 5 MeV Bump



[RENO, arXiv:1511.05849]



[Double Chooz, arXiv:1406.7763]



[Daya Bay, arXiv:1508.04233]

- ▶ Cannot be explained by neutrino oscillations (SBL oscillations are averaged in RENO, DC, DB).
- ▶ It is likely due to a theoretical miscalculation of the spectrum.
- ▶ Heretic solution: detector energy nonlinearity. [Mention et al, PLB 773 (2017) 307]
- ▶  $\sim 3\%$  effect on total flux, but if it is an excess it increases the anomaly!
- ▶ No post-bump complete calculation of the neutrino fluxes.
- ▶ Nominal Huber-Mueller flux calculation uncertainty:  $\sim 2.7\%$ .
- ▶ Post-bump estimate of the flux uncertainty due to unknown forbidden decays:  $\sim 5\%$ .

[Hayes and Vogel, ARNPS 66 (2016) 219]

# Reactor Fuel Evolution

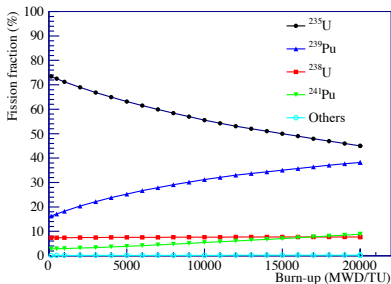
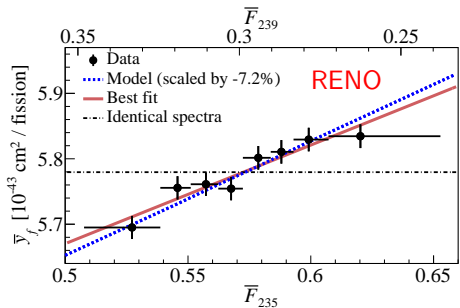
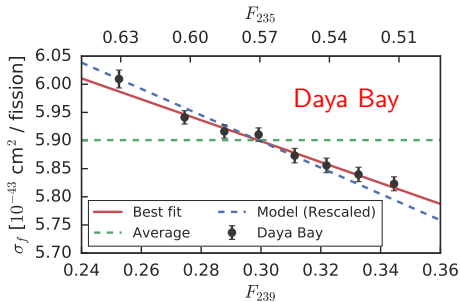
- ▶ Reactor  $\bar{\nu}_e$  flux produced by the  $\beta$  decays of the fission products of  $^{235}\text{U}$   $^{238}\text{U}$   $^{239}\text{Pu}$   $^{241}\text{Pu}$

- ▶ Effective fission fractions:

$$F_{235} \quad F_{238} \quad F_{239} \quad F_{241}$$

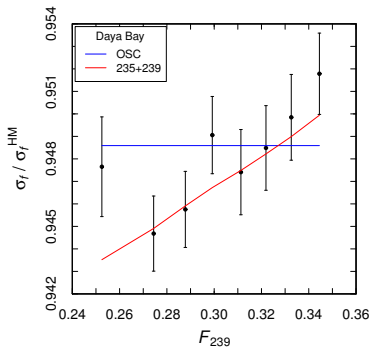
- ▶ Cross section per fission:

$$\sigma_f = \sum_{k=235,238,239,241} F_k \sigma_{f,k}$$



## Daya Bay

[PRL 118 (2017) 251801 (arXiv:1704.01082)]

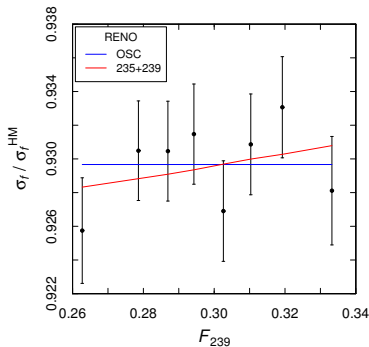


OSC:  $P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} = 0.942 \pm 0.030$   
 $\chi^2/\text{NDF} = 9.5/7$  GoF = 22%

235+239:  $\begin{cases} r_{235} = 0.922 \pm 0.024 \\ r_{239} = 0.974 \pm 0.046 \end{cases}$   
 $\chi^2/\text{NDF} = 3.6/6$  GoF = 73%

## RENO

[arXiv:1806.00574]



OSC:  $P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} = 0.928 \pm 0.026$   
 $\chi^2/\text{NDF} = 4.6/7$  GoF = 71%

235+239:  $\begin{cases} r_{235} = 0.913 \pm 0.021 \\ r_{239} = 0.921 \pm 0.052 \end{cases}$   
 $\chi^2/\text{NDF} = 4.2/6$  GoF = 65%

- ▶ Daya Bay favors a suppression of the  $^{235}\text{U}$  flux over oscillations.
- ▶ However, the addition of other reactor data favors oscillations or, better,  $^{235}\text{U}$  and/or  $^{239}\text{U}$  flux suppression plus oscillations.

[CG, Ji, Laveder, Li, Littlejohn, JHEP 1710 (2017)]

- ▶ RENO data are almost equally fitted by a suppression of the  $^{235}\text{U}$  flux and oscillations.
- ▶ Even if there are short-baseline neutrino oscillations, it is likely that the reactor antineutrino flux calculations must be corrected (most likely the  $^{235}\text{U}$  flux) to fit:
  1. The 5 MeV bump
  2. The fuel evolution data
- ▶ The search for short-baseline neutrino oscillations needs

model-independent information

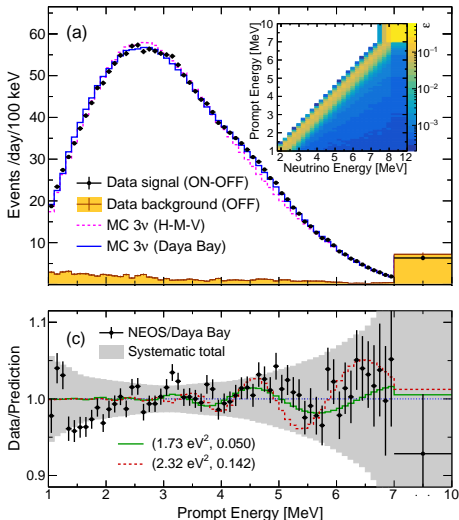


ratios of spectra at different distances



# NEOS

[PRL 118 (2017) 121802 (arXiv:1610.05134)]

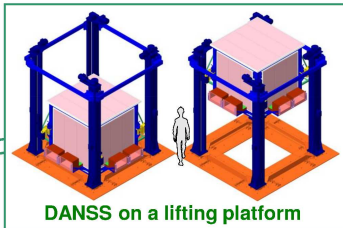
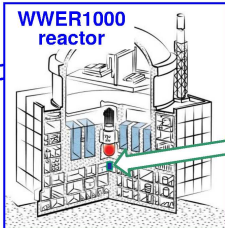


- ▶ Hanbit Nuclear Power Complex in Yeong-gwang, Korea.
- ▶ Thermal power of 2.8 GW.
- ▶ Detector: a ton of Gd-loaded liquid scintillator in a gallery approximately 24 m from the reactor core.
- ▶ The measured antineutrino event rate is 1976 per day with a signal to background ratio of about 22.

# DANSS

[Solvay Workshop, 1 December 2017; La Thuile 2018, 3 March 2018; Neutrino 2018, 8 June 2018]

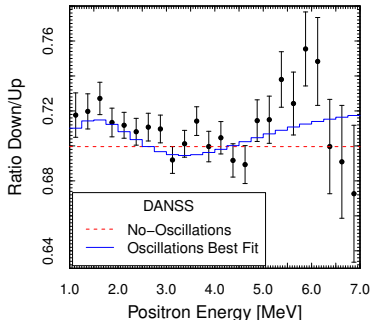
## Detector of reactor AntiNeutrino based on Solid Scintillator



- ▶ Installed on a movable platform under a 3 GW reactor.
- ▶ Large neutrino flux.
- ▶ Reactor shielding of cosmic rays.
- ▶ Variable source-detector distance with the same detector!

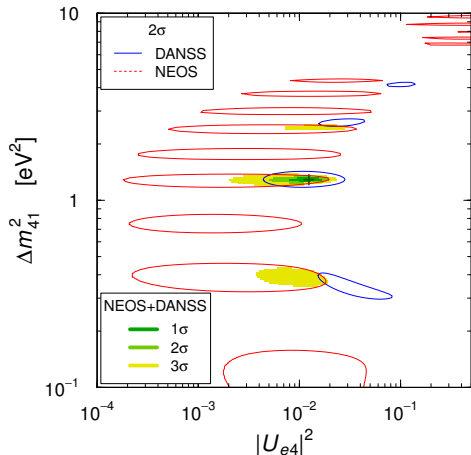
Down = 12.7 m

Up = 10.7 m



# Model-Independent $\bar{\nu}_e$ SBL Oscillations

[Gariazzo, CG, Laveder, Li, PLB 782 (2018) 13, arXiv:1801.06467]



$\sim 3.7\sigma$

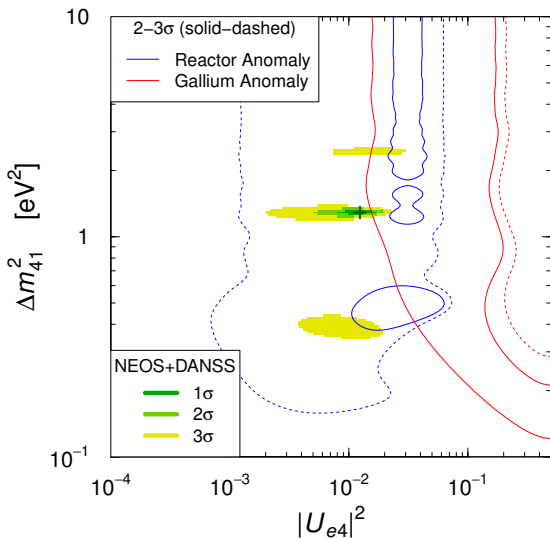
$$\Delta m_{41}^2 = 1.29 \pm 0.03$$

$$|U_{e4}|^2 = 0.012 \pm 0.003$$

$$|U_{e3}|^2 = 0.022 \pm 0.001$$

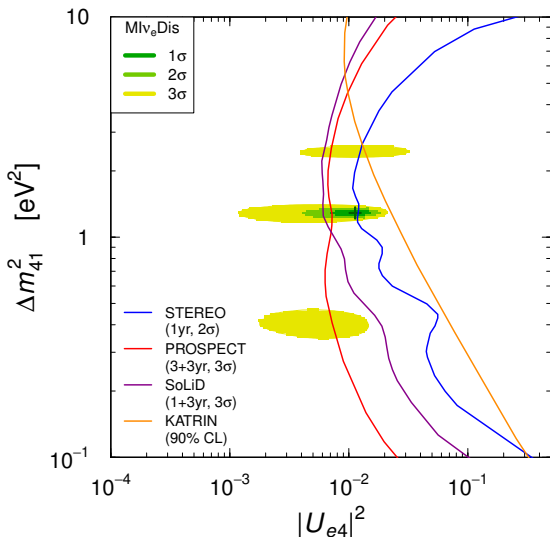
[See also Dentler, Hernandez-Cabezudo, Kopp, Machado, Maltoni, Martinez-Soler, Schwetz, arXiv:1803.10661]

# Comparison with the Reactor and Gallium Anomalies



- ▶ 3 $\sigma$  agreement.
- ▶ 2 $\sigma$  tension.
- ▶ Small overestimate of the reactor fluxes.
- ▶ Small overestimate of the GALLEX and SAGE efficiencies.

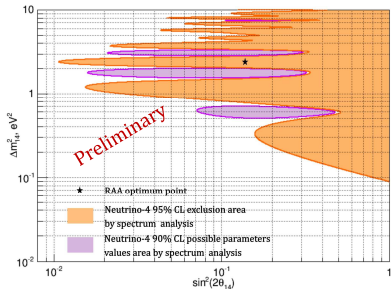
# Global Model-Independent $\nu_e$ and $\bar{\nu}_e$ Disappearance



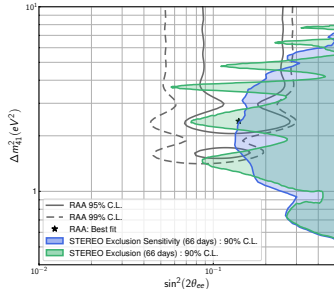
- ▶ NEOS and DANSS.
- ▶ Reactor rates with free  $^{235}\text{U}$  and  $^{239}\text{Pu}$  fluxes:  $r_{235}$  and  $r_{239}$ .
- ▶ Gallium data with free GALLEX and SAGE efficiencies:  $\eta_G$  and  $\eta_S$ .
- ▶ New reactor experiments: STEREO, Neutrino-4, SoLiD, PROSPECT
- ▶ Kinematic  $\nu_4$  mass measurement: KATRIN

[See also Dentler, Hernandez-Cabezudo, Kopp, Machado, Maltoni, Martinez-Soler, Schwetz, arXiv:1803.10661]

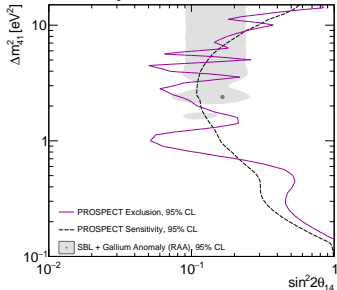
## Neutrino-4 [PPNS 2018]



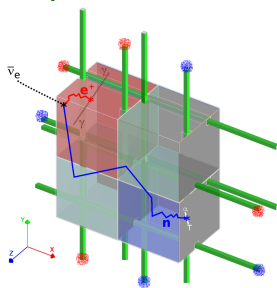
## STEREO [arXiv:1806.02096 and Neutrino 2018]

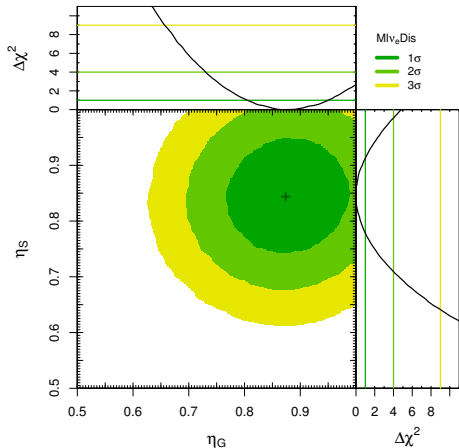
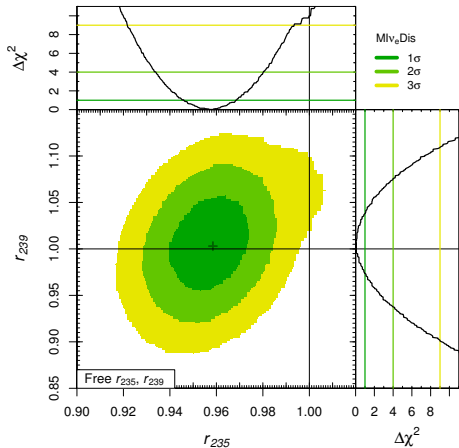


## PROSPECT [arXiv:1806.02784 and Neutrino 2018]



## SoLiD [arXiv:1806.02461 and Neutrino 2018]





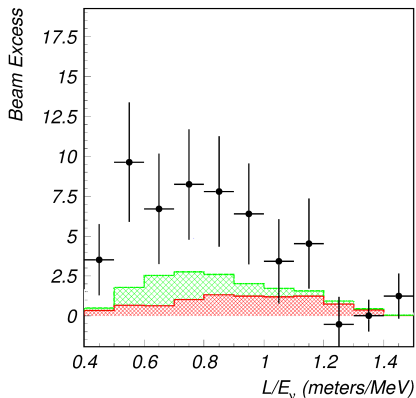
- ▶ Indication of  $r_{235} < 1$ .
- ▶ Likely small overestimate of the GALLEX and SAGE efficiencies.

# LSND

[PRL 75 (1995) 2650; PRC 54 (1996) 2685; PRL 77 (1996) 3082; PRD 64 (2001) 112007]

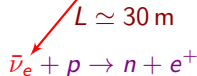
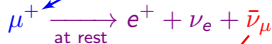
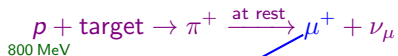
$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$$

$$20 \text{ MeV} \leq E \leq 52.8 \text{ MeV}$$



$$\Delta m_{\text{SBL}}^2 \gtrsim 0.1 \text{ eV}^2 \gg \Delta m_{\text{ATM}}^2$$

- ▶ Well-known and pure source of  $\bar{\nu}_\mu$



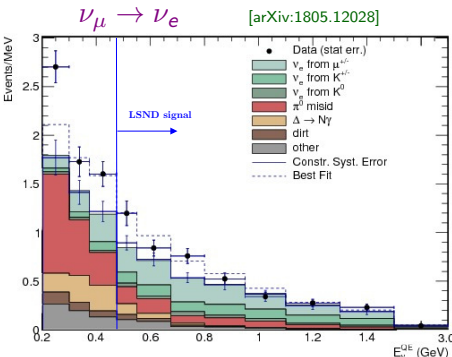
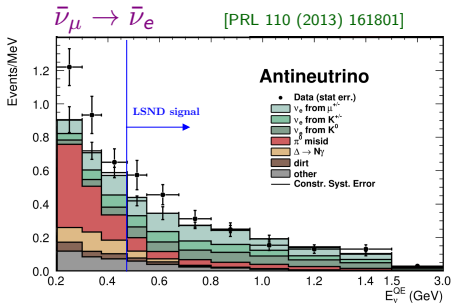
Well-known detection process of  $\bar{\nu}_e$

- ▶  $\approx 3.8\sigma$  excess
- ▶ But signal not seen by **KARMEN** at  $L \simeq 18 \text{ m}$  with the same method

[PRD 65 (2002) 112001]

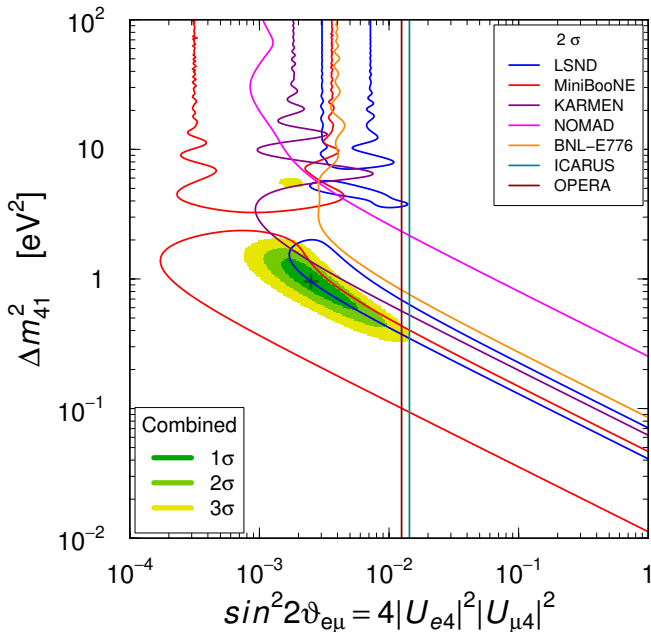


# MiniBooNE

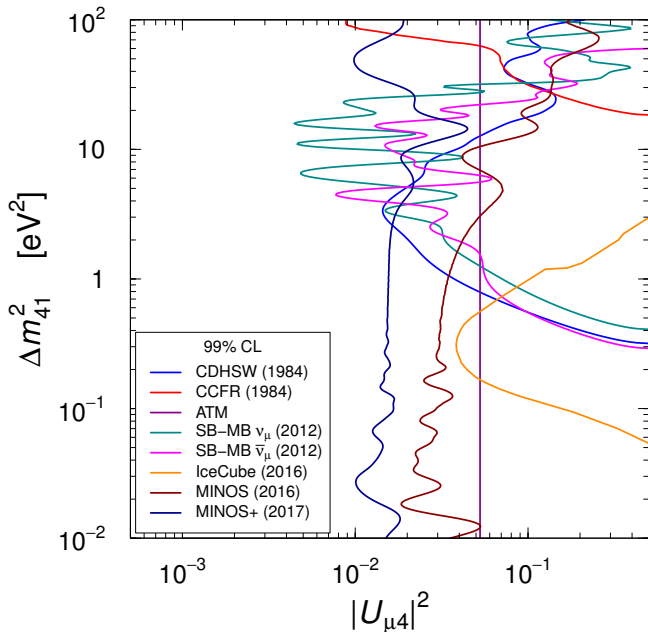


- ▶ Purpose: check the LSND signal
- ▶ Different  $L \simeq 541$  m
- ▶ Different  $200 \text{ MeV} \leq E \lesssim 3 \text{ GeV}$
- ▶ Similar  $L/E \iff$  oscillations
- ▶ No money, no Near Detector
- ▶ Agreement with LSND for  $E \gtrsim 475 \text{ MeV}$
- ▶ Low-energy anomaly to be checked by **MicroBooNE**
- ▶ Pragmatic Approach:  $E > 475 \text{ MeV}$

# $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ and $\nu_\mu \rightarrow \nu_e$ Appearance



# $\nu_\mu$ and $\bar{\nu}_\mu$ Disappearance

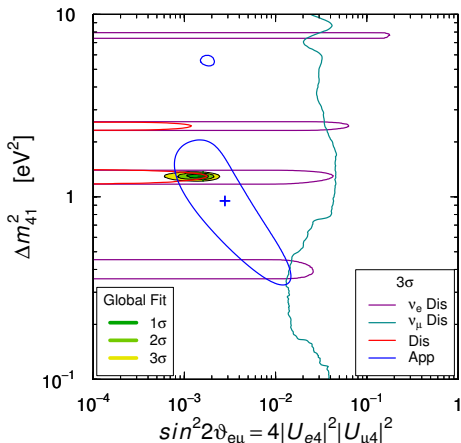


# 3+1 Appearance-Disappearance Tension

$$\nu_e \text{ DIS} \\ \sin^2 2\vartheta_{ee} \simeq 4|U_{e4}|^2$$

$$\nu_\mu \text{ DIS} \\ \sin^2 2\vartheta_{\mu\mu} \simeq 4|U_{\mu4}|^2$$

$$\nu_\mu \rightarrow \nu_e \text{ APP} \\ \sin^2 2\vartheta_{e\mu} = 4|U_{e4}|^2|U_{\mu4}|^2 \simeq \frac{1}{4} \sin^2 2\vartheta_{ee} \sin^2 2\vartheta_{\mu\mu}$$



▶  $\nu_\mu \rightarrow \nu_e$  is quadratically suppressed!

▶ Global Fit without MINOS+

$$\chi^2_{\text{PG}}/\text{NDF}_{\text{PG}} = 7.8/2 \Rightarrow \text{GoF}_{\text{PG}} = 2\%$$

▶ Similar tension in

$$3 + 2, \quad 3 + 3, \quad \dots, \quad 3 + N_s$$

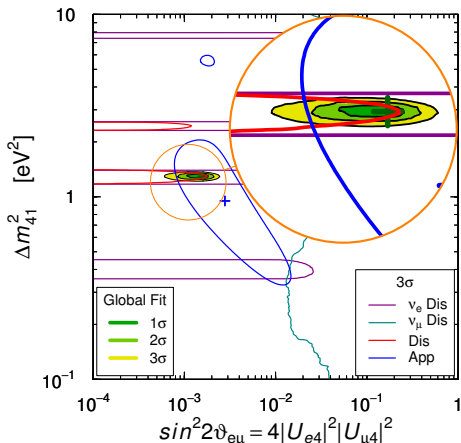
[CG, Zavanin, MPLA 31 (2015) 1650003]

# 3+1 Appearance-Disappearance Tension

$$\nu_e \text{ DIS} \\ \sin^2 2\vartheta_{ee} \simeq 4|U_{e4}|^2$$

$$\nu_\mu \text{ DIS} \\ \sin^2 2\vartheta_{\mu\mu} \simeq 4|U_{\mu4}|^2$$

$$\nu_\mu \rightarrow \nu_e \text{ APP} \\ \sin^2 2\vartheta_{e\mu} = 4|U_{e4}|^2|U_{\mu4}|^2 \simeq \frac{1}{4} \sin^2 2\vartheta_{ee} \sin^2 2\vartheta_{\mu\mu}$$



▶  $\nu_\mu \rightarrow \nu_e$  is quadratically suppressed!

▶ Global Fit without MINOS+

$$\chi_{\text{PG}}^2 / \text{NDF}_{\text{PG}} = 7.8/2 \Rightarrow \text{GoF}_{\text{PG}} = 2\%$$

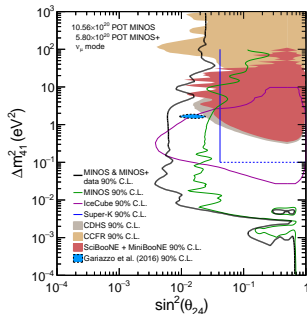
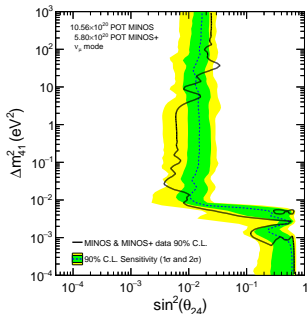
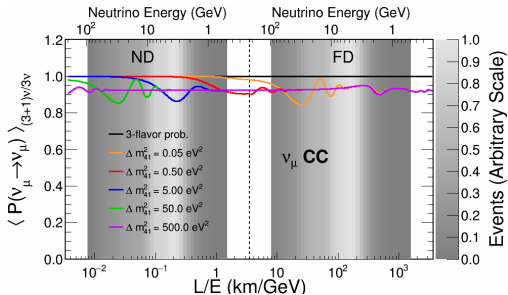
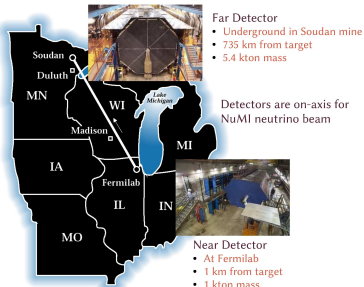
▶ Similar tension in

$$3 + 2, \quad 3 + 3, \quad \dots, \quad 3 + N_s$$

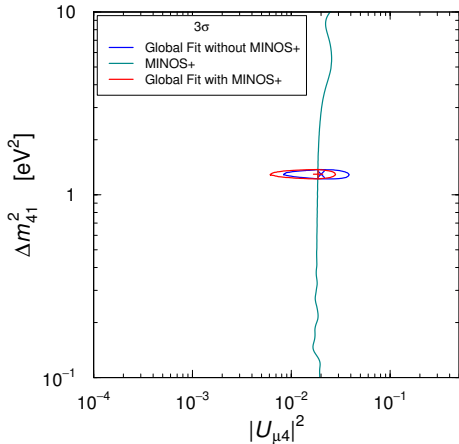
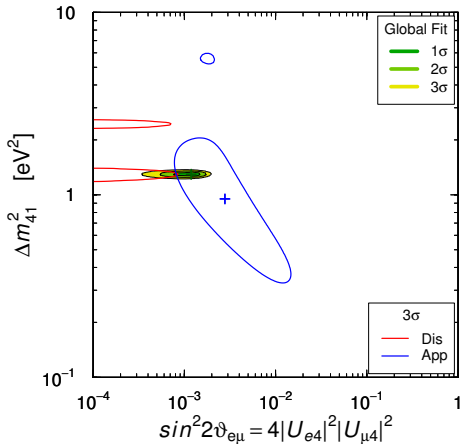
[CG, Zavanin, MPLA 31 (2015) 1650003]

# New Bound from MINOS+

[arXiv:1710.06488]



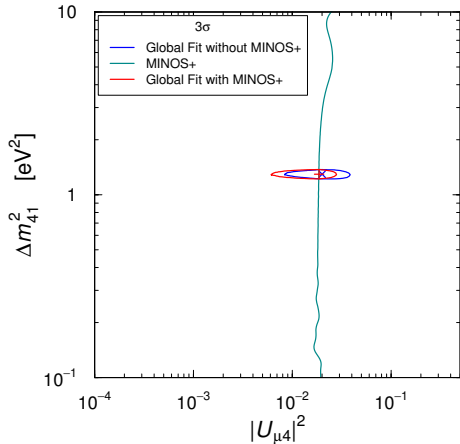
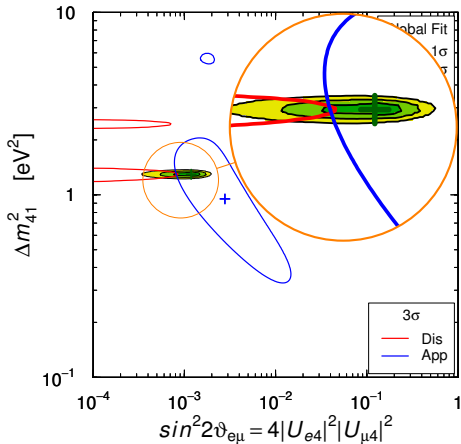
# Effects of MINOS+



- ▶  $\chi^2_{\text{PG}}/\text{NDF}_{\text{PG}} = 18.3/2 \Rightarrow \text{GoF}_{\text{PG}} = 0.01\%$  ← Intolerable tension!
- ▶ The MINOS+ bound (if correct) disfavors the LSND  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  signal.

[See also Dentler, Hernandez-Cabezudo, Kopp, Machado, Maltoni, Martinez-Soler, Schwetz, arXiv:1803.10661]

# Effects of MINOS+



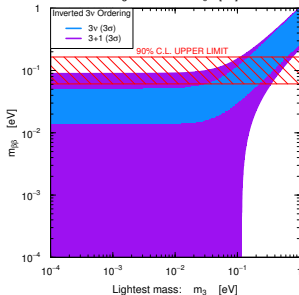
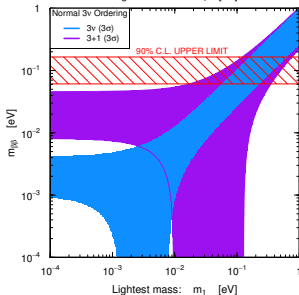
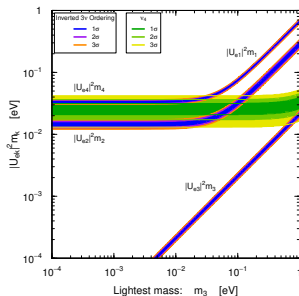
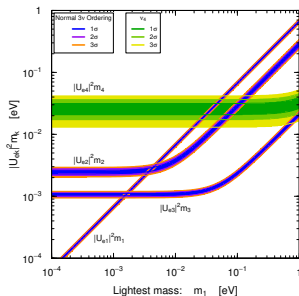
- ▶  $\chi^2_{\text{PG}}/\text{NDF}_{\text{PG}} = 18.3/2 \Rightarrow \text{GoF}_{\text{PG}} = 0.01\%$  ← Intolerable tension!
- ▶ The MINOS+ bound (if correct) disfavors the LSND  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  signal.

[See also Dentler, Hernandez-Cabezudo, Kopp, Machado, Maltoni, Martinez-Soler, Schwetz, arXiv:1803.10661]



# Neutrinoless Double-Beta Decay

$$m_{\beta\beta} = \left| |U_{e1}|^2 m_1 + |U_{e2}|^2 e^{i\alpha_{21}} m_2 + |U_{e3}|^2 e^{i\alpha_{31}} m_3 + |U_{e4}|^2 e^{i\alpha_{41}} m_4 \right|$$



## Conclusions

- ▶ Exciting **model-independent** indication of light sterile neutrinos at the eV scale from the **NEOS** and **DANSS** experiments  $\implies$  **New Physics beyond the Standard Model!**
- ▶ Agreement with the Reactor and Gallium Anomalies  $\implies$  Needed revision of the  $^{235}\text{U}$  calculation and small decrease of the GALLEX and SAGE efficiencies.
- ▶ Can be checked in the near future by the reactor experiments **STEREO**, **Neutrino-4**, **SoLid**, **PROSPECT**.
- ▶ Independent tests through effect of  $m_4$  in  $\beta$ -decay (**KATRIN**), **EC** (**ECHo**, **HOLMES**) and  $\beta\beta_{0\nu}$ -decay.
- ▶ The **MINOS+** bound (if correct) disfavors the LSND  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  signal.